



LGPA 2017/18

Accountability Requirements

Omoro District

(Vote Code: 615)

Assessment	Compliant	%
Yes	3	50%
No	3	50%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Assessment area: Annual performance contract			
LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	xxx	Submitted to MOFPED on 07/10/2017.	No
Assessment area: Supporting Documents for the Budget required as per the PFMA are submitted and available			
LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY (LG PPDA Regulations, 2006).	xxxxx	Submitted to MOFPED on 10/02/2017 as per receipt No. 0317. Procurement Plan in place and consistent with Budget.	Yes
Assessment area: Reporting: submission of annual and quarterly budget performance reports			
LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)	xxxxx	The annual performance report was submitted on 02/08/2017 consistent with records at MOFPED and receipt No.0889.	No
LG has submitted the quarterly budget performance report for all the four quarters of the previous FY; PFMA Act, 2015)	xxxxxx	Q1 - Q4 budget performance reports submitted on 04/11/2016; 10/02/2017; 08/05/2017; 02/08/2017 respectively. Submission date for Q4 was after 31 July 2017	No
Assessment area: Audit			

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General findings for the previous financial year by April 30 (PFMA s. 11 2g). This statement includes actions against all findings where the Auditor General recommended the Accounting Officer to take action (PFMA Act 2015; Local Governments Financial and Accounting Regulations 2007; The Local Governments Act, Cap 243).</p>	<p>xxxxxx</p>	<p>Omoro is a new district curved off from Gulu District , It lacked the auditor to do the internal audit report on time</p> <p>An auditor was hired from Gulu who worked very hard to ensure the reports were produced at the shortest time possible , The reports were submitted on 21st July 2017</p> <p>Only 3 issues were raised in the audit report</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer</p>	<p>xxxxxx</p>	<p>According to Auditor General Audit report of 2016/2017, Omoro district scored unqualified report with only two issues raised loss of funds and construction of Opit- Awo low cost sealing road</p>	<p>Yes</p>



LGPA 2017/18

Crosscutting Performance Measures

Omoro District

(Vote Code: 615)

Score 76/100 (76%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a municipality/district has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 2. 	2	<p>Physical Planning Committee (PPC) is in place but not fully constituted. Appointment Letter of PPC members dated 7th/11/2016 seen. PPC is comprised of the CAO, Physical Planner, Ag. District Engineer, District Education Officer, Agricultural Officer, Ag. District Community Development Officer, Ag. District Health Officer and Acting Town Clerk-Opit. The non-full constitution is attributed to the challenges of recruiting as per approved staff structure.</p> <p>PPC underwent an orientation on their roles trained as evidenced by the minutes of 22/02/2017.</p> <p>Establishment of Local PPC at Sub-Counties has been directed by the CAO in a letter dated 7th/11/2016.</p> <p>PPC has sat three times since its constitution.</p>
		<ul style="list-style-type: none"> • All new infrastructure investments have approved plans which are consistent with the Physical Plans: score 2. 	2	<p>PPC at its second meeting held on 27th March 2017, considered and approved the building plans for the District Administration Block in Omoro Town Council. In addition the TPC considered and approved its structural plan under Min. DTPC/4/09/2017. Opit (Omoro Town Council) has a Physical Plan inherited from the Gulu DLG. A register of plans in soft version was provided.</p> <p>District Plan not available, first district plan is expected to be prepared in FY 2018/2019. Consultations are ongoing with JOADAH consult with a view to entering a formal arrangement to prepare the plan.</p>

2	<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	2	<p>AWP priorities for FY 2017/18 were based on outcomes of budget conferences held on 7th/11/2016 as evidenced by the District Budget Conference Report. The said conference sought to build consensus on current development challenges, issues, priorities and harmonising activities and budgets of all stakeholders with a view to generating the District Development priorities for the current FY.</p>
		<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2. 	2	<p>Capital investments in the approved AWP FY 2017/18 are derived from the approved 5 year development plan e.g. Construction of classrooms at Lela Obaro PS at UGX.54,000,000/= was found to be consistent with the project profile in the 5year Development plan.</p> <p>AWP FY 2017/18 under Min.17/FCM/2017 was considered for discussion, and approval in the 5th Full Council meeting held on 11th /05/ 2017 held at Ongako Sub-County Headquarters.</p>
		<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1. 	1	<p>Project profiles for FY 2017/18 were developed and discussed by TPC at its meeting held on 26th / 09/2016 under minute DTPC/3/9/2016.</p>
3	<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> • Annual statistical abstract, with gender disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum 1 point. 	1	<p>Annual statistical abstract with gender disaggregated data is compiled and was presented to TPC at its meeting of 31/07/2017 under minute DTPC/7/7/2017.</p>

