

Vote: 112 Ethics and Integrity

Vote Summary

VI: Vote Overview

This section sets out the Vote Mission, Strategic Objectives, and provides a description of the vote's services

(i) Snapshot of Medium Term Budget Allocations

Table V1 below summarises the Medium Term Budget allocations for the Vote:

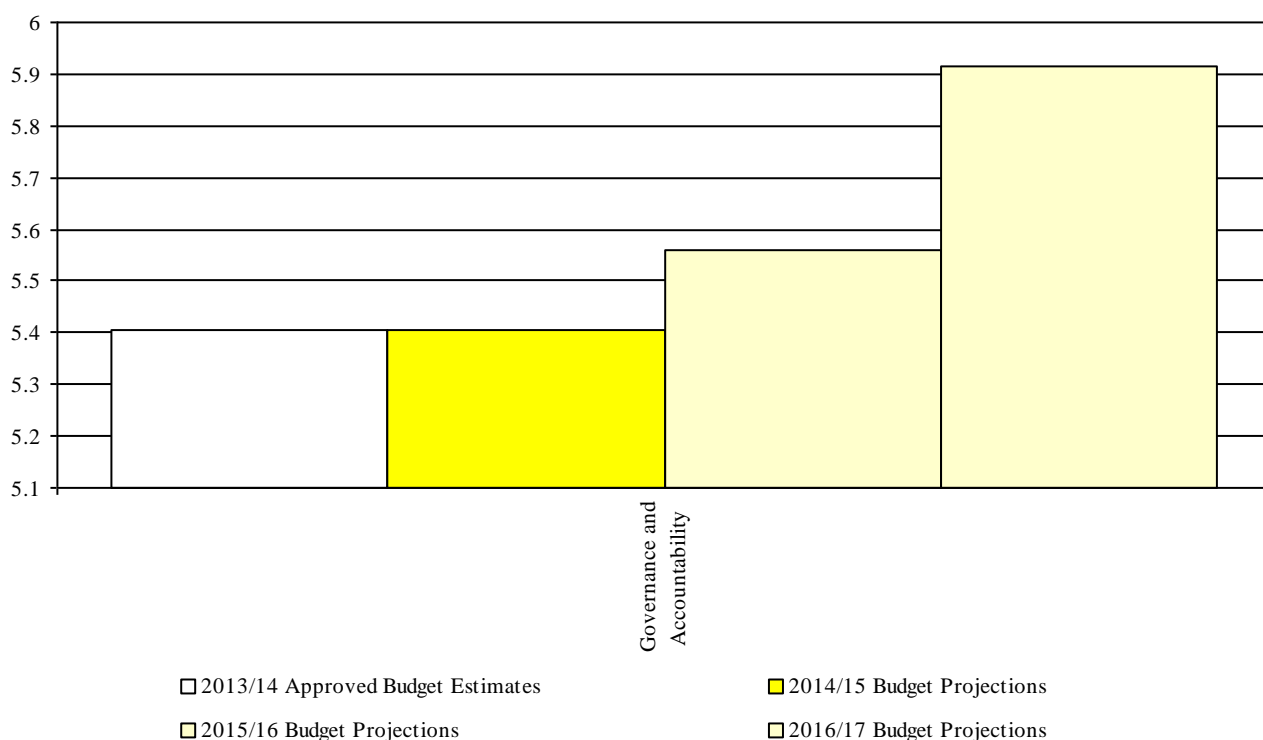
Table V1.1: Overview of Vote Expenditures (UShs Billion)

