



## LGPA 2017/18

Accountability Requirements

Kabarole District

(Vote Code: 513)

Assessment	Compliant	%
Yes	3	50%
No	3	50%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Assessment area: Annual performance contract			
LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	xxx	LG submitted the performance contract for 2017/2018 to ministry of finance draft by 30/04/2017 as per copy of counter yellow receipt issued and final on 11/7/2017 as per ministry register, beyond 30th June	No
Assessment area: Supporting Documents for the Budget required as per the PFMA are submitted and available			
LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY (LG PPDA Regulations, 2006).	xxxxx	LG submitted budget as part of the performance contract and the accompanying approved procurement plan was attached.	Yes
Assessment area: Reporting: submission of annual and quarterly budget performance reports			
LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)	xxxxx	LG submitted the annual performance report to ministry of finance on 8/8/2017 as per counter copy of yellow receipt issued. This is beyond 31st July	No

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY; PFMA Act, 2015)</p>	<p>xxxxxx</p>	<p>LG made all quarterly submissions Q1 10/11/2016, Q2 2/2/2017, Q3 05/5/2017 and Q4 8/8/2017 to ministry of finance as per counter copies of yellow. This is beyond the required 31st July</p>	<p>No</p>
<p>Assessment area: Audit</p>			
<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General findings for the previous financial year by April 30 (PFMA s. 11 2g). This statement includes actions against all findings where the Auditor General recommended the Accounting Officer to take action (PFMA Act 2015; Local Governments Financial and Accounting Regulations 2007; The Local Governments Act, Cap 243).</p>	<p>xxxxx</p>	<ul style="list-style-type: none"> <li>The district submitted the report on 24/3/2017 as indicated by the stamp on the report by the office Internal Auditor Generals</li> </ul>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer</p>	<p>xxxxx</p>	<p>The report from the Auditor General for 2016/17 obtained from their offices indicated that the district is No. 41 on page 200 of the report among 146 DLGs and MCs with unqualified opinion.</p>	<p>Yes</p>



## **LGPA 2017/18**

Crosscutting Performance Measures

Kabarole District

(Vote Code: 513)

Score 54/100 (54%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a municipality/district has:</p> <ul style="list-style-type: none"> <li>• A functional Physical Planning Committee in place that considers new investments on time: score 2.</li> </ul> <p>• All new infrastructure investments have approved plans which are consistent with the Physical Plans: score 2.</p>	0	<p>No CAOs letters appointing members to the physical planning committee. However there are minutes dated; 10/02/2016, 29/06/2017 and 27th /09/2016. Department has embarked on profiling the Kabarole District Physical Development Plan 2019-2040. No physical plan developed, so far only handling building plans and land issues. Under funded, Q2 2017/18 received 48,930/=</p> <p>No physical / structural Plan so far developed, therefore no submissions yet.</p>
2	<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<p>• Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.</p> <p>• Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2.</p> <p>• Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1.</p>	2	<p>Budget conference held between 26th and 27th October 2016, and report in place, with attachments of sectoral papers and priorities. Projects like construction of water supply systems, gravity flow schemes, bridge construction and repair, classroom and latrine construction pg 16-20 in AWP are derived from budget conference report priorities.</p> <p>Five Development Plan 2015/16-2019/20 in place and projects like classroom construction, latrine construction, bridge construction, water supply systems and gravity flow schemes pg 70-72 are those that appear in AWP 2017/18 as well.</p> <p>Project profiles 2017/18 were not in place at the time of assessment.</p>

3	<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> <li>Annual statistical abstract, with gender disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum 1 point.</li> </ul>	0	<p>Statistical Abstract 2015 in place and signed, though some sections incomplete. Has disaggregated data for staff categories, population figures, political leaders and sub counties of Hakibaale and Buheesi with high populations receiving more money. But was not discussed in TPC by the time of assessment</p>
4	<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2</li> </ul>	2	<p>Construction of gravity flow schemes, piped water systems pg pg 20 AWP, classroom construction / non-residential buildings, teacher house construction pg 16 of AWP are also the projects reflected in the Q4 report of the same year.</p>
		<ul style="list-style-type: none"> <li>Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0</li> </ul>	2	<p>38 projects sampled, 31 complete and 7 not. Hence completion is at 81.5%. Examples of uncompleted are water ss and GFS Bukuuku-Kyaruhaza-Rwenkuba and classroom at Karangwa</p>
5	<p>The LG has executed the budget for construction of investment projects and O&amp;M for all major infrastructure projects and assets during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2</li> </ul>	2	<p>Kicwamba GFS Buhara-Mukanamura budget 15,000 and spent 15,000, water transmission mains Mugusu GFS budget 41,000 and spent 40,466, Rwankezi water project (Kitoro-Kibuga) budget 27,000 and spent 26,559, Water extension Karangura budget 36,000 and spent 35,183 plus St. Joseph Karangura school budget 10,014 and 10,014, then Nyabwina P/S budget 74,731 and spent 74,700 All within budget, figures in 000s.</p>
		<ul style="list-style-type: none"> <li>Evidence that the LG has budgeted and spent at least 80% of O&amp;M budget for infrastructure in the previous FY: score 2</li> </ul>	2	<p>Roads maintenance for Buheesi-Kabata budget 30,000 and spent 30,000, Kicwamba-Harugongo budget 20,000 and spent 20,000, Kisongi-Munobwa budget 10,000 and spent 10,000 and finally Kiburara –Orubanza budget 20,000 and spent 20,000. Hence 100% of O&amp;M. Figure in 000s.</p>
Assessment area: Human Resource Management				

6	<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2</li> </ul>	0	<p>Two (2) out of the nine (9) HoDs has the appraisals done (signed performance reports) as per the personal files i.e. DNRO file no. CR/D/11607 and DPMO. The others have no evidence of appraisal for 2016/17 FY on file.</p>
		<ul style="list-style-type: none"> <li>Evidence that the LG has filled all HoDs positions substantively: score 3</li> </ul>	0	<p>Out of the 9 HoDs, five (6) are substantively appointed as per the personal files e.g. DEO file no. CR/D/11648, DNRO file no. CR/D/11607, CFO file no.cr/d/10095. The other three (3) are in acting capacity. i.e. DHO and DPMO while DCDO and commercial officer have assigned duties.</p>
7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>Evidence that 100 percent of staff submitted for recruitment have been considered: score 2</li> </ul>	2	<p>The CAO made submissions to the DSC for recruitment in 2016/17 FY through a number of submissions e.g. Letters dated 13/12/2016, 31/01/ 2017 and 30/6/2017. The submissions were considered by the DSC as per the minutes of the DSC sitting on 13/4/2017 (minutes 37/2017 – 42/2017), 2/5/2017(minute 51/2017 and 55/2017), 20/5/2017 (minutes 59/2017 and 60/2017). The submission of 30/6/2017 was considered during the following financial year.</p>
		<ul style="list-style-type: none"> <li>Evidence that 100 percent of staff submitted for confirmation have been considered: score 1</li> </ul>	1	<p>During the FY 2016/17, the CAO made submissions to DSC for confirmation on 20/2/2017 (teachers and health workers), 28/4/2017, 4/5/2017 and 12/5/2017. These were considered by the DSC sitting of 13/3/2017 (minutes 12/2017 -35/2017), DSC sitting of 2/5/2017 (minutes 52/2017 - 56/2017) and sitting of 11/5/2017 (minute 61/2017 – 65/2017).</p>
		<ul style="list-style-type: none"> <li>Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1</li> </ul>	1	<p>Two (2) individual submissions for disciplinary cases were made to the DSC 3rd March 2017. They were considered by the DSC sitting of 2/5/2017 (minutes 49/2017 and 50/2017).</p>