4	Investment activities in the previous FY were implemented as per AWP.	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	2	The approval was done under Gulu Local Government since during the transition the Omoro Local Government Council was not yet constituted as the District came into existence w.e.f 1st July 2016. Communication by CAO dated 8th /05/2017 to District Executive Committee (DEC) on review of FY 2016/17 budget review indicated that approval had been granted by Gulu District Council in May 2016.
	Maximum 6 points on this performance measure.	<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	2	98.5% of the investment projects were completed. Out of the 70 investment projects as established from the PDU project performance report only one (construction of Omoro District Administration Block) was still on-going.
5	The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects and assets during the previous FY	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	2	As per PDU project performance report all projects were completed within budget.
	Maximum 4 points on this Performance Measure.	<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of O&M budget for infrastructure in the previous FY: score 2 	0	No dis-aggregated data for O& M for the investment projects were found for FY 2016/17.
Assessment area: Human Resource Management				
6	LG has substantively recruited and appraised all Heads of Departments	<ul style="list-style-type: none"> Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	0	At the time of assessment, there was no evidence that the Heads of departments had been appraised.
	Maximum 5 points on this Performance Measure.	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	0	The district had substantively filled one post of the District Education Office (DEO). The rest of the Sector heads were assigned duty.

7	The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.	<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for recruitment have been considered: score 2 	2	Declaration for vacancy dated 16th December 2016, 6th November 2016, and 10th November 2016 had been considered by the DSC and all posts advertised. However, DEC reviewed the list and reduced it due to fit into the existing wage budget as per CAOs letter dated 30th March 2017. The remainder were all considered by the DSC (refer to DSC/EXT32/2017)
	Maximum 4 points on this Performance Measure	<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for confirmation have been considered: score 1 	1	The DSC considered all submission by CAO for confirmation (Agricultural Officer and the Assistant Fisheries Officer)
		<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1 	1	No disciplinary case was submitted to the DSC for action in the financial year 2016/17
8	Staff recruited and retiring access the salary and pension payroll respectively within two months	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	3	All the staff recruited in the financial year 2016/17 accessed payroll within 2 months. (ref. payroll)
	Maximum 5 points on this Performance Measure.	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	0	All 20 pensioners who retired in 2016/17 did not access the pensioners' payroll within the stipulated 2 months as per the pensioner payroll
Assessment area: Revenue Mobilization				

9	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR from previous FY but one to previous FY is more than 10% : score 4 points • If the increase is from 5 -10% : score 2 point • If the increase is less than 5% : score 0 points. 	4	<p>Omoro District is a new district which has only one financial year 2016/2017 and therefore no previous figures to compare with</p> <ul style="list-style-type: none"> • However their budget for OSR of 2016/2017 was 498,121,000 and the actual was 192,505,134 shortfalls of 305,615,866 (61%)
10	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10% : then 2 points. If more than +/- 10% : zero points. 	2	<p>Omoro District has only figure for one financial year 2016/2017 where they budgeted for OSR of 2016/2017 498,121,000 and the actual was 192,505,134</p>
11	<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	2	<p>Yes the District remits mandatory share of Local revenue for example 6 sub-counties each received one million shillings on 7th September 2017 as extracted from their financial statement of September 2017</p>
		<ul style="list-style-type: none"> • Evidence that the LG is not using more than 20% of OSR on council activities: score 2 	2	<p>Omoro LG is not using more than 20% of OSR , total revenue was 498,121,000 as seen from their approved budget of 2016/2017 from where they budgeted for their 20% of 498,121,000 giving them a figure of 38,501,027 to run their activities</p> <p>So far the council has suspended their activities as they have already exhausted their 20%, the Chairman District Council has however written to the Minister of Local Government requesting for increase on a letter dated 16th January 2018</p>

Assessment area: Procurement and contract management

12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	0	<ul style="list-style-type: none"> There is a Procurement Officer but no Senior Procurement Officer.
		<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	1	<p>Files were seen where TEC sat and Evaluated bids. For pre-qualification of bids, 11 evaluations were seen as done on 18th July 2017, and 13 Framework Contracts were evaluated at same time. The reports were prepared for Contracts Committee for approval.</p>
		<ul style="list-style-type: none"> Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	1	<p>69 files were seen where the Secretary to the Contracts Committee filled a Form called "LG PP Form 13" and another called "LG PP Form 17" accompanying the Evaluation Report. Then "LG PP Form 20" is sent by the Secretary to the District Accounting Officer informing him of the decision of the Contracts Committee on the project (approved or rejected).</p>
13	<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	2	<p>The procurement Work plan seen covers all infrastructure projects. There is no Disposal Plan yet since this is a new District is only 18 months and there are no plans yet to dispose of anything.</p> <p>Since the District has been in existence for only 18 months, there is no previous year procurement plan</p>

