Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Highlights of Vote Performance

V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

<table>
<thead>
<tr>
<th>Program</th>
<th>Approved Budget</th>
<th>Released by End Q3</th>
<th>Spent by End Q3</th>
<th>% Budget Released</th>
<th>% Budget Spent</th>
<th>% Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recurrent</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wage</td>
<td>3.477</td>
<td>2.608</td>
<td>2.556</td>
<td>75.0%</td>
<td>73.5%</td>
<td>98.0%</td>
</tr>
<tr>
<td>Non Wage</td>
<td>9.324</td>
<td>6.153</td>
<td>5.480</td>
<td>66.0%</td>
<td>58.8%</td>
<td>89.1%</td>
</tr>
<tr>
<td>Devt.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GoU</td>
<td>0.215</td>
<td>0.172</td>
<td>0.172</td>
<td>80.0%</td>
<td>80.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>Ext. Fin.</td>
<td>0.000</td>
<td>0.000</td>
<td>0.000</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>GoU Total</td>
<td>13.017</td>
<td>8.934</td>
<td>8.208</td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
<tr>
<td>Total GoU+Ext Fin (MTEF)</td>
<td>13.017</td>
<td>8.934</td>
<td>8.208</td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
<tr>
<td>Arrears</td>
<td>0.000</td>
<td>0.000</td>
<td>0.000</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Total Budget</td>
<td>13.017</td>
<td>8.934</td>
<td>8.208</td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
<tr>
<td>A.I.A Total</td>
<td>0.000</td>
<td>0.000</td>
<td>0.000</td>
<td>0.0%</td>
<td>0.0%</td>
<td>0.0%</td>
</tr>
<tr>
<td>Grand Total</td>
<td>13.017</td>
<td>8.934</td>
<td>8.208</td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
<tr>
<td>Total Vote Budget Excluding Arrears</td>
<td>13.017</td>
<td>8.934</td>
<td>8.208</td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
</tbody>
</table>

Table V1.2: Releases and Expenditure by Program*

<table>
<thead>
<tr>
<th>Billion Uganda Shillings</th>
<th>Approved Budget</th>
<th>Released by End Q3</th>
<th>Spent by End Q3</th>
<th>% Budget Released</th>
<th>% Budget Spent</th>
<th>% Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program: 1412 General Administration and Support Services</td>
<td>8.71</td>
<td>6.31</td>
<td>5.90</td>
<td>72.5%</td>
<td>67.7%</td>
<td>93.5%</td>
</tr>
<tr>
<td>Program: 1421 Prevention of ML/TF and Financial Intelligence Information Management</td>
<td>4.31</td>
<td>2.62</td>
<td>2.31</td>
<td>60.9%</td>
<td>53.6%</td>
<td>88.1%</td>
</tr>
<tr>
<td>Total for Vote</td>
<td>13.02</td>
<td>8.93</td>
<td>8.21</td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
</tbody>
</table>

Matters to note in budget execution

Less funds were released for the Quarter under review compared to amounts expected as per the cash flow plan and this negatively affected various operational activities during the quarter.

Funds not spent are already committed and activities are to be conducted in the next quarter.

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

(i) Major unspent balances

Programs, Projects

Program 1412 General Administration and Support Services

<table>
<thead>
<tr>
<th>SubProgram/Project</th>
<th>Unspent Balances</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.007 Bn Shs</td>
<td>SubProgram/Project :07 Finance and Administration</td>
</tr>
</tbody>
</table>
## Vote: 129  Financial Intelligence Authority (FIA)

### QUARTER 3: Highlights of Vote Performance

<table>
<thead>
<tr>
<th>Amount</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>0.248 Bn Shs</td>
<td><strong>SubProgram/Project :09 Human Resource Management Services</strong></td>
</tr>
<tr>
<td>248,460,000  UShs</td>
<td>213004 Gratuity Expenses</td>
</tr>
<tr>
<td>0.007 Bn Shs</td>
<td><strong>SubProgram/Project :02 Legal, Inspection and Compliance</strong></td>
</tr>
<tr>
<td>7,330,000  UShs</td>
<td>221007 Books, Periodicals &amp; Newspapers</td>
</tr>
<tr>
<td>0.046 Bn Shs</td>
<td><strong>SubProgram/Project :05 International Relations and Strategic Analysis</strong></td>
</tr>
<tr>
<td>31,780,544  UShs</td>
<td>221001 Advertising and Public Relations</td>
</tr>
<tr>
<td>0.251 Bn Shs</td>
<td><strong>SubProgram/Project :07 Operational Analysis</strong></td>
</tr>
<tr>
<td>200,000,000  UShs</td>
<td>221002 Consultancy Services - Short term</td>
</tr>
<tr>
<td>5,000,000  UShs</td>
<td>221012 Small Office Equipment</td>
</tr>
<tr>
<td>5,000,000  UShs</td>
<td>226001 Insurances</td>
</tr>
<tr>
<td>5,000,000  UShs</td>
<td>221001 Advertising and Public Relations</td>
</tr>
<tr>
<td>0.008 Bn Shs</td>
<td><strong>SubProgram/Project :08 AML Systems and ICT Management</strong></td>
</tr>
</tbody>
</table>

Program 1421 Prevention of ML/TF and Financial Intelligence Information Management

Reason: Supplier had not delivered invoice for payment to be effected

Reason: Suppliers had not delivered their invoices for payments to be made

Reason: Gratuity to be paid in Q4

Reason: Funds were not enough to cater for the activity. Activity to be conducted next quarter

Reason: Supplier had not delivered invoice for payments to be effected

Reason: Service provider had not delivered invoice for payment to be processed

Reason: Supplier had not delivered invoice for payment to be processed

Reason: Supplier had not delivered invoice for payment to be effected

Reason: Supplier had not delivered invoice for payment to be effected

Reason: Supplier had not delivered invoice for payment to be effected
**Reason:** Funds were not enough to cater for the activity. Activity to be conducted next quarter

<table>
<thead>
<tr>
<th>Items</th>
<th>Reason</th>
</tr>
</thead>
<tbody>
<tr>
<td>4,280,000,000 UShs</td>
<td>Maintenance - Vehicles</td>
</tr>
<tr>
<td>2,500,000,000 UShs</td>
<td>Printing, Stationery, Photocopying and Binding</td>
</tr>
<tr>
<td>1,000,000,000 UShs</td>
<td>Books, Periodicals &amp; Newspapers</td>
</tr>
</tbody>
</table>

**(ii) Expenditures in excess of the original approved budget**

**V2: Performance Highlights**

Table V2.1: Programme Outcome and Outcome Indicators*

<table>
<thead>
<tr>
<th>Programme: 12 General Administration and Support Services</th>
</tr>
</thead>
<tbody>
<tr>
<td>Responsible Officer: Sydney Asubo</td>
</tr>
<tr>
<td>Programme Outcome: An Efficient and effective Financial Intelligence Authority (FIA)</td>
</tr>
</tbody>
</table>

**Sector Outcomes contributed to by the Programme Outcome**

1. Sustainable Macroeconomic Stability

<table>
<thead>
<tr>
<th>Programme Outcome Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Level of Compliance of the Authority's planning and Budgeting instruments to NDPPI</td>
<td>Percentage</td>
<td>70%</td>
<td>50%</td>
</tr>
<tr>
<td>Level of compliance of the Authority to Gender and Equity budgeting</td>
<td>Percentage</td>
<td>80%</td>
<td>45%</td>
</tr>
<tr>
<td>Annual External Auditor General Rating of the Authority</td>
<td>Percentage</td>
<td>100%</td>
<td>95%</td>
</tr>
</tbody>
</table>

**Programme: 21 Prevention of ML/TF and Financial Intelligence Information Management**

<table>
<thead>
<tr>
<th>Programme Outcome: Reduced level of ML and TF cases in all the regions of the country</th>
</tr>
</thead>
<tbody>
<tr>
<td>Responsible Officer: Wandera Were Samuel</td>
</tr>
</tbody>
</table>

**Sector Outcomes contributed to by the Programme Outcome**

1. Sustainable Macroeconomic Stability

<table>
<thead>
<tr>
<th>Programme Outcome Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Proportion of ML/TF cases disseminated to LEDs with disaggregated data</td>
<td>Percentage</td>
<td>100%</td>
<td>60%</td>
</tr>
<tr>
<td>Proportion of STRs analyzed and indicating disaggregated data in terms of age, sex and nationality</td>
<td>Percentage</td>
<td>100%</td>
<td>70%</td>
</tr>
</tbody>
</table>

**Table V2.2: Key Vote Output Indicators**

| Programme: 12 General Administration and Support Services |
**Vote: 129**  
Financial Intelligence Authority (FIA)

**QUARTER 3: Highlights of Vote Performance**

### Sub Programme: 07 Finance and Administration

#### KeyOutput: 01 FIA Support Services and Administration

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>No of Financial Statements produced</td>
<td>Number</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>Percentage of the Strategic actions in the Strategic Plan delivered</td>
<td>Percentage</td>
<td>80%</td>
<td>50%</td>
</tr>
</tbody>
</table>

