



LGPA 2017/18

Accountability Requirements

Amuru District

(Vote Code: 570)

Assessment	Compliant	%
Yes	3	50%
No	3	50%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Assessment area: Annual performance contract			
LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	xxx	The final Amuru district performance contract (form B) for FY2017/18 was submitted to MoFPED on 03rd July, 2017, contrary to 30th June, 2017 standard of the PFMAA and LG budget guidelines.	No
Assessment area: Supporting Documents for the Budget required as per the PFMA are submitted and available			
LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY (LG PPDA Regulations, 2006).	xxxxx	There was no evidence that Amuru district submitted a budget for FY2017/18, with a procurement plan attached as per LG PPDA regulations, 2006	No
Assessment area: Reporting: submission of annual and quarterly budget performance reports			
LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)	xxxxx	The annual performance report for FY2016/17 was submitted to MoFPED on 2nd August, 2017, by Oyo Samson (District Planner), which is contrary to 31st July 2017 standard as per LG budget preparation guidelines and PFMA Act,2015	No

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY; PFMA Act, 2015)</p>	<p>xxxxxx</p>	<p>All the 4 quarterly budget performance reports for FY2016/17 were submitted to MoFPED on the dates indicated below</p> <ul style="list-style-type: none"> • Quarter 4 submitted on 2nd Aug, 2017, by Oyo Samson • Quarter 3 submitted on 1st June, 2017, by Oyo Samson • Quarter 2 submitted on 17th Feb. 2017, by Oyo Samson • Quarter 1 submitted on 25th Nov, 2016, by Oyo Samson 	<p>Yes</p>
<p>Assessment area: Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General findings for the previous financial year by April 30 (PFMA s. 11 2g). This statement includes actions against all findings where the Auditor General recommended the Accounting Officer to take action (PFMA Act 2015; Local Governments Financial and Accounting Regulations 2007; The Local Governments Act, Cap 243).</p>	<p>xxxxx</p>	<ul style="list-style-type: none"> • A submission on the status of Internal Auditor General findings was made to the Internal Auditor General on 20/03/17 in a letter dated 17/03/17. • The submission was copied to the PS/ST, PS MoLG, CAO, RDC and LG PAC. The report also included a follow up on the issues reported in the OAG report. • The statement included actions against all Internal Audit General and Auditor General findings for the financial year 2015/16 	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer</p>	<p>xxxxx</p>	<p>Amuru DLG has an unqualified Audit opinion for its FY 2016/17 financial statements, with an emphasis of matter on 6 issues. ? Salary Arrears ? Low recovery of YLP Fund ? Unaccounted for funds ? Understaffing ? Absence of an Incinerator at Atiak HC IV ? Exceptions raised in the PPDA Audit Report</p>	<p>Yes</p>



LGPA 2017/18

Crosscutting Performance Measures

Amuru District

(Vote Code: 570)

Score 63/100 (63%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a municipality/district has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 2. 	2	<p>There was evidence that Amuru district has a functional physical planning committee as reflected in the minutes.</p> <ul style="list-style-type: none"> • Minutes of the 7th Amuru district PPC meeting held on 20th April, 2016, discussed among others approval of building plans, approval of change of use of applications and discussing plans submitted from Pabbo sub county • Minutes of the 8th Amuru district PPC committee held on 16th November, 2016 discussed application for change of use and application for approval of building plans • Minutes of 9th Amuru district PPC meeting held on 24th march, 2017 discussed presentations of building plans, petrol stations locations in Elegu and Town council.
		<ul style="list-style-type: none"> • All new infrastructure investments have approved plans which are consistent with the Physical Plans: score 2. 	2	<p>There was evidence from the plans registration book that new investments have approved plans and copies of some of the plans were kept by the physical planning officer, the issue of consistency with the physical plans could not be ascertained since the district does not have a physical plan at the moment like most districts in Uganda.</p>
2	<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	2	<p>There was evidence that priorities in AWP for FY2017/18 were based on the outcome of the budget conference held on December 2016 at multipurpose hall at Amuru district.</p> <p>The budget conference had sectoral reviews of FY2016/17 in terms of sectoral challenges and achievements which formed a basis for FY2017/18 priorities. The report of the budget conference outlines sectoral priorities in the presentations attached to the report.</p>

• Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2.

0

There was no evidence that the capital investments in the approved AWP for FY2017/18 were derived from the 5 year development plan. The DDP11 is still draft with most key departments such as health, roads and engineering, partly education, water sub sector and community based services 5 year annualised work plans in the DDP11 left empty, so one cannot trace what is planned for the 5 different years.

For FY2017/18 has the projects below, which are not reflected in the DDP11.

- Drilling and Installation of 12 deep wells in Atiak and Lamogi sub counties, Rehabilitation of 9 boreholes, Construction of 1 block of 4 stances drainable latrine at Atoro
- Low cost sealing of Elegu market street (0.5km) road in Atiak sub county Construction of 1 produce store at Atiak sub county, construction of market stalls at Amuru Kal market, Construction of 1 slaughter slab, and 1 cattle crush at Amuru sub county and Construction of 1block of water borne toilet, bathing shelter and change rooms at Pabbo auction site in Gaya parish, Construction of 1 slaughter slab in Iacor trading centre.
- Construction of 2 unit staff houses at Odokonyero HC11,
- Completion of sub county administration block with office space and hall at Atiak sub county
- Completion of education and sports office block at the district headquarters
- Construction of 1 block of 5 stances drainable latrine at Paminlalwak P/S, and 2 blocks of 3 stances at Okunggedi P/Ss , Rehabilitation of 1 block of 4 classrooms at Tekibur P/S
- Construction of community access road from Layima-Ogali –Apa Amuru sub county
- Construction of staff houses at Apowegi and Lamogi P/S
- Construction of multipurpose community hall at Amuru sub county
- Completion of mutema HC11
- Construction of 1 block of 5 stances and 4 stances drainable latrine at Jengari HC11and

				<p>Otong HC11 respectively</p> <ul style="list-style-type: none"> • Installation of solar power in 5HCs in pabbo subcounty • Construction of 1 block 4 stances latrine at Tee ki bur, Guruguru Lamogi, subcounty, and Otong HC11, pabbo subcounty, Jengari HC11
		<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1. 	0	<p>There was no evidence that project profiles were developed and discussed by TPC for all investments in the AWP as per LG planning guidelines</p>
3	<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> • Annual statistical abstract, with gender disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum 1 point. 	0	<p>There was no evidence of annual statistical abstract with gender disaggregated data to support budget allocation and decision making compiled.</p>
4	<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>			<p>There was evidence that all infrastructure projects implemented by Amuru LG in FY2016/17 were derived from the AWP and budget approved by the LG council as shown below.</p> <p>Infrastructure Projects derived from the Performance contract (PC) 2016/17 include</p> <ul style="list-style-type: none"> • 5 plant marketing facilities constructed, and 5 fish ponds constructed and maintained, 12 market blocks constructed in Amuru subcounty, 1 fish drying slab constructed in Amuru subcounty, 2 honey processing units installed in Atiak and Amuru subcounties, and 1 slaughter slab constructed in Pabbo subcounty pg 12&13 of PC, • 2 staff houses constructed in Olinga HC11 and Odokonyero HC11, A surgical ward completed at Atiak HCIV, 2 drainable latrines constructed at Pacilo, and Guru HCs and a general ward at Olwal completed pg15 of PC • 2 classrooms constructed in UPE, 27 latrine stances constructed, 7 schools receive furniture (252 3-seater desks)

• Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2

2

• 1 km of urban unpaved roads rehabilitated, 5km of urban unpaved roads routinely maintained, 3km of urban unpaved roads periodically maintained, 277km of district roads routinely maintained, 56 bottle necks removed from CARs and 11km of district roads periodically maintained pg 19 of PC.