14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	2	<p>All 57 bid documents for the current year have been prepared (100%). 8 of these were re-advertised because all first bidders did not meet required standards. Those re-advertised are also at the stage of awarding contracts.</p>
		<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	2	<p>A clearly annotated Contracts Register has been prepared by the Procurement Assistant. She was duly aware that this Register is supposed to be prepared by Finance Department but experience showed her that on several occasions, it is asked for from Procurement, so she prepared it. It indicates the Procurement reference Number, Subject of Procurement, Name of Best evaluated Bidder, Total Contract price and Status of project implementation.</p>
		<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	2	<p>Five projects were sampled as follows:</p> <ul style="list-style-type: none"> - Construction of Incinerator at Lalogi HC IV under DDEG funding. Amount: UGX 12,815,095. It was done under Selective Bidding - Construction of block of one classroom and an office at Ocim primary school under DDEG funding. Amount: 34,954,432. It was done under Selective Bidding. - Low cost Seal Rehabilitation on Opit - Awoo Road. Amount: UGX193, 063,882. It was done under Open National Bidding. - Supply of three motor vehicles. Amount: 437,712,000. It was done under Open National Bidding. - Construction of Omoro Administration Block. Amount : UGX994,400,027. It was done under Open National Bidding.

15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	2	<p>Two fully signed and stamped Certificates on Deep Borehole drilling. One was under KLR (U) Ltd for 7 boreholes and another under Onesmas Enterprises for 5 boreholes.</p>
		<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	0	<p>Labelling is done but not based on any specified standards. (See sample photographs for Omoro District). Contractors are not given clearly well-laid out instructions on what to write on the boards. Of those seen, the project name is, as expected, always there but on almost all boards, the duration and especially contract value is missing.</p>
Assessment area: Financial management				
16	<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	4	<p>Omoro Local Government bank reconciliation is up to today for example the bank reconciliation for December 2017 was prepared on 4th Jan 2018</p> <p>Omoro District uses manual accounting-Hybrid</p>
17	<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	2	<p>Omoro LG makes timely payment to their suppliers for example payment of during the construction of Administration block, certificate was issued on 14th June 2017 CAO verifies on on 16th June 2017, CFO verifies on 25th June 2017 and CAO approves it the same day and payment is made the same day</p>

18	The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations	<ul style="list-style-type: none"> Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3. 	0	Omoro LG has no substantive Senior Internal audit. The District however requested for auditor from Gulu District who did the preparations of all the internal audit reports for quarter 1, 2,3 and 4 and submitted
	Maximum 6 points on this performance measure.	<ul style="list-style-type: none"> Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2. 	2	<p>The information was provided to council and LGPAC on the status of implementation of internal audit findings of 2016/2017</p> <p>Follow up of all queries were done as seen from the copies of minutes by the Clerk to council of 22/10/2017</p> <p>It's important to note that LGPAC was established in June 2017</p>
		<ul style="list-style-type: none"> Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1 	1	The internal audit reports were all submitted to the accounting officer and the LGPAC reviewed all the 4 reports submitted
19	<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	0	There are asset registers at the LG , the asset registers lack information like value of the assets more especially those donated by organisations and those bought by the line ministry but the items that are procured by the LG have all information included in the asset register
20	<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> unqualified audit opinion: score 4 Qualified: score 2 Adverse/disclaimer: score 0 	4	<p>Omoro LG scored unqualified report as per the Auditor General Annual financial report of 2017</p> <p>There were only two issues raised in their report-loss of funds and construction of Opit-Owa low cost sealing road</p>

Assessment area: Governance, oversight, transparency and accountability

21	<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<p>Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</p>	2	<p>Council meets and discusses service delivery issues through reports from the General Purpose Committee which superintends the sectors as the only Standing Committee. Reports relating to FY 2016/2017 were considered at the 4th Full Council Meeting held on 31/03/2017 under Min.11/FCM/2017 and Min. 12/FCM/2017</p>
22	<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<p>• Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 2.</p>	2	<p>District Planner coordinates the response to feedback through such platforms as Stakeholders Forum, OMORO DLG WhatsApp as seen in the monitoring reports.</p>
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2</p>	2	<p>January 2018 LG Payroll on display.</p>
		<p>• Evidence that the procurement plan and awarded contracts and amounts are published: score 1</p>	1	<p>Procurement plan seen on display at the District HQs.</p>
		<p>• Evidence that the LG performance assessment results and implications, are published e.g. on the budget website for the previous year (from budget requirements): score 1.</p>	0	<p>“N/A. The Central Government did not conduct the Annual Performance Assessment for LGs in 2016/17”.</p>