### KeyOutput: 21 Development of Internal Audit Controls and Risk Management

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percentage of audit recommendations implemented</td>
<td>Percentage</td>
<td>100%</td>
<td>75%</td>
</tr>
<tr>
<td>Percentage of the strategic actions in the Strategic Plan delivered</td>
<td>Percentage</td>
<td>60%</td>
<td>50%</td>
</tr>
<tr>
<td>No. of risk management assessments conducted</td>
<td>Number</td>
<td>4</td>
<td>1</td>
</tr>
</tbody>
</table>

### Sub Programme: 09 Human Resource Management Services

#### KeyOutput: 19 Human Resource Management Services

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percentage of approved FIA structure filled by gender and PWDs</td>
<td>Percentage</td>
<td>70%</td>
<td>60%</td>
</tr>
<tr>
<td>Number of staff trained in relevant capacity building by gender</td>
<td>Number</td>
<td>20</td>
<td>2</td>
</tr>
</tbody>
</table>

### Programme: 21 Prevention of ML/TF and Financial Intelligence Information Management

#### Sub Programme: 02 Legal, Inspection and Compliance

#### KeyOutput: 03 Compliance with AML and CFT laws and Regulations

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percentage of accountable persons issued with certificates of registration</td>
<td>Percentage</td>
<td>60%</td>
<td>20%</td>
</tr>
<tr>
<td>Number of inspection reports from regulatory bodies reviewed</td>
<td>Number</td>
<td>3</td>
<td>1</td>
</tr>
<tr>
<td>Number of sanctions applied and disaggregated by Accountable Persons</td>
<td>Number</td>
<td>1</td>
<td>0</td>
</tr>
</tbody>
</table>

### KeyOutput: 04 Legal Representation and Litigation

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Percentage of cases concluded and forwarded for prosecution</td>
<td>Percentage</td>
<td>100%</td>
<td></td>
</tr>
</tbody>
</table>

### Sub Programme: 05 International Relations and Strategic Analysis
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Highlights of Vote Performance

KeyOutput: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of AML/CFT Awareness campaigns conducted by region</td>
<td>Number</td>
<td>5</td>
<td>3</td>
</tr>
<tr>
<td>Proportion of Accountable persons and supervisory bodies trained on AML/CFT</td>
<td>Percentage</td>
<td>50%</td>
<td>30%</td>
</tr>
<tr>
<td>Number of recommendations from AML/CFT coordination forum implemented</td>
<td>Number</td>
<td>14</td>
<td>3</td>
</tr>
</tbody>
</table>

KeyOutput: 06 Financial Intelligence Research and Strategic Development

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of studies on ML/TF trends and methods concluded with disaggregated data</td>
<td>Number</td>
<td>2</td>
<td>0</td>
</tr>
<tr>
<td>Proportion of recommendations from MER and NRA</td>
<td>Number</td>
<td>14</td>
<td>3</td>
</tr>
</tbody>
</table>

Sub Programme: 07 Operational Analysis

KeyOutput: 01 Analysis and Reporting Financial Operations in the different Sectors

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of (STR)/LCTR/CBR received and analysed with disaggregated data</td>
<td>Number</td>
<td>480</td>
<td>578</td>
</tr>
<tr>
<td>Proportion of STRs analysed and disseminated for investigations to the relevant LEAs</td>
<td>Percentage</td>
<td>100%</td>
<td>40%</td>
</tr>
<tr>
<td>Number of due diligence requests on companies handled classified from each requesting MDA</td>
<td>Number</td>
<td>10</td>
<td>3</td>
</tr>
</tbody>
</table>

Sub Programme: 08 AML Systems and ICT Management

KeyOutput: 02 Ensure safety and integrity of FIA information

<table>
<thead>
<tr>
<th>Key Output Indicators</th>
<th>Indicator Measure</th>
<th>Planned 2019/20</th>
<th>Actuals By END Q3</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of reporting entities using goAML system disaggregated by Accountable persons</td>
<td>Number</td>
<td>20</td>
<td>5</td>
</tr>
<tr>
<td>Number of statistical databases on STR/LCTR/CBR established and maintained to reflect national character</td>
<td>Number</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

Performance highlights for the Quarter
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Highlights of Vote Performance

During this quarter, 578 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process. Out of the 578 STRs received and analysed, 20 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation. All databases were regularly updated with new information. 03 financial due diligence request were received from MoFPE, 2 cases have been concluded and submitted and 1 case is still ongoing. Disseminated 20 STRs; and Closed 412 STR files, while 146 STRs files remained pending receipt of additional information to enable further analysis.

154 onsite inspections were conducted during the quarter and these include; 133 insurance agencies and 21 Insurance companies. This was through joint onsite inspection exercise conducted by FIA and the Insurance Regulatory Authority in Western and Southern, Eastern and Northern districts with insurance presence from 20th – 29th January 2020 and from 3rd to 12th February 2020 respectively. The AML/CFT issues identified were shared with the management of the respective insurance agencies and companies for their consideration.

2 Legal gaps are still being fast tracked; The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA are under review by First Parliamentary Council (FPC). And the amendment of the 2nd schedule of AMLA, 2013, to incorporate Virtual Assets Service Providers as accountable persons. This is currently before parliament for their consideration and approval.

3 Litigation cases were handled; i. Amanyire Rogers v FIA Misc. Cause No. 003 of 2020 - the Applicant filed an application seeking an order of court to unfreeze his funds worth UGX 122,265,000 (One Hundred Twenty Two Million, Two Hundred Sixty Five Thousand Shillings) which was frozen by the Authority. The matter came up for hearing on 28th February 2020 before Hon. Justice Gadenya Wolimbwa Paul. The Application was dismissed for want of prosecution under Order 17, Rule 5 of the Civil Procedure Rules; ii. Alice Battibwe & 45 others v Dunamiscoins Resources Limited & FIA Civil Suit No. 199 of 2020 - the Plaintiff’s claim against FIA is for an order lifting the freeze on the 1st Defendant’s Account and that the funds are paid to the Plaintiffs, general damages and costs of the suit. FIA filed its Affidavit in Reply on 20th March 2020 and are awaiting a hearing date from court; iii. Smart Protus Magara v Mwesigwa & 24 others HCCS NO.132 of 2018 - the verification exercise for the claimants is ongoing at URSB. FIA is part of the verification committee and attended the verification committee meetings as guided by court to the committee. The same matter came up for hearing again on 29th March 2020. Court directed that whoever had appeared in court as a result of the issued notice to show cause should go ahead and appear before the verification committee. The matter was adjourned to the 20th of April, 2020 at 2:30 pm.

Conducted 5 Public awareness campaigns 30/1/20, 70 Money Laundering Control Officers (MLCO) of all Commercial Banks, Credit Institutions as well as MDIs were engaged at Grand Imperial Hotel, on ways of improving the quality of STRs submitted to FIA; 30th-31st/1/20, 50 members of various Civil Society Organisations (CSOs) with operations in Eastern Uganda were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. It took place at Two Seasons Hotel - Mbale. This was organised by Defenders Protection Initiative (DPI) in conjunction with Bugisu Civil Society Forum (BCSF); 6th -7th/2/20, 30 members of various Civil Society Organisations (CSOs) with operations in Greater Masaka Region were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. This was organised by Defenders Protection Initiative (DPI) in conjunction with FIA and took place at Maria Flo hotel – Masaka; various visits were made to Accountable persons to ascertain the status of submission of reports via GoAML and report on any challenges faced by the banks. The banks were given necessary skills and support to enhance the usage of the goAML system; 21/2/20, 35 members of the Uganda Police Force were engaged by FIA at Grand Imperial Hotel on ways of enhancing the effectiveness of ML/TF investigations; 13th -17th January 2020, 20 members of the Uganda Police Force were engaged by FIA at Unik hotel in the development of AML/CFT/CPF curriculum and training manual for the Uganda Police Force (UPF) that shall be used in the various Police training schools to enhance AML/CFT/CPF awareness in the police force.

