Annex 3: PUBLIC INVESTMENT MANAGEMENT SYSTEM (PIMS) FRAMEWORK FOR UGANDA

**Key steps**

**PROJECT IDENTIFICATION**

- **Role of Ministries Departments and Agencies (MDAs):**
  1. Head of a department develops a project idea and submits it to the planning unit of the MDA.
  2. The planning unit convenes an internal meeting to discuss the project idea with the national and sector proponents.
  3. If there is no opposition, a concept note is developed.
  4. Submit the concept note to Sector Working Groups (SWG) for consideration.

- **Role of Sector Working Groups (SWG):**
  1. Convene (SWG) meetings on a regular basis to consider the project concept notes submitted by MDAs.
  2. Authorize MDAs to submit the concept note to MoPRED.

- **Role of National Planning Authority (NPA) and Ministry of Finance Planning and Economic Development (MoFED):**
  1. Participate in the consideration of project notes as members of the SWGs.
  2. As members of DC, reviews the concept note approved by SWGs and authorizes MDA to undertake a feasibility study.

**PRE INVESTMENT**

- **Role of Ministries Departments and Agencies (MDAs):**
  1. Develop project profile study using LFA and submit to MoPRED for consideration.
  2. Following approval of the project profile, MDAs undertake feasibility study including all available and alternative options.
  3. The approved PPP options are channelled to the PPP Unit in line with the PPP Act.
  4. MDAs undertake a detailed feasibility study for the non-PPI projects and submit to MoPRED for consideration.
  5. MDAs establish a sustainability plan for the investment program.
  6. Participate in the Development Committee meetings.

- **Role of Ministry of Finance Planning and Economic Development (MoFED):**
  1. Convene the Development Committee (DC) on at least a monthly basis to consider the studies submitted by MDAs.
  2. Maintain the project database including project profiles, bankable projects, existing projects, pipeline and completed projects.
  3. Monitoring on-going projects.
  4. Analyze the studies and make recommendations to DC.

- **Role of National Planning Authority (NPA) and Office of the Prime Minister (OPM):**
  1. Participate in the feasibility assessment process as part of the Development Committee.
  2. Monitoring on-going projects.

**INVESTMENT**

- **Role of Ministries Departments and Agencies (MDA):**
  1. Identify savings from enhanced completed projects and notify MoPRED.
  2. Finalize the detailed project design.
  3. Establish project management teams.
  4. Procurement and tenders.
  5. Finalize MDA peer commitments for the project and submit to MoPRED.
  6. Develop a monitoring framework to undertake monitoring to ensure the targeted deliverables are achieved.

- **Role of Ministry of Finance Planning and Economic Development (MoFED):**
  1. Identify funding from various sources including domestic resources, loans and grants from development partners.
  2. Consultation with MDAs to select the projects from the development projects, projects for inclusion in the next fiscal budget or Public Investment Plan (PIP).
  3. Validates resources in line with project work plans.
  4. Develop a monitoring framework to establish that projects do not deviate from plan.
  5. Review the PIP in alignment with the PM framework.

**OPERATION AND EVALUATION**

- **Role of MDAs:**
  1. MDAs develop an asset management strategy to support the operation and maintenance of the public asset created by the project.
  2. MDAs produce project completion reports and submit them to the Development Committee (DC).
  3. Further General undertaking performance evaluation.
  4. APM and NPA undertake performance evaluation and ex-post analysis and produce project evaluation report.
  5. MoPRED undertakes monitoring and evaluation.

**CRITICAL SUCCESS FACTORS/TOOLS FOR THE PIMS**

1. Understanding and communication of project success by establishing a PRM based Integrated Bank of Projects (IBP).
2. Credible project management experts through relevant capacity building and training.
3. Establish clear and measurable indicators of project success.
4. Establish a project management system to ensure measurement of project profiles, feasibility and sustainability studies.
5. Establish comprehensive measurable indicators for monitoring.

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1. The project concept note includes: (a) problem, objectives, estimated budget and link to the national and sectoral strategies.
2. The different types of modules include: (b) demand, technical, legal, human resource, environmental, financial, economic, stakeholder and risk analysis.)