• 1 water supply system constructed (GFS, borehole pumped, surface water), 1 public 3 stances drainable latrine in RGCs and public places constructed, 7 deep boreholes drilled, and 8 boreholes rehabilitated pg 21 of PC

Infrastructure Projects implemented from the Annual Performance Report (APR) 2016/17 include

• Construction of 12 Latrine stances at Otong P/S, Pabo Sub County, 10 stances at Okidi P/S, Atiak Sub County and a block of 2 class rooms at Otwee Public PS in Amuru Town Council and supply of desks to schools have all been completed pg 16

• Construction of mini Office for Animals slaughter at Elegu, Construction of fish dry rakes at Kololo landing site Construction of 1 Produce store 1 in Atiak Sub County

• Mechanised routine maintenance of Gotgweno maroawobi 12.5km road, periodic maintenance of Lacaro-Coorom road, rehabilitation of Olinga-Otorokume and upgrading of Elegu market street pg 18 of APR,

		<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	4	<p>There was evidence that the investment projects implemented in FY 2016/17 were completed as per the work plan.</p> <ul style="list-style-type: none"> 56/56 bottle necks removed from CARs, 1/1 Km of urban unpaved roads rehabilitated, 5/5 Km of Urban unpaved roads routinely maintained, 3/3 Km of Urban unpaved roads periodically maintained, 290/277 Km of District roads routinely maintained and 11/11Km of District roads periodically maintained 1 public latrine in RGCs and public places, 11/7 deep boreholes drilled (hand pump, motorised) and 16/8 deep boreholes rehabilitated 2/5 Market Stall constructed at Tee Okutu in Amuru SC.
5	<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects and assets during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	2	<p>There was evidence that all investment projects in FY2016/17 were completed within approved budget with Max 15% plus or minus of original budget.</p> <p>According to FY2016/17 annual performance report, Amuru district local government overall budget performance stands at 95. 3% budget utilisation with an approved budget of 17,836,699,000, but cumulative expenditure of 17,007,890,000 by end of the FY pg 2 of APR. Detailed expenditure analysis shows:</p> <ul style="list-style-type: none"> Staff house construction and rehabilitation under health- 1 staff completed at Olinga HC11, approved budget was 77,051,000, but spent 88,772,000 (115.2%) pg 91 of APR 2 classrooms block of 2 classrooms constructed at Otwee public P/S Amuru town council approved budget was 69,361,000, but spent 79,506,000 which is 114.6% pg 93 of APR 27 (A block of 5 stances drainable latrine was constructed at Olinga PS, 2 blocks of stances each and a block of 2 stances at Otong, 2 blocks of 5 stances each at Okidi PS) approved budget 137,236,000 but spent 137,343,000 (100.1%) pg 94 of APR

		<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of O&M budget for infrastructure in the previous FY: score 2 	0	There was no evidence that Amuru DLG budgeted and spent O&M budget for infrastructure in FY2016/17
Assessment area: Human Resource Management				
6	LG has substantively recruited and appraised all Heads of Departments	<ul style="list-style-type: none"> Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	2	<ul style="list-style-type: none"> All heads of departments have been appraised as per the guidelines of MoPS (CIRCULAR STANDING INSTRUCTION NO1 OF 2016) for the previous financial year
	Maximum 5 points on this Performance Measure.	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	0	Not all the 9 HoD's positions are filled substantively. The following positions are not filled substantively: 1-DEO 2-D. Natural Resources officer 3-D. Production and Marketing officer 4-District Engineer The Principal internal Auditor position is also not filled substantively. But the District has been advertising, though unable to recruit those heads of department positions substantively
7	The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.	<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for recruitment have been considered: score 2 	2	<ul style="list-style-type: none"> 100% of staff positions submitted for recruitment were considered, reference made to unreference declarations (1) and (2) of 2016, dated 4th July 2016 in relation to DSC/10/2016/13
		<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for confirmation have been considered: score 1 	1	<ul style="list-style-type: none"> 100% of staff submitted for confirmation had been considered, reference made to CAO'S submission CR/ADLG/156/8, received and considered by DSC on the 9/1/2017 28th/2/2017, 21/9/2017, 8th/11/2017
	Maximum 4 points on this Performance Measure	<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1 	1	All their disciplinary cases were handled by the rewards and sanctions committee, as referenced (unreferenced minutes dated June 2017. There was no submission to the DSC

8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>3</p> <p>0</p>	<p>All recruited staff during the previous financial year accessed pay roll within 2 months after appointment, as evidenced in the IPPS and the recruited staff list as per DSC/10/2016/13</p> <p>There is no evidence that pensioners were able to access their pensions with 2 months from the date of retirement.(However its not the District weakness, as much of processes is handled in the ministries,) The process is not fully decentralised</p>
Assessment area: Revenue Mobilization				
9	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR from previous FY but one to previous FY is more than 10% : score 4 points • If the increase is from 5 -10% : score 2 point • If the increase is less than 5% : score 0 points. 	0	<p>Local revenue performance for FY 2016/17 was Shs 262,145,932. Local revenue performance for FY 2015/16 was Shs 300,316,000. The reduction was Shs 38,170,068, which was 12.7%. Since this was a reduction, it means it was <0.</p>
10	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10% : then 2 points. If more than +/- 10% : zero points. 	2	<p>Local revenue budgeted for FY 2016/17 was Shs 432,450,000. This budget was later reduced to Shs 263,100,000 (per Council resolution Min. No. 8/FC/FY16/17/6/10). Local revenue performance for FY 2016/17 was Shs 262,145,932. The difference with budget was Shs 954,068. This was 0.36% below budget, which was within the +/-10% range.</p>

11	Local revenue administration, allocation and transparency Maximum 4 points on this performance measure	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	2	Amuru district remits and gets remittances of local revenues to and from LLGs. Examples of remittances to LLGs (65%) include: Payment of Shs 4,642,373 to Pabbo S/C on 04/08/16. Payment of Shs 2,029,983 to Lamogi S/C on 04/08/16. Payment of Shs 20,426,437 to Atiak S/C on 01/08/16. Payment of Shs 3,355,169 to Amuru S/C on 03/08/16. Examples of remittances by LLGs to the district (35%) include: Receipt of Shs 16,014,600 from Pabbo S/C on 08/08/16. Receipt of Shs 15/307/031 from Pabbo S/C on 23/09/16. Receipt of Shs 805,000 from Atiak S/C on 06/02/17. Receipt of Shs 500,000 from Lamogi S/C on 01/04/17.
		<ul style="list-style-type: none"> Evidence that the LG is not using more than 20% of OSR on council activities: score 2 	2	For FY 2016/17, Shs 59,066,300 was spent on Council from local revenue, itemised as follows: Council allowances Shs 42,620,000, Standing Committees Shs 16,446,000. Compared to FY 2015/16 local revenue which was Shs 300,316,000 the proportion is 19.6%, which was <20%.

Assessment area: Procurement and contract management

12	The LG has in place the capacity to manage the procurement function Maximum 4 points on this performance measure.	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	2	<ul style="list-style-type: none"> - There WAS Evidence that Amuru DLG had the position of a Senior Procurement Officer substantively filled under DSC Min. DSC/2308 (E) Dated 13/June/2008. - There WAS Evidence that Amuru DLG had the position of Procurement Officer substantively filled under DSC Min. DSC/10/2015 AMURU (5).
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• Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1

1

There WAS Evidence that TEC Produced and Submitted Reports to the Contracts Committee for the previous FY (2016/2017 FY) as exemplified by the following projects:

- Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). TEC Min Date: 11/August/2016.
- Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006). TEC Min Date: 13/October/2016.
- Construction of Two Blocks of 5 Stance Each Drainable Latrine at OKIDI P/S under SFG (AMURU/570/Wrks/16-17/00014). TEC Min Date: 17/October/2016.
- Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010). TEC Min Date: 11/August/2016.
- Upgrading of Elegu Market Street into 430 Meters Long and 9 Meters Wide Paved Road using Low Cost Sealing Technology Works and Technical Services under U-Growth (AMURU/570/Wrks/16-17/00045). TEC Min Date: 13/October/2016.

There WAS Evidence that Amuru District Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations as exemplified by the following projects:

- Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). DCC Min Date: 17/August/2016. 108th DCC Meeting.
- Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006). DCC Min

		<ul style="list-style-type: none"> • Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	1	<p>Date: 19/October/2016. 109th DCC Meeting.</p> <ul style="list-style-type: none"> - Construction of Two Blocks of 5 Stance Each Drainable Latrine at OKIDI P/S under SFG (AMURU/570/Wrks/16-17/00014). DCC Min Date: 19/October/2016. 109th DCC Meeting. - Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010). DCC Min Date: 17/August/2016. 108th DCC Meeting. - Upgrading of Elegu Market Street into 430 Meters Long and 9 Meters Wide Paved Road using Low Cost Sealing Technology Works and Technical Services under U-Growth (AMURU/570/Wrks/16-17/00045). DCC Min Date: 19/October/2016. 109th DCC Meeting. - Drilling and Casting of 12 Deep Boreholes of Average Depth 75 Meters at Measurement Contract, 10 Deep Boreholes for 10 Sub-counties under DWSCG, 2 Deep Boreholes for 2 Sub-counties (Atiak and Lamogi) under DDEG (AMURU/570/Wrks/17-18/0016). DCC Min Date: 28/November/2017. 117th DCC Meeting. - Rehabilitation of 1500 mm UMCO Culverts over LACWINY Stream along PACILO - GUNYA Road in Atiak Sub-county under DDEG (AMURU/570/Wrks/17-18/0009). DCC Min Date: 28/November/2017. 117th DCC Meeting. - Completion of Education Office Block at District Headquarters under DDEG (AMURU/570/Wrks/17-18/0021). DCC Min Date: 28/November/2017. 117th DCC Meeting. - Chain Link Fencing of AMURU Sub-county Headquarters under DDEG (AMURU/570/Wrks/17-18/0017). DCC Min Date: 28/November/2017. 117th DCC Meeting. - Construction of One Block of 5 Stance Drainable Latrine at OTONG Health Center II in PUKWANY Village in PABBO Sub-county under DDEG (AMURU/570/Wrks/17-18/0005). DCC Min Date: 28/November/2017. 117th DCC Meeting.
13	The LG has a			(a) There WAS Evidence that the

comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.