8	Staff recruited and retiring access the salary and pension payroll respectively within two months	<ul style="list-style-type: none"> <li>Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3</li> </ul>	3	All 33 staffs recruited during FY 2016/17 as per the recruited staff list obtained from human resource unit accessed payroll not later than 2 months from the time of recruitment i.e. 100%.
	Maximum 5 points on this Performance Measure.	<ul style="list-style-type: none"> <li>Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2</li> </ul>	0	During 2016/17 FY, five (5) staff retired. By the time of assessment, only one (1) had t accessed payroll after one (1) month i.e Kadoma Sylvano who retired on 5/5/2017 and was on June payroll. The other four (4) accessed payroll between 3 – 6 months i.e.20% accessed payroll not later than two months from the date of retirement.

Assessment area: Revenue Mobilization

9	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> <li>If increase in OSR from previous FY but one to previous FY is more than 10% : score 4 points</li> <li>If the increase is from 5 -10% : score 2 point</li> <li>If the increase is less than 5% : score 0 points.</li> </ul>	2	<ul style="list-style-type: none"> <li>Actual revenue collection in 2015/16 was 410,481, 854 as compared to actual collection in 2016/17 page 24 of the Final accounts which was 436,408,401 page 19 of Final accounts. An increase of 25,926,547, equivalent of 6 % increase.</li> </ul>
10	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10% : then 2 points. If more than +/- 10% : zero points.</li> </ul>	0	<ul style="list-style-type: none"> <li>The revenue budgeted in 2016/17 page 24 of the Final accounts was 505,019,000 But actual collection was 436,408,401.</li> <li>Ratio = <math>436,408,401 / 505,019,000 \times 100 = 86 - 100 = 14\%</math></li> <li>Or Actual collection was less than budgeted amount by <math>436,408,401 - 505,019,000 = (68,610,599) / 505,019,000</math></li> <li>An equivalent of 14 %</li> </ul>



11	<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2</li> </ul>	0	<ul style="list-style-type: none"> <li>The district remits only LST to LLG. LLG remits to district 35% and retain 65% of OSR collected</li> <li>From Trial balance annex 1 of Final accounts, actual LST collected</li> <li>From the LST schedule of transfer for 2016/17, 40,000,000 should have been remitted but the district remitted. only 13,700,425</li> <li>The district claimed the LLG did not remit their 35 % share of OSR collected to a tune of 37,383,975 as per audit report of 2015/16 which have not been verified.</li> </ul>
		<ul style="list-style-type: none"> <li>Evidence that the LG is not using more than 20% of OSR on council activities: score 2</li> </ul>	0	<p>Of the 436,408,401 OSR collected, total spent on council allowances from the Tribal Balance annex 1 of the Final accounts = 127,110,000 = 29 %</p>

Assessment area: Procurement and contract management

12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2</li> </ul>	2	<p>Senior Procurement Officer Position Substantive vide;</p> <p>a. CAO's Letter of Appointment letter dated 11th May 2017 Ref: CR/D/11507 DSC Minute 48/2017.</p> <p>Procurement Officer Position on secondment and transferred to Bunyangabu District effective 1st July 2017</p> <p>b. Replacement for procurement Officer on going, Staff Recruitment plan, submission dated 10th January 2016 to Human Resource</p>
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• Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1

1

TEC Reports are based on list of projects/Procurements. Sampled files:

- Kabr/513/Wrks/16-17/00006, Construction of 4 Classroom Block, 2 VIP latrine at Mother Care Secondary School Kisomoro, M/S JAMOS Construction Service LTD, 172,655,100UGX, 4 TEC members 25th July 2016
- Kabr/513/Wrks/16-17/00007 Construction of 3 Classroom Block and 1 5 Stance VIP latrine at St. Joseph SS in Karangura S/County, Multipurpose Technical Services LTD, 158,596,320UGX 25th July 2016, 4 members.
- Kabr/513/Wrks/16-17/00009 Extension of Bukuku GFS to Kyaruhaza-Rwenkuba-Bukuuku S/County, Mighty Engineering and Research development Co. LTD, 17,774,000 UGX 9th November 2016, 4 TEC members

• Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1

1

DCC approved the TEC recommendation vide;

- TEC sitting Dated 14th /6/17 by 5 of TEC members to the CC. The TEC Recommended M/S Kagu Construction Co. LTD with a bid value of 147,456,487 UGX recommended to CC to negotiate the project value within Budget viza vie Vote 513 AWP 1a output 312101, Amount 85,049,000 UGX dated 17th May 2017.
- The Negotiations Committed appointed by CC sat on 11th July 2017 with 4 members Minute 3 reactions and way forward where Phase I 84,955,546 UGX and Phase II 65,559,941 was negotiated and a Total Project cost 147,456,487 UGX agreed with the contractor.
- CC sitting date 25th September 2017 Ref. No18 CC Minute 179, approved Construction of Bukuuku Sub County HQ Phase 1 as by TEC Recommendation for a negation to 84,955,546 UGX for phase 2 for the construction.
- CC sitting dated 27th November 2017. Reference No. 32 CC: Minute 234, Construction of Sub county HQ, Bukuuku Sub County Phase II, Amount 65,559,941 UGX With a Condition for approval by TEC Recommended for Negotiation

13	<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2</li> </ul>	0	<p>Kabarole District PP FY 2017/18 dated 20th November 2017, CAO's Stamp, received by PPDA 30th November 2017. Disposal Plan dated 10th July 2017 CAO's Stamp, Received by PPDA 14th July 2017.</p> <p>Kabarole District PP FY 2016/17 dated 24th May 2016, CAO Stamp, Received by PPDA 26th May 2016.</p> <p>Sampled Project: Kabr/513/Wrks/16-17/00007 Construction of 3 Classroom Block and 1 5 Stance VIP latrine at St. Joseph SS in Karangura S/County, Multipurpose Technical Services LTD, 158,596,320UG</p> <p>Plan Vs Actual</p> <p>Bid Invitation 19th May 2016 Vs 10th June 2016</p> <p>Bid Closing 7th June 2016 Vs 30th June 2016</p> <p>TEC 7th June 2016 Vs 25th July 2016</p> <p>Award 4th July 2016 Vs 11th August 2016</p> <p>None Adherence to Plan though timely production of plans. PPDA how late or deviant is the plan? See dates above</p>
14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2</li> </ul>	2	<p>All Bid documents for Investment/Infrastructure project planned for 142 in PP 2017/18 e.g. Kabr/513/Wrks/17-18/00003 Construction of facilities at Bishop Balya P/S, Date of issue 18th May 2017</p> <p>All Bid documents where prepared by 18th May 2017</p>
		<ul style="list-style-type: none"> <li>For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2</li> </ul>	2	<p>Kabarole District Local Government Contract Register started in FY 2010/2011 updated with projects from previous FYs to Current FY. though the CR is hand written on a Counter Book its well laid out as required to track the performance of the Contractor as per levels of works agreed in the contracts</p>