V3: Details of Releases and Expenditure

Table V3.1: GoU Releases and Expenditure by Output*

<table>
<thead>
<tr>
<th>Billion Uganda Shillings</th>
<th>Approved Budget</th>
<th>Released</th>
<th>Spent</th>
<th>% GoU Budget Released</th>
<th>% GoU Budget Spent</th>
<th>% GoU Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program 1412 General Administration and Support Services</td>
<td>8.71</td>
<td>6.31</td>
<td>5.90</td>
<td>72.5%</td>
<td>67.7%</td>
<td>93.5%</td>
</tr>
<tr>
<td>Class: Outputs Provided</td>
<td>8.50</td>
<td>6.14</td>
<td>5.73</td>
<td>72.3%</td>
<td>67.4%</td>
<td>93.5%</td>
</tr>
<tr>
<td>141201 FIA Support Services and Administration</td>
<td>1.98</td>
<td>1.30</td>
<td>1.29</td>
<td>65.8%</td>
<td>64.9%</td>
<td>98.7%</td>
</tr>
<tr>
<td>141219 Human Resource Management Services</td>
<td>6.36</td>
<td>4.74</td>
<td>4.35</td>
<td>74.4%</td>
<td>68.3%</td>
<td>91.8%</td>
</tr>
<tr>
<td>141221 Development of Internal Audit Controls and Risk Management</td>
<td>0.15</td>
<td>0.10</td>
<td>0.09</td>
<td>66.3%</td>
<td>61.7%</td>
<td>93.0%</td>
</tr>
<tr>
<td>Class: Capital Purchases</td>
<td>0.22</td>
<td>0.17</td>
<td>0.17</td>
<td>80.0%</td>
<td>80.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>141276 Purchase of Office and ICT Equipment, including Software</td>
<td>0.22</td>
<td>0.17</td>
<td>0.17</td>
<td>80.0%</td>
<td>80.0%</td>
<td>100.0%</td>
</tr>
</tbody>
</table>
### Vote: 129  
**Financial Intelligence Authority (FIA)**

#### QUARTER 3: Highlights of Vote Performance

<table>
<thead>
<tr>
<th>Billion Uganda Shillings</th>
<th>Approved Budget</th>
<th>Released</th>
<th>Spent</th>
<th>% GoU Budget Released</th>
<th>% GoU Budget Spent</th>
<th>% GoU Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program 1421 Prevention of ML/TF and Financial Intelligence Information Management</td>
<td>4.31</td>
<td>2.62</td>
<td>2.31</td>
<td>60.9%</td>
<td>53.6%</td>
<td>88.1%</td>
</tr>
<tr>
<td><strong>Class: Outputs Provided</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>142101 Analysis and Reporting Financial Operations in the different Sectors</td>
<td>2.13</td>
<td>1.47</td>
<td>1.23</td>
<td>69.3%</td>
<td>58.0%</td>
<td>83.7%</td>
</tr>
<tr>
<td>142102 Ensure safety and integrity of FIA information</td>
<td>0.50</td>
<td>0.17</td>
<td>0.16</td>
<td>34.8%</td>
<td>32.2%</td>
<td>92.4%</td>
</tr>
<tr>
<td>142103 Compliance with AML and CFT laws and Regulations</td>
<td>0.40</td>
<td>0.20</td>
<td>0.20</td>
<td>49.4%</td>
<td>49.3%</td>
<td>99.9%</td>
</tr>
<tr>
<td>142104 Legal Representation and Litigation</td>
<td>0.20</td>
<td>0.14</td>
<td>0.13</td>
<td>69.7%</td>
<td>63.1%</td>
<td>90.5%</td>
</tr>
<tr>
<td>142105 Coordination of the implementation of AML/CFT NRA and MER recommendations</td>
<td>0.68</td>
<td>0.51</td>
<td>0.48</td>
<td>74.8%</td>
<td>70.0%</td>
<td>93.6%</td>
</tr>
<tr>
<td>142106 Financial Intelligence Research and Strategic Development</td>
<td>0.40</td>
<td>0.13</td>
<td>0.11</td>
<td>32.0%</td>
<td>28.6%</td>
<td>89.2%</td>
</tr>
</tbody>
</table>

**Total for Vote**  
13.02  
8.93  
8.21  
68.6%  
63.1%  
91.9%

#### Table V3.2: 2019/20 GoU Expenditure by Item

<table>
<thead>
<tr>
<th>Billion Uganda Shillings</th>
<th>Approved Budget</th>
<th>Released</th>
<th>Spent</th>
<th>% GoU Budget Released</th>
<th>% GoU Budget Spent</th>
<th>% GoU Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Class: Outputs Provided</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>211102 Contract Staff Salaries</td>
<td>3.48</td>
<td>2.61</td>
<td>2.56</td>
<td>75.0%</td>
<td>73.5%</td>
<td>98.0%</td>
</tr>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>0.51</td>
<td>0.32</td>
<td>0.32</td>
<td>63.1%</td>
<td>63.1%</td>
<td>100.0%</td>
</tr>
<tr>
<td>212101 Social Security Contributions</td>
<td>0.35</td>
<td>0.26</td>
<td>0.25</td>
<td>75.0%</td>
<td>72.7%</td>
<td>96.9%</td>
</tr>
<tr>
<td>213001 Medical expenses (To employees)</td>
<td>0.20</td>
<td>0.20</td>
<td>0.20</td>
<td>100.0%</td>
<td>100.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>213004 Gratuity Expenses</td>
<td>0.70</td>
<td>0.52</td>
<td>0.27</td>
<td>75.0%</td>
<td>39.4%</td>
<td>52.5%</td>
</tr>
<tr>
<td>221001 Advertising and Public Relations</td>
<td>0.11</td>
<td>0.09</td>
<td>0.05</td>
<td>82.8%</td>
<td>49.4%</td>
<td>59.6%</td>
</tr>
<tr>
<td>221002 Workshops and Seminars</td>
<td>0.10</td>
<td>0.14</td>
<td>0.14</td>
<td>140.0%</td>
<td>140.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>0.97</td>
<td>0.27</td>
<td>0.27</td>
<td>27.7%</td>
<td>27.6%</td>
<td>99.9%</td>
</tr>
<tr>
<td>221006 Commissions and related charges</td>
<td>0.96</td>
<td>0.56</td>
<td>0.50</td>
<td>58.1%</td>
<td>52.0%</td>
<td>89.6%</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>0.09</td>
<td>0.04</td>
<td>0.02</td>
<td>47.4%</td>
<td>24.1%</td>
<td>50.8%</td>
</tr>
<tr>
<td>221008 Computer supplies and Information Technology (IT)</td>
<td>0.05</td>
<td>0.05</td>
<td>0.05</td>
<td>100.0%</td>
<td>97.2%</td>
<td>97.2%</td>
</tr>
<tr>
<td>221009 Welfare and Entertainment</td>
<td>0.26</td>
<td>0.25</td>
<td>0.22</td>
<td>96.5%</td>
<td>85.3%</td>
<td>88.3%</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>0.22</td>
<td>0.17</td>
<td>0.13</td>
<td>78.4%</td>
<td>59.7%</td>
<td>76.1%</td>
</tr>
<tr>
<td>221012 Small Office Equipment</td>
<td>0.02</td>
<td>0.02</td>
<td>0.01</td>
<td>100.0%</td>
<td>69.3%</td>
<td>69.3%</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>0.51</td>
<td>0.37</td>
<td>0.37</td>
<td>73.4%</td>
<td>72.9%</td>
<td>99.3%</td>
</tr>
<tr>
<td>222001 Telecommunications</td>
<td>0.07</td>
<td>0.04</td>
<td>0.03</td>
<td>50.5%</td>
<td>42.9%</td>
<td>84.9%</td>
</tr>
<tr>
<td>223003 Rent – (Produced Assets) to private entities</td>
<td>0.92</td>
<td>0.65</td>
<td>0.64</td>
<td>70.7%</td>
<td>69.5%</td>
<td>98.4%</td>
</tr>
<tr>
<td>223004 Guard and Security services</td>
<td>0.10</td>
<td>0.10</td>
<td>0.10</td>
<td>100.0%</td>
<td>98.8%</td>
<td>98.8%</td>
</tr>
<tr>
<td>223005 Electricity</td>
<td>0.07</td>
<td>0.04</td>
<td>0.03</td>
<td>59.3%</td>
<td>49.8%</td>
<td>84.1%</td>
</tr>
<tr>
<td>224003 Classified Expenditure</td>
<td>1.76</td>
<td>1.19</td>
<td>1.20</td>
<td>67.5%</td>
<td>68.2%</td>
<td>101.1%</td>
</tr>
<tr>
<td>224004 Cleaning and Sanitation</td>
<td>0.05</td>
<td>0.04</td>
<td>0.04</td>
<td>93.1%</td>
<td>93.1%</td>
<td>100.0%</td>
</tr>
<tr>
<td>225001 Consultancy Services- Short term</td>
<td>0.48</td>
<td>0.21</td>
<td>0.01</td>
<td>43.8%</td>
<td>2.1%</td>
<td>4.8%</td>
</tr>
<tr>
<td>226001 Insurances</td>
<td>0.02</td>
<td>0.01</td>
<td>0.01</td>
<td>50.8%</td>
<td>25.0%</td>
<td>49.2%</td>
</tr>
</tbody>
</table>
**Vote: 129**  
Financial Intelligence Authority (FIA)

### QUARTER 3: Highlights of Vote Performance

<table>
<thead>
<tr>
<th>Description</th>
<th>Approved</th>
<th>Released</th>
<th>Spent</th>
<th>% Budget Released</th>
<th>% Budget Spent</th>
<th>% GoU Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>227001 Travel inland</td>
<td>0.20</td>
<td>0.11</td>
<td>0.11</td>
<td>56.1%</td>
<td>57.4%</td>
<td>102.4%</td>
</tr>
<tr>
<td>227002 Travel abroad</td>
<td>0.20</td>
<td>0.18</td>
<td>0.18</td>
<td>90.1%</td>
<td>90.1%</td>
<td>100.0%</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>0.34</td>
<td>0.25</td>
<td>0.25</td>
<td>75.0%</td>
<td>75.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>228002 Maintenance - Vehicles</td>
<td>0.06</td>
<td>0.05</td>
<td>0.04</td>
<td>75.0%</td>
<td>62.0%</td>
<td>82.7%</td>
</tr>
<tr>
<td>Class: Capital Purchases</td>
<td>0.22</td>
<td>0.17</td>
<td>0.17</td>
<td>80.0%</td>
<td>80.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>312213 ICT Equipment</td>
<td>0.22</td>
<td>0.17</td>
<td>0.17</td>
<td>80.0%</td>
<td>80.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td><strong>Total for Vote</strong></td>
<td><strong>13.02</strong></td>
<td><strong>8.93</strong></td>
<td><strong>8.21</strong></td>
<td>68.6%</td>
<td>63.1%</td>
<td>91.9%</td>
</tr>
</tbody>
</table>