24	The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens	<ul style="list-style-type: none"> Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	1	Evidence of a letter dated 13th /07/2017 from the CAO to all SAS (ACAOs) reminding them to submit Q4 physical progress reports to be incorporated in the District Report.
	Maximum 2 points on this performance measure	<ul style="list-style-type: none"> Evidence that LG during previous FY has conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc..) with the public to provide feed-back on status of activity implementation: score 1. 	1	<p>Progress reports are disseminated quarterly through the Stakeholders Forum evidence of the PAF M&E report for Awool /Laminoluka Primary schools and Lanenober HCIII and Awoo HCII presented on 25th/08/2017.</p> <p>Evidence of an announcement by CAO of 6th /11/2017 inviting various stakeholders to attend a budget conference.</p>

Assessment area: Social and environmental safeguards

25	The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles	<ul style="list-style-type: none"> Evidence that the LG gender focal person has provided guidance and support to sector departments to mainstream gender into their activities score 2. 	2	<ul style="list-style-type: none"> Workplan clearly includes a specific output on Gender mainstreaming with planned activities in training, awareness raising, campaigns, community dialogues and supervision Quarterly reports for the first three quarters are also available indicating activities carried out and constraints met. A budget and payment Voucher was also seen indicating release of funds for the activities
	Maximum 4 points on this performance measure.	<ul style="list-style-type: none"> Evidence that gender focal point has planned activities for current FY to strengthen women's roles and that more than 90% of previous year's budget for gender activities has been implemented: score 2. 	2	<p>Workplan for 2017/18 exists with gender mainstreaming at an enhanced budget due to inclusion of Uganda Women Entrepreneurship Programme (UWEP)</p> <p>93% of previous year's budget was used. By mid the year, the sector had used 49.7% of their budget and by the end of the year, they had come to 93%. Funds for Youth livelihood were not release for the FY. Operational funds were released but funds for paying groups were sent this year</p>

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2

2

- Screening was done for a Cattle Crutch in Odek and Lalogi sub-counties
- Screening was done for a primary school construction in Loyo-Ajonga sub-county
- EIA review is being done by the Environment Officer for construction of an MTN mast at Opwach village, Gem parish, Lalogi sub-county
- An EIA is ongoing for establishment of SGS vehicle station in Lajwatek village, Koro sub-county

- Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1

1

Bids have got a section on Environmental protection (Section 2.1) that stipulates environmental protection procedures to be followed during operations.

- Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1

0

Some places have processed Land Titles but many do not. Those that have Land titles are mainly ones that originally processed such Titles when they were still under Gulu district like Ongako Seed Secondary School and Koro Sub-county Headquarters. There are others surveyed but Titles are still being processed like Odek sub-county Headquarters, Lologi Sub-county Headquarters and Omoro county Headquarters (now in Tochi sub-county). Lakwatomer primary school has Deed Plans waiting for Title processing. Others like Koch Lii primary school, Abole primary school and Lapayinati West primary school have submitted their plans to the Land Board.

		<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer: score 2 	2	<ul style="list-style-type: none"> • Environmental Compliance Certificates seen were issued as follows: <ul style="list-style-type: none"> - A Certificate issued to KLR Uganda Ltd for drilling of seven deep boreholes on 30 April 2017 - A Certificate issued to NGAI ONE INVESTMENT upon completion of construction of one block drainage latrine at Wi Aceng on 30th June 2017 - A certificate to PEHAN CONSTRUCTION LTD for Low Cost Seal of 0.5 Km of Opit-Awoo road on 30th May 2017 - A certificate to GUFTAN COMPANY LTD for construction of one block latrine at Lalogi on 30th June 2017 <p>The Certificates are signed by the Environment Officer, District Engineer and CAO.</p>
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LGPA 2017/18

Educational Performance Measures

Oromo District

(Vote Code: 615)

Score 66/100 (66%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human Resource Management				
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school for the current FY: score 4 	4	<p>The budget was done from the mother district Gulu</p> <p>Teachers have been deployed to all the 68 primary schools in the LG (100%) as evidenced by the staff lists seen and the teachers deployment file for third term 2017</p>
2	<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100% score 6 o If 80 - 99% score 3 o If below 80% score 0 	6	<p>100% of the structure for primary teachers filled</p>
3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	6	<p>There are two posts of school inspectors that have been substantively filled and are on pay roll</p>

4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of Primary Teachers: score 2</p>	2	<p>Recruitment of all teachers done as evidenced by the deployment plan of may 2017</p>
		<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of School Inspectors: score 2</p>	2	<p>All the two inspector positions are substatively filled</p>
5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised school inspectors during the previous FY • 100% school inspectors: score 3</p>	3	<p>Performance appraisal was done for the two inspectors of schools on 30th June 2017.</p>
		<p>Evidence that the LG Education department appraised head teachers during the previous FY. • 90% - 100%: score 3 • 70% - 89%: score 2 • Below 70%: score 0</p>	0	<p>The performance agreement and performance report for head teachers for 2016 calendar year were not seen for verification</p>
<p>Assessment area: Monitoring and Inspection</p>				