		<ul style="list-style-type: none"> <li>For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2.</li> </ul>	2	<p>National Bidding Above 50 million Kab/513/Wrks/16-17/00006, Construction of 4 Classroom Block, 2 VIP latrine at Mother Care Secondary School Kisomoro, M/S JAMOS Construction Service LTD, 172,655,100 UGX.</p> <p>Selective Bidding 30 million Kab/513/Wrks/16-17/00009 Extension of Bukuku GFS to Kyaruhaza- Rwenkuba-Bukuuku S/County, Mighty Engineering and Research Development Co. LTD, 17,774,000 Micro Bidding Hakibale Sub County Refreshment and Fuel 90,000 UGX Invoice date 13th October 2016, Approval date 30th November 2016.</p>
15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>Kab/513/Wrks/16-17/00006, Construction of 4 Classroom Block, 2 VIP latrine at Mother Care Secondary School Kisomoro, M/S JAMOS Construction Service LTD, 172,655,100UGX, Certificate: 26th /10/2017. Inspection report dated 26/06/2017 Ref WKs/209/1</li> <li>Kab/513/Wrks/16-17/00007 Construction of 3 Classroom Block and 1 5 Stance VIP latrine at St. Joseph SS in Karangura S/County, Multipurpose Technical Services LTD, 158,596,320UGX. Certificates: 17th May 2017. No Inspection report.</li> <li>Kab/513/Wrks/16-17/00009 Extension of Bukuku GFS to Kyaruhaza-Rwenkuba-Bukuuku S/County, Mighty Engineering and Research Development Co. LTD, 17,774,000 UGX. Certificates: dated 19/06/2017, Inspection Report 19th /06/2017 signed by Asst. Engineer</li> </ul>

		<ul style="list-style-type: none"> <li>Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2</li> </ul>	0	Only Force Account Projects have site Boards, while Departmental Service Projects lack.
Assessment area: Financial management				
16	<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4</li> </ul>	4	<ul style="list-style-type: none"> <li>Bank reconciliations are done on monthly basis as verified from cash book sampled for: UWEP on 30/1/2018; Youth livelihood on 22/1/2018. Treasury Single Account on 29/1/2018</li> </ul>
17	<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> <li>If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2.</li> </ul>	0	<ul style="list-style-type: none"> <li>There are payment claim registers one for receiving claims and the other one for payment.</li> <li>However some payments made could not be traced on the book recording claims received. Like payment to M/S Maguru construction Ltd on 30/12/2016; M/S David and Friends Ltd on 18/11/2016</li> </ul>
18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3.</li> </ul>	3	<ul style="list-style-type: none"> <li>The district has a substantively appointed District Internal Auditor, by minute No. DSC.122/2012. Dated 28/6/2012</li> <li>All the four quarterly reports were produced as dated below: <ul style="list-style-type: none"> <li>Quarter 1 on 6/11/2016</li> <li>Quarter 2 on 24/1/2017</li> <li>Quarter 3 on 24/4/2017</li> <li>Quarter 3 on 24/7/2017</li> </ul> </li> </ul>

<ul style="list-style-type: none"> <li>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2.</li> </ul>	2	<p>The reports were submitted to PAC on dates indicated below:</p> <ul style="list-style-type: none"> <li>Quarter 1 on 6/11/2016</li> <li>Quarter 2 on 28/2/2017</li> <li>Quarter 3 on 28/4/2017</li> <li>Quarter 3 on 24/7/2017</li> </ul> <p>The CAO wrote a number of letters to different officers to respond to audit issues raised eg.</p> <ul style="list-style-type: none"> <li>To Byaruhanga Christopher dated 20/11/2017, Ref. CR/101/1 to account for 13,228,000 received in quarter 1</li> <li>To Education, production, works and Health head of departments dated 14/9/2017, Ref: CR/106/1 to respond to audit issues for quarter 4</li> <li>Response to accountability for quarter 3 by Head Teacher Roteete Senior secondary School, Baluku Patrick – 0772329009 dated 25/8/20017 for quarter 3 audit issues.</li> </ul>
<ul style="list-style-type: none"> <li>Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1</li> </ul>	0	<p>The reports were submitted to PAC, CAO, LC V and CFO on dates indicated below:</p> <ul style="list-style-type: none"> <li>Quarter 1 on 6/11/2016</li> <li>Quarter 2 on 28/2/2017</li> <li>Quarter 3 on 28/4/2017</li> <li>Quarter 3 on 24/7/2017</li> </ul> <p>However, PAC reports and minutes were not presented for verification because the Clerk to Council was away in Kampala</p>

19	<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>Evidence that the LG maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4</li> </ul>	0	<ul style="list-style-type: none"> <li>All the motor vehicles in the register have no values entered in the register</li> <li>The following vehicles have neither the chassis nor the engine Nos entered. Mitsubishi L200 Reg. No UG 0059E, Low bed Reg No.LG0055-14, Caterpillar bulldozer Reg. nil, Chassis No nil, engine No nil</li> </ul>
20	<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY: • unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0</p>	4	<ul style="list-style-type: none"> <li>Kabarole District local government obtained unqualified opinion as reflected in the Auditor General Report on page 200 and No. 41 from the list.</li> </ul>
Assessment area: Governance, oversight, transparency and accountability				
21	<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<p>Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</p>	2	<p>Council sat 28/2/2017 under min. Kab.COU/99/0217 discussed budget estimates 2017/18, revenue enhancement plan, regulation of sachets alcohol and time of opening bars. Min. Kab.COU/100/02/17 discussed sectoral committee reports. Also sat 19/12/2016, 28th/Oct/2016 and 26th /Aug/2016 discussing among others sectoral reports, supplementary budgets etc.</p>
22	<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> <li>Evidence that LG has designated a person to coordinate response to feedback (grievance /complaints) and responded to feedback and complaints: score 2.</li> </ul>	0	<p>No person has been designated yet.</p>