### Table V3.3: GoU Releases and Expenditure by Project and Programme*

<table>
<thead>
<tr>
<th>Billion Uganda Shillings</th>
<th>Approved Budget</th>
<th>Released</th>
<th>Spent</th>
<th>% GoU Budget Released</th>
<th>% GoU Budget Spent</th>
<th>% GoU Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program 1412</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>General Administration</td>
<td>8.71</td>
<td>6.31</td>
<td>5.90</td>
<td>72.5%</td>
<td>67.7%</td>
<td>93.5%</td>
</tr>
<tr>
<td>and Support Services</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>07 Finance and</td>
<td>2.13</td>
<td>1.40</td>
<td>1.38</td>
<td>65.8%</td>
<td>64.7%</td>
<td>98.3%</td>
</tr>
<tr>
<td>Administration</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>09 Human Resource</td>
<td>6.36</td>
<td>4.74</td>
<td>4.35</td>
<td>74.4%</td>
<td>68.3%</td>
<td>91.8%</td>
</tr>
<tr>
<td>Management Services</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Development Projects</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1423 Support to Financial Intelligence Authority</td>
<td>0.22</td>
<td>0.17</td>
<td>0.17</td>
<td>80.0%</td>
<td>80.0%</td>
<td>100.0%</td>
</tr>
<tr>
<td>Program 1421</td>
<td>4.31</td>
<td>2.62</td>
<td>2.31</td>
<td>60.9%</td>
<td>53.6%</td>
<td>88.1%</td>
</tr>
<tr>
<td>Prevention of ML/TF</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>and Financial</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Intelligence Information Management</td>
<td></td>
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</tr>
<tr>
<td>Recurrent SubProgrammes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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</tr>
<tr>
<td>02 Legal, Inspection and</td>
<td>0.60</td>
<td>0.34</td>
<td>0.32</td>
<td>56.2%</td>
<td>53.9%</td>
<td>96.0%</td>
</tr>
<tr>
<td>Compliance</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>05 International Relations and Strategic Analysis</td>
<td>1.08</td>
<td>0.64</td>
<td>0.59</td>
<td>58.9%</td>
<td>54.6%</td>
<td>92.7%</td>
</tr>
<tr>
<td>07 Operational Analysis</td>
<td>2.13</td>
<td>1.47</td>
<td>1.23</td>
<td>69.3%</td>
<td>58.0%</td>
<td>83.7%</td>
</tr>
<tr>
<td>08 AML Systems and ICT</td>
<td>0.50</td>
<td>0.17</td>
<td>0.16</td>
<td>34.8%</td>
<td>32.2%</td>
<td>92.4%</td>
</tr>
<tr>
<td>Management</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total for Vote</strong></td>
<td><strong>13.02</strong></td>
<td><strong>8.93</strong></td>
<td><strong>8.21</strong></td>
<td><strong>68.6%</strong></td>
<td><strong>63.1%</strong></td>
<td><strong>91.9%</strong></td>
</tr>
</tbody>
</table>

### Table V3.4: External Financing Releases and Expenditure by Sub Programme

<table>
<thead>
<tr>
<th>Billion Uganda Shillings</th>
<th>Approved Budget</th>
<th>Released</th>
<th>Spent</th>
<th>% Budget Released</th>
<th>% Budget Spent</th>
<th>% Releases Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program 1421</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prevention of ML/TF</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>and Financial</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intelligence Information Management</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Recurrent SubProgrammes</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>02 Legal, Inspection and</td>
<td>0.60</td>
<td>0.34</td>
<td>0.32</td>
<td>56.2%</td>
<td>53.9%</td>
<td>96.0%</td>
</tr>
<tr>
<td>Compliance</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>05 International Relations and Strategic Analysis</td>
<td>1.08</td>
<td>0.64</td>
<td>0.59</td>
<td>58.9%</td>
<td>54.6%</td>
<td>92.7%</td>
</tr>
<tr>
<td>07 Operational Analysis</td>
<td>2.13</td>
<td>1.47</td>
<td>1.23</td>
<td>69.3%</td>
<td>58.0%</td>
<td>83.7%</td>
</tr>
<tr>
<td>08 AML Systems and ICT</td>
<td>0.50</td>
<td>0.17</td>
<td>0.16</td>
<td>34.8%</td>
<td>32.2%</td>
<td>92.4%</td>
</tr>
<tr>
<td>Management</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total for Vote</strong></td>
<td><strong>13.02</strong></td>
<td><strong>8.93</strong></td>
<td><strong>8.21</strong></td>
<td><strong>68.6%</strong></td>
<td><strong>63.1%</strong></td>
<td><strong>91.9%</strong></td>
</tr>
</tbody>
</table>
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

<table>
<thead>
<tr>
<th>Annual Planned Outputs</th>
<th>Cumulative Outputs Achieved by End of Quarter</th>
<th>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program: 12 General Administration and Support Services</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Recurrent Programmes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subprogram: 07 Finance and Administration</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outputs Provided</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Output: 01 FIA Support Services and Administration</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Office supplies for staff procured</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Financial management and accountability Policies and operation procedure developed and enforced</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Routine operations facilitated to ensure efficiency and effectiveness</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Various office supplies for staff were procured to ensure that staff had all the appropriate business tools to enable them perform their roles effectively and efficiently. Financial management and Standard Operating Procedures were developed and reviewed respectively to foster accountability and achieve operational efficiency. All routine operations were promptly facilitated to ensure efficiency and effectiveness.

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>90,000</td>
</tr>
<tr>
<td>221002 Staff Training</td>
<td>129,637</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>720</td>
</tr>
<tr>
<td>223003 Rent – (Produced Assets) to private entities</td>
<td>640,919</td>
</tr>
<tr>
<td>223004 Guard and Security services</td>
<td>103,429</td>
</tr>
<tr>
<td>223005 Electricity</td>
<td>34,881</td>
</tr>
<tr>
<td>224003 Classified Expenditure</td>
<td>135,200</td>
</tr>
<tr>
<td>224004 Cleaning and Sanitation</td>
<td>25,000</td>
</tr>
<tr>
<td>226001 Insurances</td>
<td>75</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>22,500</td>
</tr>
<tr>
<td>227002 Travel abroad</td>
<td>40,800</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>63,900</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

Achievement was as per target thus no variation

Output: 21 Development of Internal Audit Controls and Risk Management

Number of Risk based audits conducted |
Number of times FIA Risk register is updated

One Risk based audit was conducted and findings assisted the organisation in focusing its resources on the high risk areas as first priority. The FIA risk register was updated once during quarter and it aided departments in proactively mitigating the identified risks

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>9,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>675</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>15,430</td>
</tr>
<tr>
<td>221012 Small Office Equipment</td>
<td>7,477</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>5,000</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>7,500</td>
</tr>
<tr>
<td>227002 Travel abroad</td>
<td>36,600</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>10,800</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

Achievement was as per target thus no variation

Wage Recurrent 0
Non Wage Recurrent 92,482

Total 92,482

Wage Recurrent 0
Non Wage Recurrent 92,482

Total 1,287,061

Wage Recurrent 0
Non Wage Recurrent 1,287,061

9/26
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

<table>
<thead>
<tr>
<th>Annual Planned Outputs</th>
<th>Cumulative Outputs Achieved by End of Quarter</th>
<th>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>UShs Thousand</td>
</tr>
<tr>
<td></td>
<td></td>
<td>AIA 0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Total For SubProgramme 1,379,543</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Wage Recurrent 0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Non Wage Recurrent 1,379,543</td>
</tr>
</tbody>
</table>

Recurrent Programmes

Subprogram: 09 Human Resource Management Services

Outputs Provided

Output: 19 Human Resource Management Services

Management of human capital

The available Human capital was effectively managed through retooling, effective deployment and appropriate support provided to all staff to enable them perform their duties

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211102 Contract Staff Salaries</td>
<td>2,556,030</td>
</tr>
<tr>
<td>212101 Social Security Contributions</td>
<td>253,610</td>
</tr>
<tr>
<td>213001 Medical expenses (To employees)</td>
<td>198,900</td>
</tr>
<tr>
<td>213004 Gratuity Expenses</td>
<td>274,800</td>
</tr>
<tr>
<td>221006 Commissions and related charges</td>
<td>499,081</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>14,217</td>
</tr>
<tr>
<td>221009 Welfare and Entertainment</td>
<td>224,268</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>327,263</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

Performance was as per target

Total 4,348,169

Wage Recurrent 2,556,030
Non Wage Recurrent 1,792,139
AIA 0

Total For SubProgramme 4,348,169

Wage Recurrent 2,556,030
Non Wage Recurrent 1,792,139
AIA 0

Development Projects

Project: 1423 Support to Financial Intelligence Authority

Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

ICT software for goAML procured and installed

Cumulatively by end of Q3, 12 new desktop computers and heavy duty shared office printers were procured.