6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	1	<p>The LG communicated circulars regarding registration of pupils for NIN dated 28/4/2017 was delivered, the school feeding programme policy was also delivered to the schools. the education Act 2008, the basic requirements and minimum standards indicators for educational institutions 2010.</p>
	<p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level, including on school feeding: score 2 	2	<p>The department holds meetings with the primary school headteachers as evidenced by minutes in the duty book opened on 09/03/2017.</p>
7	<p>The LG Education Department has effectively inspected all private and public primary schools</p>	<ul style="list-style-type: none"> Evidence that all private and public primary schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59% score 1 o Below 50% score 0. 	8	<p>The department has inspected 86% of the schools in the district both private and public primary schools.</p>
8	<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p>	<ul style="list-style-type: none"> Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	0	<p>Minutes dated 09/03/17 (on school feeding programme), 10/04/2017 (headteachers of private schools discussing the incensing of private schools) is an evidence that the department discusses inspection reports. But there is no evidence that they have made recommendations for corrective action.</p>
	<p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	2	<p>The department submitted inspection reports to DES as evidenced by acknowledgements from DES dated 20/01/2017 and 15/09/2017</p>

		<ul style="list-style-type: none"> Evidence that the inspection recommendations are followed-up: score 4 	0	There is no evidence that inspection recommendations are followed up
9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> List of schools which are consistent with both EMIS reports and OBT: score 5 	5	The department has submitted accurate data as evidenced by the physical EMIS copies signed by the head teacher and the DEO
		<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> Enrolment data for all schools which is consistent with EMIS report and OBT: score 5 	5	Accurate data has been submitted as evidenced by acknowledgement for submission of annual school census 2016, which was received by the principal statistician MoES on 24/04/2017 and another on 03/05/2017

Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc...during the previous FY: score 2 	2	At the 7th Full Council Meeting held on 8th /09/2017 under Min.06/Coun/2017 where General Purpose Committee was scheduled to present its reports.
		<ul style="list-style-type: none"> Evidence that the education sector committee has presented issues that requires approval to Council: score 2 	2	General Purpose Committee sat on 30th / 01/ to discuss department reports for Q1 & Q2 FY 2016/17 under Min.3/GPM/2017.Matters considered included: monitoring of private schools.

11	<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80% schools: score 0 	0	<p>School management committees for the public schools were in place but they were not meeting. Aceng Primary School had its committee appointed in 2013 but has never sat as there are no minutes in the SMC file at the district. There was no evidence that the SMCs were functional in absence of minutes of meetings</p>
12	<p>The LG has publicised all schools receiving non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3 	3	<p>The posting at the department were reportedly removed by the public but on visiting the sampled schools the the postings were on the notice boards of all schools visited. the schools includeded Minja PS in Omoro sub county and in Opit PS in Omoro Town Council</p>
Assessment area: Procurement and contract management				
13	<p>The LG Education department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	4	<p>Procurement requests were submitted to the PDU as evidenced by the procurement request for construction of teachers block at Atyang PS dated 22/05/2017 that was seen by the assessor.</p>

14	<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3 points 	3	<p>LG has certified and recommended supplies for payment on time For example during the construction of two stances at Wiyachieng Primary School by Ngai One Investment Ltd , requisition was made on 21/May/2017 and payment was made on 19th June/2017 after all due process had been made</p>
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Assessment area: Financial management and reporting

15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	0	<p>No evidence of submission of performance report to the Planner was provided. Extract of the Output Budgeting Tool (OBT) dated 14th June 2016 was presented.</p>
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16	<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points o If all queries are not responded to score 0 	2	<p>The sector provided information to the internal audit.</p> <p>The sector had only one query on activity reports that it responded to and was cleared</p>
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Assessment area: Social and environmental safeguards

17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2 • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 • Evidence that the School Management Committee meet the guideline on gender composition: score 1 	0	<p>There is no evidence that guidelines and circulars are disseminated</p> <p>There is no evidence that the department has issued guidelines on how to manage sanitation for girls</p> <p>The files for SMCs seen were outdated and in the absence of current minutes for SMCs there was no evidence that the gender composition for SMCs was met as per guidelines.</p>
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 3: 	0	<p>There was no evidence that the department had disseminated environmental management issues to schools at the time of assessment.</p>



LGPA 2017/18

Health Performance Measures

Oromo District

(Vote Code: 615)