23	The LG shares information with citizens (Transparency)	Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2	2	Pensioners and gratuity arrears cleared for payment 2016/17 and 2017/18, pensioner schedule July 2017 and payroll July 2017 displayed on notice board and in HR office.
	Total maximum 4 points on this Performance Measure	• Evidence that the procurement plan and awarded contracts and amounts are published: score 1	1	Best evaluated bidder notice 29/11/17 displayed eg. Power extension Mukonomura to M/S Billy Electricals ltd at 29,243,000/=, supply of desks M/S Kama Excel ltd at 15,732,000/=
		• Evidence that the LG performance assessment results and implications, are published e.g. on the budget website for the previous year (from budget requirements): score 1.	0	N/A.
24	The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens	• Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1	1	Guidelines on establishment of Local Council Courts 26/09/2016 plus guidelines on deduction at source by ministry of finance 14/11/2016 from ULGA received by all S/C chiefs and Town Clerks.
	Maximum 2 points on this performance measure	• Evidence that LG during previous FY has conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc..) with the public to provide feed-back on status of activity implementation: score 1.	0	Baraza conducted under auspices of development partners, and district officials were invited to give accountability at Kicwamba S/C and Kalya courts. But reports as evidence not in place.
Assessment area: Social and environmental safeguards				

25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG gender focal person has provided guidance and support to sector departments to mainstream gender into their activities score 2.</li> </ul>	0	<p>Kabarole DLG 5 Year Development Plan 2015/16-2019/2020 and Project profiles do not reflect Gender Concerns anywhere. Page 183-193. Mentoring of staff on Gender Mainstreaming Ref: CBS/213/5, 1st November 2016 Signed by SDCDO. Report on Training Community Development Workers on Gender Based Violence Ref: CBS 213/5 dated 30th January 2017 signed by SDDCDO</p>
		<ul style="list-style-type: none"> <li>• Evidence that gender focal point has planned activities for current FY to strengthen women's roles and that more than 90% of previous year's budget for gender activities has been implemented: score 2.</li> </ul>	2	<p>Reviewed the 2017/18 Performance Contract Kabarole District vote 513 signed by CAO stamped no date. Work plan 9 Community Based Services where Gender Falls is on page 26-28 and has no AWP provisions for Gender.</p> <p>Reviewed Kabarole District Work plan and Budget estimates FY 2017/18. Signed District Chairperson page 2 CBS output 9 Gender mainstreaming output page 60-61, Amount 4,000,000 UGX.</p> <p>Last FY 2016/17 Gender Mainstreaming Budget was 1,830,000 UGX. Expenditure dated 22nd September 2016 was 2,000,000</p>
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2</li> </ul>	0	<p>The Environment Officer confirmed that No screening has been done for District level projects planned for the last four years due to lack of Budgetary Allocations</p>
		<ul style="list-style-type: none"> <li>• Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1</li> </ul>	0	<p>While some projects have environmental measures in Bid documents the Environment Officer confirms that this was not done by their office as such No involvement of the Environment Officer in Bid processing of projects</p>
		<ul style="list-style-type: none"> <li>• Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1</li> </ul>	0	<p>No documentation and evidence presented at the time of the assessment</p>

	<ul style="list-style-type: none"><li>• Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer: score 2</li></ul>	0	The Environment Officer asserts that they have never issued any certificates to District level projects except that National led projects (URF)
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## **LGPA 2017/18**

Educational Performance Measures

Kabarole District

(Vote Code: 513)

Score 64/100 (64%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human Resource Management				
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4</li> </ul>	4	<ul style="list-style-type: none"> <li>From the performance contract (annex) staff lists for 63 schools in 2017/18, pg 49-111 shows that the district budgeted for 836 teachers, which on average is above a minimum teacher per class.</li> </ul>
		<ul style="list-style-type: none"> <li>Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school for the current FY: score 4</li> </ul>	4	<ul style="list-style-type: none"> <li>The performance contract recruitment plan indicates a head teacher and a teacher per class.</li> <li>Evidence of deployment against vacant posts from the approved structure in DEOs office also confirms the same.</li> <li>From the sample of schools; Butebe p/s, Karambi p/s, Nyansozi, Nyakasura and Kitarasa; there was a head teacher and at least 7 teachers.</li> </ul>

2	<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> <li>If 100% score 6</li> <li>If 80 - 99% score 3</li> <li>If below 80% score 0</li> </ul> </li> </ul>	3	<ul style="list-style-type: none"> <li>The performance contract 2017/18, pg 20 indicates the number of planned teachers 902 given the wage bill.</li> <li>However from the staff lists from DEOs and HR office indicates 836 teachers on pay roll in 63 schools.</li> <li><math>836/902 * 100 = 92\%</math></li> </ul>
3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6</li> </ul>	0	<ul style="list-style-type: none"> <li>From the approved structure for department staff list and basing on the recruitment plan 2017/18, one (1) post of inspector is vacant.</li> </ul>
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of Primary Teachers: score 2</p>	2	<ul style="list-style-type: none"> <li>From the performance contract annex recruitment plan, pg 5&amp;6 indicates recruitment for teachers and inspectors for 2017/18 submitted.</li> </ul>

		Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of School Inspectors: score 2	2	<ul style="list-style-type: none"> <li>• From the performance contract annex recruitment plan, pg 5 indicates that the department recruitment plan for 2017/18 was submitted.</li> <li>• However, with the current wage bill, recruitment of teachers is only on replacement basis until wage bill is lifted.</li> <li>• The inspectors' positions as per the staff structure lack one personnel.</li> </ul>
5	The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.  Maximum 6 for this performance measure	Evidence that the LG Education department appraised school inspectors during the previous FY • 100% school inspectors: score 3	0	The District has two (2) school inspectors. One of the two has her appraisal s done as per the personal files i.e. 50% appraisal done.
		Evidence that the LG Education department appraised head teachers during the previous FY. • 90% - 100%: score 3 • 70% - 89%: score 2 • Below 70%: score 0	0	Five (5) out of 10 sampled head teachers were appraised. The other five (5) had no evidence of appraisal (performance agreements and reports) completed and endorsed by their supervisors (Sub-County Chief and DEO) i.e. 50% appraisal done.

Assessment area: Monitoring and Inspection

The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools

Maximum 3 for this performance measure

• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1

1

Circulars from MOES seen evident by;

- Registration of learners by NIIRA from all private and government schools, dated 8/7/2017. Signed by PS MoLG

- Closure of unregistered / unlicensed private schools to operate 2018; Deadline 31/12/2017. Signed by PS MoES.

- Circular ADM/48/315/01; Guidelines on school charges; dated 24/10/2017, signed by PS MOES. Disseminated to all head teachers, and proprietors of private schools.

• Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level, including on school feeding: score 2

2

- DEOs office communicates with head teachers at least 3 times in a term: at the beginning, middle and at close of term.

- Dissemination of NIRA registration of pupils above 5yrs; Min.6/2017.

- DEOs communication with head teachers and directors about;

The Closure of unregistered and unlicensed schools, Min.7/11/17; dated 16/2/2017, signed by CAO.