(i) Excluding Arrears, Taxes	2012/13 Outturn	2013/14		MTEF Budget Projections		
		Approved Budget	Spent by End Dec	2014/15	2015/16	2016/17
Recurrent Wage	0.294	0.551	0.173	0.551	0.551	0.704
Recurrent Non Wage	3.485	3.642	1.812	3.642	3.988	4.148
Development GoU	0.141	1.211	0.489	1.211	1.022	1.063
Development Ext. Fin	0.000	0.000	0.000	0.000	0.000	0.000
GoU Total	3.920	5.404	2.474	5.404	5.561	5.914
total GoU + Ext Fin. (MTEF)	3.920	5.404	2.474	5.404	5.561	5.914
(ii) Arrears and Taxes Arrears	0.000	0.000	0.000	0.000	N/A	N/A
(ii) Arrears and Taxes Taxes	0.000	0.000	0.000	0.000	N/A	N/A
Total Budget	3.920	5.404	2.474	5.404	N/A	N/A

** Non VAT taxes on capital expenditure

The chart below shows total funding allocations to the Vote by Vote Function over the medium term:

Chart V1.1: Medium Term Budget Projections by Vote Function (UShs Bn, Excluding Taxes, Arrears)



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(ii) Vote Mission Statement

The Vote's Mission Statement is:

To Coordinate National efforts against corruption and empower the Ugandan society to uphold moral values and principles.

(iii) Vote Outputs which Contribute to Priority Sector Outcomes

The table below sets out the vote functions and outputs delivered by the vote which the sector considers as contributing most to priority sector outcomes.

Table V1.2: Sector Outcomes, Vote Functions and Key Outputs

Sector Outcome 1:	Sector Outcome 2:	Sector Outcome 3:
<i>Efficient service delivery through formulation and monitoring of credible budgets.</i>	<i>Compliance to accountability policies, service delivery standards and regulations.</i>	<i>Accountability Sector's contribution to economic growth and development enhanced</i>
Vote Function: 14 52 Governance and Accountability		
<i>Outputs Contributing to Outcome 1:</i>	<i>Outputs Contributing to Outcome 2:</i>	<i>Outputs Contributing to Outcome 3:</i>
None	<i>Outputs Provided</i> 145201 Formulation and monitoring of Policies, laws and strategies 145202 Public education and awareness 145204 National Anti Corruption Strategy Coordinated	None

V2: Past Vote Performance and Medium Term Plans

This section describes past and future vote performance, in terms of key vote outputs and plans to address sector policy implementation issues.

(i) Past and Future Planned Vote Outputs

2012/13 Performance

1. Dissemination of the NACS in Eastern and Karamoja regions of Uganda.

The Directorate for Ethics and Integrity is the coordinator of all Government efforts to fight corruption and rebuilding of Ethics and Integrity in Uganda. In the period July 2011 – February 2012, the Directorate for Ethics and Integrity disseminated the National Anti-Corruption Strategy (NACS) to seven Local Governments in Eastern Uganda and the Karamoja region namely: Kaabong District, Moroto District, Napak District, Nakapiripirit District, Amudat District, Amuria District and Bulambuli District.

The NACS is a five year overarching planning framework and agenda to achieve a Public Service that appreciates and embraces integrity; accepts the need for transparency and accountability; and ensures full compliance with anti corruption regulatory and legal requirements to effective and efficient delivery of services. The NACS sets a sector wide institutional framework under the umbrella of the Inter Agency Forum to provide a coordination mechanism for all anti corruption agencies.

2. Monitored the Implementation of the National Anti-Corruption Strategy as well as assessing the effectiveness of anti corruption strategies at Local government level.

Under the auspices of the Inter Agency forum (IAF) the Directorate for Ethics and Integrity coordinated and facilitated a joint IAF exercise in which the Hon. MSEI together with other heads of IAF institutions conducted regional inspections to assess the effectiveness of anti corruption measures in the Karamoja region particularly the districts of Kaabong, Amudat, Nakapiripirit, Moroto and Napak among others.

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This exercise was aimed at evaluating progress, extent and quality of implementation of NACS and document progress against the strategic objective of increasing public demand for accountability.

3. Establishment of five District Integrity Promotion Forums:

In its efforts to improve transparency and accountability at Local Government level, the Directorate for Ethics and Integrity coordinated efforts that led to the formation of five District Integrity Promotion Forums in Kaabong District, Bulambuli District, Amuria District, Moroto District and Nakapiripirit District. Like the Inter Agency Forum (IAF) at the Center, the Integrity Forum is a coordination mechanism for promoting ethical conduct, integrity and accountability in local governments.

4. Integration of ethical values in the primary school curriculum:

In its efforts to rebuild ethics and integrity in schools, the Directorate for Ethics and Integrity in consultation with the National curriculum Development Center has revised the primary school Curriculum with a view of integrating ethical values in school. During this financial year, the Directorate conducted trainings for Tutors of Primary Teachers Colleges in Western Uganda (Bwera PTC, Bundibugyo PTC and Canon Apollo PTC) to enhance their skills of integrating ethical values in Primary Teacher Training Programs.

5. Anti corruption laws popularized.

In September 2011, the Directorate for Ethics and Integrity developed and successfully pre-tested the Simplified versions of three key anti corruption laws in Soroti namely:

- The Whistleblower Protection Act 2010
- The Anti Corruption Act 2009
- The Access to Information Act 2005.

The Directorate has already incorporated into the popular versions the views raised by the pre-test group. The final popular version is ready for printing and dissemination.

6. Amendment of the Leadership Code Act

In the period under review, the Directorate for Ethics and Integrity also made amendments to the Leadership Code Act 2002 to among others establish the Leadership Code Tribunal in line with Article 235A of the Constitution. The Tribunal is intended to enhance the enforcement of the Code. The Principles for the Leadership Code Amendment were submitted to Cabinet.

7. Tabled the Anti Pornography Bill before Parliament

The Directorate for Ethics and Integrity has developed and obtained a certificate of financial implications for the Anti-pornography Bill. Presently, the Bill has been tabled before Parliament for the first reading and is currently being scrutinized by the Committee on Gender and Social Services. It is hoped that with the new Anti Pornography Act in force, Uganda will be in position to check some of the harmful effects that are associated with unregulated vending, promotion as well as consumption of pornographic material.

8. Secretariat and coordination function provided for the IAF

As the coordinating agency for the IAF, the Directorate for Ethics and Integrity was able to facilitate and manage three high level meetings of the Heads of the IAF institutions. As a result, synergies are increasingly being built amongst the members of the IAF and key decisions regarding the management of

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cases especially trans nationally organized crime / corruption have been taken.

9. Completed the Review of the UNCAC and report published:

Each State party to the United Nations Convention against Corruption is required to undertake self assessment on the implementation of the convention. It was also agreed that Countries peer review each other as to learn from each other and share best practices

In the period July 2011 to February 2012, the Directorate for Ethics and Integrity completed the self assessment review of Uganda's efforts to implement the United Nations Convention Against Corruption (UNCAC). Uganda was peer reviewed by experts from Ghana and Romania and submitted her report to UNODC. The Uganda's report (one of the few from the African continent) can be accessed at www.unodc.org.

Preliminary 2013/14 Performance

1. Conducted training to integrate ethical values in 2 PTCs (Arua and Lodonga Core PTCs)
 2. Conducted training to build capacity of 6 District Integrity Promotion Forum (Masaka and Rakai)
 3. Conducted sensitization seminars for 13 schools in Kampala district on the danger of drug abuse and immorality.
 4. Developed IEC materials for the dissemination of National ethical values Policy
 5. Conducted a consultative meeting on training manual and resource book for law enforcement agencies.
 6. Disseminated anti corruption laws in Mukono, Buvuma Island, Buikwe and Kayunga districts.
 7. Initiated legislation for Anti Corruption Court.
 8. Reviewed the Zero Tolerance to Corruption Policy.
 9. Reviewed the Qui Tam law principles.
 10. Developed Citizens's Handbook on anti corruption.
- Handled whistleblowers cases.
11. Printed 100 copies of the National Ethical Values Policy
 12. Printed 500 copies of the Simplified version of the Anti Corruption laws
 13. Conducted 4 workshops to coordinate anti corruption efforts (roll IAF) in local governments.
 14. Printed IEC materials
 15. Conducted 2 IAF sub working Group meetings (PEC sub working Group)