Score 68/100 (68%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human resource planning and management				
1	<p>LG has substantively recruited primary health workers with a wage bill provision from PHC wage</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 6 points, • 60 – 80% - score 3 • Less than 60% filled: score 0 	6	<ul style="list-style-type: none"> • 82.7% (255) of the 307 health workers on approved structure was on the payroll. • The PHC wage catered for all primary health workers.
2	<p>The LG Health department has submitted a comprehensive recruitment plan to the HRM department</p> <p>Maximum 4 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of health workers: score 4</p>	4	<ul style="list-style-type: none"> • Request for recruitment seen, dated and received by the HRM on 12th August 2017 and provided for 9 positions.
3	<p>The LG Health department has ensured that performance appraisal for health facility in charge is conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the health facility in-charge have been appraised during the previous FY: o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0</p>	4	<p>The Health department had appraised 16 out of 21 In –Charges which is a 76%. (Ref. staff personal files)</p>

4	<p>The Local Government Health department has equitably deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers equitably, in line with the lists submitted with the budget for the current FY: score 4 	4	<p>The list from PBS for the period July to December 2017 was in line with the deployment list as reflected on the payroll on file HEA/151/5.</p>
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Assessment area: Monitoring and Supervision

5

The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities

Maximum 6 for this performance measure

• Evidence that the DHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3

3

.The guidelines, policies and circulars received were disseminated to facilities. For example, the following guidelines were disseminated:

PHC Guidelines for FY 2016/17 – Disseminated by email on 16th September 2016 and received the on the same day.

Clinical guidelines (2016) received by 12 facilities. Delivery notes were seen, for example the one numbered HF3039 dated 14/12/2017.

National Policy on Injection Safety and Health Care Waste Management received by facilities representatives on 18/5/2017.

A meeting with in-charges about the PHC Guidelines for FY 2016/17 held on 22nd August 2016 (Management meeting with HC In-charges).

		<ul style="list-style-type: none"> • Evidence that the DHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	3	<ul style="list-style-type: none"> • A meeting with in-charges about the PHC Guidelines for FY 2016/17 held on 22nd August 2016 (Management meeting with HC In-charges of Omoro). • The DHO and other DHT members, held a meeting on 6th November 2016. Minute number 3/1 emphasised; the need for display PHC funds on notice boards as per the local finance and accounting regulations; and micro planning for child days plus as per the child survival strategy.
6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised 100% of HC IVs and district hospitals: score 3</p>	3	<ul style="list-style-type: none"> • Lalogi HCIV (the only one in the district) was supervised by DHT members as reflected in supervision log book records dated; 6th July 2016 and 30th August 2016. • Key issues addressed included attendance of staff and family planning. • The DHO's office was within the HCIV, so DHT members did not document some of the visits.

		<p>Evidence that DHT has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 points • 80 - 99% of the health facilities: score 2 • 60 - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	3	<ul style="list-style-type: none"> • 100% (all the 26) lower facilities were supervised as reflected in minutes of DHT meetings held on the following days: • 24th November 2017 - Min 03/11/2017 addressed salary irregularities. • Min 05/11/2017 addressed preparation for the World AIDS day. • 12th April 2017 - Min 03/04/2017 addressed major issues during second quarter supervision. • 13th October 2016 had a minute regarding communication on infrastructure. • 13th January 2017 Min. 03/01/2017 addressed major findings during second quarter supervision. • 14th July 2017 Min. 03/07/2017 addressed major findings during first quarter supervision.
7	<p>The Health Sub-district(s) have effectively provided support supervision to lower level health units</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that health facilities have been supervised by HSD and reports produced:</p> <ul style="list-style-type: none"> • If 100% supervised score 6 points • 80 - 99% of the health facilities: score 4 • 60 - 79% of the health facilities: score 2 • Less than 60% of the health facilities: score 0 	6	<ul style="list-style-type: none"> • 100% (all the 26) lower health facilities were supervised as reflected in supervision reports for; quarter 4 of FY 2016/17 dated 27th July 2017; quarter 3 FY 2016/17 dated 21st April 2017; quarter 2 FY 2016/17 dated 4th January 2017.

8	<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the reports have been discussed and used to make recommendations for corrective actions during the previous FY: score 4 	4	<ul style="list-style-type: none"> • Discussions and recommendations were made as reflected in the minutes of DHT attended by the HSD team as reflected in meetings held on the following days: • 24th November 2017 - Min 03/11/2017 addressed salary irregularities and recommended following with the CAO. • 13th October 2016 had a minute regarding communication on infrastructure and recommended need to cater for them during the planning process.
		<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	0	<p>No clear follow up on recommendations was documented.</p>
9	<p>The LG Health department has submitted accurate/consistent reports/date for health facility lists as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: o List of health facilities which are consistent with both HMIS reports and OBT: score 10 	10	<ul style="list-style-type: none"> • All the 23 health facilities on the OBT list for the period July to December 2017 were reflected in the HMIS list. • The other 9 facilities that were on the HMIS list genuinely missed on the OBT list since 4 did not receive PHC funds and 5 closed but had not been deleted from HMIS due to technical complications.
Assessment area: Governance, oversight, transparency and accountability				

10

The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council

Maximum 4 for this performance measure

• Evidence that the council committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2

2

General Purpose Committee (Standing Committee overseeing health) sat on 30 / 01/ 2017 to discuss department reports for Q1 & Q2 FY 2016/17 under Min.3/GPM/2017. Matters considered included: community sensitisation on dangers of teenage pregnancy.