7	<p>The LG Education Department has effectively inspected all private and public primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that all private and public primary schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> <li>100% - score 12</li> <li>90 to 99% - score 10</li> <li>80 to 89% - score 8</li> <li>70 to 79% - score 6</li> <li>60 to 69% - score 3</li> <li>50 to 59% - score 1</li> <li>Below 50% - score 0.</li> </ul> </li> </ul>	<p>8</p> <ul style="list-style-type: none"> <li>Inspectors report for 2016/17 shows 124 government schools and 62 registered private schools totalling to 184.</li> <li>Inspected schools from Qtr 1 and 3 (sampled) are 164.</li> <li><math>164/184 * 100 = 89\%</math></li> </ul>

LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations

Maximum 10 for this performance measure

• Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4

4

• Department status report on 18/7/16; Challenges of transport for inspection: Double cabin procured on 16/1/2017.

• Annual performance inspection report for FY 2016/17 indicated a Head teacher mismanaged school's furniture. Corrective action was decided upon the Head teacher to be interdicted.

• Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2

2

• Acknowledgement form on comprehensive data collection exercise from MoES; Ref.CR//2013/10, dated 27/4/2017 is in place.

• However DES matrix chart shows no report, work plan or accountabilities submitted in 2016/17, (but claims for submissions to regional office.

• Evidence that the inspection recommendations are followed-up: score 4

4

Follow up cases seen at Nyansozi p/s when recommendations were made to improve schemes of work, time table; this was followed up by teachers staff meeting min.2&5/4/17 to address such inconsistencies.

9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has submitted accurate/consistent data: o List of schools which are consistent with both EMIS reports and OBT: score 5</li> </ul>	5	<ul style="list-style-type: none"> <li>• EMIS data for schools shows total of 124 govt aided schools submitted to MoES IN 2016/17; which is in tandem with the schools lists in the performance contract 2016/17 Annex.</li> <li>• The totals are consistent.</li> </ul>
		<p>Evidence that the LG has submitted accurate/consistent data: • Enrolment data for all schools which is consistent with EMIS report and OBT: score 5</p>	0	<ul style="list-style-type: none"> <li>• EMIS enrolment data shows total of UPE pupils submitted is 70491 (35,713 females, and 34,778 males ) as indicated from statistical data 2017/2018</li> <li>• Performance contract 2017/18, Pg 20 indicates total enrolment of 84,000 pupils.</li> <li>• The totals are not consistent.</li> </ul>

Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc...during the previous FY: score 2</li> </ul>	0	<p>Sectoral Committee minutes and reports not seen, as Clerk to Council was in Kampala taking the children to school. CAO and Vice Chairperson tried to get him but in vain up to end of day 2.</p>
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		<ul style="list-style-type: none"> <li>• Evidence that the education sector committee has presented issues that requires approval to Council: score 2</li> </ul>	0	<p>Sectoral Committee minutes and reports not seen, as Clerk to Council was in Kampala taking the children to school. CAO and Vice Chairperson tried to get him but in vain up to end of day 2. No evidence of presentation to council.</p>
11	<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO) • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80% schools: score 0</p>	5	<ul style="list-style-type: none"> <li>• SMCs reports are now tagged to accountabilities with when head teachers are submitting UPE accountabilities for the previous term.</li> <li>• Basing on schools sampled, the mandatory 3 meetings, once per term were evidently seen and resource issues discussed especially when approving budgets for UPE grants.</li> </ul>
12	<p>The LG has publicised all schools receiving non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</li> </ul>	3	<ul style="list-style-type: none"> <li>• Department of education displayed UPE grants on notice board.</li> <li>• The schools inspected also had displayed the same in the head teachers' office.</li> </ul>
Assessment area: Procurement and contract management				

13	<p>The LG Education department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30: score 4</li> </ul>	<p>4</p> <ul style="list-style-type: none"> <li>• Basing on approved sector AWP for 2017/18, a sample of procurements including; latrine construction, classroom construction were seen.</li> </ul> <p>Procurement requests were prepared and signed by user department on 23/8/2017 and submitted and received by PDU through CAO on 23/8/2017.</p>
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14	<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3 points</li> </ul>	3	<p>1) Construction of 4 roomed rural teacher's house at Kiburara P.7 school in Hakibaale Sub county worth 77,900,000 by M/S Maguru Construction Ltd</p> <ul style="list-style-type: none"> <li>• Contract date: 9/9/2016</li> <li>• Certificate No. 1 date 15/9/2016</li> <li>• Payment date: 30/12/2016</li> <li>• Voucher No PV-ED00166</li> </ul> <p>2) Construction of facilities at Masongora P.7 School Kabende Sub County worth 264,878,443 by M/S David and Friends Co Ltd.</p> <ul style="list-style-type: none"> <li>• Contract date: 10/9/2016</li> <li>• Certificate No 1 date 15/11/2016</li> <li>• Payment date: 18/11/2016</li> <li>• Voucher No PVED00158</li> </ul>
Assessment area: Financial management and reporting				

15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by mid-July for consolidation: score 4</li> </ul>	0	<p>Q1 9/11/2016, Q2 3/1/2017, Q3 3RD/May/2017 and Q4 4/8/2017 as per planning unit records. This is beyond mid-July as required.</p>
16	<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points o If all queries are not responded to score 0</li> </ul>	0	<p>No evidence was presented for verification for issues raised in quarter 3 for unaccounted for 10,602,000 by Rujumba Joseph for school inspection, unaccounted USE funds quarter 3 = 14,649,443, quarter 2= 5,683,000</p>
Assessment area: Social and environmental safeguards				
17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>Guidelines for senior women/men teacher on menstrual hygiene management; disseminated through workshop training on 5-6/9/17, and senior women teachers attended, and Guidelines/ manuals on Understanding and managing menstruation 2013 were also given.</li> <li>All sampled schools have senior woman teachers to handle issue of girl/boy hygiene and sanitation</li> </ul>

		<ul style="list-style-type: none"> <li>• Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>• Special Needs Education meeting held at Kitumba on 30/11/2017, Min. 14/11/17: Trained parents on how to support PWDs in school.</li> <li>• Special needs education officer post fully filled to support vulnerable children.</li> <li>• Inspection tool caters for hand washing facilities and at schools.</li> </ul>
		<ul style="list-style-type: none"> <li>• Evidence that the School Management Committee meet the guideline on gender composition: score 1</li> </ul>	1	<ul style="list-style-type: none"> <li>• From SMCs sampled, all have appointment letters, adhered to gender guidelines as stipulated the in education act 2008, second schedule; A minimum of 2 or more members out of 6 founding members should be females.</li> </ul>
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 3:</li> </ul>	3	<ul style="list-style-type: none"> <li>• Inspection tool used by inspectors, caters for rubbish disposal pit</li> <li>• MoES construction guidelines to LGs incorporate environment management, to plant grass, and trees when commissioning projects. No contractor's work is certified without adherence to these.</li> </ul>





## **LGPA 2017/18**

Health Performance Measures

Kabarole District

(Vote Code: 513)