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>312213 ICT Equipment</td>
<td>172,046</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

Performance was as per target

Total 172,046

GoU Development 172,046
External Financing 0
AIA 0
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

<table>
<thead>
<tr>
<th>Annual Planned Outputs</th>
<th>Cumulative Outputs Achieved by End of Quarter</th>
<th>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td><strong>UShs Thousand</strong></td>
</tr>
<tr>
<td><strong>Total For SubProgramme</strong></td>
<td></td>
<td>172,046</td>
</tr>
<tr>
<td>GoU Development</td>
<td></td>
<td>172,046</td>
</tr>
<tr>
<td>External Financing</td>
<td></td>
<td>0</td>
</tr>
<tr>
<td>AIA</td>
<td></td>
<td>0</td>
</tr>
</tbody>
</table>

Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Subprogram: 02 Legal, Inspection and Compliance

Outputs Provided

Output: 03 Compliance with AML and CFT laws and Regulations

- Increased inspection reports
- Accountable persons registered
- Guidelines issued
- Reports reviewed
- Sanctions enforced

Cumulatively, 159 onsite inspections were conducted by end of Q3.

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>70,000</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>22,741</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>30,000</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>36,770</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>37,800</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

The over performance is attributed to the adoption of stakeholder joint inspections for example like the ones conducted by FIA and IRA

<table>
<thead>
<tr>
<th>Total</th>
<th>197,311</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>197,311</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

Output: 04 Legal Representation and Litigation

- Legal gaps identified and address
- Litigation cases handled
- Stakeholder MOUs signed

Cumulatively, 3 legal gaps were identified and being addressed. And 10 litigation issues have been handled

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>35,000</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>36,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>270</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>16,500</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>12,244</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>1,935</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>24,300</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

Performance was as per target

<table>
<thead>
<tr>
<th>Total</th>
<th>126,249</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>126,249</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Total For SubProgramme</th>
<th>323,560</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>323,560</td>
</tr>
</tbody>
</table>
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

<table>
<thead>
<tr>
<th>Annual Planned Outputs</th>
<th>Cumulative Outputs Achieved by End of Quarter</th>
<th>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</th>
<th>UShs Thousand</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>AIA 0</td>
</tr>
</tbody>
</table>

**Recurrent Programmes**

**Subprogram: 05 International Relations and Strategic Analysis**

**Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations**

**Outputs Provided**

- AML/CFT Awareness campaigns conducted across Uganda
- Accountable persons and supervisory bodies trained on AML/CFT
- Various recommendations from AML/CFT coordination forum implemented

Cumulatively, by end of Q3, 1005 members of staff and management of various reporting entities had been trained in AML/CFT

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>221001 Advertising and Public Relations</td>
<td>49,350</td>
</tr>
<tr>
<td>221002 Workshops and Seminars</td>
<td>40,000</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>29,739</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>59,350</td>
</tr>
<tr>
<td>224003 Classified Expenditure</td>
<td>200,000</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>32,500</td>
</tr>
<tr>
<td>227002 Travel abroad</td>
<td>50,000</td>
</tr>
<tr>
<td>228002 Maintenance - Vehicles</td>
<td>15,000</td>
</tr>
</tbody>
</table>

**Reasons for Variation in performance**

Performance was as per target

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>75,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>6,000</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>33,200</td>
</tr>
</tbody>
</table>

**Total**

<table>
<thead>
<tr>
<th>Total</th>
<th>475,938</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>475,938</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
</tr>
</tbody>
</table>

**Output: 06 Financial Intelligence Research and Strategic Development**

Studies on ML/TF trends and methods conducted

Cumulatively by end of Q3, 2 typology studies had been completed. That is ML/TF typology study on the NGO sector in Uganda and ML/TF typology study on the sports betting sector in Uganda. The findings and recommendations are to be used to inform policy

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>75,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>6,000</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>33,200</td>
</tr>
</tbody>
</table>

**Reasons for Variation in performance**

The typology studies were scheduled to be conducted in Q4

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>75,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>6,000</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>33,200</td>
</tr>
</tbody>
</table>

**Total**

<table>
<thead>
<tr>
<th>Total</th>
<th>114,200</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>114,200</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
</tr>
</tbody>
</table>

**Total For SubProgramme**

<table>
<thead>
<tr>
<th>Total</th>
<th>590,138</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>590,138</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
</tr>
</tbody>
</table>

**Recurrent Programmes**

**Subprogram: 07 Operational Analysis**

**Outputs Provided**
# Vote: 129  
Financial Intelligence Authority (FIA)

## QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

<table>
<thead>
<tr>
<th>Annual Planned Outputs</th>
<th>Cumulative Outputs Achieved by End of Quarter</th>
<th>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output: 01 Analysis and Reporting Financial Operations in the different Sectors</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reports received and analysed</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intelligence information gathered</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Intelligence reports disseminated</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Databases maintained</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cumulatively, by end of quarter 3, 1573 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process. Out of the 1573 STRs received and analysed, 33 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation. All databases were regularly updated with new information. 09 financial due diligence request were received from MoFPED, 5 cases have been concluded and submitted and 4 cases are still ongoing. Disseminated 33 STRs; and Closed 1418 STR files, while 322 STRs files remained pending receipt of additional information to enable further analysis.</td>
<td>Item</td>
<td>Spent</td>
</tr>
<tr>
<td></td>
<td></td>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221001 Advertising and Public Relations</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221002 Workshops and Seminars</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221003 Staff Training</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221008 Computer supplies and Information Technology (IT)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221012 Small Office Equipment</td>
</tr>
<tr>
<td></td>
<td></td>
<td>221017 Subscriptions</td>
</tr>
<tr>
<td></td>
<td></td>
<td>224003 Classified Expenditure</td>
</tr>
<tr>
<td></td>
<td></td>
<td>224004 Cleaning and Sanitation</td>
</tr>
<tr>
<td></td>
<td></td>
<td>226001 Insurances</td>
</tr>
<tr>
<td></td>
<td></td>
<td>227001 Travel inland</td>
</tr>
<tr>
<td></td>
<td></td>
<td>227002 Travel abroad</td>
</tr>
<tr>
<td></td>
<td></td>
<td>227004 Fuel, Lubricants and Oils</td>
</tr>
<tr>
<td></td>
<td></td>
<td>228002 Maintenance - Vehicles</td>
</tr>
</tbody>
</table>

### Reasons for Variation in performance

The over performance is attributed to the increased use of the goAML electronic reporting system by the reporting entities.

<table>
<thead>
<tr>
<th></th>
<th>Total 1,233,749</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>1,233,749</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

### Total For SubProgramme 1,233,749

<table>
<thead>
<tr>
<th></th>
<th>Total 1,233,749</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>1,233,749</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

### Recurrent Programmes

#### Subprogram: 08 AML Systems and ICT Management

<table>
<thead>
<tr>
<th>Outputs Provided</th>
</tr>
</thead>
<tbody>
<tr>
<td>Output: 02 Ensure safety and integrity of FIA information</td>
</tr>
</tbody>
</table>
# Vote: 129  
Financial Intelligence Authority (FIA)

## QUARTER 3: Cumulative Outputs and Expenditure by End of Quarter

<table>
<thead>
<tr>
<th>Annual Planned Outputs</th>
<th>Cumulative Outputs Achieved by End of Quarter</th>
<th>Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>goAML System Managed</td>
<td>goAML electronic system was rolled out to 95% of the Financial Institutions during the quarter</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Item</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>Spent</strong></td>
</tr>
<tr>
<td></td>
<td></td>
<td><strong>UShs Thousand</strong></td>
</tr>
<tr>
<td></td>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>20,250</td>
</tr>
<tr>
<td></td>
<td>221003 Staff Training</td>
<td>25,000</td>
</tr>
<tr>
<td></td>
<td>222001 Telecommunications</td>
<td>30,576</td>
</tr>
<tr>
<td></td>
<td>225001 Consultancy Services- Short term</td>
<td>10,000</td>
</tr>
<tr>
<td></td>
<td>227001 Travel inland</td>
<td>8,250</td>
</tr>
<tr>
<td></td>
<td>227002 Travel abroad</td>
<td>20,000</td>
</tr>
<tr>
<td></td>
<td>227004 Fuel, Lubricants and Oils</td>
<td>36,000</td>
</tr>
<tr>
<td></td>
<td>228002 Maintenance - Vehicles</td>
<td>10,720</td>
</tr>
</tbody>
</table>

### Reasons for Variation in performance

The performance was per target

<table>
<thead>
<tr>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent 0</td>
</tr>
<tr>
<td>Non Wage Recurrent 160,796</td>
</tr>
<tr>
<td>AIA 0</td>
</tr>
</tbody>
</table>

**Total For SubProgramme**  
160,796

<table>
<thead>
<tr>
<th>Total For SubProgramme</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent 0</td>
</tr>
<tr>
<td>Non Wage Recurrent 160,796</td>
</tr>
<tr>
<td>AIA 0</td>
</tr>
</tbody>
</table>

**GRAND TOTAL**  
8,208,001

<table>
<thead>
<tr>
<th>GRAND TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent 2,556,030</td>
</tr>
<tr>
<td>Non Wage Recurrent 5,479,925</td>
</tr>
<tr>
<td>GoU Development 172,046</td>
</tr>
<tr>
<td>External Financing 0</td>
</tr>
<tr>
<td>AIA 0</td>
</tr>
</tbody>
</table>
Vote: 129  
Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

<table>
<thead>
<tr>
<th>Program: 12 General Administration and Support Services</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Recurrent Programmes</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Subprogram: 07 Finance and Administration</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Outputs Provided</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Output: 01 FIA Support Services and Administration</th>
</tr>
</thead>
</table>

| Various office supplies for staff were procured to ensure that staff had all the appropriate business tools to enable them perform their roles effectively and efficiently. Financial management and Standard Operating Procedures were developed and reviewed respectively to foster accountability and achieve operational efficiency. All routine operations were promptly facilitated to ensure efficiency and effectiveness. |

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>37,500</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>33,751</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>360</td>
</tr>
<tr>
<td>223003 Rent – (Produced Assets) to private entities</td>
<td>216,860</td>
</tr>
<tr>
<td>223004 Guard and Security services</td>
<td>17,429</td>
</tr>
<tr>
<td>223005 Electricity</td>
<td>14,781</td>
</tr>
<tr>
<td>224003 Classified Expenditure</td>
<td>35,200</td>
</tr>
<tr>
<td>224004 Cleaning and Sanitation</td>
<td>839</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>4,998</td>
</tr>
<tr>
<td>227002 Travel abroad</td>
<td>12,878</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>21,300</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Reasons for Variation in performance</th>
</tr>
</thead>
</table>

| Achievement was as per target thus no variation |

<table>
<thead>
<tr>
<th>Total</th>
<th>395,896</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>395,896</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Output: 21 Development of Internal Audit Controls and Risk Management</th>
</tr>
</thead>
</table>

| One Risk based audit was conducted and findings assisted the organisation in focusing its resources on the high risk areas as first priority. The FIA risk register was updated once during quarter and it aided departments in proactively mitigating the identified risks |

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>3,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>675</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>10,000</td>
</tr>
<tr>
<td>221012 Small Office Equipment</td>
<td>7,477</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>5,000</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>80</td>
</tr>
<tr>
<td>227002 Travel abroad</td>
<td>21,600</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>3,600</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Reasons for Variation in performance</th>
</tr>
</thead>
</table>

| Achievement was as per target thus no variation |

<table>
<thead>
<tr>
<th>Total</th>
<th>51,432</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>51,432</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

| Total For SubProgramme | 447,328 |
**Vote: 129  Financial Intelligence Authority (FIA)**

**QUARTER 3: Outputs and Expenditure in Quarter**

<table>
<thead>
<tr>
<th>Outputs Planned in Quarter</th>
<th>Actual Outputs Achieved in Quarter</th>
<th>Expenditures incurred in the Quarter to deliver outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>UShs Thousand</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Wage Recurrent 0</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Non Wage Recurrent 447,328</td>
</tr>
<tr>
<td></td>
<td></td>
<td>AIA 0</td>
</tr>
</tbody>
</table>

**Recurrent Programmes**

**Subprogram: 09 Human Resource Management Services**

**Outputs Provided**

**Output: 19 Human Resource Management Services**

Management of human capital  
The available Human capital was effectively managed through retooling, effective deployment and appropriate support provided to all staff to enable them perform their duties

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211102 Contract Staff Salaries</td>
<td>839,445</td>
</tr>
<tr>
<td>212101 Social Security Contributions</td>
<td>84,690</td>
</tr>
<tr>
<td>213001 Medical expenses (To employees)</td>
<td>198,900</td>
</tr>
<tr>
<td>221006 Commissions and related charges</td>
<td>158,139</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>6,967</td>
</tr>
<tr>
<td>221009 Welfare and Entertainment</td>
<td>49,008</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>5,263</td>
</tr>
</tbody>
</table>

**Reasons for Variation in performance**

Performance was as per target

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>1,342,411</td>
</tr>
<tr>
<td>Wage Recurrent</td>
<td>839,445</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>502,966</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total For SubProgramme</td>
<td>1,342,411</td>
</tr>
<tr>
<td>Wage Recurrent</td>
<td>839,445</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>502,966</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

**Development Projects**

**Project: 1423 Support to Financial Intelligence Authority**

**Capital Purchases**

**Output: 76 Purchase of Office and ICT Equipment, including Software**

Maintenance and service  
12 new desk top computers and heavy duty shared office printers were procured

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>312213 ICT Equipment</td>
<td>64,546</td>
</tr>
</tbody>
</table>

**Reasons for Variation in performance**

Performance was as per target

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>64,546</td>
</tr>
<tr>
<td>GoU Development</td>
<td>64,546</td>
</tr>
<tr>
<td>External Financing</td>
<td>0</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total For SubProgramme</td>
<td>64,546</td>
</tr>
<tr>
<td>GoU Development</td>
<td>64,546</td>
</tr>
<tr>
<td>External Financing</td>
<td>0</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>
Vote: 129  
Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

<table>
<thead>
<tr>
<th>Outputs Planned in Quarter</th>
<th>Actual Outputs Achieved in Quarter</th>
<th>Expenditures incurred in the Quarter to deliver outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Program: 21 Prevention of ML/TF and Financial Intelligence Information Management</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Recurrent Programmes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Subprogram: 02 Legal, Inspection and Compliance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Outputs Provided</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Output: 03 Compliance with AML and CFT laws and Regulations</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Review 50% of annual compliance reports from accountable persons</td>
<td>A total of 133 insurance agencies and 21 insurance companies were inspected during Q3. This was through joint onsite inspection exercise conducted by FIA and the Insurance Regulatory Authority in Western and Southern, Eastern and Northern districts with insurance presence from 20th – 29th January 2020 and from 3rd to 12th February 2020 respectively.</td>
<td></td>
</tr>
<tr>
<td>Increased inspection reports</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Register new Accountable persons and update the database</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Issue 1 Guideline for cross border declaration on movement of cash and BNIs</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 Sanction enforced for non compliance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reasons for Variation in performance</td>
<td></td>
<td></td>
</tr>
<tr>
<td>The over performance is attributed to the adoption of stakeholder joint inspections for example like the ones conducted by FIA and IRA</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Item</th>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>211003 Staff Training</td>
<td>40,915</td>
</tr>
<tr>
<td>220101 Printing, Stationery, Photocopying and Binding</td>
<td>227001 Travel inland</td>
<td>22,741</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>227003 Travel inland</td>
<td>25,000</td>
</tr>
<tr>
<td>14,870</td>
<td>12,600</td>
<td></td>
</tr>
</tbody>
</table>

| Total | | |
|-------|-----------------|
| Non Wage Recurrent | 116,125 |
| Wage Recurrent | 0 |
| Total | 116,125 |

Output: 04 Legal Representation and Litigation
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

<table>
<thead>
<tr>
<th>Outputs Planned in Quarter</th>
<th>Actual Outputs Achieved in Quarter</th>
<th>Expenditures incurred in the Quarter to deliver outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Legal gaps identified and addressed</td>
<td>2 Legal gaps are still being fast tracked; The AML Regulations amendment to incorporate enforcement of administrative sanctions on reporting entities that fail to comply with AMLA are under review by First Parliamentary Council (FPC). And the amendment of the 2nd schedule of AMLA, 2013, to incorporate Virtual Assets Service Providers as accountable persons. This is currently before parliament for their consideration and approval.</td>
<td>Item</td>
</tr>
<tr>
<td>Litigation cases handled</td>
<td></td>
<td>Spent</td>
</tr>
<tr>
<td>Stakeholder MOUs signed</td>
<td>3 Litigation cases were handled; i. Amanyire Rogers v FIA Misc. Cause No. 003 of 2020 - the Applicant filed an application seeking an order of court to unfreeze his funds worth UGX 122,265,000 (One Hundred Twenty Two Million, Two Hundred Sixty Five Thousand Shillings) which was frozen by the Authority. The matter came up for hearing on 28th February 2020 before Hon. Justice Gadinya Wolimbwa Paul. The Application was dismissed for want of prosecution under Order 17, Rule 5 of the Civil Procedure Rules; ii. Alice Battiibwe &amp; 45 others v Dunamiscoins Resources Limited &amp; FIA Civil Suit No. 199 of 2020 - the Plaintiff’s claim against FIA is for an order lifting the freeze on the 1st Defendant’s Account and that the funds are paid to the Plaintiffs, general damages and costs of the suit. FIA filed its Affidavit in Reply on 20th March 2020 and are awaiting a hearing date from court; iii. Smart Protus Magara v Mwesigwa &amp; 24 others HCCS NO.132 of 2018 - the verification exercise for the claimants is ongoing at URSB. FIA is part of the verification committee and attended the verification committee meetings as guided by court to the committee. The same matter came up for hearing again on 29th March 2020. Court directed that whoever had appeared in court as a result of the issued notice to show cause should go ahead and appear before the verification committee. The matter was adjourned to the 20th of April, 2020 at 2:30 pm.</td>
<td>UShs Thousand</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Reasons for Variation in performance

Performance was as per target

<table>
<thead>
<tr>
<th></th>
<th>Total</th>
<th>Wage Recurrent</th>
<th>Non Wage Recurrent</th>
<th>AIA</th>
<th>Total For SubProgramme</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>57,124</td>
<td>0</td>
<td>57,124</td>
<td>0</td>
<td>173,249</td>
</tr>
</tbody>
</table>

18/26
QUARTER 3: Outputs and Expenditure in Quarter

<table>
<thead>
<tr>
<th>Outputs Planned in Quarter</th>
<th>Actual Outputs Achieved in Quarter</th>
<th>Expenditures incurred in the Quarter to deliver outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Wage Recurrent</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0</td>
</tr>
</tbody>
</table>

Recurrent Programmes

Subprogram: 05 International Relations and Strategic Analysis

Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations

- Conduct 5 awareness campaigns across the country
- Conduct AML/CFT training for 2 reporting entities
- Implement 100% recommendation of the UAMLC Task force recommendations

5 Public awareness campaigns were conducted during this quarter; 30/1/20, 70 Money Laundering Control Officers (MLCO) of all Commercial Banks, Credit Institutions as well as MDIs were engaged at Grand Imperial Hotel, on ways of improving the quality of STRs submitted to FIA; 30th-31st/1/20, 50 members of various Civil Society Organisations (CSOs) with operations in Eastern Uganda were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. It took place at Two Seasons Hotel – Mbale. This was organised by Defenders Protection Initiative (DPI) in conjunction with Bugisu Civil Society Forum (BCSF); 6th - 7th/2/20, 30 members of various Civil Society Organisations (CSOs) with operations in Greater Masaka Region were engaged on Anti-Money Laundering obligations for Accountable persons focusing on NGOs. This was organised by Defenders Protection Initiative (DPI) in conjunction with FIA and took place at Maria Flo hotel – Masaka; various visits were made to Accountable persons to ascertain the status of submission of reports via GoAML and report on any challenges faced by the banks. The banks were given necessary skills and support to enhance the usage of the GoAML system; 21/2/20, 35 members of the Uganda Police Force were engaged by FIA at Grand Imperial Hotel on ways of enhancing the effectiveness of ML/TF investigations; 13th -27th January 2020, 20 members of the Uganda Police Force were engaged by FIA at Unik hotel in the development of AML/CFT/CPF curriculum and training manual for the Uganda Police Force (UPF) that shall be used in the various Police training schools to enhance AML/CFT/CPF awareness in the police force.

Item | Spent |
--- | --- |
221001 Advertising and Public Relations | 9,500 |
221002 Workshops and Seminars | 6,404 |
221003 Staff Training | 4,610 |
221011 Printing, Stationery, Photocopying and Binding | 22,397 |
224003 Classified Expenditure | 50,000 |
227001 Travel inland | 11,170 |
227002 Travel abroad | 10,000 |
228002 Maintenance - Vehicles | 5,000 |

Reasons for Variation in performance

Performance was as per target

Total 119,080
**Vote: 129  Financial Intelligence Authority (FIA)**

**QUARTER 3: Outputs and Expenditure in Quarter**

<table>
<thead>
<tr>
<th>Outputs Planned in Quarter</th>
<th>Actual Outputs Achieved in Quarter</th>
<th>Expenditures incurred in the Quarter to deliver outputs</th>
<th>UShs Thousand</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Wage Recurrent</td>
<td>Non Wage Recurrent</td>
</tr>
<tr>
<td></td>
<td></td>
<td>0</td>
<td>119,080</td>
</tr>
</tbody>
</table>

**Output: 06 Financial Intelligence Research and Strategic Development**

Conduct one study on ML/TF trends in Uganda to inform policy

No typology study was conducted during this quarter

**Item** | **Spent**
---|---
211103 Allowances (Inc. Casuals, Temporary) | 30,147
221007 Books, Periodicals & Newspapers | 4,000
227004 Fuel, Lubricants and Oils | 11,100

**Reasons for Variation in performance**

The typology studies were scheduled to be conducted in Q4

**Total For SubProgramme** | **UShs Thousand**
---|---
Wage Recurrent | 0
Non Wage Recurrent | 45,247
AIA | 0

**Total** | **UShs Thousand**
---|---
Wage Recurrent | 0
Non Wage Recurrent | 164,327
AIA | 0

**Recurrent Programmes**

**Subprogram: 07 Operational Analysis**

**Outputs Provided**

**Output: 01 Analysis and Reporting Financial Operations in the different Sectors**

Reports received and analysed

Intelligence information gathered

Intelligence reports disseminated

Databases maintained

Due diligence on investors intending to partner with government in infrastructural developments conducted

During this quarter, 578 Suspicious Transaction Reports (STRs) were received and analysed. Intelligence information was gathered from various sources to assist in collaborating the financial analysis process. Out of the 578 STRs received and analysed, 20 intelligence reports were generated and disseminated to various Law Enforcement Agencies (LEAs) for further management and investigation. All databases were regularly updated with new information. 03 financial due diligence requests were received from MoFPED, 2 cases have been concluded and submitted and 1 case is still ongoing. Disseminated 20 STRs; and Closed 412 STR files, while 146 STRs files remained pending receipt of additional information to enable further analysis.

**Item** | **Spent**
---|---
211103 Allowances (Inc. Casuals, Temporary) | 7,500
221001 Advertising and Public Relations | 5,000
221002 Workshops and Seminars | 50,000
221003 Staff Training | 14,406
221008 Computer supplies and Information Technology (IT) | 30,371
221017 Subscriptions | 1
224003 Classified Expenditure | 268,545
224004 Cleaning and Sanitation | 11,675
227001 Travel inland | 3,250
227002 Travel abroad | 10,000
227004 Fuel, Lubricants and Oils | 11,100
228002 Maintenance - Vehicles | 1,476

**Reasons for Variation in performance**

The over performance is attributed to the increased use of the goAML electronic reporting system by the reporting entities

**Total** | **UShs Thousand**
---|---
Wage Recurrent | 0
Non Wage Recurrent | 417,824
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 3: Outputs and Expenditure in Quarter

<table>
<thead>
<tr>
<th>Outputs Planned in Quarter</th>
<th>Actual Outputs Achieved in Quarter</th>
<th>Expenditures incurred in the Quarter to deliver outputs</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>$US$ Thousand</td>
</tr>
<tr>
<td></td>
<td></td>
<td>AIA 0</td>
</tr>
<tr>
<td>Total For SubProgramme</td>
<td></td>
<td>417,824</td>
</tr>
<tr>
<td>Wage Recurrent</td>
<td></td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td></td>
<td>417,824</td>
</tr>
<tr>
<td>AIA</td>
<td></td>
<td>0</td>
</tr>
</tbody>
</table>

Recurrent Programmes

Subprogram: 08 AML Systems and ICT Management

Outputs Provided

Output: 02 Ensure safety and integrity of FIA information
Phased roll out of goAML system to 100% Forex Bureaus and money remitters  goAML electronic system was rolled out to 95% of the Financial Institutions during the quarter

<table>
<thead>
<tr>
<th>Item</th>
<th>Spent</th>
</tr>
</thead>
<tbody>
<tr>
<td>211103 Allowances (Inc. Casuals, Temporary)</td>
<td>6,750</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>23,380</td>
</tr>
<tr>
<td>222001 Telecommunications</td>
<td>10,655</td>
</tr>
<tr>
<td>225001 Consultancy Services- Short term</td>
<td>5,000</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>8,250</td>
</tr>
<tr>
<td>227004 Fuel, Lubricants and Oils</td>
<td>12,000</td>
</tr>
<tr>
<td>228002 Maintenance - Vehicles</td>
<td>720</td>
</tr>
</tbody>
</table>

Reasons for Variation in performance
The performance was per target

<table>
<thead>
<tr>
<th>Total</th>
<th>66,755</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>66,755</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

Total For SubProgramme

<table>
<thead>
<tr>
<th>Total</th>
<th>66,755</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>0</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>66,755</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>

GRAND TOTAL

<table>
<thead>
<tr>
<th>Total</th>
<th>2,676,441</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wage Recurrent</td>
<td>839,445</td>
</tr>
<tr>
<td>Non Wage Recurrent</td>
<td>1,772,450</td>
</tr>
<tr>
<td>GoU Development</td>
<td>64,546</td>
</tr>
<tr>
<td>External Financing</td>
<td>0</td>
</tr>
<tr>
<td>AIA</td>
<td>0</td>
</tr>
</tbody>
</table>
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

Program: 12 General Administration and Support Services

Recurrent Programmes

Subprogram: 07 Finance and Administration

Outputs Provided

Output: 01 FIA Support Services and Administration

Office supplies for staff procured Financial management and accountability Policies and operation procedures enforced Routine operations facilitated to ensure efficiency and effectiveness