• Evidence that the health sector committee has presented issues that require approval to Council: score 2

0

No evidence was found to the effect of the Committee presenting issues for approval to Council though at the 7th Full Council Meeting held on 8th /09/2017 under Min.06/Coun/2017 the General Purpose Committee was scheduled to present its reports on service delivery related issues.

11	<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 5 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 5 • If 80-99% : score 3 • If 70-79%: : score 1 • If less than 70%: score 0 	5	<ul style="list-style-type: none"> • 100% of sampled facilities (Lalogi HCIV, Opit HCIII and Bobi HCIII) had functional HUMCs since they met and discussed budget and resource issues. • For example, Lalogi HCIV HUMC met quarterly as reflected in minutes for meetings held on; 13th October 2016; 21st January 2017; and 28th April 2017. • Issues discussed in the above meetings included; handling staff absenteeism; improving on transport; and budgetary aspects.
12	<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 3 	3	<p>The list of budgets and releases of PHC funds to facilities were displayed on the notice board for quarter 3 FY 2017/18; and quarter 4 FY 2016/17.</p>
Assessment area: Procurement and contract management				

<p>13</p>	<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>0</p>	<ul style="list-style-type: none"> • Procurement requests prepared and submitted by the DHO's office were seen in PDU. • Submissions were after 30th April 2017 as shown below: • Omoro615/Wrks/2017-18/00005, received by PDU on 31st May 2017 concerning renovation of a block at Bobi HCIII; • Omoro615/Wrks/2017-18/00006 received by PDU on 31st May 2017 concerning the renovation of a maternity block at Alukum HCII.
		<p>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2</p>	<p>2</p>	<ul style="list-style-type: none"> • Procurement requests (Form PP1) from the DHO's office were in June 2017. • Omoro615/Wrks/2017-18/00005, received by PDU on 31st May 2017 concerning renovation of a block at Bobi HCIII; • Omoro615/Wrks/2017-18/00006 received by PDU on 31st May 2017 concerning the renovation of a maternity block at Alukum HCII.

14	<p>The LG Health department has supported all health facilities to submit health supplies procurement plan to NMS</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has supported all health facilities to submit health supplies procurement plan to NMS on time: • 100% - score 8 • 70-99% – score 4 • Below 70% - score 0 	0	<p>Procurement plans for all facilities with stamps from NMS. No date of receipt by NMS was indicated, making it difficult to ascertain timeliness.</p> <p>Guidance on development of procurement plans was reflected in minutes of the procurement planning and logistics management meeting of the DHT and health facility in-charges at 7th June 2017.</p>
15	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 2 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO (as per contract) certified and recommended suppliers timely for payment: score 2 points 	2	<p>The DHO certified and recommended the supplier for payment in timely manner , for example during the repair of the vehicle by Toyota , request was made on 26th June 2017 and payment was made on 30th June 2017</p>
Assessment area: Financial management and reporting				
16	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	0	<p>No evidence of submission of performance report to the Planner was provided. Extract of the Output Budgeting Tool (OBT) dated 14th June 2016 was presented.</p>

17	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year • If sector has no audit query score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points • If all queries are not responded to score 0</p>	0	<p>The Health department provided all the information to the internal audit on the status of implementation of the findings</p> <p>The sector has audit queries and there is an issue of unaccounted for funds, the Accounts Assistant involved has been interdicted and the case is in court with the next hearing going to be on 19th Jan 2018</p>
Assessment area: Social and environmental safeguards				
18	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines: score 2 	0	<p>Gender composition of HUMCs was not met. Foreexample, only two (22.2%) of the nine HUMC members of Lalogi HCIV were females.</p>
		<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2 	2	<p>Guidelines for water, sanitation and hygiene at facilities were issued by the DHO on 9th August 2016 and disseminated.</p>
19	<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 2 points</p>	<ul style="list-style-type: none"> • Evidence that the LGs has issued guidelines on medical waste management, including guidelines for construction of facilities for medical waste disposal : score 2 points. 	2	<p>General guidelines on waste management issued by MoH were seen in wards at Lalogi HCIV.</p>