Maximum 2 points on this performance measure.

procurement and Disposal Plan for the current year (2017/2018 FY) covered all infrastructure projects in the approved annual work plan and budget as exemplified by the following procurements that were indicated both in the Procurement Plan and in the approved annual work plan and budget for the current FY (2017/2018 FY):

- Drilling and Casting of 12 Deep Boreholes of Average Depth 75 Meters at Measurement Contract, 10 Deep Boreholes for 10 Sub-counties under DWSCG, 2 Deep Boreholes for 2 Sub-counties (Atiak and Lamogi) under DDEG (AMURU/570/Wrks/17-18/0016) (Page 28 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2017/2018, Vote 570, Output 098183 - Borehole Drilling and Rehabilitation, 312104 Other Structures AND was also indicated as #1 on Page 1 of 3 of Amuru DLG Consolidated Procurement and Disposal Plan for FY 2017/18 Dated May 12, 2017 and that was Submitted to PPDA on 24/January/2018).

- Rehabilitation of 1500 mm UMCO Culverts over LACWINY Stream along PACILO - GUNYA Road in Atiak Sub-county under DDEG (AMURU/570/Wrks/17-18/0009) (Page 26 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2017/2018, Vote 570, Output 048180 – Rural Roads Construction and Rehabilitation, 312103 Roads and Bridges AND was also indicated as #34 on Page 3 of 3 of Amuru DLG Consolidated Procurement and Disposal Plan for FY 2017/18 Dated May 12, 2017 and that was Submitted to PPDA on 24/January/2018).

- Completion of Education Office Block at District Headquarters under DDEG (AMURU/570/Wrks/17-18/0021) (Page 23 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2017/2018, Vote 570, Output 078472 – Administrative Capital, 312101 Non Residential Buildings AND was also indicated as #8 on Page 1 of 3 of Amuru DLG Consolidated Procurement and Disposal Plan for FY 2017/18 Dated May 12, 2017 and that was Submitted to PPDA on 24/January/2018).

(b) There WAS Evidence that the LG made procurements in previous FY (2016/2017 FY) as per plan (adherence to the procurement plan) for the previous FY (2016/2017 FY) as

• a) Evidence that the procurement and Disposal Plan for the

Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2

2

exemplified by the following procurements that occurred in the Procurement Plan, in the Annual Work Plan and Budget and in Referenced Procurement Files for the previous FY (2016/2017 FY):

- Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023) (Page 28 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2016/2017, Vote 570, Output 098183 Borehole Drilling and Rehabilitation, 312104 Other Structures). Also indicated in Amuru DLG Consolidated Procurement and Disposal Plan for FY 2016/17 Dated 25/May/2016.
- Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006) (Page 28 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2016/2017, Vote 570, Output 098180 Construction of Public Latrines in RGCs, 312104 Other Structures). Also indicated in Amuru DLG Consolidated Procurement and Disposal Plan for FY 2016/17 Dated 25/May/2016.
- Construction of Two Blocks of 5 Stance Each Drainable Latrine at OKIDI P/S under SFG (AMURU/570/Wrks/16-17/00014) (Page 22 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2016/2017, Vote 570, Output 078181 Latrine Construction and Rehabilitation, 312101 Non Residential Buildings). Also indicated in Amuru DLG Consolidated Procurement and Disposal Plan for FY 2016/17 Dated 25/May/2016.
- Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010) (Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2016/2017, Vote 570, Output 078180 Classroom Construction and Rehabilitation, 312101 Non Residential Buildings). Also indicated in Amuru DLG Consolidated Procurement and Disposal Plan for FY 2016/17 Dated 25/May/2016.
- Upgrading of Elegu Market Street into 430 Meters Long and 9 Meters Wide Paved Road using Low Cost Sealing Technology Works and Technical Services under U-Growth

				(AMURU/570/Wrks/16-17/00045) (Page 26 – Amuru DLG Draft Revenue and Expenditure Budget for Financial Year 2016/2017, Vote 570, Output 048180 Rural Roads Construction and Rehabilitation, 312103 Roads and Bridges). Also indicated in Amuru DLG Consolidated Procurement and Disposal Plan for FY 2016/17 Dated 25/May/2016.
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The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure

• For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2

0

For current FY (2017/2018), there was NO Evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. ACTUAL Bid Preparation Dates were NOT available. The Assessor made a calculation based on the respective Approval Dates of Individual Bid Documents and found that 0% of Bid Documents for 2017/2018 FY were Approved BEFORE August 30, 2017. The Calculation made by the Assessor was based on the following Projects and the respective Dates on which the Projects Bid Documents were Approved:

- Drilling and Casting of 12 Deep Boreholes of Average Depth 75 Meters at Measurement Contract, 10 Deep Boreholes for 10 Sub-counties under DWSCG, 2 Deep Boreholes for 2 Sub-counties (Atiak and Lamogi) under DDEG (AMURU/570/Wrks/17-18/0016). Date of Approval of Bid Document: 07/September/2017. 116th DCC Meeting.
- Rehabilitation of 1500 mm UMCO Culverts over LACWINY Stream along PACILO - GUNYA Road in Atiak Sub-county under DDEG (AMURU/570/Wrks/17-18/0009). Date of Approval of Bid Document: 07/September/2017. 116th DCC Meeting.
- Completion of Education Office Block at District Headquarters under DDEG (AMURU/570/Wrks/17-18/0021). Date of Approval of Bid Document: 07/September/2017. 116th DCC Meeting.
- Chain Link Fencing of AMURU Sub-county Headquarters under DDEG (AMURU/570/Wrks/17-18/0017). Date of Approval of Bid Document: 07/September/2017. 116th DCC Meeting.
- Construction of One Block of 5 Stance Drainable Latrine at OTONG Health Center II in PUKWANY Village in PABBO Sub-county under DDEG (AMURU/570/Wrks/17-18/0005). Date of Approval of Bid Document: 07/September/2017. 116th DCC Meeting.

<ul style="list-style-type: none">• For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2	2	<p>For previous FY (2016/2017 FY), there WAS Evidence that the LG had an Updated Contracts Register and had Completed Procurement Activity Files for all procurements as exemplified by the following:</p> <ul style="list-style-type: none">- An Updated Contracts Register was seen at Amuru DLG PDU with a Starting/Opening Procurement Entry Titled 'Construction of One Block of Two Classrooms at OTWEE Public Primary School under SFG' Referenced 'AMURU/570/Wrks/16-17/00010' and an Ending/Closing Procurement Entry Titled 'Hire of Road Equipment and Supply of 400 Trips for Partial Rehabilitation of 14.5 Km OLINGA – OTOROKUME Road' Referenced 'AMURU/570/Wrks/16-17/00013'. The Updated Contracts Register was stamped by CAO on 15/June/2017.- Referenced and Completed Procurement Activity Files for all procurements were seen at the PDU.
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		<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	2	<p>For previous FY (2016/2017 FY), there WAS Evidence that the LG adhered to Procurement Thresholds as exemplified by the following procurements:</p> <ul style="list-style-type: none"> - Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). Contract Amount: 91,848,840 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 07/July/2016, Daily Monitor Newspaper. - Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006). Contract Amount: 16,544,400 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 21/09/2016. - Construction of Two Blocks of 5 Stance Each Drainable Latrine at OKIDI P/S under SFG (AMURU/570/Wrks/16-17/00014). Contract Amount: 42,481,393 UGX. Verified Procurement Method: Selective Bidding. Date of Invitation to Bid: 21/09/2016. - Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010). Contract Amount: 65,057,160 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 07/July/2016, Daily Monitor Newspaper. - Upgrading of Elegu Market Street into 430 Meters Long and 9 Meters Wide Paved Road using Low Cost Sealing Technology Works and Technical Services under U-Growth (AMURU/570/Wrks/16-17/00045). Contract Amount: 413,083,294 UGX. Verified Procurement Method: Open Domestic Bidding. Date of Advertisement: 14/September/2016, Daily Monitor Newspaper.
15	The LG has certified and provided detailed project information on all			There WAS Evidence that all works projects implemented in the previous FY (2016/2017 FY) were appropriately certified – interim and completion certificates for all projects based

investments

Maximum 4 points on this performance measure

- Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2

2

on technical supervision as exemplified by the following projects:

- Rehabilitation of Roof Ceiling and Painting of Maternity at OLWAL Health Center III under DDEG (AMURU/570/Wrks/16-17/00048). Certificate of Completion Dated 28/December/2017.
- Construction of One Block of 2 Stance Each Drainable Latrine and Two Bathing Shelters at OTONG P/S (AMURU/570/Wrks/16-17/00012). Certificate of Completion Dated 19/October/2017.
- Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006). Certificate of Completion Dated 11/October/2017.
- Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010). Certificate of Completion Dated 15/September/2017.
- Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). Payment Certificate Dated 17/March/2017.
- Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). Certificate of Completion Dated 24/August/2017.
- Construction of Ten Market Stalls at ATIAK-KAL Market under PRDP/DDEG (AMURU/570/Wrks/16-17/00004). Payment Certificate No. 2 Dated 24/January/2017.
- Construction of Ten Market Stalls at ATIAK-KAL Market under PRDP/DDEG (AMURU/570/Wrks/16-17/00004). Certificate of Completion Dated 28/July/2017.
- Chain Link Fencing of Amuru Town Council Office Block under PMG/PRDP (AMURU/570/Wrks/16-17/00001). Certificate of Completion Dated 13/December/2016.