Score 78/100 (78%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human resource planning and management				
1	<p>LG has substantively recruited primary health workers with a wage bill provision from PHC wage</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> <li>• More than 80% filled: score 6</li> <li>• 60 – 80% - score 3</li> <li>• Less than 60% filled: score 0</li> </ul>	6	<ul style="list-style-type: none"> <li>• The Local Government Performance contract 2017/18 submitted to MOFPED on 30th March 2017 shows the approved structure for health of 358 positions. Those in post are 304 PHC workers. In 207/18 FY, there is PHC wage provision of 2,293,000 for PHC wage for staff in post; there is no PHC wage for new recruitment however the DHO wrote to CAO to fill 2 vacancies for lab technicians</li> <li>• The percentage of the structure filled is <math>306/358 = 85.5\%</math></li> </ul>
2	<p>The LG Health department has submitted a comprehensive recruitment plan to the HRM department</p> <p>Maximum 4 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of health workers: score 4</p>	0	<ul style="list-style-type: none"> <li>• The recruitment plan was not annexed to the LG performance contract and was not seen at the time of assessment</li> </ul>
3	<p>The LG Health department has ensured that performance appraisal for health facility in charge is conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the health facility in-charge have been appraised during the previous FY: o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0</p>	8	<p>The District has one (1) HCIV i.e. Bukuku HCIV and no District Hospital. The In charge (Dr.Asiimwe Solmon) has his appraisals done as per the personal file (CR/D/11744) i.e. 100% appraisal done.</p>

4	<p>The Local Government Health department has equitably deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Health department has deployed health workers equitably, in line with the lists submitted with the budget for the current FY: score 4</li> </ul>	4	<ul style="list-style-type: none"> <li>• The staff list is dated July 2017 and shows staff by cadre deployed in each facility. The staff are available in the health facilities sampled.</li> </ul> <p>The budget for the current FY is 2,293,618,000 for PHC wage</p>
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Assessment area: Monitoring and Supervision

5	<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the DHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3</li> </ul>	3	<p>The guidelines, policies, and circulars available with DHO are Uganda Clinical guidelines, guidelines on measles ,and malaria treatment, policy guidance on malaria presumptive treatment in pregnancy, integrating nutrition assessment, counselling, and support into health service delivery, national HIV policy and implementation guidelines in Uganda. These guidelines were received and available at the health facilities e.g. Bukuku HCIV and Karambi HCIII</p>
		<ul style="list-style-type: none"> <li>• Evidence that the DHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3</li> </ul>	3	<ul style="list-style-type: none"> <li>• Minutes of meetings held on 27th Feb 2017 and 2nd June 2017 with health facility in charges</li> </ul>

6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised 100% of HC IVs and district hospitals: score 3</p>	3	<ul style="list-style-type: none"> <li>There is one HCIV Bukuku HCIV; the supervision reports and support supervision log book shows supervision conducted monthly as follows;4th July 2016;9th Sept 2016;6th Oct 2016;30th Nov 2016;12th Dec 2016;23rd Jan 2017;27th Feb 2017;29th March 2017;30th June 2017. Some of the areas supervised include updating TB registers and development of performance plans for health facility in charges.</li> </ul>
		<p>Evidence that DHT has supervised lower level health facilities within the previous FY: • If 100% supervised: score 3 points • 80 - 99% of the health facilities: score 2 • 60 - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0</p>	3	<ul style="list-style-type: none"> <li>Support supervision reports e.g. Kabende HC111 dated 6th March 2017;Kijura HCIII dated 17th Jan 2017; Karambi HCIII dated 27th July 2016;13th Oct 2016;29th Nov 2016;3rd Jan 2017;2nd Feb 2017; 1st March 2017;19th May 2017; 27th June 2017. The supervision supported by Baylor focused on filling of Lab registers, Quality improvement on viral load testing; and review of registers.</li> </ul>
7	<p>The Health Sub-district(s) have effectively provided support supervision to lower level health units</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that health facilities have been supervised by HSD and reports produced: • If 100% supervised score 6 points • 80 - 99% of the health facilities: score 4 • 60 - 79% of the health facilities: score 2 • Less than 60% of the health facilities: score 0</p>	6	<ul style="list-style-type: none"> <li>These integrated support supervision reports are available at Bukuku HCIV</li> </ul> <p>These reports cover all the health facilities(100%) in the health sub district. some of the issues arose from suspension of PHC non wage allocation to 6 Health facilities</p>

8	<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the reports have been discussed and used to make recommendations for corrective actions during the previous FY: score 4</li> <li>• Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6</li> </ul>	4	<p>The Minutes of DHT meetings dated 21st Dec 2016; 4th Jan 2017; 23rd Jan 2017; 16th Jan 2017; issues discussed include progress on HMIS reporting and indicators; infection control and waste management, staff promotions, transport challenges for the out reaches, and space for waiting clients for HIV/TB services</p> <ul style="list-style-type: none"> <li>• Some of the recommendations followed up include accountability for PHC and donor funds; submission of regular weekly reports; temperature charting for vaccines; power supply to some health facilities. Performance review meetings are held.</li> </ul>
9	<p>The LG Health department has submitted accurate/consistent reports/date for health facility lists as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has submitted accurate/consistent data regarding: o List of health facilities which are consistent with both HMIS reports and OBT: score 10</li> </ul>	10	<ul style="list-style-type: none"> <li>• All 52 health facilities( 30 public; 7 private not for profit; and 5 private for profit ) report in HMIS and the list is similar to OBT list</li> </ul>
Assessment area: Governance, oversight, transparency and accountability				

10	<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the council committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2</li> </ul> <ul style="list-style-type: none"> <li>Evidence that the health sector committee has presented issues that require approval to Council: score 2</li> </ul>	0	<p>Sectoral Committee minutes and reports not seen, as Clerk to Council was in Kampala taking the children to school. CAO and Vice Chairperson tried to get him but in vain up to end of day 2.</p> <p>Sectoral Committee minutes and reports not seen, as Clerk to Council was in Kampala taking the children to school. CAO and Vice Chairperson tried to get him but in vain up to end of day 2. No evidence of presentation to council.</p>
11	<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 5 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> <li>If 100% of randomly sampled facilities: score 5</li> <li>If 80-99% : score 3</li> <li>If 70-79%: : score 1</li> <li>If less than 70%: score 0</li> </ul>	5	<ul style="list-style-type: none"> <li>All health facilities have HUMC and have held four mandatory meetings e.g. Karambi HCIII held meetings on 27th Sept 2016; 6th Dec 2016; 28th March 2017, and 4th April 2017.</li> <li>Bukuku HCIV held HUMC meetings on 4th July 2016; 9th Sept 2016; 6th Oct 2016; and 30th Nov 2016</li> </ul>
12	<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 3</li> </ul>	3	<ul style="list-style-type: none"> <li>These were available at the notice boards of health facilities visited</li> </ul>