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221003 Staff Training</td>
<td>(2,120)</td>
<td>0</td>
<td>(2,120)</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>825</td>
<td>0</td>
<td>825</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>(220)</td>
<td>0</td>
<td>(220)</td>
</tr>
<tr>
<td>223003 Rent – (Produced Assets) to private entities</td>
<td>10,581</td>
<td>0</td>
<td>10,581</td>
</tr>
<tr>
<td>223004 Guard and Security services</td>
<td>1,246</td>
<td>0</td>
<td>1,246</td>
</tr>
<tr>
<td>223005 Electricity</td>
<td>6,619</td>
<td>0</td>
<td>6,619</td>
</tr>
<tr>
<td>226001 Insurances</td>
<td>250</td>
<td>0</td>
<td>250</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>17,181</strong></td>
<td>0</td>
<td><strong>17,181</strong></td>
</tr>
</tbody>
</table>

| Wage Recurrent                                         | 0           | 0         | 0       |
| Non Wage Recurrent                                     | 17,181      | 0         | 17,181  |
| AIA                                                    | 0           | 0         | 0       |

Output: 21 Development of Internal Audit Controls and Risk Management

One risk based audit conducted Risk register updated once per quarter

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>1,825</td>
<td>0</td>
<td>1,825</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>4,570</td>
<td>0</td>
<td>4,570</td>
</tr>
<tr>
<td>221012 Small Office Equipment</td>
<td>523</td>
<td>0</td>
<td>523</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>6,918</strong></td>
<td>0</td>
<td><strong>6,918</strong></td>
</tr>
</tbody>
</table>

| Wage Recurrent                                         | 0           | 0         | 0       |
| Non Wage Recurrent                                     | 6,918       | 0         | 6,918   |
| AIA                                                    | 0           | 0         | 0       |
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

### Outputs Provided: 19 Human Resource Management Services

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>21102 Contract Staff Salaries</td>
<td>52,020</td>
<td>0</td>
<td>52,020</td>
</tr>
<tr>
<td>212101 Social Security Contributions</td>
<td>8,020</td>
<td>0</td>
<td>8,020</td>
</tr>
<tr>
<td>213004 Gratuity Expenses</td>
<td>248,460</td>
<td>0</td>
<td>248,460</td>
</tr>
<tr>
<td>221006 Commissions and related charges</td>
<td>57,693</td>
<td>0</td>
<td>57,693</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>(6,967)</td>
<td>0</td>
<td>(6,967)</td>
</tr>
<tr>
<td>221009 Welfare and Entertainment</td>
<td>29,644</td>
<td>0</td>
<td>29,644</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>(263)</td>
<td>0</td>
<td>(263)</td>
</tr>
</tbody>
</table>

**Total**: 388,608

**Wage Recurrent**: 52,020

**Non Wage Recurrent**: 336,588

**AIA**: 0

Development Projects

### Project: 1423 Support to Financial Intelligence Authority

#### Capital Purchases

Output: 76 Purchase of Office and ICT Equipment, including Software

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>312213 ICT Equipment</td>
<td>(46)</td>
<td>0</td>
<td>(46)</td>
</tr>
</tbody>
</table>

**Total**: (46)

**GoU Development**: (46)

**External Financing**: 0

**AIA**: 0

Program: 21 Prevention of ML/TF and Financial Intelligence Information Management

Recruent Programmes
Vote: 129  
Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

### Outputs Provided

#### Subprogram: 02 Legal, Inspection and Compliance

**Output: 03 Compliance with AML and CFT laws and Regulations**

Review 50% of compliance reports from accountable persons
Increased inspection reports
Register new Accountable persons and update the database
AML/CFT Reports reviewed
1 Sanctions enforced for non compliance

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221003 Staff Training</td>
<td>2,260</td>
<td>0</td>
<td>2,260</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>1,000</td>
<td>0</td>
<td>1,000</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>(2,970)</td>
<td>0</td>
<td>(2,970)</td>
</tr>
</tbody>
</table>

Total 290 0 290

Wage Recurrent 0 0 0
Non Wage Recurrent 290 0 290

AIA 0 0 0

#### Output: 04 Legal Representation and Litigation

Legal gaps identified and addressed
Litigation cases handled
Stakeholder MOUs signed

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>6,330</td>
<td>0</td>
<td>6,330</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>3,500</td>
<td>0</td>
<td>3,500</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>3,056</td>
<td>0</td>
<td>3,056</td>
</tr>
<tr>
<td>227001 Travel inland</td>
<td>315</td>
<td>0</td>
<td>315</td>
</tr>
</tbody>
</table>

Total 13,201 0 13,201

Wage Recurrent 0 0 0
Non Wage Recurrent 13,201 0 13,201
AIA 0 0 0

#### Subprogram: 05 International Relations and Strategic Analysis

**Output: 05 Coordination of the implementation of AML/CFT NRA and MER recommendations**

Conduct 5 awareness campaigns across the country
Conduct AML/CFT training for 2 reporting entities
Implement 100% recommendation of the UAMLC Task force recommendations

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221001 Advertising and Public Relations</td>
<td>31,781</td>
<td>0</td>
<td>31,781</td>
</tr>
<tr>
<td>221003 Staff Training</td>
<td>261</td>
<td>0</td>
<td>261</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>650</td>
<td>0</td>
<td>650</td>
</tr>
</tbody>
</table>

Total 32,692 0 32,692

Wage Recurrent 0 0 0
Non Wage Recurrent 32,692 0 32,692
AIA 0 0 0
Vote: 129  Financial Intelligence Authority (FIA)

QUARTER 4: Revised Workplan

**Vote Performance Report**  
Financial Year 2019/20

**Output: 06 Financial Intelligence Research and Strategic Development**

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>13,800</td>
<td>0</td>
<td>13,800</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13,800</strong></td>
<td><strong>0</strong></td>
<td><strong>13,800</strong></td>
</tr>
<tr>
<td><strong>Wage Recurrent</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Non Wage Recurrent</strong></td>
<td>13,800</td>
<td>0</td>
<td>13,800</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Subprogram: 07 Operational Analysis**

**Outputs Provided**

**Output: 01 Analysis and Reporting Financial Operations in the different Sectors**

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221001 Advertising and Public Relations</td>
<td>5,000</td>
<td>0</td>
<td>5,000</td>
</tr>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>2,650</td>
<td>0</td>
<td>2,650</td>
</tr>
<tr>
<td>221008 Computer supplies and Information Technology (IT)</td>
<td>1,398</td>
<td>0</td>
<td>1,398</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>30,000</td>
<td>0</td>
<td>30,000</td>
</tr>
<tr>
<td>221012 Small Office Equipment</td>
<td>5,000</td>
<td>0</td>
<td>5,000</td>
</tr>
<tr>
<td>221017 Subscriptions</td>
<td>(1)</td>
<td>0</td>
<td>(1)</td>
</tr>
<tr>
<td>224003 Classified Expenditure</td>
<td>(12,890)</td>
<td>0</td>
<td>(12,890)</td>
</tr>
<tr>
<td>225001 Consultancy Services- Short term</td>
<td>200,000</td>
<td>0</td>
<td>200,000</td>
</tr>
<tr>
<td>226001 Insurances</td>
<td>5,000</td>
<td>0</td>
<td>5,000</td>
</tr>
<tr>
<td>228002 Maintenance - Vehicles</td>
<td>3,524</td>
<td>0</td>
<td>3,524</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>239,681</strong></td>
<td><strong>0</strong></td>
<td><strong>239,681</strong></td>
</tr>
<tr>
<td><strong>Wage Recurrent</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Non Wage Recurrent</strong></td>
<td>239,681</td>
<td>0</td>
<td>239,681</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

**Subprogram: 08 AML Systems and ICT Management**

**Outputs Provided**

**Output: 02 Ensure safety and integrity of FIA information**

<table>
<thead>
<tr>
<th>Item</th>
<th>Balance b/f</th>
<th>New Funds</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>221007 Books, Periodicals &amp; Newspapers</td>
<td>1,000</td>
<td>0</td>
<td>1,000</td>
</tr>
<tr>
<td>221011 Printing, Stationery, Photocopying and Binding</td>
<td>2,500</td>
<td>0</td>
<td>2,500</td>
</tr>
<tr>
<td>222001 Telecommunications</td>
<td>5,424</td>
<td>0</td>
<td>5,424</td>
</tr>
<tr>
<td>228002 Maintenance - Vehicles</td>
<td>4,280</td>
<td>0</td>
<td>4,280</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>13,204</strong></td>
<td><strong>0</strong></td>
<td><strong>13,204</strong></td>
</tr>
<tr>
<td><strong>Wage Recurrent</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>Non Wage Recurrent</strong></td>
<td>13,204</td>
<td>0</td>
<td>13,204</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
### Vote: 129  Financial Intelligence Authority (FIA)

#### QUARTER 4: Revised Workplan

<table>
<thead>
<tr>
<th>UShs Thousand</th>
<th>Planned Outputs for the Quarter</th>
<th>Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Development Projects</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>GRAND TOTAL</strong></td>
<td>725,528</td>
<td>0</td>
</tr>
<tr>
<td><strong>Wage Recurrent</strong></td>
<td>52,020</td>
<td>0</td>
</tr>
<tr>
<td><strong>Non Wage Recurrent</strong></td>
<td>673,554</td>
<td>0</td>
</tr>
<tr>
<td><strong>GoU Development</strong></td>
<td>(46)</td>
<td>0</td>
</tr>
<tr>
<td><strong>External Financing</strong></td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td><strong>AIA</strong></td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>