		<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	0	<p>There was NO Evidence that all works projects for the current FY (2017/2018 FY) were clearly labeled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration as confirmed during a field visit conducted by the Assessor on 13/February/2018.</p>
Assessment area: Financial management				
16	<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	0	<p>Amuru District LG operates 14 bank accounts including the TSA. As of the date of the assessment on 13/02/18, the TSA was reconciled to 21/12/17 and General Fund to 31/12/17. The rest of the accounts except two which were reconciled to November 30, were reconciled to 31 December 2017.</p>
17	<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	2	<p>Amuru DLG does not have a payments claim register. The payments reviewed show that the district makes timely payments to its suppliers. Examples of the payments reviewed here are: Payment of Shs 1,500,000 to Delta Gulu Service Station for supply of fuel and lubricants for the generator. Requisition 27/04/17, Payment 27/04/17 (0 days). Payment of Shs 5,620,000 to Red Sea Star Ltd for panel beating and spray of a district vehicle. Requisition 08/05/17, Payment 12/05/17 (4 days). Payment of Shs 6,000,000 to Ramsgate (U) Ltd for supply of accounting books. Requisition 05/09/17, Payment 20/09/17 (45 days). Average: 16 days.</p>

18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3. 	0	<p>The LG Internal Audit function is headed in caretaker capacity by Komakech Sebastian Oboth whose appointment is as District Internal Auditor, per letter dated 19/05/16 (Ref. CR/D/156/3).</p>
		<ul style="list-style-type: none"> Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2. 	2	<p>The LG has produced internal audit reports for the four quarters of FY 2016/17. The reports contain a section on follow-up of previous internal audit recommendations. The report is addressed to the CAO (and now to the District Speaker) and also copied to LG PAC Chairman.</p>
		<ul style="list-style-type: none"> Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1 	0	<p>The LG produced reports for the four quarters of FY 2016/17. Quarter 1 report is dated 28/10/16 and submitted to the Internal Auditor General (IAG) on 10/12/16. Quarter 2 report is dated 30/01/17 and submitted to the IAG on 24/03/17. Quarter 3 report is dated 02/06/17 and submitted to the IAG on 07/08/17. Quarter 4 report is dated 03/08/17 and submitted to the IAG on 29/01/18 – there were some communication challenges with this submission. However, none of FY 2016/17 has been discussed by LG PAC or Council owing to some challenges that PAC had, even though a meeting is now said to have been scheduled for 27th and 28th this month.</p>
19	<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	0	<p>The LG has an assets register maintained for different district departments, formatted as recommended in the accounting manual. It contains assets such as motor vehicles, motor cycles, furniture and road equipment. However, the register does not have any information on district buildings and lands, and also the values of government donated assets such as road equipment.</p>

20	<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY: • unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0</p>	4	<p>Amuru DLG has an unqualified Audit opinion for its FY 2016/17 financial statements, with an emphasis of matter on 6 issues. ? Salary Arrears ? Low recovery of YLP Fund ? Unaccounted for funds ? Understaffing ? Absence of an Incinerator at Atiak HC IV ? Exceptions raised in the PPDA Audit Report</p>
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Assessment area: Governance, oversight, transparency and accountability

21	<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<p>Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</p>	2	<p>Minutes of the 6th District council meeting by 10th council held on 12th May, 2017, discussed among others</p> <ul style="list-style-type: none"> • Reading and approval of the 5th district council meeting minutes -Min 5/FC/FY/2016/2017/6/10 • Presentation of the district state of affairs FY2016/17-Min 6/FC/FY/2016/2017/6/10 • Presentation, discussion and approval of Amuru district gender based violence prevention and response bill 2017- Min 7/FC/FY/2016/2017/6/10 • Presentation, discussion and approval of the district budget estimates for FY2017/18- Min 8/FC/FY/2016/2017/6/10 • Presentation, discussion and approval of the district procurement and disposal plan FY2017/18 - Min 9/FC/FY/2016/2017/6/10 <p>Minutes of the 5th district council meeting by 10th council held on 15th March, 2017 discussed</p> <ul style="list-style-type: none"> • Reading and approval of the 4th district council meeting minutes – Min 5/FC/FY/2016/2017/5/10 • Laying on table the district budget estimates FY2017/18- Min 6/FC/FY/2016/2017/5/10 <p>Minute of the 4th district council meeting by 10th council held on 21st February, 2017 discussed among others</p> <ul style="list-style-type: none"> • Presentation, discussion and approval of district AWP 2017/18- Min 6/FC/FY/2016/2017/4/10
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		<p>1.1.3016 2</p>		<ul style="list-style-type: none"> • Presentation, discussion and approval of reports from the district executive committee Min 7/FC/FY/2016/2017/4/10 • Presentation, discussion and approval of capacity building plan FY 2016/17-2020/2021 Min 8/FC/FY/2016/2017/4/10 • Customization of the local government restructuring framework Min 9/FC/FY/2016/2017/4/10 • Presentation, discussion and approval of Amuru district client charter 2017/18-2020/2021 Min 10/FC/FY/2016/2017/4/10 • Nomination and approval of urban authority representative to DSC Min 11/FC/FY/2016/2017/4/10 • Nomination and approval of member to Lamogi sub county land committee Min 12/FC/FY/2016/2017/4/10 • Nomination and approval of chairperson Atiak HCIV health unit management committee Min 13/FC/FY/2016/2017/4/10 • Motion on Amuru district gender based violence prevention and response Ordinance 2017 Min 14/FC/FY/2016/2017/4/10
22	<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 2. 	0	<p>There was no evidence that Amuru LG designated a person to coordinate and respond by providing feedback on grievances and complains provided by citizens</p>

23

The LG shares information with citizens (Transparency)

Total maximum 4 points on this Performance Measure

Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2

2

There was evidence of Amuru LG publishing LG payroll on the public notice board at the district headquarters. The payrolls were dated 17th/1/2018 as the time of their display.

There were also a declaration of a number of releases for quarter 3 FY2017/18 published on 25th January, 2018 like

- UPE, USE and technical schools releases.

• Evidence that the procurement plan and awarded contracts and amounts are published: score 1

1

There was evidence of procurement related documents published such as

- Amuru district Consolidated procurement and disposal plan for FY2017/18
- Notice on prequalification of service providers for Fy2017/18 dated 31st/7/2017
- Best evaluated bidders notice displayed on 30/1/2018 and expiring on 9/2/2018
- Advertisement under open national bidding for management of revenue collection – AMURU570/PREQ/17-18/00003

• Evidence that the LG performance assessment results and implications, are published e.g. on the budget website for the previous year (from budget requirements): score 1.

0

Not applicable since there was no performance assessment of LGs was done in FY2016/17.

24	The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	0	There was no evidence that Amuru district LG communicated and explained guidelines, circulars and policies issued by the national level to LLGs during FY2016/17.
	Maximum 2 points on this performance measure	<ul style="list-style-type: none"> • Evidence that LG during previous FY has conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc..) with the public to provide feed-back on status of activity implementation: score 1. 	0	There was no evidence that Amuru district LG during FY2016/17 conducted discussions with the public to provide feed-back on status of activities implementation.

Assessment area: Social and environmental safeguards

25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>			<p>There WAS Evidence that the LG gender focal person provided guidance and support to sector departments to mainstream gender into their activities as exemplified by the following:</p> <ul style="list-style-type: none"> - The Assessor saw Amuru DLG Report on Life Work Balance for Female Staff and Gender Situation in Health Centers: A Process for Gender Mainstreaming in Amuru DLG that was Signed by Senior Community Development Officer on 18/May/2017 and Submitted to CAO. - The Assessor saw Amuru DLG Report on Meeting with Heads of Departments and Sectors on Compliance of Budget Framework Papers with Gender and Equity that took place on 05/November/2016 at the Education Boardroom. The Report was Signed by both the Senior Probation and Welfare Officer and the Deputy CAO. - The Assessor saw Amuru DLG Report on Gender Audit and Work Life Situation in Health Centers: A Process for Gender Mainstreaming in Amuru DLG that was Signed by Senior Community Development Officer on 20/April/2017 and Submitted to CAO. - The Assessor saw Amuru DLG Report on UWEP District Level Sensitization that was Prepared and Signed by Senior Community Development Officer/UWEP Focal Person on 10/January/2017. - The Assessor saw Amuru DLG Report on
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<p>• Evidence that the LG gender focal person has provided guidance and support to sector departments to mainstream gender into their activities score 2.</p>	<p>2</p>	<p>Technical Working Group Meeting on the Finalization of the Amuru District GBV Ordinance that was Prepared and Signed by Senior Community Development Officer (Gender, Culture and Community Development) on 07/November/2016.</p> <p>- The Assessor saw Amuru DLG Report on GBV Reference Group Meeting that was Prepared and Signed by Senior Community Development Officer on 12/July/2016.</p> <p>- The Assessor saw Amuru DLG Community Based Services Consolidated Department Annual Work Plan and Budget for 2016/17 FY. Gender Mainstreaming: LCIII Chairpersons and Sub-county Technical Staff Trained in Gender Responsive Planning and Budgeting (1,700,403 UGX). Coordination Meetings for GBV Reference Groups held at the District (1,500,000 UGX). Women Leaders in the Five Sub counties Trained on Gender and Council Proceedings to Advance Women Concerns (200,000 UGX). Community Dialogues with Parents of Upper Primary Pupils on the Importance of Girl Child Education Conducted in Six Schools (3,000,000 UGX). Joint Monitoring and Support Supervision for GBV Activities Conducted at the Sub-county Level (957,000 UGX).</p> <p>- The Assessor saw Amuru DLG Community Based Services Consolidated Department Annual Work Plan and Budget for 2017/18 FY. Gender Mainstreaming: LCIII Chairpersons and Sub-county Technical Staff Trained in Gender Responsive Planning and Budgeting (1,700,403 UGX). Coordination Meetings for GBV Reference Groups held at the District (1,500,000 UGX). Women Leaders in the Five Sub counties Trained on Gender and Council Proceedings to Advance Women Concerns (200,000 UGX). Community Dialogues with Parents of Upper Primary Pupils on the Importance of Girl Child Education Conducted in Six Schools (3,000,000 UGX). Joint Monitoring and Support Supervision for GBV Activities Conducted at the Sub-county Level (1,500,000 UGX).</p>
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• Evidence that gender focal point has planned activities for current FY to strengthen women's roles and that more than 90% of previous year's budget for gender activities has been implemented: score 2.

2

- There WAS Evidence that gender focal point had planned activities for current FY (2017/2018 FY) to strengthen women's roles. The Assessor saw Amuru DLG Community Based Services Consolidated Department Annual Work Plan and Budget for 2017/18 FY. Gender Mainstreaming: LCIII Chairpersons and Sub-county Technical Staff Trained in Gender Responsive Planning and Budgeting (1,700,403 UGX). Coordination Meetings for GBV Reference Groups held at the District (1,500,000 UGX). Women Leaders in the Five Sub counties Trained on Gender and Council Proceedings to Advance Women Concerns (200,000 UGX). Community Dialogues with Parents of Upper Primary Pupils on the Importance of Girl Child Education Conducted in Six Schools (3,000,000 UGX). Joint Monitoring and Support Supervision for GBV Activities Conducted at the Sub-county Level (1,500,000 UGX).

- There WAS Evidence that more than 90% of previous year's budget for gender activities was implemented since Allocations that were made for Gender Activities for FY 2016/2017 were utilized at 91.5% Level according to computation based on validated Expenditure Vouchers that were retrieved from the Accounts Department by the Gender Focal Point Person.

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2

2

There WAS Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans as exemplified by the following:

- The Assessor saw Environmental and Social Screening Form (ESSF) for Upgrading of Elegu Market Street into 430 Meters Long and 9 Meters Wide Paved Road using Low Cost Sealing Technology Works and Technical Services under U-Growth (AMURU/570/Wrks/16-17/00045) Dated 24/April/2017.
- The Assessor saw Environmental and Social Screening Form (ESSF) for Chain Link Fencing of Amuru Town Council Office Block under PMG/PRDP (AMURU/570/Wrks/16-17/00001) Dated 18/April/2017.
- The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006) Dated 21/April/2017.
- The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010) Dated 18/April/2017.
- The Assessor saw Environmental and Social Screening Form (ESSF) for Siting, Drilling, Casting and Installation of a Deep Borehole in Atiak Sub-county under DDEG Dated 21/April/2017.
- The Assessor saw Environmental and Social Screening Form (ESSF) for Construction of Four Market Blocks of 10 Stalls Each at Pailyech Parish in Amuru Sub-county Dated 18/April/2017.

• Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1

0

There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.

• Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.):
score 1

1

There WAS Evidence that all projects were implemented on land where the LG had proof of ownership by way of a land title or agreement with land owners as exemplified by the following:

- The Assessor saw Annex H: Consent Form for Voluntary Land Contribution for MAMALPOL-OPOLACEN Community Access Road Constructed under NUSAF Dated 17/February/2017.

- The Assessor saw Annex H: Consent Form for Voluntary Land Contribution for PAOMA (A) Ox-Traction and Rice Growing Dated 09/February/2017.

- The Assessor saw Annex H: Consent Form for Voluntary Land Contribution for ACODO (A) Ox-Traction and Groundnuts Production Dated 29/April/2017.

- The Assessor saw Annex H: Consent Form for Voluntary Land Contribution for OCEME-ABONGO RWOT Community Access Road in Atiak Sub-county Dated 02/February/2017.

- The Assessor saw Annex H: Consent Form for Voluntary Land Contribution for JUNCTION BOLATERO – CAI 4 Km Community Access Road Dated 17/January/2017.

- The Assessor saw Amuru DLG Report on Sensitization of Communities on Land Rights in PABBO Sub-county Dated 25/July/2016.

		<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer: score 2 	2	<p>There WAS Evidence that all completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer as exemplified by the following projects:</p> <ul style="list-style-type: none"> - Siting, Drilling, Casting and Installation of 5 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG in Sub counties of LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). Environmental and Social Mitigation Certification Form Completed and Signed by Environmental Officer on 25/August/2017. - Siting, Drilling, Casting and Installation of 8 Deep Boreholes using PVC/PPR Pump Parts Average Depth 75 Meters under DWSCG and DDEG in Sub counties of ATI AK, LAMOGI, AMURU and PABBO (AMURU/570/Wrks/16-17/00023). Environmental and Social Mitigation Certification Form Completed and Signed by Environmental Officer on 29/August/2017. - Construction of One Block of 3 Stance Water Borne Toilet with Urinal at Elegu Border Market in Atiak Sub-county under DWSCG (AMURU/570/Wrks/16-17/00006). Environmental and Social Mitigation Certification Form Completed and Signed by Environmental Officer on 13/October/2017. - Construction of One Block of Two Classrooms at OTWEE Public P/S under SFG (AMURU/570/Wrks/16-17/00010). Environmental and Social Mitigation Certification Form Completed and Signed by Environmental Officer on 13/September/2017.
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LGPA 2017/18

Educational Performance Measures

Amuru District

(Vote Code: 570)

Score 87/100 (87%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human Resource Management				
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school for the current FY: score 4 	4	<p>Vote 570 Amuru district OBT FY17/18 has a wage bill of UGX 5,433,482,000 for 506 Teachers and Head teachers at the 51 government-aided schools.</p> <p>The DEO has a school staff list deployment schedule for 506 Teachers and Head teachers as per "Staff list and wage performance by cost centre FY17/18". A sample of schools showed:</p> <ul style="list-style-type: none"> -Labongo Gali PS- 15 Staff -Abalokodi PS- 8 Staff -Pupwonya PS-14 Staff -Otii PS-11 Staff -Pamin Lalwak PS-10 Staff
2	<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100% score 6 o If 80 - 99% score 3 o If below 80% score 0 	6	<p>The UGX 5,433,482,000 OBT budget provision has catered for the minimum staffing level in the structure of a Head teacher and minimum 7 Teachers for the entire 506 teachers inclusive of their Head teachers in FY17/18 (i.e. 100%).</p>

3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	6	<p>The district approved structure has 2 positions and both have been duly filled as per individual file at Central Registry.</p>
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of Primary Teachers: score 2</p>	2	<p>There was a department recruitment plan that covers the Primary Teachers and interviews for 77 applicants are scheduled for 22nd February 2018.</p>
		<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of School Inspectors: score 2</p>	2	<p>The 2 positions of School Inspectors are duly filled.</p>
5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised school inspectors during the previous FY • 100% school inspectors: score 3</p>	3	<p>The 2 Schools Inspectors were appraised with CAO's stamp on 7th August 2017 and 28th August 2017 respectively.</p>
		<p>Evidence that the LG Education department appraised head teachers during the previous FY. • 90% - 100%: score 3 • 70% - 89%: score 2 • Below 70%: score 0</p>	2	<p>40 out of 51 HTs were appraised rendering a 78% score.</p>

Assessment area: Monitoring and Inspection

<p>6</p>	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>1</p>	<p>There was evidence that the national level instructions were communicated to schools all guidelines, policies, circulars issued by the national level as per:</p> <ul style="list-style-type: none"> -Head teachers' meeting of education department with Head teachers on 27th July 2017 at Lacor PS- Minute 4 "Briefing of HTs on Circulars from MoES; Dress code; Ban on corporal Punishment. -Operation of unlicensed / unregistered schools in a circular copied to all Head teachers in Amuru DLG dated meeting with all HTs held on 2nd February 2017. -Staff attendance to duty circular from the CAO to all HTs dated 11th July 2017.
		<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level, including on school feeding: score 2 	<p>2</p>	<p>There was evidence on specific records during the joint meetings with education department officials on agenda items regarding dissemination of guidelines, policies and circulars issued by the central government, as per meeting of education department with Head teachers on:</p> <ul style="list-style-type: none"> -NIRA training at Lacor PS on 26th May 2017 attended by 63 participants. -Operation of unlicensed unregistered schools of Jan 16th 2017 attended by 42 participants (proprietors of schools and HTs of government aided schools) on 2nd February 2017. -Staff attendance to duty circular dated 11th July 2017 sent to all HTs

7	<p>The LG Education Department has effectively inspected all private and public primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all private and public primary schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> 100% - score 12 90 to 99% - score 10 80 to 89% - score 8 70 to 79% - score 6 60 to 69% - score 3 50 to 59% score 1 Below 50% score 0. 	12	<p>There was evidence of 3-Quarter School Supervision Reports (Quarters 1, 2 and 3) covering all 51 government-aided and 5 private primary schools and were all received and acknowledged at the Gulu DES on 25th September 2017.</p>
8	<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	4	<p>There was evidence the Education Department held meeting to discuss inspection and monitoring reports during FY16/17 as per objective 2 of the report “to follow up on Inspection of Inspection Recommendations” in DEO's office.</p>
		<ul style="list-style-type: none"> Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	2	<p>There was evidence of acknowledgement of inspection reports by DES, i.e.: Quarters 1, 2 and 3 were all received at the Gulu DES on 25th September 2017.</p>
		<ul style="list-style-type: none"> Evidence that the inspection recommendations are followed-up: score 4 	4	<p>There was evidence the Education Department held meeting to discuss inspection and monitoring reports during FY16/17 as per objective 2 of the report “to follow up on Inspection of Inspection Recommendations” on file in the DEO's office.</p>

9	The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and OBT: score 5 	5	<ul style="list-style-type: none"> • EMIS (2017) list of schools data stood at 51 government-aided primary schools. • OBT list of schools data stood at 51 government-aided primary schools in FY2017/18
	Maximum 10 for this performance measure	Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and OBT: score 5 	5	<ul style="list-style-type: none"> • EMIS enrolment data stood at 52,242 pupils FY2017/18 • OBT enrolment data stood at 52,512 pupils in FY2017/18 due to the additional private schools who do not receive capitation grants but report on enrolment for EMIS covering government-aided, community, and private primary schools.

Assessment area: Governance, oversight, transparency and accountability

10	The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc...during the previous FY: score 2 	2	<p>Minutes of the 1st social services committee (SSC) meeting held on 17th-18th Oct, 2016, it discussed among others :</p> <ul style="list-style-type: none"> • Departmental financial and narrative progress reports for Q1 of FY2016/17- Min 3/SC/FY2016/17/1/10 . • Presentation and discussion of District Nutrition Action Plan (DNAP) 2017/18-2021/22- Min 4/SC/FY 2016/17/1/10.
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		<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that requires approval to Council: score 2 	2	<p>Minutes of the 1st social services committee (SSC) meeting held on 17th-18th Oct, 2016, the committee recommended that in order to understand secrets behind good performance in education;</p> <ul style="list-style-type: none"> • The committee of social services be taken for a study tour to the best performing districts in PLE using the capacity building grant. <p>Under Min 6/FC/2016/2017/4/10, it was approved that all head teachers be inducted on performance management as a submission from social sector committee.</p>
11	<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO) • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80% schools: score 0</p>	5	<p>There was evidence that all the 56 schools have established SMCs as per regulation as evidenced by “List of SMCs for approval for 2016 by DEO. Also, the 5 schools sampled reflected this consistency as follows:</p> <ul style="list-style-type: none"> -Lujoro PS held meetings on 26 June 2017; 6th October 2016; 28th March 2017 -Otwee Public PS held meetings on 11th October 2016; 7th March 2017; 27th June 2017 -Mutema PS held meetings on 23rd July 2017; 17th November 2016; 2nd August 2016 -Agola PS held meetings on 20th July 2016; 28th October 2016; 4th July 2017 -Elego PS held meetings on 25th October 2016; 8th June 2017; 27th February 2017

12	<p>The LG has publicised all schools receiving non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3 	3	<p>There was evidence that the Education department had published and displayed list of all schools receiving non-wage recurrent grants during FY16/17 as per the District Notice Board and the file copy of the display sheets.</p>
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Assessment area: Procurement and contract management

13	<p>The LG Education department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	0	<p>There was evidence that the Education department prepared and submitted all investment items in the approved sector annual work-plans and all were submitted before 30th April 2016 as per FY16/17 AWP – procurement requisitions files in which all items were lodged on 23rd May 2016 for:</p> <ul style="list-style-type: none"> -Service and repair of computers and photocopier for Education Department valued at UGX1,000,000 -Maintenance of vehicles and motorcycles and generator valued at UGX20,000,000 -Supply of books, periodicals and newspapers valued at UGX2,190,000
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14	<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3 points 	3	<p>The payments reviewed show that the education department timely certifies and recommends suppliers for payment. Examples include:</p> <p>Payment of Shs 39,314,662 to Norah Foundation (U) Ltd for construction of 2 blocks of 5 stance drainable latrines at Otong P/S. Requisition 04/01/17, Certification 13/01/17 and Payment 09/02/17 (35 days).</p> <p>Payment of Shs 11,672,208 to Norah Foundation (U) Ltd for construction of 1 block 2 stance latrine at Otong P/S. Requisition 04/01/17, Certification 13/01/17 and Payment 09/02/17 (35 days). Average: 35 days.</p>
Assessment area: Financial management and reporting				
15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	0	<p>There was no evidence that education department submitted the annual performance report for FY 2016/17 to the planner for consolidation by mid-July 2017.</p>

16	<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points o If all queries are not responded to score 0 	0	<p>Amuru DLG education department had internal audit queries in FY 2016/17. Effort was made to respond to the queries, but some remained unresolved.</p> <p>Quarter 1 report was entirely dedicated to an audit on 30 district primary schools. Key findings included budgetary control issues, confusion of authority and responsibility for payments at schools, late accountabilities for administrative advances, issues with bank reconciliations, issues with specimen signatures and reporting compliance, management of procurement, issues with assets custody and stores, registers for lands and buildings, pupils and teachers attendance etc.</p> <p>Quarter 3 report had some accountability issues e.g. Shs 2,097,000 for school inspection by a one Otto Dominic.</p>
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Assessment area: Social and environmental safeguards

17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2 	2	<p>There was evidence from the Education Department on dissemination of guidelines for use by senior women/ men teachers as per Training Report on Menstruation Hygiene Management organised by the MoES on Friday 18th to Saturday 19th November 2016 at Pabbo Sub-county Headquarters.</p>
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		<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	2	<p>There was evidence from the Education Department on dissemination of sanitation guidelines with the Inspector of Schools files for use on sanitation for girls and PWDs in primary schools as per Training Report on Menstruation Hygiene Management organised by the MoES on Friday 18th to Saturday 19th November 2016 at Pabbo Sub-county Headquarters.</p>
		<ul style="list-style-type: none"> • Evidence that the School Management Committee meet the guideline on gender composition: score 1 	1	<p>There was evidence that all the school have complied with the gender guidelines in their composition in the school files at the DEO's office and at the following facility levels:</p> <ul style="list-style-type: none"> - Olya P/S-3 Females out of 12 SMCs members - Bibia P/S-3 Females out of 12 SMCs members - Otong P/S-3 Females out of 12 SMCs members
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 3: 	3	<p>There was evidence from the Education Department on collaboration with the Environment department on issuance of guidelines on environmental management as per school guidance of "report for training of head teachers on climate change adaptation and mitigation" dated 13th June 2017 in the DEO's office file.</p>



LGPA 2017/18

Health Performance Measures

Amuru District

(Vote Code: 570)

Score 66/100 (66%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human resource planning and management				
1	<p>LG has substantively recruited primary health workers with a wage bill provision from PHC wage</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY • More than 80% filled: score 6 points, • 60 – 80% - score 3 • Less than 60% filled: score 0</p>	6	<p>Approved structure FY2017/18 had a total of 294 health staffs while the current staffs on payroll are 293 giving 96.2%.</p> <p>However, it was discovered that there are more Nursing Assistants compared to qualified staffs like midwives in the District.</p> <p>.Therefore, there is a need to recruit despite a big percentage shown.</p>
2	<p>The LG Health department has submitted a comprehensive recruitment plan to the HRM department</p> <p>Maximum 4 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of health workers: score 4</p>	4	<p>A copy of a request for submission of vacant positions for recruitment from MoH to CAO was seen at DHOs office which had health positions attached that needed recruitment under MOH with support from USAID-Strengthening Human Resource for Health (SHRH). This was dated December 19th 2017 and the District is waiting to hear from MOH.</p>
3	<p>The LG Health department has ensured that performance appraisal for health facility in charge is conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the health facility in-charge have been appraised during the previous FY: o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0</p>	0	<p>• Only 6 out of 25 files (24%) for in-charges were filled and appraised.</p>

4	<p>The Local Government Health department has equitably deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Health department has deployed health workers equitably, in line with the lists submitted with the budget for the current FY: score 4 	4	<p>A copy of the updated staff lists (January 2018) matched with that of the budget framework FY 17/18 (OBT) as seen at the DHOs office.</p>
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Assessment area: Monitoring and Supervision

5	<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	3	<ul style="list-style-type: none"> Copy of attendance list for the in charges during orientation on new WHO MPDSR guidelines under GoU and UNFPA was seen at DHOs office(dated 3/10/2016)
		<ul style="list-style-type: none"> Evidence that the DHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	0	<p>No meeting minutes/ reports for such meeting were availed by the DHT.</p>
6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised 100% of HC IVs and district hospitals: score 3</p>	3	<p>Supervision reports/Monitoring reports available at DHOs office dated 10/10/2016, 18/4/2017 showed that HC IV was supervised.</p> <ul style="list-style-type: none"> Amuru District doesnt have a Hospital.
		<p>Evidence that DHT has supervised lower level health facilities within the previous FY: • If 100% supervised: score 3 points • 80 - 99% of the health facilities: score 2 • 60 - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0</p>	0	<ul style="list-style-type: none"> Copies of Supervision reports seen from the DHOs office indicated that DHT supervised only 56% of the facilities

10

The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council

Maximum 4 for this performance measure

- Evidence that the council committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2

2

Minutes of the 1st social services committee (SSC) meeting held on 17th-18th Oct, 2016, it discussed among others

- Departmental financial and narrative progress reports for Q1 of FY2016/17- Min 3/SC/FY2016/17/1/10

- Presentation and discussion of District Nutrition Action Plan (DNAP) 2017/18-2021/22- Min 4/SC/FY 2016/17/1/10

- Evidence that the health sector committee has presented issues that require approval to Council: score 2

2

In the social sector committee meeting held on 30th-31st Jan. 2017, where the committee scrutinised the 2nd quarter financial and narrative progress reports, and also reviewed departmental annual work plans, the committee made a recommendation to council that the district chairperson and RDC to follow up on presidential pledge of a health centre at Lakang.

In the Minutes of the 1st social services committee (SSC) meeting held on 17th-18th Oct, 2016, the committee recommended to council that Labongali HC111 be upgraded to HC1V.

11	<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 5 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 5 • If 80-99% : score 3 • If 70-79%: : score 1 • If less than 70%: score 0 	5	<p>Copies of HUMC meeting minutes were availed at the selected health facilities. (Atiak HCIV, Bibia HC III and Atong HC II). However, the meetings were not regular.</p>
12	<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 3 	3	<ul style="list-style-type: none"> • Copies of PHC fund distribution list of health facilities were properly displayed on noticeboard of DHO

Assessment area: Procurement and contract management

13	<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	2	<p>A Copy of procurement Plan request to DPU from DHOs office was availed and seen dated 4/4/2017</p>
		<p>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2</p>	2	<p>A Copy of procurement request to DPU from DHOs office was availed at DPU dated 8/9/ 2017.</p>

14	<p>The LG Health department has supported all health facilities to submit health supplies procurement plan to NMS</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has supported all health facilities to submit health supplies procurement plan to NMS on time: • 100% - score 8 • 70-99% – score 4 • Below 70% - score 0 	8	<p>A copy of budget allocations for all health facilities for FY 16/17 from NMS to CAO Amuru was availed at DHOs office dated July 1st 2016.</p> <p>A copy of statement of account for FY 2016/17 from NMS to CAO Amuru dated Jan 2nd 2017 with attachment for all health facilities was seen at DHOs office.</p>
15	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 2 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO (as per contract) certified and recommended suppliers timely for payment: score 2 points 	0	<p>The payments of Health department reviewed show that there are instances where the department has not timely certified and recommended suppliers for payment. Examples include:</p> <p>Payment of Shs 30,541,937 to Kamoto Company Ltf for construction of a 4 unit staff house at Olinga HC II. Requisition 21/06/16, Certification 27/06/16 and Payment 24/03/17 (213 days).</p> <p>Payment of Shs 5,163,437 to Emma Supplies and Construction Ltd as retention for renovation of Parabongo HC II. Requisition 16/06/16, Certification 27/06/16 and Payment 21/10/16 (125 days). Average: 169 days.</p>
Assessment area: Financial management and reporting				

16	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	0	<p>There was no evidence that the Health department submitted the annual performance report for FY 2016/17 to the planner for consolidation by mid-July 2017</p>
17	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year • If sector has no audit query score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points • If all queries are not responded to score 0</p>	0	<p>Amuru DLG Health department had internal audit queries in FY 2016/17. Effort was made to respond to the queries, but some remained unresolved.</p> <p>For example Quarter 4 reported on unaccounted for funds in health amounting to Shs 91,531,897 (Page 9, Appendix page 23)</p>
Assessment area: Social and environmental safeguards				
18	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines: score 2 	2	<p>Copies of HUMC meetings seen in selected health facilities had names of both female and males meeting the gender composition.</p>
		<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2 	0	<ul style="list-style-type: none"> • No Copies of sanitation management guidelines were seen at the selected health units

19	<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 2 points</p>	<ul style="list-style-type: none">• Evidence that the LGs has issued guidelines on medical waste management, including guidelines for construction of facilities for medical waste disposal : score 2 points.	0	<ul style="list-style-type: none">• No Copies of guidelines on medical waste management were seen in all the selected health facilities
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LGPA 2017/18

Water & Environment Performance Measures

Amuru District

(Vote Code: 570)

Score 56/100 (56%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: score 10 	10	<p>According to the annual work plan for financial year 2017/2018, Amuru district with a safe water coverage of 68.1% planned to drill 12 deep boreholes (hand pump) targeting all the sub counties that include Lamogi with safe water coverage of 67.5%, Amuru 60.8%, Pabo 67.1% and Atiak 79%. This evidence shows that LG Water department has targeted sub-counties including those with safe water coverage below the district average in the budget for the current FY</p>
2	<p>The LG Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY: score 15 	15	<p>The annual work plans for financial year 2016/2017 planned to drill 7 deep boreholes (hand pumps), according to the 4th quarter report submitted to the ministry of water and environment dated 11/7/2017, and Galaxy drilling company report covering drilling, pump testing, casting, hand pump installation. It was established that the LG Water department has implemented budgeted water projects in all sub-counties of Atiak, Amuru, Pabbo, and Lamogi. This evidence shows that all the sub counties including those with safe water coverage below the district average in the previous FY were targeted</p>
Assessment area: Monitoring and Supervision				

3	<p>The LG Water department carries out monthly monitoring and supervision of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the LG Water department has monitored each of WSS facilities at least annually. • If more than 95% of the WSS facilities monitored: score 15 • 80 - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60 - 69% monitored: score 5 • 50 - 59%: score 3 • Less than 50% of WSS facilities monitored -score 0</p>	7	<p>The monthly monitoring and supervision of project investment records shows that the district water department carried out monitoring and supervision of each of WSS facilities of 75% of the WSS facilities at least once in a year.</p>
4	<p>The LG Water department has submitted accurate/consistent reports/data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<p>• Evidence that the LG has submitted accurate/consistent data for the current FY: o List of water facility which are consistent in both sector MIS reports and OBT: score 10</p>	0	<p>The received annual work plans for the financial year 2017/2018,by the ministry of water and environment on 11/7/2017;shows budget line for rural water supply development;</p> <p>Code 2.4 highlights drilling of 4 deep boreholes budgeted at a cost of 84,320,000/=; code 3.1, highlights budget line for rehabilitation of 7 deep boreholes at a cost of 39, 060,000/=. The target numbers are not consistency with work plans, under output; borehole drilling and rehabilitation (2017/2018) targeted drilling and installation of 10 boreholes and carrying out rehabilitation of 8 deep boreholes.</p> <p>The attached Amuru district borehole assessment report for rehabilitation for financial year 2017/2018, dated 20/5/2017, conducted by Opoka Joseph Ocan, did not have DWD numbers and coordinates for easy tracking after the completion.</p>
Assessment area: Procurement and contract management				

5	<p>The LG Water department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	4	<p>The evidence shows that works and technical services submitted annual procurement plan requests including LG water sector to PDU on 06/02/2017 to PDU that cover all the investments items in the approved Sector annual work plan and budget on time (by April 30)</p>
6	<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	2	<p>There was an evidence of a letter dated 25/10/2016 appointing a contract manager, Robinson P- district water officer, to monitor and supervise implementation of drilling of boreholes for DWSCG and DDEG. There was also evidence of prepared contract management plan that guided the implementation processes and conducted monthly site visits for the different WSS infrastructure projects</p>
		<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	0	<p>The district water department planned to construct drainable latrine facilities and deep boreholes, there was an evidence of sanitation facilities constructed as per design, however, there was no evidence of complete set of deep borehole ground surface designs to guide the drilling processes</p>
		<ul style="list-style-type: none"> • If contractor handed over all completed WSS facilities: score 2 	0	<p>There was evidence of written letters requesting for payments attached to the invoice, however there was no evidence of any communication of handover of completed project facilities informing management to mark the start counting of defect liability period of the implemented projects.</p>
		<ul style="list-style-type: none"> • If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	2	<p>There was evidence of the DWO appropriately certifying the implemented WSS projects, prepared and filed completion reports</p>

7	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	3	<p>The payments reviewed show that the water department timely certifies and recommends suppliers for payment. Examples include: Payment of Shs 6,802,835 to Ngai One Investments Ltd as retention for construction of 10 shallow wells. Requisition 23/01/17, Certification 13/03/17 and Payment 20/03/17 (57 days). Payment of Shs 91,848,840 to Galaxy Agro Tech (U) Ltd for sitting, drilling and construction of 5 deep boreholes. Requisition 10/03/17, Certification 28/03/17 and Payment 05/04/17 (25 days). Payment of Shs 110,218,608 to Galaxy Agro Tech (U) Ltd for sitting, drilling and construction of 8 deep boreholes. Requisition 10/03/17, Certification 28/03/17 and Payment 05/04/17 (25 days). Payment of Shs 21,103,824 to Ebowa Investments as retention for sitting, drilling and construction of 11 boreholes. Requisition 21/02/17, Certification 24/04/17 and Payment 03/05/17 (48 days). Payment of Shs 16,544,000 to Agono General Enterprises for construction of one block 3 stance water bone toilet with urinal at Atiak S/C. Requisition 27/03/17, Certification 24/04/17 and Payment 08/05/17 (41 days). Average: 39 days</p>
Assessment area: Financial management and reporting				
8	<p>The LG Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	0	<p>There was evidence of submitted quarterly and annual reports to the ministry of water and environment. however, there was no evidence that the water department submitted the annual performance report for FY 2016/17 to the planner for consolidation by mid-July 2017</p>

9	<p>LG Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	0	<p>Amuru DLG Water department had internal audit queries in FY 2016/17. Effort was made to respond to the queries, but some remained unresolved.</p> <p>For example Quarter 3 reported on accountability issues with Water department staff such as Shs 2,000,000 advanced to Opoka Joseph for water quality testing, Shs 390,000 advanced to Opoka Joseph for maintenance of piped water, Shs 858,000 advanced to Lapolo Robert for Water and Sanitation data collection.</p> <p>Quarter 4 report included a segment on a value for money audit on water sector operations which covered 15 water points for FY 2016/17. The audit reported on the so far work done on each point, the current level of functionality and the auditor's own comments and recommendations.</p>
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Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	3	<p>Minutes of the 1st social services committee (SSC) meeting held on 17th-18th Oct, 2016, it discussed among others</p> <ul style="list-style-type: none"> • Departmental financial and narrative progress reports for Q1 of FY2016/17- Min 3/SC/FY2016/17/1/10 • Presentation and discussion of District Nutrition Action Plan (DNAP) 2017/18-2021/22- Min 4/SC/FY 2016/17/1/10
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		<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	3	Minute of the 1st finance, planning and administration committee meeting held on 13th and 14th October, 2016, the committee discussed departmental financial and progress reports for quarter 1 FY 2016/17 – Min3/FPA/FY 2016/17/1/10 before presenting to council.
11	<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2 	0	<p>During the assessment period, there was evidence of displaying annual work plan, detailed activities and locations, budget limits release and expenditure for water department in the office of district water officer notice board, but there was no evidence of display at the public notice board as per the PPDA Act.</p> <p>The district water sector quarterly progress and advocacy meetings were conducted on 21/11/2017, for 8 sub counties were conducted on 9/11/2017 and discussed some of the work plans and progress.</p>
		<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	2	During the assessment period, 3 sanitation and 3 water facilities were sampled. It was observed that 3 stances VIP latrine at Elegu town council, 2 five stances drainable latrine at Otango primary school, were labelled as well as 3 boreholes labelled and sign posts set up at the water source.
		<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	2	During the assessment period, there was evidence of sharing information of awarded tenders and contracts for evaluated bidders as per the procurement requirement dated on 12/12/2017 with the removal date of 28/12/2017 on file. This indicated contractor name /contract and contract sum, details for not awarding un successful bidders and other details.

12	Participation of communities in WSS programmes	<ul style="list-style-type: none"> • If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	0	The water department planned to drill 10 deep boreholes at a total cost of 249,800,492/= and repair/rehabilitate 17 deep borehole at a cost of 75,090,048/=, the community beneficiaries are required to apply for water and sanitation facilities. The application form found in DWO in case of offer highlights co funding contribution of 200,000/= for a new deep borehole and 90,000 for deep borehole repair/rehabilitation to be paid within 3 months of offer among others. During the assessment period, there was no evidence of community applying for water/public sanitation facilities and receipt of paying community contribution
	Maximum 3 points for this performance measure	<ul style="list-style-type: none"> • Number of water supply facilities with WSCs that are functioning evidenced by collection of O&M funds and carrying out preventive maintenance and minor repairs, for the current FY: score 2 	0	During the assessment period, there was evidence of water source committees and rehabilitated water sources using the budget funds. However, there was no evidence of number of water supply facilities with WSCs that are functioning evidenced by receipt collection of O&M funds and carrying out preventive maintenance and minor repairs, for the current FY

Assessment area: Social and environmental safeguards

13	The LG Water department has devised strategies for environmental conservation and management	<ul style="list-style-type: none"> • Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	0	Guidelines for environmental impact assessment in Uganda (July 1997), page 45, provides a checklist for environment and social screening of small and big projects. During the assessment period, there was no evidence of any project environment mitigation plan and social screening assessment report on record
	Maximum 4 points for this performance measure	<ul style="list-style-type: none"> • Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	0	There was no evidence of environmental mitigation plan designs, assessment of environment and screening of potential threats and recommendations despite issues of economic activities ranging from brick laying, watering animals at the end of the drainage channel, economic activities encroachment and other environmental concerns that require follow up support.

		<ul style="list-style-type: none"> • Evidence that construction and supervision contracts have clause on environmental protection: score 1 	0	There was no evidence of environmental clause on environmental protection for construction and supervision contracts
14	<p>The LG Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If at least 50% WSCs are women as per the sector critical requirements: score 3 	3	The 3 sampled WSCs are composed of 9 members, it was established that water source committees of Agikanyi DWD #56245 water source was composed of 9(5 female, 4 Male), Labika A DWD# 56173, 9(5 female, 4 Male), Kati Kati, 9 (4 female, 5 male). This evidence shows that at least 50% water source committees are composed of women which is promoting gender equity.
15	<p>Gender- and special-needs sensitive sanitation facilities in public places/RGCs.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	0	The 3 sampled latrine facilities were inspected during the assessment period. it was observed that ramps to ease access of people with disabilities were constructed on 2 drainable latrines facilities at Otongo primary school, Pabbo sub county. The stances for women and men were separated based on the gender. However, the 3 stances drainable latrine at Elegu town council did not have provisions for people with disability.