Assessment area: Procurement and contract management

13	<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2</li> </ul> <p>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2</p>	0	<p>The health sector submitted the procurement plan that contains only recurrent items only e.g. fuel, car washing, stationery since in the current FY funds for development expenditure were not provided in the budget. Since the cut-off point is 30th April 2017 ,this procurement plan was submitted late.</p> <p>The procurement requests for fuel and lubricants were submitted after the first quarter on 25th oct 2017; car washing for 3 ambulances on 5th oct 2017</p>
14	<p>The LG Health department has supported all health facilities to submit health supplies procurement plan to NMS</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG Health department has supported all health facilities to submit health supplies procurement plan to NMS on time:</li> <li>100% - score 8</li> <li>70-99% – score 4</li> <li>Below 70% - score 0</li> </ul>	8	<p>32 health facilities were supported to order for supplies from NMS through quarterly Pre ordering meetings. The procurement plan was received by NMS on 30th June 2017</p>
15	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 2 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the DHO (as per contract) certified and recommended suppliers timely for payment: score 2 points</li> </ul>	0	<p>The department did not have funds and no project / procurement implemented.</p>

Assessment area: Financial management and reporting

16	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4</li> </ul>	0	<p>Q1 9/11/2016, Q2 3/1/2017, Q3 3RD/May/2017 and Q4 4/8/2017 as per planning unit records. This is beyond mid-July as required.</p>
17	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> <li>If sector has no audit query score 4</li> <li>If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points</li> <li>If all queries are not responded to score 0</li> </ul>	0	<p>No evidence was presented for verification on actions taken on some of the audit issues raised.</p> <p>Eg. In quarter 3 2016/17. 3,847,934 was not accounted for.</p>
Assessment area: Social and environmental safeguards				
18	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> <li>Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines: score 2</li> </ul>	2	<p>In Bukuku HCIV out of 15 HUMC members 4 are female. In Karambi HCIII, out of 9 members 4 are female. The guidelines from MOH do not specify the minimum number of females in the committee so long as there is at least one female representative.</p>
		<ul style="list-style-type: none"> <li>Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>Guidelines on sanitation were found at the health facilities visited; separate toilet facilities or male and female patients well marked were found in Karambi HCIII</li> </ul>



19	<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 2 points</p>	<ul style="list-style-type: none"><li>• Evidence that the LGs has issued guidelines on medical waste management, including guidelines for construction of facilities for medical waste disposal : score 2 points.</li></ul>	2	<p>Guidelines on medical waste were found at the health facilities visited with medical waste being segregated and disposed</p>
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## **LGPA 2017/18**

Water & Environment Performance Measures

Kabarole District

(Vote Code: 513)

Score 55/100 (55%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the LG Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: score 10</li> </ul>	10	<ul style="list-style-type: none"> <li>Safe water coverage for Kabarole district stands at 66%</li> <li>The LG water department serves nine sub-counties, two of which are below the district's average coverage, viz.: Kasenda (42%) and Kichwamba (52%)</li> <li>Provision has been made for the two under-served sub-counties in the FY 2017/18 budget/workplan as follows: <ul style="list-style-type: none"> <li>Kasenda: Extension/Construction of piped (gravity flow) water scheme; budget – UGX 85m</li> <li>Kichwamba: Rehabilitation of gravity flow scheme (GFS); budget – UGX 55m</li> </ul> </li> </ul>

2	<p>The LG Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY: score 15</li> </ul>	<p>15</p> <ul style="list-style-type: none"> <li>• Assessment of FY 2016/17 progress reports and Letter of Understanding between LG and MWE revealed the underserved sub-counties were targeted as follows: <ul style="list-style-type: none"> <li>o Kasenda: Extension/Construction of piped WSS; budget – UGX 27m</li> <li>o Kichwamba: Construction of gravity flow scheme; budget – UGX 56m</li> </ul> </li> </ul>
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Assessment area: Monitoring and Supervision

3	<p>The LG Water department carries out monthly monitoring and supervision of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the LG Water department has monitored each of WSS facilities at least annually. • If more than 95% of the WSS facilities monitored: score 15 • 80 - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60 - 69% monitored: score 5</p>	<p>5</p> <ul style="list-style-type: none"> <li>• Five major WSS projects were undertaken in FY 2016/17: <ul style="list-style-type: none"> <li>o Extension of Yerya GFS to Kisomoro sub-county (by Elon Ltd), Rwimi town council (Richo Investments), and Rwimi sub-county (by Nkamat Contractors)</li> <li>o Rehabilitation of Bukuku GFS and Nsoto shallow well by Kabarole Hand-pump Mechanics and Scheme Attendants (KAHASA)</li> <li>o Extension of Buheesi GFS by Nkamat Contractors Ltd</li> <li>o Extension of Mugusu GFS to Nyeri Parish (Mugusu) by Mighty Engineering Ltd</li> <li>o Construction of piped WSS in</li> </ul> </li> </ul>
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		<p>79%: score 7 • 60 - 69% monitored: score 5 • 50 - 59%: score 3 • Less than 50% of WSS facilities monitored -score 0</p>		<p>Karagura sub-county by Bagu Ltd</p> <ul style="list-style-type: none"> <li>• Construction supervision and monitoring reports for recent WSS projects were reviewed/assessed as follows: <ul style="list-style-type: none"> <li>o Inspection report of June 21, 2017: authored by Karagura sub-county reviewed construction works for Karagura WSS</li> <li>o Inspection reports authored in March 2017 by the Assistant Engineering Officer for Yerya GFS and Buheesi GFS</li> </ul> </li> <li>• 3 out of 5 construction Projects: 60%</li> </ul>
4	<p>The LG Water department has submitted accurate/consistent reports/data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that the LG has submitted accurate/consistent data for the current FY: o List of water facility which are consistent in both sector MIS reports and OBT: score 10</li> </ul>	10	<ul style="list-style-type: none"> <li>• Kabarole LG intends to implement the following WSS projects during FY 2017/18: <ul style="list-style-type: none"> <li>o Construction/extension of piped WSS to serve communities in Kasenda, Rutete and Karagura sub-counties</li> <li>o Rehabilitation of Kichwamba GFS</li> </ul> </li> <li>• The above list is consistent with MWE's MIS records for district WSS facilities FY 2017/18</li> </ul>
Assessment area: Procurement and contract management				

5	<p>The LG Water department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	0	<ul style="list-style-type: none"> <li>• The DWO submitted procurement requests for all project listed in performance measure 4 as follows: <ul style="list-style-type: none"> <li>o Construction of piped WSS in Kasenda sub-county: budget – UGX 93.8m; date – May 19, 2017</li> <li>o Construction of Rwevera WSS in Rutete sub-county: budget – UGX 97.7m; date – May 19, 2017</li> <li>o Construction of Karugura GFS: budget – UGX 95.5m; date – May 19, 2017</li> </ul> </li> <li>• The PDU records confirm submission of the PRs was beyond the April 30, 2017 deadline</li> </ul>
6	<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>• Regular site visits are conducted for the aforesaid projects as showcased by periodic monitoring and supervision reports presented in Performance Measure 3</li> </ul>

<ul style="list-style-type: none"> <li>• If water and sanitation facilities constructed as per design(s): score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>• Field assessment was conducted on February 5, 2018 for recently implemented WSS projects; i.e. gravity flow schemes in Mugusu and Kisomoro sub-counties, and public sanitation facilities at Mugusu RGC and Rweihamba RGC</li> <li>• It was established the facilities were built as per designs</li> </ul>
<ul style="list-style-type: none"> <li>• If contractor handed over all completed WSS facilities: score 2</li> </ul>	2	<ul style="list-style-type: none"> <li>• During field assessments, beneficiary communities were engaged to get their views with respect to post-construction operations and maintenance</li> <li>• No issue was reported with respect to service levels of WSS facilities</li> <li>• The WSS projects assessed are within the defects liability period, but no substantial corrective measures are necessary</li> </ul>

• If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2

2

- The LG largely implements water extension projects, which have a construction period of less than 3 months

- Substantial completion reports prepared by the DWO paved way for certifying extension works. Certified works included:

- o Extension of Yerya GFS to Kisomoro – by Elon Ltd (April 5, 2017; UGX 28.9m)

- o Extension of Yerya GFS to Rwimi – by Nkamat Ltd (June 13, 2017; UGX 29.6m)

- o Extension of Buheesi GFS – by Nkamat Ltd (March 29, 2017; UGX 16.3m)

- o Rehabilitation of Bukuku GFS (UGX 24m) and Nsoto shallow well (UGX 5.4m) – KAHASA; both certified April 23, 2017



7	<ul style="list-style-type: none"> <li>Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points</li> </ul>	<ul style="list-style-type: none"> <li>Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points</li> </ul>	<p>1) Extension of Yerya GFS in Mujuju Parish Sub County worth 41,683,000 by M/S Richo Investment Ltd.</p> <ul style="list-style-type: none"> <li>Contract date: 25/11/2016</li> <li>Final Certificate date 6/3/2017</li> <li>Payment date: 13/4/2017</li> <li>Voucher No PV-WK00255</li> </ul> <p>2) Extension of water transmission line from Kigaya- Kiraro in Mugusu Sub County worth 40,466,000 by M/S K David and Friends</p> <ul style="list-style-type: none"> <li>Contract date: 1/3/2017</li> <li>Final Certificate date 15/6/2017</li> <li>Payment date: 22/6/2017</li> <li>Voucher No PV-WK00298</li> </ul>
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Assessment area: Financial management and reporting

8	<p>The LG Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5</li> </ul>	<p>0</p> <p>Q1 9/11/2016, Q2 3/1/2017, Q3 3RD/May/2017 and Q4 4/8/2017 as per planning unit records. This is beyond mid-July as required.</p>
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9	<p>LG Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0</li> </ul>	0	<p>No evidence was presented for verification on action taken on some of the audit issues raised in quarter 4 eg. Unaccounted for funds by : Mugube Nathan 1,080,000, Kobusinge Sarah 5,750,000, Alleluya Stephen 1,536,000</p>
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Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> <li>Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3</li> </ul>	0	<p>Sectoral Committee minutes and reports not seen, as Clerk to Council was in Kampala taking the children to school. CAO and Vice Chairperson tried to get him but in vain up to end of day 2.</p>
		<ul style="list-style-type: none"> <li>Evidence that the water sector committee has presented issues that require approval to Council: score 3</li> </ul>	0	<p>Sectoral Committee minutes and reports not seen, as Clerk to Council was in Kampala taking the children to school. CAO and Vice Chairperson tried to get him but in vain up to end of day 2. No evidence of presentation to council.</p>

11

The LG Water department has shared information widely to the public to enhance transparency

Maximum 6 points for this performance measure

• The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2

0

• At the time of assessment, the following info was displayed on the LG notice boards:

o Q3 release: Water (sanitation) UGX 8.6m

o Q3 release: Water (development) UGX 155m

• The above notwithstanding, neither the water department's workplan/budget nor expenditure details are displayed on the LG notice boards

• All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2

0

• Projects assessed during fieldwork show FY of construction, but contractor and funding details were missing:

o PSP #1 – Village: Karwoma; FY: 2016/17; Funder and Contractor: Missing

o PSP #2 – Village: Karwoma; FY: 2016/17; Funder and Contractor: Missing

o PSP #3 – Village: Karambi II; FY: 2016/17; Funder and Contractor: Missing

o 3-stance lined public VIP toilet at Rweihamba; FY 2015/16; Funder and Contractor: Missing

o 3-stance lined public VIP toilet at Mugusu; FY 2014/15; Funder and Contractor: Missing

• Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2

2

• At the time of assessment, the following info on tenders and contracts was displayed:

o Construction of 2 No. protected springs: best evaluated bidder – S&S General (UGX 9m); date – November 29, 2017

o Rehabilitation of 6 No. shallow wells: best evaluated bidder – S&S General (UGX 5.9m); date – November 29, 2017

o Construction of 2- stance latrine: best evaluated bidder – KenSurv (UGX 10m); date – November 29, 2017

12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1</li> </ul>	1	<ul style="list-style-type: none"> <li>• Kabarole's LG communities are closely involved in WSS projects – from applying for WSS services to committing resources for projects</li> <li>• During the assessment, the following contractor's works certified by communities were reviewed: <ul style="list-style-type: none"> <li>o Yerya GFS to Kisomoro – certified by Karambi village</li> <li>o Yerya GFS to Rwimi – by Rwaihora village; June 12, 2017</li> <li>o Extension of Buheesi GFS – by Kisabani Village; March 28, 2017</li> </ul> </li> </ul>
		<ul style="list-style-type: none"> <li>• Number of water supply facilities with WSCs that are functioning evidenced by collection of O&amp;M funds and carrying out preventive maintenance and minor repairs, for the current FY: score 2</li> </ul>	0	<ul style="list-style-type: none"> <li>• Average district functionality stands at 42%</li> <li>• No evidence to demonstrate functionality of individual WSCs was availed</li> <li>• Omuhigo (revitalisation of WSCs) is planned FY 2017/18</li> </ul>

Assessment area: Social and environmental safeguards

13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2</li> <li>• Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1</li> <li>• Evidence that construction and supervision contracts have clause on environmental protection: score 1</li> </ul>	<p>0</p> <p>0</p> <p>1</p>	<ul style="list-style-type: none"> <li>• No evidence of environmental screening was availed</li> <li>• No evidence of environmental certification was availed</li> <li>• Contracts for gravity flow schemes (the main WSS technology in the LG) provide for environmental protection of sites</li> <li>• Reinstatement of roads and backfilling is tied to trenching works for laying pipes during water extension works</li> </ul>
14	<p>The LG Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If at least 50% WSCs are women as per the sector critical requirements: score 3</li> </ul>	<p>0</p>	<ul style="list-style-type: none"> <li>• No evidence for WSC composition to ascertain the gender split was availed</li> </ul>
15	<p>Gender- and special-needs sensitive sanitation facilities in public places/RGCs.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> <li>• If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3</li> </ul>	<p>0</p>	<ul style="list-style-type: none"> <li>• The 3-stance lined public sanitation facilities at Mugusu (constructed FY 2014/15) and Rweihamba (constructed FY 2015/16) are sex-separated, but lack provision for access by PWDs</li> </ul>