LGPA 2017/18

Water & Environment Performance Measures

Omoro District

(Vote Code: 615)

Score 47/100 (47%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: score 10 	10	<p>The subcounties with least coverage were targeted of Odek and Bobi as evidenced by AWP 2017/18 of 24th JULY 2017 SUBMISSION</p>
2	<p>The LG Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY: score 15 	15	<p>Evidence seen from AWP 2016/17 dated 25th July 2016.</p>
Assessment area: Monitoring and Supervision				

3	<p>The LG Water department carries out monthly monitoring and supervision of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the LG Water department has monitored each of WSS facilities at least annually. • If more than 95% of the WSS facilities monitored: score 15 • 80 - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60 - 69% monitored: score 5 • 50 - 59%: score 3 • Less than 50% of WSS facilities monitored -score 0</p>	0	no monthly site visits
4	<p>The LG Water department has submitted accurate/consistent reports/data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<p>• Evidence that the LG has submitted accurate/consistent data for the current FY: o List of water facility which are consistent in both sector MIS reports and OBT: score 10</p>	0	<ul style="list-style-type: none"> • The reports are not accurate as seen from the OBT and MIS eg district coverage
Assessment area: Procurement and contract management				
5	<p>The LG Water department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	0	<ul style="list-style-type: none"> • Submission done of procurement plan and request to DPU as evidenced from the submission of 8th July 2016 signed by CAO, DE AND DWO (later than 30th April 2016)

6	The DWO has appointed Contract Manager and has effectively managed the WSS contracts	<ul style="list-style-type: none"> If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	0	Seen contract management plan as evidenced by submission. no minutes of monthly site meetings seen
	Maximum 8 points for this performance measure	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	2	Evidence seen from sample contract documents of KRL ltd and sample BoQ
		<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	0	no hand over reports
		<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	0	Evidence not seen
7	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	3	<ul style="list-style-type: none"> The DWO certified in a timely manner and recommended suppliers for payment for example during drilling, casting and installation of Dip bore Hall contracted by KLR Uganda Ltd requisition was made on 19th June 2017 and payment was made on 30th of June 2017
Assessment area: Financial management and reporting				
8	The LG Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	0	No evidence of submission of performance report to the Planner was provided. Extract of the Output Budgeting Tool (OBT) dated 14th June 2016 was presented.
	Maximum 5 for this performance measure			

9	<p>LG Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	3	<p>Water department provided information to the internal audit on the status of implementation of audit findings and there was only audit query on concerning the culvert that was responded and resolved</p>
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Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	3	<p>General Purpose Committee sat on 30 / 01/ 2016 to discuss department reports for Q1 & Q2 FY 2016/17 under Min.3/GPM/2017.</p>
		<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	0	<p>No evidence was found to the effect of the Committee presenting issues for approval. At the 7th Full Council Meeting held on 8th /09/2017 under Min.06/Coun/2017 the report of the General Purpose Committee, it was on the Order of Business and not discussed.</p>

11	<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2 	2	<p>Quarterly release were displayed at the subcounty and district notice boards.</p> <p>Best evaluated bid was displayed at the district notice board.</p>
		<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	2	<p>All WSS projects were clearly labelled indicating the name of the project, date of construction, the contractor and source of funding as reflected from the signed posts of NYYD labora and Lela coo lakwana boreholes that were sampled.</p>
		<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	0	<ul style="list-style-type: none"> No contract sum indicated.
12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	0	no evidence
		<ul style="list-style-type: none"> Number of water supply facilities with WSCs that are functioning evidenced by collection of O&M funds and carrying out preventive maintenance and minor repairs, for the current FY: score 2 	0	<ul style="list-style-type: none"> No Evidence seen

Assessment area: Social and environmental safeguards

13	The LG Water department has devised strategies for environmental conservation and management	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	0	district has environmental checklist for all projects. No screening report seen. In contract docs, there is a clause on EIA. Looked at a sample
	Maximum 4 points for this performance measure	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	0	<ul style="list-style-type: none"> No evidence seen of followup minutes.
		<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	1	<ul style="list-style-type: none"> The BoQ captures planting trees, planting grass, soak pit as evidenced from Tech Specification
14	The LG Water department has promoted gender equity in WSC composition. Maximum 3 points for this performance measure	<ul style="list-style-type: none"> If at least 50% WSCs are women as per the sector critical requirements: score 3 	3	<ul style="list-style-type: none"> followed as shown in the sample minutes/letter dated 17th dec 2016 to DWO from LC1
15	Gender- and special-needs sensitive sanitation facilities in public places/RGCs. Maximum 3 points for this performance measure	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	3	<p>Separate stances for men and women.</p> <p>looked at a sample ramp, standard designs including rails for PWD</p>