Table V2.1: Past and 2014/15 Key Vote Outputs*

<i>Vote, Vote Function Key Output</i>	Approved Budget and Planned outputs	2013/14 Spending and Outputs Achieved by End Dec		2014/15 Proposed Budget and Planned Outputs	
Vote: 112 Ethics and Integrity					
Vote Function: 1452 Governance and Accountability					
Output: 145201	Formulation and monitoring of Policies, laws and strategies				
<i>Description of Outputs:</i>		2		Four functional IAF working Groups, namely; Legal Task Force, NACS Technical working Group, ACPPP Task Force and Communication working Group functional	
<i>Performance Indicators:</i>					
No. of functional IAF working groups		2		4	
<i>Output Cost: US\$ Bn:</i>	0.864	<i>US\$ Bn:</i>	0.119	<i>US\$ Bn:</i>	0.935
Output: 145202	Public education and awareness				
<i>Description of Outputs:</i>	10 district integrity promotion forums established and their	established 6 district integrity forums		20 district integrity promotion forums established and their	

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<i>Vote, Vote Function Key Output</i>	Approved Budget and Planned outputs	2013/14 Spending and Outputs Achieved by End Dec	2014/15 Proposed Budget and Planned Outputs
	capacity enhanced		capacity enhanced
	<i>Output Cost: US\$ Bn:</i> 0.633	<i>US\$ Bn:</i> 0.130	<i>US\$ Bn:</i> 0.997
Output: 145204	National Anti Corruption Strategy Coordinated		
<i>Description of Outputs:</i>	National Anti Corruption Strategy (NACS) disseminated to 20 districts	started the consultative process for the new NACS in four districts	National Anti Corruption Strategy (NACS) disseminated to 40 districts
	<i>Output Cost: US\$ Bn:</i> 0.963	<i>US\$ Bn:</i> 0.163	<i>US\$ Bn:</i> 0.274
Vote Function Cost	<i>US\$ Bn:</i> 5.404	<i>US\$ Bn:</i> 2.474	<i>US\$ Bn:</i> 5.404
Cost of Vote Services:	<i>US\$ Bn:</i> 5.404	<i>US\$ Bn:</i> 2.474	<i>US\$ Bn:</i> 5.404

* Excluding Taxes and Arrears

2014/15 Planned Outputs

1. Review of Leadership Code Act completed
2. Asset Recovery Legislation developed.
3. Annual self assessment of compliance with Regional and International anti Corruption Legal Instruments undertaken.
4. Popular Version of anti-corruption laws disseminated to 12 districts.
5. Implementation reports of Commissions of Inquiry into corruption reviewed.
6. Approved National ethical values disseminated to 840 key stakeholders (District leaders, Teachers, Cultural leaders, CSO partners, Academicians, Opinion leaders and special interest groups) in 16 sub regions of Uganda.
7. Integration and implementation of Ethical values effectively enhanced in 6 PTCs.
8. Accountability and effective leadership enhanced in Local governments
9. Support to implementation of codes of conduct among professional bodies provided.
10. Coordination of ACPMP strengthened.
11. National anti corruption efforts coordinated.
12. Human resources effectively managed.
13. Sufficient financial resources mobilized, managed and accounted for.
14. Public Procurement and Public disposal functions effectively managed.
15. DEI IEC strategy effectively managed.

Table V2.2: Past and Medium Term Key Vote Output Indicators*

<i>Vote Function Key Output Indicators and Costs:</i>	2012/13 Outturn	2013/14		MTEF Projections		
		Approved Plan	Outturn by End Dec	2014/15	2015/16	2016/17
Vote: 112 Ethics and Integrity						
Vote Function: 1452 Governance and Accountability						
No. of functional IAF working groups			2	4	4	5
Vote Function Cost (US\$ bn)	3.920	5.404	2.474	5.404	5.561	5.914
Cost of Vote Services (US\$ Bn)	3.920	5.404	2.474	5.404	5.561	5.914

Medium Term Plans

The following are the key priorities for vote 112 over the Medium term:

1. Complete the review of staff establishment and embark on recruitment of more staff to fill all vacant positions.
2. Continue strengthening the anti corruption legal framework by developing new legislation

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3. Continue with the dissemination of National Strategy to fight corruption and the popularized versions of the new anti corruption legislations to Ministries, Departments, Agencies, Local government and the public.

4. Continue monitoring the progress of the implementation of the National Anti Corruption Strategy

5. Continue strengthening the capacity of the District Integrity Forums and CSOs to enable them effectively participate in the fight against corruption

(ii) Efficiency of Vote Budget Allocations

Significant budget allocations have been made to key services delivery outputs and programs in comparison with administrative services. Key cost drivers under administration include rent.

Table V2.3: Allocations to Key Sector and Service Delivery Outputs over the Medium Term

Billion Uganda Shillings	(i) Allocation (Shs Bn)				(ii) % Vote Budget			
	2013/14	2014/15	2015/16	2016/17	2013/14	2014/15	2015/16	2016/17
Key Sector	2.5	2.2	3.3	3.3	45.5%	40.8%	58.5%	55.4%
Service Delivery	1.6	1.3	2.4	2.5	29.5%	23.5%	43.9%	41.6%

Table V2.4: Key Unit Costs of Services Provided and Services Funded (Shs '000)

(iii) Vote Investment Plans

N/A

Table V2.5: Allocations to Capital Investment over the Medium Term

Billion Uganda Shillings	(i) Allocation (Shs Bn)				(ii) % Vote Budget			
	2013/14	2014/15	2015/16	2016/17	2013/14	2014/15	2015/16	2016/17
Consumption Expenditure(Outputs Provided)	4.2	4.2	5.1	5.4	77.6%	77.6%	91.3%	91.8%
Investment (Capital Purchases)	1.2	1.2	0.5	0.5	22.4%	22.4%	8.7%	8.2%
Grand Total	5.4	5.4	5.6	5.9	100.0%	100.0%	100.0%	100.0%

N/A

Table V2.6: Major Capital Investments

Project, Programme Vote Function Output <i>US\$ Thousand</i>	2013/14		2014/15	
	Approved Budget, Planned Outputs (Quantity and Location)	Actual Expenditure and Outputs by September (Quantity and Location)	Proposed Budget, Planned Outputs (Quantity and Location)	
Project 1226 Support to Directorate of Ethics and Integrity				
145271 Acquisition of Land by Government			acquisition of land for construction of office accomodation	
Total	0	0		1,000,000
<i>GoU Development</i>	<i>0</i>	<i>0</i>		<i>1,000,000</i>
<i>External Financing</i>	<i>0</i>	<i>0</i>		<i>0</i>

(iv) Vote Actions to improve Priority Sector Outcomes

Two key policy and strategic actions to address vote function performance in 2014/15 are: a) The development and launched of the National Ethical Values policy which is aimed at championing the proactive approach in the fight against corruption. Especially, this policy is meant to respond to the escalating moral decadence in the society leading to public apathy and glorification of the corrupt. B) the development of the 4th cycle of the National Anti corruption strategy (NACS) which is the national plan and framework that guide sectors anti corruption action over the next five years. In addition to the two policies, all approved vacant positions will be advertised and filled to strengthened the human resource capacity.

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Table V2.7: Priority Vote Actions to Improve Sector Performance

2013/14 Planned Actions:	2013/14 Actions by Sept:	2014/15 Planned Actions:	MT Strategy:
Sector Outcome 2: Compliance to accountability policies, service delivery standards and regulations.			
Vote Function: 14 52 Governance and Accountability			
<i>VF Performance Issue: Inadequate human and financial resources to monitor the implementation of anti corruption policies and strategies.</i>			
Train 6 staff to enhance their capacity to effectively implement National anti corruption Strategy.	4 staff completed training in Project Planning and Management, and Administration and Financial Management	Advertise and fill all approved 40% vacant positions	Filling all DEI vacant staff establishment and develop appropriate framework for monitoring the implementation of NACS
<i>VF Performance Issue: Inadequate public participation and Involvement in promotion of ethical behaviour.</i>			
20 new non state organisations was brought on board to Strengthen the Public Private partnership to mobilise the public to demand for service delivery. Strengthen more Integrity promotion forums to provide a platform for the public to dialogue on account	brought 2 NGOs on board (Family Network and Rising Sun).	Build capacity of the 20 non state actors and 20 integrity promotion forums to effectively mobilise the public to demand for service delivery.	Functional district integrity promotion forum and active CSOs participation.
<i>VF Performance Issue: Need for an effective framework to enable IAF Institutions to work as a unit with the objective of attaining national accountability goals.</i>			
Conduct joint Monitoring with sector institutions mandated to undertake monitoring of service delivery.	No Joint monitoring done for this quarter	Joint Monitoring with sector institutions mandated to undertake monitoring conducted.	full Implementation of the NACS 2013 -18 (creating national ownership)

V3 Proposed Budget Allocations for 2014/15 and the Medium Term

This section sets out the proposed vote budget allocations for 2014/15 and the medium term, including major areas of expenditures and any notable changes in allocations.

Table V3.1: Past Outturns and Medium Term Projections by Vote Function*

	2012/13 Outturn	2013/14		MTEF Budget Projections		
		Appr. Budget	Spent by End Sept	2014/15	2015/16	2016/17
Vote: 112 Ethics and Integrity						
1452 Governance and Accountability	3.920	5.404	0.792	5.404	5.561	5.914
Total for Vote:	3.920	5.404	0.792	5.404	5.561	5.914

(i) The Total Budget over the Medium Term

The total resource envelope for vote 112 is 5,510 billion in F/Y 2014/15

(ii) The major expenditure allocations in the Vote for 2014/15

The total allocation for Vote 112 during the F/Y 2014/15 will be 5.510 billion. Of this amount, wages = 0.550, non wage recurrent = 3.642, Domestic Development = .211 billion, Programme 01 - Finance and administration will take 2.261 billion (wages = 0.301 and non wage = 1.960). Programme 02 - Ethics Education will receive .994 (wages are 0.103 and non wage recurrent = 0.894). Programme 03 - Legal Services will receive 0.872 (wages = 0.136 and non wage recurrent = 0.738). Programme 04 - Internal audit will receive 0.011 (wages = 0.011 and non wage recurrent = 0.05)

(iii) The major planned changes in resource allocations within the Vote for 2014/15

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There is no major planned changes in the resource allocations in F/Y 2014/15

Table V3.2: Key Changes in Vote Resource Allocation

Changes in Budget Allocations and Outputs from 2013/14 Planned Levels:				Justification for proposed Changes in Expenditure and Outputs
2014/15	2015/16	2016/17		
<i>Vote Function: 1402 Governance and Accountability</i>				
Output: 1452 02 Public education and awareness				
US\$ Bn:	0.364	US\$ Bn: 1.007	US\$ Bn: 1.028	0.344 allocation for dissemination of NACS was transferred to Ethics Education as the programme core mandate is creation of public awareness and education.
Output: 1452 04 National Anti Corruption Strategy Coordinated				
US\$ Bn:	-0.689	US\$ Bn: -0.163	US\$ Bn: -0.163	0.689 was transferred to Ethics Education for effective dissemination of NACS.
Output: 1452 71 Acquisition of Land by Government				
US\$ Bn:	1.000	US\$ Bn: 0.000	US\$ Bn: 0.000	1.000 was allocated for acquisition of land to enable the vote to build own office accommodation.
acquisition of a permanent home for the Directorate will reduce on the inconvenience of shortage of office space and save on rent in the long time.				
Output: 1452 76 Purchase of Office and ICT Equipment, including Software				
US\$ Bn:	-0.300	US\$ Bn: 0.072	US\$ Bn: 0.072	There was -0.3 change in allocation for purchase of ICT and equipment because a percentage of this requirement was purchased in 2013/14
Output: 1452 78 Purchase of Office and Residential Furniture and Fittings				
US\$ Bn:	-0.599	US\$ Bn: -0.710	US\$ Bn: -0.710	There was a drop of 0.599 in allocation under this vote function because a good number of furniture were purchased in 2013/14

V4: Vote Challenges for 2014/15 and the Medium Term

This section sets out the major challenges the vote faces in 2014/15 and the medium term which the vote has been unable to address in its spending plans.

The key unfunded priorities for Vote 112 in F/Y 2014/15 are: a) Acquisition of land and construction of office accommodation. B) establishment of the Leadership Code Tribunal.

Table V4.1: Additional Output Funding Requests

Additional Requirements for Funding and Outputs in 2014/15:	Justification of Requirement for Additional Outputs and Funding
<i>Vote Function: 14 Governance and Accountability</i>	
Output: 1452	
US\$ Bn: 0.000	The unfunded outputs for 2013/14 is the establishment of the Leadership Code Tribunal estimated at the cost of 2.6 bn UG Shs. This output is intended to enforce the values of integrity and proper conduct in the leadership of Uganda, values which are critical in the pursuit of development, democracy, good governance and the promotion of rule of law. There is urgent need to establish a Leadership Code Tribunal in order to effectively enforce the the Leadership Code Act in line with Constitutional provisions as amended.
Output: 1452 01 Formulation and monitoring of Policies, laws and strategies	

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Additional Requirements for Funding and Outputs in 2014/15:	Justification of Requirement for Additional Outputs and Funding
UShs Bn:	
Output: 1452 02 Public education and awareness	
UShs Bn:	
Output: 1452 04 National Anti Corruption Strategy Coordinated	
UShs Bn:	<i>The National Anti Corruption Strategy (NACS) is the government overarching framework and policy for combating corruption and promoting accountability. Vote 112 is the national centre responsible for the coordination of its implementation. The 4th cycle of NACS now covers the public and private institutions and therefore the responsibility of monitoring the performance of the NACS is immense. NACS contribute to the NDP and sector objectives under promotion of good governance and accountability theme. It is therefore important that more resources are allocated to Vote 112 to ensure effective implementation of this very important national strategy.</i>
Output: 1452 05 DEI Support Services	
UShs Bn:	

This section discusses how the vote's plans will address and respond to the cross-cutting policy, issues of gender and equity; HIV/AIDS; and the Environment, and other budgetary issues such as Arrears and NTR..

(i) Cross-cutting Policy Issues

(i) Gender and Equity

The Vote function of Governance and Accountability, under programme 01 - Finance and Administration will undertake activities to address Gender and Equity issues as planned and budgeted for under item of staff training.

(ii) HIV/AIDS

The Vote function of Governance and Accountability, under programme 01 - Finance and Administration will embark on activities to address HIV/AIDS issues as planned and budgeted for under items of Welfare and entertainment, and staff training.

(iii) Environment

The Directorate shall continue to advocate for ethical and moral behaviour that shall enable environmental sanitation and preservation

(ii) Payment Arrears

The table below shows all the payment arrears outstanding for the Vote:

Payee	Payment Due Date	Amount (UShs Bn)
water		0.00
NSSF(rent)	01/12/2011	0.42
Electricity	12/12/2011	0.06
	Total:	0.476

(ii) Non Tax Revenue Collections

The table below shows Non-Tax Revenues that will be collected under the Vote: