

Vote: 108 National Planning Authority

Vote Summary

VI: Vote Overview

This section sets out the Vote Mission, Strategic Objectives, and provides a description of the vote's services

(i) Snapshot of Medium Term Budget Allocations

Table V1 below summarises the Medium Term Budget allocations for the Vote:

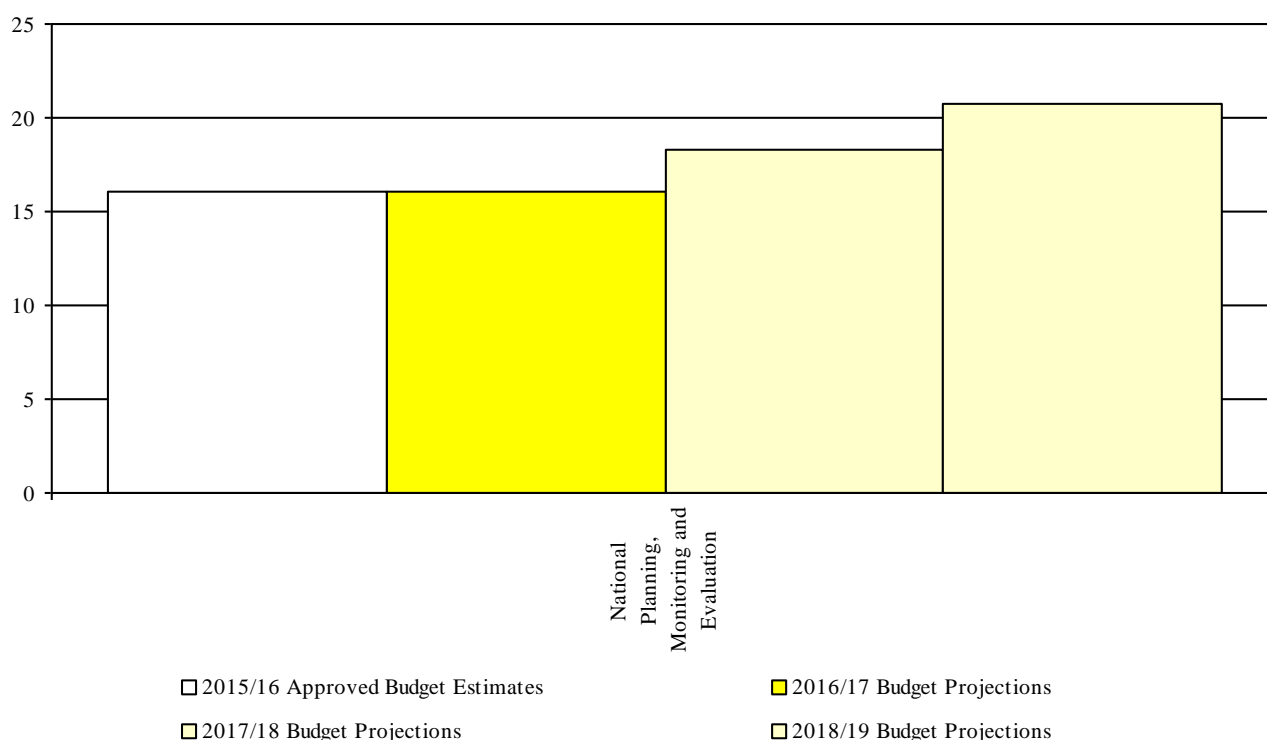
Table V1.1: Overview of Vote Expenditures (UShs Billion)

		2014/15 Outturn	2015/16		MTEF Budget Projections		
			Approved Budget	Spent by End Sept	2016/17	2017/18	2018/19
(i) Excluding Arrears, Taxes	Recurrent Wage	4.318	5.755	0.252	5.755	6.043	6.345
	Recurrent Non Wage	5.277	9.944	2.299	9.944	11.833	13.963
Development	GoU	0.405	0.405	0.101	0.405	0.405	0.466
	Ext. Fin	0.000	0.000	0.000	0.000	0.000	0.000
	GoU Total	10.000	16.105	2.652	16.105	18.282	20.775
	total GoU + Ext Fin. (MTEF)	10.000	16.105	2.652	16.105	18.282	20.775
(ii) Arrears and Taxes	Arrears	0.000	0.000	0.000	0.000	N/A	N/A
	Taxes	0.000	0.000	0.000	0.000	N/A	N/A
	Total Budget	10.000	16.105	2.652	16.105	N/A	N/A

** Non VAT taxes on capital expenditure

The chart below shows total funding allocations to the Vote by Vote Function over the medium term:

Chart V1.1: Medium Term Budget Projections by Vote Function (UShs Bn, Excluding Taxes, Arrears)



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(ii) Vote Mission Statement

The Vote's Mission Statement is:

To produce comprehensive and integrated national development plans for Uganda

(iii) Vote Outputs which Contribute to Priority Sector Outcomes

The table below sets out the vote functions and outputs delivered by the vote which the sector considers as contributing most to priority sector outcomes.

Table V1.2: Sector Outcomes, Vote Functions and Key Outputs

Sector Outcome 1:	Sector Outcome 2:	Sector Outcome 3:
<i>Harmonized government policy formulation and implementation at central and local government level.</i>	<i>Improved institutional and human resource management at central and local government level.</i>	<i>Coordinated monitoring and evaluation of policies and programmes at central and local government level</i>
Vote Function: 13 51 National Planning, Monitoring and Evaluation		
Outputs Contributing to Outcome 1:	Outputs Contributing to Outcome 2:	Outputs Contributing to Outcome 3:
None	None	<i>Outputs Provided</i> 135101 Production of National Development Planning framework and systems 135102 Policy Analysis, Monitoring and Evaluation 135103 Strengthening Planning capacity at National and LG Levels

V2: Past Vote Performance and Medium Term Plans

This section describes past and future vote performance, in terms of key vote outputs and plans to address sector policy implementation issues.

(i) Past and Future Planned Vote Outputs

2014/15 Performance

- Finalized the formulation of Second NDPII. The Plan was launched on 11th June 2015
- Issued the first certificate of compliance of the Annual budget FY 2014/15
- Developed planning Sector Development Planning guidelines, including guidelines for cross cutting issues (Human Rights, Gender, among others)
- Produced the Annual development report for FY2013/14
- Developed 4 Presidential Economic Council (PEC) papers
- Produce the 4th APRM POA Progress Report. The Report was presented at the AU Summit by H.E. the President

Preliminary 2015/16 Performance

- Developed Concept Note for National Human Resource Development Framework
- Developed the background paper for the National Human Resource Development Framework
- Developed TORs for the production of NDPII Popular Version
- Developed a draft Concept Note for preparation of Statutory Instrument for Decentralized Planning
- Developed Concept Note and Assessment Framework for Certificate of Compliance to the Budget for FY2015/16
- Trained 75 Local Governments on the use and application of the Planning Guidelines
- Finalized the development of the Sector Development Planning Guidelines

Table V2.1: Past and 2016/17 Key Vote Outputs*

Vote, Vote Function Key Output	Approved Budget and Planned outputs	2015/16 Spending and Outputs Achieved by End Sept	2016/17 Proposed Budget and Planned Outputs
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<i>Vote, Vote Function Key Output</i>	Approved Budget and Planned outputs	2015/16 Spending and Outputs Achieved by End Sept	2016/17 Proposed Budget and Planned Outputs
Vote Function: 1351 National Planning, Monitoring and Evaluation			
Output: 135101	Functional Planning Systems and Frameworks/Plans		
<i>Description of Outputs:</i>	a.National Human Resource Development Framework b.The Second National Development Plan (2015/16-2019/20) and Uganda Vision 2040 disseminated c.NDP11 Popular Version d.Guidelines for integration of Physical and Spatial Planning in development plans (LGDPs and SDPs) e. Regulations for decentralized planning g.Certificate of Compliance for the FY2015/16 Budget h.Alignment of Local Governments Development Plans (LGDPs) and Sector Development Plans (SDPs) and Budgets to the NDP	-Developed Concept Note for National Human Resource Development Framework -Developed the background paper for the National Human Resource Development Framework -Developed TORs for the production of NDP11 Popular Version -Developed a draft Concept Note for preparation of Statutory Instrument for Decentralized Planning -Developed Concept Note and Assessment Framework for Certificate of Compliance to the Budget for FY2015/16 -Trained 75 Local Governments on the use and application of the Planning Guidelines -Finalized the development of the Sector Development Planning Guidelines	-10-Year Perspective Plan (2020/21-2030/31) Development process initiated -Process for development of the Human Resource Development Plan initiated -National Spatial Data Infrastructure (NSDI) developed and functional -Regulations for Decentralized planning produced -The Third (FY2015/16) Certificate of Compliance of the Annual Budget issued
<i>Performance Indicators:</i>			
No. of institutions (MDAs and LGs) supported in development planning	128	42	134
No. Of Major Planning Instruments finalised (5 & 10 Year NDP and 30 Year Development of information and communication instruments)	4	0	4
			Information and communication instruments developed
<i>Output Cost: US\$ Bn:</i>	4.483	<i>US\$ Bn:</i> 0.729	<i>US\$ Bn:</i> 4.544
Output: 135102	Functional Think Tank		
<i>Description of Outputs:</i>	a.The Fifth National Development Report (2014/15) b.NDP11 Baseline Survey Report c.National Development Plan (2010/11-2014/15) Evaluation Report d.Two (2) Policy Evaluation Reports (for Universal Primary Education and Decentralization Policy) e.Four (4) National Development Policy Forum Papers and Reports f.Six (6) Presidential Economic Council Papers/Reports	-Developed Concept Note for the production of the Annual Development Report FY2014/15- drafted some sections of the Report – performance of the economy of Uganda and higher level NDP1 Results for FY2014/15 -Developed Concept Note for NDP1 End Evaluation -Developed Concept Note for UPE Evaluation -Developed criteria for APRM Country Self-Assessment	-Sixth Annual National Development Report (NDR)- FY2015/16 produced -Functional NDP M&E system developed -Macroeconomic performance reports produced. -NDP Research Agenda developed -Policy Issues papers produced
<i>Performance Indicators:</i>			

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<i>Vote, Vote Function Key Output</i>	Approved Budget and Planned outputs	2015/16 Spending and Outputs Achieved by End Sept	2016/17 Proposed Budget and Planned Outputs
No. of PEC/researched papers produced	6	1	4
Production of the Annual National Development Reports			Annual National Development Reports FY2015/16
Production of Review reports			NDPII macroeconomic performance report 2015/16
Production of Policy evaluation reports			4 National Development Policy Forum (NDPF) Papers
<i>Output Cost: US\$ Bn:</i>	3.555	<i>US\$ Bn:</i> 0.205	<i>US\$ Bn:</i> 3.585
Output: 135103	Strengthening Planning capacity at National and LG Levels		
<i>Description of Outputs:</i>	a.National Capacity Building for Development Planning project document b.LGs and Sectors planning capacity enhanced	-Produced the Capacity Needs Assessment Report for National Capacity Building Project for integrated development planning -Trained 75 Local Governments on the use and application of the Planning Guidelines -Finalized the 4th APRM POA Progress Report (July 2011- June 2014)	Local Governments and Sector Planning Capacities developed
<i>Output Cost: US\$ Bn:</i>	0.600	<i>US\$ Bn:</i> 0.045	<i>US\$ Bn:</i> 0.272
Vote Function Cost	<i>US\$ Bn:</i> 16.105	<i>US\$ Bn:</i> 2.652	<i>US\$ Bn:</i> 16.105
Cost of Vote Services:	<i>US\$ Bn:</i> 16.105	<i>US\$ Bn:</i> 2.652	<i>US\$ Bn:</i> 16.105

* Excluding Taxes and Arrears

2016/17 Planned Outputs

- 10-Year Perspective Plan (2020/21-2030/31) Development process initiated
- Support implementation of the NDPII at Sectoral, MDA and LG levels through alignment SDPs and BFPs
- SDGs integrated in planning and implementation frameworks
- National Human Resource Planning Framework finalized and disseminated
- Process for development of the Human Resource Development Plan initiated
- National Spatial Data Infrastructure (NSDI) developed and functional
- Regulations for Decentralized planning finalized
- Budget Compliance Assessment Report produced and Third (FY2015/16) Certificate of Compliance of the Annual Budget issued
- The Sixth Annual National Development Report (NDR)- FY2015/16 produced
- Evidence based and innovative approaches to deliver NDPII provided- NDPII priority areas
- Functional NDP M&E system developed
- Macroeconomic performance reports produced.
- NDP Research Agenda developed
- Four (4) policy Issues papers produced-
- LG and Sector Planning and implementation capacity strengthened
- Regional, international and sub-regional partnerships strengthened
- SDGs localized/streamlined in the planning and implementation framework
- APRM effectively implemented and APRM Country Review Report produced
- Strengthening capacity of the Authority to deliver on its mandates

Table V2.2: Past and Medium Term Key Vote Output Indicators*

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Vote Function Key Output Indicators and Costs:	2014/15 Outturn	2015/16 Approved Plan	Outturn by End Sept	MTEF Projections		
				2016/17	2017/18	2018/19
Vote: 108 National Planning Authority						
Vote Function: 1351 National Planning, Monitoring and Evaluation						
Development of information and communication instruments				Information and communication instruments developed	Information and communication instruments developed	Information and communication instruments developed
No. Of Major Planning Instruments finalised (5 & 10 Year NDP and 30 Year Plan)		4	0	4	4	4
No. of institutions (MDAs and LGs) supported in development planning		128	42	134	134	134
No. of PEC/researched papers produced		6	1	4	4	4
Production of Policy evaluation reports				4 National Development Policy Forum (NDPF) Papers	4 National Development Policy Forum (NDPF) Papers	4 National Development Policy Forum (NDPF) Papers
Production of Review reports				NDPII macroeconomic performance report 2015/16	NDPII macroeconomic performance report 2015/16	NDPII macroeconomic performance report 2015/16
Production of the Annual National Development Reports				Annual National Development Reports FY2015/16	Annual National Development Reports FY2016/17	Annual National Development Reports FY2017/18
Vote Function Cost (US\$ bn)	10.000	16.105	2.652	16.105	18.282	20.775
Cost of Vote Services (US\$ Bn)	10.000	16.105	2.652	16.105	18.282	20.775

Medium Term Plans

- 1) Medium and long-term plans and frameworks produced
- 2) Functional Think Tank and National Planning Forum organized
- 3) Quality development plans for MDAs and LGs.
- 4) Harmonized development planning guidelines for MDAs and LGs.
- 5) Enhanced planning capacity at sector and local governments
- 6) National Development Reports developed
- 7) National Spatial Data Infrastructure developed

(ii) Efficiency of Vote Budget Allocations

The provisions in the Public Finance and Management Act 2015 and other regulations shall be followed in the delivery of all planned outputs during the year and in the medium term. Most of the outputs are focusing on the mandates and functions of the National Planning Authority as prescribed in the NPA Act, 15 of 2015

Table V2.3: Allocations to Key Sector and Service Delivery Outputs over the Medium Term

Billion Uganda Shillings	(i) Allocation (Shs Bn)				(ii) % Vote Budget			
	2015/16	2016/17	2017/18	2018/19	2015/16	2016/17	2017/18	2018/19
Key Sector	8.6	8.4	8.1		53.6%	52.2%	48.0%	0.0%

The costs of outputs are informed by the prevailing market prices, including taxes

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Table V2.4: Key Unit Costs of Services Provided and Services Funded (Shs '000)

Unit Cost Description	Actual 2014/15	Planned 2015/16	Actual by Sept	Proposed 2016/17	Costing Assumptions and Reasons for any Changes and Variations from Plan
<i>Vote Function: 1351 National Planning, Monitoring and Evaluation</i>					
Second National Development Plan Popular Version (2015/16-2019/20)					
Production and printing of Second National Development Plan Popular Version (2015/16-2019/20)		0			All funds are released as budgeted.
Production and printing of National Capacity Building Project for Development Planning document		0			All funds are released as budgeted.
Production and printing of fifth National Development Report (NDR5)		0	0	0	All funds are released as budgeted.
Production and printing National Human Resource Development Framework		0	0	0	Planning documents like Vision 2040, drafts and final copies printed and disseminated
5,000.00					

(iii) Vote Investment Plans

Allocation to capital purchases has been meagre. Over the years, FY2016/17 inclusive, the allocation has stayed static at Ushs. 0.405 billion and this is projected to Ushs. 1.215 billion in the medium term. This is a drop compared to the required capital investment. In the medium term NPA needs a bigger office space to accommodate the growing number of staff and business

Table V2.5: Allocations to Capital Investment over the Medium Term

Billion Uganda Shillings	(i) Allocation (Shs Bn)				(ii) % Vote Budget			
	2015/16	2016/17	2017/18	2018/19	2015/16	2016/17	2017/18	2018/19
Consumption Expenditure (Outputs Provided)	15.7	15.7	16.4		97.5%	97.5%	97.3%	
Investment (Capital Purchases)	0.4	0.4	0.5		2.5%	2.5%	2.7%	
Grand Total	16.1	16.1	16.8		100.0%	100.0%	100.0%	100.0%

NPA has been allocated Development Budget of Ushs. 0.405 billion. The fund will be used to procure 3 vehicles to support the operation of the Authority

Table V2.6: Major Capital Investments

(iv) Vote Actions to improve Priority Sector Outcomes

Continued strengthening of the Authority capacity to deliver on its mandates and functions through staff recruitment, training and development in the medium term

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Table V2.7: Priority Vote Actions to Improve Sector Performance

2015/16 Planned Actions:	2015/16 Actions by Sept:	2016/17 Planned Actions:	MT Strategy:
Sector Outcome 1: Harmonized government policy formulation and implementation at central and local government level.			
Vote Function: 13 51 National Planning, Monitoring and Evaluation			
<i>VF Performance Issue: Alignment of the Macroeconomic framework/MTEF to the NDP</i>			
Consensus building among Key Institutions on macroeconomic framework and MTEF	Key Institutions (UBOS, MFPEd, BOU and NPA) have continued to work together on macroeconomic framework and MTEF		- Single MTEF established in Government
Sector Outcome 3: Coordinated monitoring and evaluation of policies and programmes at central and local government level			
Vote Function: 13 51 National Planning, Monitoring and Evaluation			
<i>VF Performance Issue: Alignment of sectoral and LG plans and budgets to NDP priorities</i>			
Training of MDA and LGs Planners on the use of Planning Guidelines	75 LGs received training on the use of the LG Planning Guidelines		- Performance Based Budgeting (PBB) made fully operational
<i>VF Performance Issue: Harmonisation of Monitoring and evaluation mandates for effective implementation of NDP.</i>			
Mapping of Sector Outcomes and Outputs to the NDP Results Framework and Performance Based Budgeting Tool	Development of the NDPII M&E Results Framework finalized		- NDP M&E System development to be completed and made operational

V3 Proposed Budget Allocations for 2016/17 and the Medium Term

This section sets out the proposed vote budget allocations for 2016/17 and the medium term, including major areas of expenditures and any notable changes in allocations.

Table V3.1: Past Outturns and Medium Term Projections by Vote Function*

	2014/15 Outturn	2015/16		MTEF Budget Projections		
		Appr. Budget	Spent by End Sept	2016/17	2017/18	2018/19
Vote: 108 National Planning Authority						
1351 National Planning, Monitoring and Evaluation	10.000	16.105	2.652	16.105	18.282	20.775
Total for Vote:	10.000	16.105	2.652	16.105	18.282	20.775

(i) The Total Budget over the Medium Term

Ushs. 16.104 billion is the proposed allocation to NPA for the FY2016/17. Of which, the recurrent budget is Ushs. 15.699 billion. Thirty five per cent of the recurrent budget is proposed for wage. Over the medium term, i.e. 2016/17- 2018/19, allocation to NPA is projected at Ushs. 55.161 billion. Allocations for the outer 2 financial years (2017/18 and 2018/19) are estimated at Ushs.18.282 billion and Ushs. 20.775 billion, respectively for both recurrent and development budgets.

(ii) The major expenditure allocations in the Vote for 2016/17

The recurrent budget (Ushs. 15.699 billion) for FY2016/17 is composed of Ushs. 5.755 billion for wage and Ushs. 9.944 billion for non-wage and Ushs. 0.405 billion as development budget. The allocations as follows:

Wage: Ushs. 2.062 billion is allocated to the Vote Function Functional Planning Framework and Systems; Ushs. 1.627 billion to Functional Think Tank; Ushs. 0.233 billion to Coordination of Regional Initiatives; and Ushs. 1.833 billion to Finance and Administrative Support Services

Non-wage: Ushs. 2.752 billion is allocated to Vote Function Functional Planning Framework and Systems towards the production of a background paper on the development of a 10-Year Perspective Plan (2020/21-

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2030/31); supporting Sectors, MDAs and LGs on alignment of their Plans and BFPs to the NDPII, including the integration of SDGs in planning and implementation frameworks; finalizing and dissemination of the National Human Resource Planning Framework; initiating the process of developing Human Resource Development Plan and a functional National Spatial Data Infrastructure (NSDI); finalizing the production of the decentralized planning regulations; and production and issuance of the third (FY2015/16) Certificate of Compliance of the Annual Budget.

Ushs. 1.983 billion is allocated to the Vote Function: Functional Think Tank for production of the Sixth Annual National Development Report (NDR)- FY2015/16; researching on innovative approaches to deliver on the NDPII priority areas; development of a functional NDP M&E system ; and production of macroeconomic performance reports and Policy issues papers .

Ushs. 1.166 billion is allocated to the Vote Function: Coordination of Regional Initiatives for strengthening Regional, international and sub-regional partnerships; localizing SDGs, including effective implementation of APRM. During the financial year, the APRM Country Review Report will be produced. While, Ushs.

4.043 billion is allocated to the Vote Function: Finance and Administrative Support Services for Strengthening capacity of the Authority to deliver on its mandates.

On the development budget of Ushs. 0.405 billion, the Authority plans to procure field vehicles.

(iii) The major planned changes in resource allocations within the Vote for 2016/17

The main changes in the planned resource allocations from the previous financial years come about as a result of focusing on strengthening capacity of the Authority to deliver on its mandates. Over the years, the wage and non-wage allocations to NPA have continued to grow albeit the development budget has remained static. With the improvement in the staff level, there is also need to increase allocation of non-wage. This is to enable, full utilization of the available human resource .

Table V3.2: Key Changes in Vote Resource Allocation

Changes in Budget Allocations and Outputs from 2015/16 Planned Levels:			Justification for proposed Changes in Expenditure and Outputs
2016/17	2017/18	2018/19	
<i>Vote Function:1303 National Planning, Monitoring and Evaluation</i>			
Output: 1351 03 Strengthening Planning capacity at National and LG Levels			
<i>UShs Bn:</i> -0.329	<i>UShs Bn:</i> -0.265	<i>UShs Bn:</i> -0.600	<i>The National Capacity Building Project for development planning aimed to build capacity of public sector actors in delivering the NDPII</i>
The changes in allocation came down from the funding that was required for the preparation of the National Capacity Building Project for development planning, including designing/remodelling the Planning House	The changes in allocation came down from the funding that was required for the preparation of the National Capacity Building Project for development planning, including designing/remodelling the Planning House	The changes in allocation came down from the funding that was required for the preparation of the National Capacity Building Project for development planning, including designing/remodelling the Planning House	
Output: 1351 05 Finance and Administrative Support Services			
<i>UShs Bn:</i> 0.363	<i>UShs Bn:</i> 2.150	<i>UShs Bn:</i> -5.587	
Implementation of NPA Strategic Plan (2015/16-2019/20)	Implementation of NPA Strategic Plan (2015/16-2019/20)		
Output: 1351 75 Purchase of Motor Vehicles and Other Transport Equipment			
<i>UShs Bn:</i> 0.055	<i>UShs Bn:</i> 0.110	<i>UShs Bn:</i> -0.350	
NPA needs to gradually replace its fleet of old vehicles for field work			
Output: 1351 76 Purchase of Office and ICT Equipment, including Software			
<i>UShs Bn:</i> -0.035	<i>UShs Bn:</i> -0.035	<i>UShs Bn:</i> -0.035	
Output: 1351 78 Purchase of Office and Residential Furniture and Fittings			

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Changes in Budget Allocations and Outputs from 2015/16 Planned Levels:		2015/16 Planned Levels:		Justification for proposed Changes in Expenditure and Outputs
2016/17	2017/18	2017/18	2018/19	
US\$ Bn:	-0.020	US\$ Bn:	-0.020	US\$ Bn: -0.020

V4: Vote Challenges for 2016/17 and the Medium Term

This section sets out the major challenges the vote faces in 2016/17 and the medium term which the vote has been unable to address in its spending plans.

Un-funded/Unfunded priorities:

- Operationalizing Technical Secretariat for SDG tracking, analysis & reporting
- Supporting development of Sector Service and service delivery standards
- Integration of SDGs indicators into the NDPII Results Framework
- Implementation of the National Capacity Building Project for Integrated Development Planning
- APRM Trust Fund, including arrears for FY2015/16
- Integration of HRBA into Sector and Local government Development plans.
- End evaluation of the NDPI
- NDPI (2010/11 - 2014/15) evaluation

Table V4.1: Additional Output Funding Requests

Additional Requirements for Funding and Outputs in 2016/17:	Justification of Requirement for Additional Outputs and Funding
<i>Vote Function: 1301 National Planning, Monitoring and Evaluation</i>	
Output: 1351 01 Functional Planning Systems and Frameworks/Plans	
US\$ Bn: 29.000 developing an integrated NDPII M&E System, evaluation of Government policies and programmes; and development of Service and Service Delivery Standards for selected sectors	Building capacity of actors (Government and Non-State Actors) would be required for effective implementation, monitoring and evaluation of the NDPII
Output: 1351 02 Functional Think Tank	
US\$ Bn:	NDPII M&E Baseline information is important for costing the Plan and Evaluation, tracking progress of implementation of the Plan at various levels will depend on the M&E System put in place.
Output: 1351 03 Strengthening Planning capacity at National and LG Levels	
US\$ Bn:	Strengthening capacity of NPA to effectively fulfill its mandate requires filling critical positions in line with the staff establishment. In addition, the capacity of Planners is critical for the alignment of the annual budgets to the NDP and they also require skills for tracking progress against the NDP Targets
Output: 1351 04 Coordination of Global, Regional and Cross- Sectoral national Initiatives	
US\$ Bn:	None

This section discusses how the vote's plans will address and respond to the cross-cutting policy, issues of gender and equity; HIV/AIDS; and the Environment, and other budgetary issues such as Arrears and NTR..

(i) Cross-cutting Policy Issues

(i) Gender and Equity

(ii) HIV/AIDS

(iii) Environment

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(ii) Payment Arrears

The table below shows all the payment arrears outstanding for the Vote:

(ii) Non Tax Revenue Collections

The table below shows Non-Tax Revenues that will be collected under the Vote: