

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Highlights of Vote Performance

### V1: Summary of Issues in Budget Execution

Table V1.1: Overview of Vote Expenditures (UShs Billion)

	Approved Budget	Released by End Q 1	Spent by End Q1	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	2.484	0.621	0.475	25.0%	19.1%	76.6%
Non Wage	5.886	0.459	0.238	7.8%	4.0%	51.8%
Dev. GoU	0.000	0.000	0.000	0.0%	0.0%	0.0%
Ext. Fin.	0.000	0.000	0.000	0.0%	0.0%	0.0%
<b>GoU Total</b>	<b>8.370</b>	<b>1.080</b>	<b>0.713</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>
<b>Total GoU+Ext Fin (MTEF)</b>	<b>8.370</b>	<b>1.080</b>	<b>0.713</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>
Arrears	0.000	0.000	0.000	0.0%	0.0%	0.0%
<b>Total Budget</b>	<b>8.370</b>	<b>1.080</b>	<b>0.713</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>
<i>A.I.A Total</i>	0.000	0.000	0.000	0.0%	0.0%	0.0%
<b>Grand Total</b>	<b>8.370</b>	<b>1.080</b>	<b>0.713</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>
<b>Total Vote Budget Excluding Arrears</b>	<b>8.370</b>	<b>1.080</b>	<b>0.713</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>

Table V1.2: Releases and Expenditure by Programme and Sub-SubProgramme\*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	%Releases Spent
Programme: Development Plan Implementation	8.37	1.08	0.71	12.9%	8.5%	66.0%
Sub-SubProgramme: 22 Legal and Board Affairs	0.94	0.10	0.05	10.1%	4.8%	47.3%
Sub-SubProgramme: 23 Strategy and Corporate Affairs	0.41	0.01	0.00	3.2%	1.2%	36.8%
Sub-SubProgramme: 49 Policy, Planning and Support Services	7.02	0.97	0.66	13.8%	9.4%	68.3%
<b>Total for Vote</b>	<b>8.37</b>	<b>1.08</b>	<b>0.71</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>

### Matters to note in budget execution

Most of the Variances in budget execution was due COVID 19 lockdown and Delayed Procurement The Prolonged long town of the gaming premises .this made it hard to carry on field activities like enforcements, investigations and compliance monitoring of the gaming premises.  
 COVID19 restrictions made it hard to utilize funds planned ,trainings in relevant technical areas  
 Some

Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)

(i) Major unspent balances

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Departments , Projects	
<b>Sub-SubProgramme 22 Legal and Board Affairs</b>	
<b>0.001 Bn Shs</b>	<b>Department/Project :04 Compliance and Enforcement</b>
	Reason: On-going reconciliations with UTL for previous balances
<i>Items</i>	
<b>1,200,000.000 UShs</b>	222001 Telecommunications
	Reason: On-going reconciliations with UTL for previous balances
<b>0.040 Bn Shs</b>	<b>Department/Project :05 Legal and Board Affairs</b>
	Reason: Delayed Procurement of the Consultant of the Board Charter and Delayed procurement of stationary
<i>Items</i>	
<b>29,904,250.000 UShs</b>	225001 Consultancy Services- Short term
	Reason: Delayed Procurement of the Consultant of the Board Charter
<b>10,000,000.000 UShs</b>	221011 Printing, Stationery, Photocopying and Binding
	Reason: Delayed procurement of stationary
<b>Sub-SubProgramme 23 Strategy and Corporate Affairs</b>	
<b>0.003 Bn Shs</b>	<b>Department/Project :06 Research and Planning</b>
	Reason: Delayed procurement of stationary
<i>Items</i>	
<b>3,200,000.000 UShs</b>	221011 Printing, Stationery, Photocopying and Binding
	Reason: Delayed procurement of stationary
<b>0.005 Bn Shs</b>	<b>Department/Project :08 Responsible Gaming</b>
	Reason: Delayed procurement of stationary
<i>Items</i>	
<b>5,000,000.000 UShs</b>	221011 Printing, Stationery, Photocopying and Binding
	Reason: Delayed procurement of stationary
<b>Sub-SubProgramme 49 Policy, Planning and Support Services</b>	
<b>0.146 Bn Shs</b>	<b>Department/Project :01 Finance and Administration</b>
	Reason: Some of the unspent balance is to be paid to respective Board officers in Quarter 2 Delayed procurement of stationary Less amount spent on staff lunch due to COVID-19 lockdown Delayed invoicing by NITA-U IFMS consumables to be procured in Q2
<i>Items</i>	
<b>81,075,000.000 UShs</b>	213004 Gratuity Expenses
	Reason: The unspent balance is to be paid to respective Board Officers in Quarter 2

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## QUARTER 1: Highlights of Vote Performance

<b>19,205,500.000 UShs</b>	221009 Welfare and Entertainment
	Reason: Less amount spent on staff lunch due to COVID-19 lockdown
<b>15,000,000.000 UShs</b>	221011 Printing, Stationery, Photocopying and Binding
	Reason: Delayed procurement of stationary
<b>7,800,000.000 UShs</b>	222001 Telecommunications
	Reason: Delayed invoicing by NITA-U
<b>7,000,000.000 UShs</b>	221016 IFMS Recurrent costs
	Reason: IFMS consumables to be procured in Q2
<b>0.001 Bn Shs</b>	<i>Department/Project :02 Internal Oversight and Advisory Services</i>
	Reason: Reconciliation with UTL for the previous balance
<i>Items</i>	
<b>1,200,000.000 UShs</b>	222001 Telecommunications
	Reason: Reconciliation with UTL for the previous balance
<b>0.006 Bn Shs</b>	<i>Department/Project :03 Office of the Chief Executive</i>
	Reason: Delayed procurement of tyres for Chief Executive Officers Vehicle and Reconciliation with UTL for the previous balance
<i>Items</i>	
<b>5,000,000.000 UShs</b>	228002 Maintenance - Vehicles
	Reason: Delayed procurement of tyres for Chief Executive Officers Vehicle
<b>1,200,000.000 UShs</b>	222001 Telecommunications
	Reason: Reconciliation with UTL for the previous balance
<i>(ii) Expenditures in excess of the original approved budget</i>	

## V2: Performance Highlights

### Table V2.1: Sub-SubProgramme Outcome and Outcome Indicators\*

### Table V2.2: Budget Output Indicators\*

### Performance highlights for the Quarter

The tax revenue collection of Ugx 17,699,283,014 and Non Tax revenue of Ugx 219,374,585 despite lockdown of the gaming premises in the Quarter due to COVID-19

Automation of licensing process. 50% of the process as been completed

The Board development 12 technical gaming standard

Staff sensitized on the COVID19 and awareness charts were put around the the office premises

The Board registered 6877 gaming equipment in the National register

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# **Vote:314** National Lotteries and Gaming Regulatory Board

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## **QUARTER 1: Highlights of Vote Performance**

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## QUARTER 1: Highlights of Vote Performance

### V3: Details of Releases and Expenditure

Table V3.1: Releases and Expenditure by Budget Output\*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Sub-SubProgramme 22 Legal and Board Affairs</b>	<b>0.94</b>	<b>0.10</b>	<b>0.05</b>	<b>10.1%</b>	<b>4.8%</b>	<b>47.3%</b>
<i>Class: Outputs Provided</i>	<i>0.94</i>	<i>0.10</i>	<i>0.05</i>	<i>10.1%</i>	<i>4.8%</i>	<i>47.3%</i>
142201 Strengthening Compliance and Inspection	0.26	0.00	0.00	0.5%	0.0%	0.0%
142202 Strengthening Investigations and Sector Compliance	0.24	0.00	0.00	1.5%	1.5%	100.0%
142203 Strengthening Arbitration and Disputes Resolutions	0.05	0.03	0.00	66.5%	0.0%	0.0%
142204 Support to Board Services	0.34	0.05	0.04	15.0%	12.3%	81.8%
142205 Licensing and Regulation of Lotteries and Gaming Services	0.06	0.01	0.00	17.9%	0.0%	0.0%
<b>Sub-SubProgramme 23 Strategy and Corporate Affairs</b>	<b>0.41</b>	<b>0.01</b>	<b>0.00</b>	<b>3.2%</b>	<b>1.2%</b>	<b>36.8%</b>
<i>Class: Outputs Provided</i>	<i>0.41</i>	<i>0.01</i>	<i>0.00</i>	<i>3.2%</i>	<i>1.2%</i>	<i>36.8%</i>
142301 Coordination of strategic planning implementation	0.09	0.01	0.00	5.6%	2.0%	36.0%
142302 Research and Policy Advisory	0.15	0.00	0.00	0.0%	0.0%	0.0%
142303 Coordination of Information and Communication	0.09	0.00	0.00	0.0%	0.0%	0.0%
142304 Promote responsible gaming	0.09	0.01	0.00	9.3%	3.5%	37.4%
<b>Sub-SubProgramme 49 Policy, Planning and Support Services</b>	<b>7.02</b>	<b>0.97</b>	<b>0.66</b>	<b>13.8%</b>	<b>9.4%</b>	<b>68.3%</b>
<i>Class: Outputs Provided</i>	<i>7.02</i>	<i>0.97</i>	<i>0.66</i>	<i>13.8%</i>	<i>9.4%</i>	<i>68.3%</i>
144906 Procurement and Disposal Services	0.01	0.00	0.00	0.0%	0.0%	0.0%
144907 Accounting and Financial Management	0.89	0.06	0.03	6.9%	3.5%	50.7%
144913 Information Technology Services	2.23	0.01	0.00	0.6%	0.1%	24.2%
144915 Internal Audit Management, Policy Coordination and Monitoring	0.08	0.00	0.00	5.0%	3.6%	71.4%
144916 Coordination, Supervision and Oversight	0.05	0.01	0.00	17.9%	6.5%	36.5%
144919 Human Resource Management Services	3.75	0.88	0.62	23.5%	16.6%	70.8%

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## QUARTER 1: Highlights of Vote Performance

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
144920 Records Management Services	0.01	0.01	0.00	82.6%	0.0%	0.0%
<b>Total for Vote</b>	<b>8.37</b>	<b>1.08</b>	<b>0.71</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>

**Table V3.2: 2021/22 GoU Expenditure by Item**

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Class: Outputs Provided</b>	<b>8.37</b>	<b>1.08</b>	<b>0.71</b>	12.9%	8.5%	66.0%
211102 Contract Staff Salaries	2.48	0.62	0.48	25.0%	19.1%	76.6%
211103 Allowances (Inc. Casuals, Temporary)	0.06	0.00	0.00	4.9%	4.6%	94.3%
212101 Social Security Contributions	0.25	0.05	0.05	20.5%	18.2%	88.6%
213001 Medical expenses (To employees)	0.20	0.00	0.00	0.0%	0.0%	0.0%
213002 Incapacity, death benefits and funeral expenses	0.01	0.00	0.00	0.0%	0.0%	0.0%
213004 Gratuity Expenses	0.68	0.17	0.09	25.0%	13.1%	52.5%
221001 Advertising and Public Relations	0.10	0.00	0.00	0.0%	0.0%	0.0%
221002 Workshops and Seminars	0.06	0.00	0.00	0.0%	0.0%	0.0%
221003 Staff Training	0.07	0.00	0.00	0.0%	0.0%	0.0%
221004 Recruitment Expenses	0.01	0.01	0.00	100.0%	0.0%	0.0%
221006 Commissions and related charges	0.31	0.05	0.04	16.3%	13.3%	81.8%
221007 Books, Periodicals & Newspapers	0.01	0.00	0.00	15.8%	8.4%	53.3%
221009 Welfare and Entertainment	0.21	0.03	0.01	15.5%	6.1%	39.4%
221011 Printing, Stationery, Photocopying and Binding	0.08	0.04	0.00	42.8%	2.2%	5.1%
221012 Small Office Equipment	0.00	0.00	0.00	0.0%	0.0%	0.0%
221016 IFMS Recurrent costs	0.05	0.01	0.00	14.0%	0.0%	0.0%
221017 Subscriptions	0.01	0.00	0.00	0.0%	0.0%	0.0%
222001 Telecommunications	0.03	0.01	0.00	38.0%	0.0%	0.0%
222002 Postage and Courier	0.00	0.00	0.00	0.0%	0.0%	0.0%
222003 Information and communications technology (ICT)	0.17	0.00	0.00	2.4%	0.0%	0.0%
223003 Rent – (Produced Assets) to private entities	0.60	0.00	0.00	0.0%	0.0%	0.0%
223004 Guard and Security services	0.02	0.01	0.01	25.0%	21.8%	87.2%
223005 Electricity	0.02	0.01	0.01	25.0%	23.8%	95.2%
224004 Cleaning and Sanitation	0.03	0.01	0.00	16.7%	10.7%	64.0%
224005 Uniforms, Beddings and Protective Gear	0.01	0.00	0.00	0.0%	0.0%	0.0%
225001 Consultancy Services- Short term	0.20	0.03	0.00	15.0%	0.0%	0.0%
225002 Consultancy Services- Long-term	2.00	0.00	0.00	0.0%	0.0%	0.0%
227001 Travel inland	0.51	0.00	0.00	0.0%	0.0%	0.0%
227004 Fuel, Lubricants and Oils	0.15	0.03	0.03	21.9%	20.4%	93.5%
228002 Maintenance - Vehicles	0.04	0.01	0.00	25.0%	0.0%	0.0%
<b>Total for Vote</b>	<b>8.37</b>	<b>1.08</b>	<b>0.71</b>	12.9%	8.5%	66.0%

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Table V3.3: Releases and Expenditure by Department and Project\*

<i>Billion Uganda Shillings</i>	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	%GoU Releases Spent
<b>Sub-SubProgramme 1422 Legal and Board Affairs</b>	<b>0.94</b>	<b>0.10</b>	<b>0.05</b>	<b>10.1%</b>	<b>4.8%</b>	<b>47.3%</b>
<i>Departments</i>						
04 Compliance and Enforcement	0.51	0.00	<b>0.00</b>	0.9%	0.7%	75.0%
05 Legal and Board Affairs	0.44	0.09	<b>0.04</b>	20.7%	9.5%	45.8%
<b>Sub-SubProgramme 1423 Strategy and Corporate Affairs</b>	<b>0.41</b>	<b>0.01</b>	<b>0.00</b>	<b>3.2%</b>	<b>1.2%</b>	<b>36.8%</b>
<i>Departments</i>						
06 Research and Planning	0.23	0.01	<b>0.00</b>	2.1%	0.8%	36.0%
07 Corporate Affairs	0.09	0.00	<b>0.00</b>	0.0%	0.0%	0.0%
08 Responsible Gaming	0.09	0.01	<b>0.00</b>	9.3%	3.5%	37.4%
<b>Sub-SubProgramme 1449 Policy, Planning and Support Services</b>	<b>7.02</b>	<b>0.97</b>	<b>0.66</b>	<b>13.8%</b>	<b>9.4%</b>	<b>68.3%</b>
<i>Departments</i>						
01 Finance and Administration	6.88	0.96	<b>0.66</b>	13.9%	9.5%	68.6%
02 Internal Oversight and Advisory Services	0.08	0.00	<b>0.00</b>	5.0%	3.6%	71.4%
03 Office of the Chief Executive	0.05	0.01	<b>0.00</b>	17.9%	6.5%	36.5%
<b>Total for Vote</b>	<b>8.37</b>	<b>1.08</b>	<b>0.71</b>	<b>12.9%</b>	<b>8.5%</b>	<b>66.0%</b>

Table V3.4: External Financing Releases and Expenditure by Sub-SubProgramme and Project

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	<i>UShs Thousand</i>
<b>Sub-SubProgramme: 22 Legal and Board Affairs</b>			
<i>Departments</i>			
<b>Department: 04 Compliance and Enforcement</b>			
<i>Outputs Provided</i>			
<b>Budget Output: 01 Strengthening Compliance and Inspection</b>			

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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
04 Operator compliance appraisal reports prepared	01 operator compliance appraisal report was prepared.	<b>Item</b>	<b>Spent</b>
03 quarterly inspections of gaming operations carried out in KMP and upcountry areas	No inspection of the gaming operation carried out due to lockdown of gaming premises		
Pre-licensing inspections of gaming premises carried out countrywide and reports prepared	01 Gaming Operator returns analysis report was prepared		
04 Gaming operator returns analysis reports prepared	6,877 gaming equipment registered in National Register		
UGX 4billion collected as NTR and UGX 46.5billion as Tax	No training of staff undertaken due to budget cuts.		
National Register of gaming equipment maintained			
Staff trained in relevant technical areas to enhance performance			
<b>Reasons for Variation in performance</b>			
Achieved as Planned			
Achieved as planned			
COVID-19 restrictions and budget cuts affected planned trainings in relevant technical areas.			
Not achieved as planned due to COVID-19 lock down on the gaming sector (June- September)			
		<b>Total</b>	<b>0</b>
		Wage Recurrent	0
		Non Wage Recurrent	0
		Arrears	0
		AIA	0

**Budget Output: 02 Strengthening Investigations and Sector Compliance**



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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
Enforcement activities carried out countrywide	No enforcement activities carried out due to lockdown of gaming premises	<b>Item</b>	<b>Spent</b>
	02 weekly surveillance reports prepared due to the Covid-19 lockdown on the gaming sector( June- September)	227004 Fuel, Lubricants and Oils	3,600
Weekly surveillance reports on gaming activities prepared and submitted to management	01 investigation quarterly report prepared and submitted		
	No illegal gaming equipment confiscated and destroyed		
Investigations into illegal gaming activities undertaken and reports prepared and submitted			
Illegal gaming equipment confiscated and destroyed			

**Reasons for Variation in performance**

Not archived as planned due to COVID-19 restrictions , Lockdown of the gaming sector and only one staff in the department  
 Achieved as planned though COVID-19 restrictions and Lockdown of the gaming premises affected some investigations  
 Achieved as planned although COVID-19 restrictions , Lockdown of the gaming sector and inadequacy of staff in the department affected weekly surveillance  
 Enforcement was not carried due to COVID-19 restrictions , Lockdown of the gaming sector and thin on staff in the department

<b>Total</b>	<b>3,600</b>
Wage Recurrent	0
Non Wage Recurrent	3,600
Arrears	0
AIA	0
<b>Total For Department</b>	<b>3,600</b>
Wage Recurrent	0
Non Wage Recurrent	3,600
Arrears	0
AIA	0

*Departments*

**Department: 05 Legal and Board Affairs**

*Outputs Provided*

**Budget Output: 03 Strengthening Arbitration and Disputes Resolutions**

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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
4 quarterly litigation and disputes reports prepared	1 dispute report prepared litigation report prepared Staff did not attend CLE/ arbitration and relevant disciplines	1 <b>Item</b>	<b>Spent</b>
Staff trained in arbitration and other relevant disciplines	No one reached out to the Board for legal advise		
Staff subscribed to relevant professional bodies	06 complaints were received and all were resolved		
Legal advisory opinion prepared	Staff did not attend training from ICGU		
Arbitration and Dispute Resolution Mechanism supported.			
Staff training in corporate governance undertaken			

### Reasons for Variation in performance

Achieved as planned

Achieved as planned

Covid-19 restrictions and Budget cuts

Due to the budget cut, staff did not attend training from ICGU.

This was due to the lockdown that had restrictions on movements which made it hard for people to reach out to the Board.

<b>Total</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
Arrears	0
AIA	0

### Budget Output: 04 Support to Board Services

01 Board member training in relevant technical areas undertaken	No training was attended in technical gaming areas	<b>Item</b>	<b>Spent</b>
Annual Board evaluation carried out		221006 Commissions and related charges	41,480
24 Board meetings held	02 Board meeting were held		

### Reasons for Variation in performance

Budget cuts and COVID -19 Restrictions made it hard for the Board have to organize the 04 meetings as planned.

Due to Covid-19 restrictions on gatherings and movements by the President of the Republic of Uganda.

<b>Total</b>	<b>41,480</b>
Wage Recurrent	0
Non Wage Recurrent	41,480
Arrears	0
AIA	0

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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
<b>Budget Output: 05 Licensing and Regulation of Lotteries and Gaming Services</b>			
		<b>Item</b>	<b>Spent</b>
03 licensing Reports ( principal, special employees and premises) prepared			
70 Gaming operating licences issued			
1500 Gaming premises licences issued	Amendments were made and sent to all operators through gaming associations for their comments. the Board has started receiving comments		
Annual Licensing report prepared and submitted to the Minister responsible for Finance	01 report prepared on due diligence		
Licensed and rejected operators gazetted	01 Licensing evaluation report prepared		
Operators sensitized on amended regulations			
05 due diligence( bank guarantee, Interpol, work permits, tax clearance, verification of payment of application and licensing fees) reports prepared and submitted			
03 Licensing Evaluation reports prepared			
<b>Reasons for Variation in performance</b>			
Achieved as planned			
COVID 19 restrictions Slowed down the activity			
		<b>Total</b>	<b>0</b>
		Wage Recurrent	0
		Non Wage Recurrent	0
		Arrears	0
		AIA	0
		<b>Total For Department</b>	<b>41,480</b>
		Wage Recurrent	0
		Non Wage Recurrent	41,480
		Arrears	0
		AIA	0

### Sub-SubProgramme: 23 Strategy and Corporate Affairs

#### Departments

#### Department: 06 Research and Planning

#### Outputs Provided

#### Budget Output: 01 Coordination of strategic planning implementation

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## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
NLGRB strategic plan implementation monitored	01 report on strategic plan implementation and monitoring prepared	<b>Item</b> 221011 Printing, Stationery, Photocopying and Binding	<b>Spent</b> 1,800
02 monitoring and evaluation of departmental workplan implementation undertaken and reports prepared	01 report on budget performance prepared and submitted		
Budget Framework paper for FY 22/23 prepared			
Ministerial Policy Statement for FY 2022/23 prepared			
Budget performance reports prepared			

### Reasons for Variation in performance

Achieved as planned

Achieved as planned however, Covid-19 slowed down implementation of some activities of the strategic plan

<b>Total</b>	<b>1,800</b>
Wage Recurrent	0
Non Wage Recurrent	1,800
Arrears	0
AIA	0
<b>Total For Department</b>	<b>1,800</b>
Wage Recurrent	0
Non Wage Recurrent	1,800
Arrears	0
AIA	0

### Departments

#### Department: 08 Responsible Gaming

##### Outputs Provided

#### Budget Output: 04 Promote responsible gaming

Key stakeholder related matters	02 Benchmarks undertaken ( Uganda Breweries Ltd and Nile Breweries Ltd) on Responsible drinking	Item	Spent
AML policies & laws adhered to	Field visits to gaming premises not conducted due to COVID 19 sector Lockdown	227004 Fuel, Lubricants and Oils	2,990
Responsible Gaming (RG) Strategy Implemented	15 stakeholders ear-rmarked as key to the implementation of RG programmes Stakeholder matrix was designed 03 Gaming adverts evaluated and recommendations made		

### Reasons for Variation in performance

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
Achieved as planned Gaming sector closed due to COVID19 restrictions			
		<b>Total</b>	<b>2,990</b>
		Wage Recurrent	0
		Non Wage Recurrent	2,990
		Arrears	0
		AIA	0
		<b>Total For Department</b>	<b>2,990</b>
		Wage Recurrent	0
		Non Wage Recurrent	2,990
		Arrears	0
		AIA	0

### Sub-SubProgramme: 49 Policy, Planning and Support Services

#### Departments

#### Department: 01 Finance and Administration

#### Outputs Provided

#### Budget Output: 07 Accounting and Financial Management

		Item	Spent
Financial statements prepared	01 report prepared on Financial statement.	211103 Allowances (Inc. Casuals, Temporary)	2,830
Asset register maintained	Asset register updated and maintained regularly	221007 Books, Periodicals & Newspapers	533
Payments processed	payments were processed	223004 Guard and Security services	5,092
Sector revenue report produced	The tax revenue collected was 17,699,283,014 and none tax revenue was 219,374,585	223005 Electricity	5,000
Books of Accounts and Records maintained	Books of accounts and records prepared and maintained	224004 Cleaning and Sanitation	3,198
Stores managed and assets maintained	Inventory records and asset register updated and maintained	227004 Fuel, Lubricants and Oils	14,110

#### Reasons for Variation in performance

Achieved as planned

Rent payments of office premises, ware house were not paid for due to the budget cut

The land based operators were closed due to the lockdown on the gaming sector and hence reducing the revenue collected

<b>Total</b>	<b>30,763</b>
Wage Recurrent	0
Non Wage Recurrent	30,763
Arrears	0
AIA	0

#### Budget Output: 13 Information Technology Services

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
Licencing process automated	Automation of licensing process is 50% done but still in progress	<b>Item</b> 227004 Fuel, Lubricants and Oils	<b>Spent</b> 3,000
Reduced turnaround time from 3 months to 2 months	The turn around time for responding to correspondences reduced from 3months to 2months		
Technical gaming standards developed	12 draft technical gaming standards have been developed		
An integrated technology solution rolled out	Office 365 procured and installed Access control System has not yet been implemented		
Access control system implemented	I.T systems maintained regularly		
I.T systems maintained	The online casino and betting modules of the National Electronic central monitoring system were not implemented		
Online casino and betting modules of the National Electronic Central Monitoring System implemented			
<b>Reasons for Variation in performance</b>			
Achieved as planned			
Achieved as planned however lockdown of the gaming industry affected response to some gaming related activities			
Awaiting office repartitioning			
still integrating with the URA system, URSB system and NIRA system			
The drafts were submitted to UNBS and are waiting approval			
This was due to the budget cut making implementation difficult due to inadequate funds.			
			<b>Total</b>
			<b>3,000</b>
			Wage Recurrent
			0
			Non Wage Recurrent
			3,000
			Arrears
			0
			AIA
			0

**Budget Output: 19 Human Resource Management Services**

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
Staff performance Appraisals conducted	03 staff appraisal were conducted	<b>Item</b>	<b>Spent</b>
Staff welfare managed	Lunch is provided to all staff and the office premises cleaned daily	211102 Contract Staff Salaries	475,393
Staff capacity built in gaming related areas, management, Program based budgeting, Research	No staff capacity built in gaming related areas.	212101 Social Security Contributions	45,175
		213004 Gratuity Expenses	89,700
		221009 Welfare and Entertainment	12,493
Staff capacity built in Gender and Equity responsive budgeting	staff were sensitized on a covid-19 and a covid-19 response team was appointed comprising of two officers and awareness charts were also put up around the office premises		
HIV/AIDS work place policy developed			
Staff recruited and inductions undertaken	Staff records updated regularly		
Staff sensitized on COVID-19, Malaria, HIV/AIDS and other non and communicable diseases			
Medical insurance and Workman's compensation cover issued to all staff			
Staff records updated			

### Reasons for Variation in performance

Achieved as planned  
 Achieved as planned  
 Medical issuance was not provided due to budget cuts  
 sensitization on Malaria, HIV/AIDS and other communicable diseases was not done since priority was given to Covid-19 being a new virus.  
 Staff capacity building could not be undertaken due to covid-19 restrictions on gatherings

<b>Total</b>	<b>622,761</b>
Wage Recurrent	475,393
Non Wage Recurrent	147,368
Arrears	0
AIA	0

### Budget Output: 20 Records Management Services

		Item	Spent
Documents scanned	30 documents scanned		
Records managed	01 report prepared on records management		
Records database updated	01 report on records data base prepared and maintained		
Board records filed and managed	50 correspondences received, registered and recorded		

### Reasons for Variation in performance

Achieved as planned

<b>Total</b>	<b>0</b>
Wage Recurrent	0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	US\$ Thousand
		Non Wage Recurrent	0
		Arrears	0
		AIA	0
		<b>Total For Department</b>	<b>656,524</b>
		Wage Recurrent	475,393
		Non Wage Recurrent	181,131
		Arrears	0
		AIA	0

*Departments*

**Department: 02 Internal Oversight and Advisory Services**

*Outputs Provided*

**Budget Output: 15 Internal Audit Management, Policy Coordination and Monitoring**

	Item	Spent
Three-year strategic internal audit plan developed.	227004 Fuel, Lubricants and Oils	3,000
Annual internal audit plan developed	01 Quarterly internal audit report produced	
Quarterly consolidated internal audit reports produced	03 Audit engagement reports produced	
Audit engagement reports produced	01 Audit recommendation implementation status matrix done	
Audit recommendation implementation status matrix developed	Plan awaiting implementation	
Fraud risk management plan developed and implemented	No staff fraud awareness was conducted	
Staff fraud awareness conducted	No formal training undertaken but learning on the job	
Internal audit staff capacity in the gaming sector built	Risk registers updated once	
Risk registers updated		

**Reasons for Variation in performance**

Achieved as planned  
 covid-19 restrictions on gatherings could not enable the staff gather for training. movements and gathering were not allowed due Covid-19 restrictions and therefore the staff fraud awareness was not conducted. plan presented to the Board and awaiting implementation

<b>Total</b>	<b>3,000</b>
Wage Recurrent	0
Non Wage Recurrent	3,000
Arrears	0
AIA	0



# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Cumulative Outputs and Expenditure by End of Quarter

Annual Planned Outputs	Cumulative Outputs Achieved by End of Quarter	Cumulative Expenditures made by the End of the Quarter to Deliver Cumulative Outputs	UShs Thousand
		<b>Total For Department</b>	<b>3,000</b>
		Wage Recurrent	0
		Non Wage Recurrent	3,000
		Arrears	0
		AIA	0
<i>Departments</i>			
<b>Department: 03 Office of the Chief Executive</b>			
<i>Outputs Provided</i>			
<b>Budget Output: 16 Coordination, Supervision and Oversight</b>			
Funds and properties of the Board managed inline with the PFMA	All funds managed inline with the approved work plan	<b>Item</b> 227004 Fuel, Lubricants and Oils	<b>Spent</b> 3,580
Implementation of departmental plans supervised and monitored	All implementation of departmental plans supervised and monitored The mission, vision and core values were provided		
Policy guidance provided to the Board of directors			
<b>Reasons for Variation in performance</b>			
Achieved as planned			
The New regulations are still being finalized by ministry of Justice and the Board charter is being procured			
		<b>Total</b>	<b>3,580</b>
		Wage Recurrent	0
		Non Wage Recurrent	3,580
		Arrears	0
		AIA	0
		<b>Total For Department</b>	<b>3,580</b>
		Wage Recurrent	0
		Non Wage Recurrent	3,580
		Arrears	0
		AIA	0
		<b>GRAND TOTAL</b>	<b>712,974</b>
		Wage Recurrent	475,393
		Non Wage Recurrent	237,581
		GoU Development	0
		External Financing	0
		Arrears	0
		AIA	0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
<b>Sub-SubProgramme: 22 Legal and Board Affairs</b>			
<i>Departments</i>			
<b>Department: 04 Compliance and Enforcement</b>			
<i>Outputs Provided</i>			
<b>Budget Output: 01 Strengthening Compliance and Inspection</b>			
Operator compliance appraisal reports prepared	01 operator compliance appraisal report was prepared.	<b>Item</b>	<b>Spent</b>
quarterly inspections of gaming operations carried out in KMP and upcountry areas	No inspection of the gaming operation carried out due to lockdown of gaming premises		
Gaming operator returns analysis reports prepared			
National Register of gaming equipment maintained	01 Gaming Operator returns analysis report was prepared		
Train staff in relevant technical areas	6,877 gaming equipment registered in National Register No training of staff undertaken due to budget cuts.		
<b>Reasons for Variation in performance</b>			
Achieved as Planned			
Achieved as planned			
COVID-19 restrictions and budget cuts affected planned trainings in relevant technical areas.			
Not achieved as planned due to COVID-19 lock down on the gaming sector (June- September)			
		<b>Total</b>	<b>0</b>
		Wage Recurrent	0
		Non Wage Recurrent	0
		AIA	0
<b>Budget Output: 02 Strengthening Investigations and Sector Compliance</b>			
Enforcement activities carried out countrywide	No enforcement activities carried out due to lockdown of gaming premises	<b>Item</b>	<b>Spent</b>
Weekly surveillance reports on gaming activities prepared and submitted to management	02 weekly surveillance reports prepared due to the Covid-19 lockdown on the gaming sector( June- September)	227004 Fuel, Lubricants and Oils	3,600
Investigations into illegal gaming activities undertaken and reports prepared and submitted	01 investigation quarterly report prepared and submitted		
Illegal gaming equipment confiscated and destroyed	No illegal gaming equipment confiscated and destroyed		
<b>Reasons for Variation in performance</b>			
Not archived as planned due to COVID-19 restrictions , Lockdown of the gaming sector and only one staff in the department			
Achieved as planned though COVID-19 restrictions and Lockdown of the gaming premises affected some investigations			
Achieved as planned although COVID-19 restrictions , Lockdown of the gaming sector and inadequacy of staff in the department affected weekly surveillance			
Enforcement was not carried due to COVID-19 restrictions , Lockdown of the gaming sector and thin on staff in the department			
		<b>Total</b>	<b>3,600</b>

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
		Wage Recurrent	0
		Non Wage Recurrent	3,600
		AIA	0
		<b>Total For Department</b>	<b>3,600</b>
		Wage Recurrent	0
		Non Wage Recurrent	3,600
		AIA	0

### Departments

#### Department: 05 Legal and Board Affairs

##### Outputs Provided

#### Budget Output: 03 Strengthening Arbitration and Disputes Resolutions

		1	Item	Spent
quarterly gaming related litigation and disputes reports prepared	1 dispute report prepared			
Staff attend CLE/ arbitration and relevant disciplines	litigation report prepared			
Legal advisory opinion prepared	Staff did not attend CLE/ arbitration and relevant disciplines			
Arbitration and Dispute Resolution Mechanism supported.	No one reached out to the Board for legal advise			
Staff attend training from ICGU(2nd intake)	06 complaints were received and all were resolved			
	Staff did not attend training from ICGU			

#### Reasons for Variation in performance

Achieved as planned

Achieved as planned

Covid-19 restrictions and Budget cuts

Due to the budget cut, staff did not attend training from ICGU.

This was due to the lockdown that had restrictions on movements which made it hard for people to reach out to the Board.

<b>Total</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0

#### Budget Output: 04 Support to Board Services

		Item	Spent
Board attend training in technical gaming area	No training was attended in technical gaming areas		
4 Board meetings held		221006 Commissions and related charges	41,480
	02 Board meeting were held		

#### Reasons for Variation in performance

Budget cuts and COVID -19 Restrictions made it hard for the Board have to organize the 04 meetings as planned.

Due to Covid-19 restrictions on gatherings and movements by the President of the Republic of Uganda.

<b>Total</b>	<b>41,480</b>
Wage Recurrent	0
Non Wage Recurrent	41,480

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
			AIA 0
<b>Budget Output: 05 Licensing and Regulation of Lotteries and Gaming Services</b>			
Operators sensitized on amended regulations		<b>Item</b>	<b>Spent</b>
Due diligence( bank guarantee, Interpol, work permits, tax clearance, verification of payment of application and licensing fees) reports prepared and submitted	Amendments were made and sent to all operators through gaming associations for their comments. the Board has started receiving comments		
03 Licensing Evaluation reports prepared	01 report prepared on due diligence		
	01 Licensing evaluation report prepared		
<b>Reasons for Variation in performance</b>			
Achieved as planned			
COVID 19 restrictions Slowed down the activity			
		<b>Total</b>	<b>0</b>
		Wage Recurrent	0
		Non Wage Recurrent	0
		AIA	0
		<b>Total For Department</b>	<b>41,480</b>
		Wage Recurrent	0
		Non Wage Recurrent	41,480
		AIA	0

### Sub-SubProgramme: 23 Strategy and Corporate Affairs

#### Departments

#### Department: 06 Research and Planning

##### Outputs Provided

#### Budget Output: 01 Coordination of strategic planning implementation

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Item	Spent
NLGRB strategic plan implementation monitored	01 report on strategic plan implementation and monitoring prepared		
Quarter four FY 2020/21 Budget performance reports prepared		221011 Printing, Stationery, Photocopying and Binding	1,800
	01 report on budget performance prepared and submitted		

#### Reasons for Variation in performance

Achieved as planned

Achieved as planned however, Covid-19 slowed down implementation of some activities of the strategic plan

<b>Total</b>	<b>1,800</b>
Wage Recurrent	0
Non Wage Recurrent	1,800
AIA	0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
<b>Budget Output: 02 Research and Policy Advisory</b>			
		<b>Item</b>	<b>Spent</b>
<i>Reasons for Variation in performance</i>			
		<b>Total</b>	<b>0</b>
		Wage Recurrent	0
		Non Wage Recurrent	0
		AIA	0
		<b>Total For Department</b>	<b>1,800</b>
		Wage Recurrent	0
		Non Wage Recurrent	1,800
		AIA	0

*Departments*

**Department: 07 Corporate Affairs**

*Outputs Provided*

**Budget Output: 03 Coordination of Information and Communication**

	Item	Spent
Assorted branding materials procured	10 copies of the Mission, vision, and core values were printed and put up in different offices	
LGRB website content regularly updated		
Engagements of interest groups on issues in the gaming sector made through media appearances	18 posts were made on social media and website	
Media appearances conducted through vaarious media outlets - newspapers, blogs, websites and social media forums	No engagement of interest groups was done	
Communication videos and clips produced "Identity Key Stakeholders Engage Stakeholders MOUs with key stakeholders signed"	03 media appearances made on website	
	02 videos awaiting approval	
	02 Stakeholder engagement carried out and No MOU signed	

*Reasons for Variation in performance*

Achieved as planned  
 COVID 19 restrictions and the prolonged lockdown of the gaming sector  
 Due to the COVID 19 pandemic and Lockdown of the gaming sector the Board had no engagement of interest groups on issues in the gaming sector made through media appearances was not possible.  
 The videos were submitted to the manager and waiting approval

<b>Total</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0
<b>Total For Department</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
<i>Departments</i>			
<b>Department: 08 Responsible Gaming</b>			
<i>Outputs Provided</i>			
<b>Budget Output: 04 Promote responsible gaming</b>			
Key stakeholders engaged on responsible gaming related matters AML policies & laws adhered to Responsible Gaming (RG) Strategy Implemented	02 Benchmarks undertaken ( Uganda Breweries Ltd and Nile Breweries Ltd) on Responsible drinking Field visits to gaming premises not conducted due to COVID 19 sector Lockdown 15 stakeholders ear-rmarked as key to the implementation of RG programmes Stakeholder matrix was designed 03 Gaminig adverts evaluated and recommendations made	<b>Item</b> 227004 Fuel, Lubricants and Oils	<b>Spent</b> 2,990
<i>Reasons for Variation in performance</i>			
Achieved as planned Gaming sector closed due to COVID19 restrictions			
			<b>Total</b>
			2,990
			Wage Recurrent
			0
			Non Wage Recurrent
			2,990
			AIA
			0
			<b>Total For Department</b>
			2,990
			Wage Recurrent
			0
			Non Wage Recurrent
			2,990
			AIA
			0
<b>Sub-SubProgramme: 49 Policy, Planning and Support Services</b>			
<i>Departments</i>			
<b>Department: 01 Finance and Administration</b>			
<i>Outputs Provided</i>			
<b>Budget Output: 06 Procurement and Disposal Services</b>			
Annual Board of survey Conducted Monthly procurement reports produced Contract Committee meetings held Contracts managed	01 report on annual Board of survey conducted  03 monthly procurement reports produced 01 contract Committee meeting held 03 contracts were managed (ware house, cleaning and catering)	<b>Item</b>	<b>Spent</b>
<i>Reasons for Variation in performance</i>			
Achieved as planned			
			<b>Total</b>
			0
			Wage Recurrent
			0
			Non Wage Recurrent
			0
			AIA
			0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	US\$ Thousand
<b>Budget Output: 07 Accounting and Financial Management</b>			
Financial statements prepared	Asset register maintained	01 report prepared on Financial statement.	<b>Spent</b>
Payments processed	Sector revenue report produced	Asset register updated and maintained regularly	211103 Allowances (Inc. Casuals, Temporary) 2,830
Books of Accounts and Records maintained	Stores managed and assets maintained	payments were processed	221007 Books, Periodicals & Newspapers 533
		The tax revenue collected was 17,699,283,014 and none tax revenue was 219,374,585	223004 Guard and Security services 5,092
		Books of accounts and records prepared and maintained	223005 Electricity 5,000
		Inventory records and asset register updated and maintained	224004 Cleaning and Sanitation 3,198
			227004 Fuel, Lubricants and Oils 14,110
<b>Reasons for Variation in performance</b>			
Achieved as planned			
Rent payments of office premises, ware house were not paid for due to the budget cut			
The land based operators were closed due to the lockdown on the gaming sector and hence reducing the revenue collected			
		<b>Total</b>	<b>30,763</b>
		Wage Recurrent	0
		Non Wage Recurrent	30,763
		AIA	0

<b>Budget Output: 13 Information Technology Services</b>			
Licensing process automated	Automation of licensing process is 50% done but still in progress	<b>Item</b>	<b>Spent</b>
Reduced turnaround time from 3 months to 2 months	The turn around time for responding to correspondences reduced from 3months to 2months	227004 Fuel, Lubricants and Oils	3,000
Technical gaming standards developed	12 draft technical gaming standards have been developed		
Procure and install microsoft office 365	Office 365 procured and installed		
Access control system implemented	Access control System has not yet been implemented		
I.T systems maintained	I.T systems maintained regularly		
Online casino and betting modules of the National Electronic Central Monitoring System implemented	The online casino and betting modules of the National Electronic central monitoring system were not implemented		
<b>Reasons for Variation in performance</b>			
Achieved as planned			
Achieved as planned however lockdown of the gaming industry affected response to some gaming related activities			
Awaiting office repartitioning			
still integrating with the URA system, URSB system and NIRA system			
The drafts were submitted to UNBS and are waiting approval			
This was due to the budget cut making implementation difficult due to inadequate funds.			
		<b>Total</b>	<b>3,000</b>
		Wage Recurrent	0
		Non Wage Recurrent	3,000
		AIA	0

### Budget Output: 19 Human Resource Management Services

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
Staff performance Appraisals conducted Staff welfare managed Staff capacity built in gaming related areas, management, Program based budgeting, Research Staff sensitized on COVID-19, Malaria, HIV/AIDS and other non and communicable diseases Staff records updated	03 staff appraisal were conducted Lunch is provided to all staff and the office premises cleaned daily No staff capacity built in gaming related areas.  staff were sensitized on a covid-19 and a covid-19 response team was appointed comprising of two officers and awareness charts were also put up around the office premises  Staff records updated regularly	<b>Item</b> 211102 Contract Staff Salaries 212101 Social Security Contributions 213004 Gratuity Expenses 221009 Welfare and Entertainment	<b>Spent</b> 475,393 45,175 89,700 12,493

### Reasons for Variation in performance

Achieved as planned  
 Achieved as planned  
 Medical issuance was not provided due to budget cuts  
 sensitization on Malaria, HIV/AIDS and other communicable diseases was not done since priority was given to Covid-19 being a new virus.  
 Staff capacity building could not be undertaken due to covid-19 restrictions on gatherings

<b>Total</b>	<b>622,760</b>
Wage Recurrent	475,393
Non Wage Recurrent	147,368
AIA	0

### Budget Output: 20 Records Management Services

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Item	Spent
Documents scanned Records managed Records database updated Board records filed and managed	30 documents scanned 01 report prepared on records management  01 report on records data base prepared and maintained 50 correspondences received, registered and recorded		

### Reasons for Variation in performance

Achieved as planned

<b>Total</b>	<b>0</b>
Wage Recurrent	0
Non Wage Recurrent	0
AIA	0
<b>Total For Department</b>	<b>656,523</b>
Wage Recurrent	475,393
Non Wage Recurrent	181,131
AIA	0

### Departments

#### Department: 02 Internal Oversight and Advisory Services

#### Outputs Provided



# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
<b>Budget Output: 15 Internal Audit Management, Policy Coordination and Monitoring</b>			
Quarterly internal audit report produced		<b>Item</b>	<b>Spent</b>
Audit engagement reports produced		227004 Fuel, Lubricants and Oils	3,000
Audit recommendation implementation status matrix developed	01 Quarterly internal audit report produced		
Fraud risk management plan developed and implemented	03 Audit engagement reports produced		
Staff fraud awareness conducted	01 Audit recommendation implementation status matrix done		
Internal audit staff capacity in the gaming sector built	Plan awaiting implementation		
Risk registers updated	No staff fraud awareness was conducted		
	No formal training undertaken but learning on the job		
	Risk registers updated once		

### Reasons for Variation in performance

Achieved as planned  
 covid-19 restrictions on gatherings could not enable the staff gather for training. movements and gathering were not allowed due Covid-19 restrictions and therefore the staff fraud awareness was not conducted. plan presented to the Board and awaiting implementation

<b>Total</b>	<b>3,000</b>
Wage Recurrent	0
Non Wage Recurrent	3,000
AIA	0
<b>Total For Department</b>	<b>3,000</b>
Wage Recurrent	0
Non Wage Recurrent	3,000
AIA	0

### Departments

#### Department: 03 Office of the Chief Executive

##### Outputs Provided

#### Budget Output: 16 Coordination, Supervision and Oversight

Funds and properties of the Board managed inline with the PFMA	Implementation of departmental plans supervised and monitored	Policy guidance provided to the Board of directors	Actual Outputs Achieved in Quarter	Item	Spent
			All funds managed inline with the approved work plan	227004 Fuel, Lubricants and Oils	3,580
			All implementation of departmental plans supervised and monitored		
			The mission, vision and core values were provided		

### Reasons for Variation in performance

Achieved as planned  
 The New regulations are still being finalized by ministry of Justice and the Board charter is being procured

<b>Total</b>	<b>3,580</b>
Wage Recurrent	0
Non Wage Recurrent	3,580
AIA	0
<b>Total For Department</b>	<b>3,580</b>
Wage Recurrent	0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 1: Outputs and Expenditure in Quarter

Outputs Planned in Quarter	Actual Outputs Achieved in Quarter	Expenditures incurred in the Quarter to deliver outputs	UShs Thousand
		Non Wage Recurrent	3,580
		AIA	0
		<b>GRAND TOTAL</b>	<b>712,973</b>
		Wage Recurrent	475,393
		Non Wage Recurrent	237,581
		GoU Development	0
		External Financing	0
		AIA	0

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 2: Revised Workplan

<i>UShs Thousand</i>	<b>Planned Outputs for the Quarter</b>	<b>Estimated Funds Available in Quarter (from balance brought forward and actual/expected releases)</b>
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### Sub-SubProgramme: 22 Legal and Board Affairs

*Departments*

#### Department: 04 Compliance and Enforcement

*Outputs Provided*

#### Budget Output: 01 Strengthening Compliance and Inspection

	<b>Item</b>	<b>Balance b/f</b>	<b>New Funds</b>	<b>Total</b>
Operator compliance appraisal reports prepared	222001 Telecommunications	1,200	0	1,200
	<b>Total</b>	<b>1,200</b>	<b>0</b>	<b>1,200</b>
Prelicensing inspection carried out	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>1,200</i>	<i>0</i>	<i>1,200</i>
Gaming operator returns analysis reports prepared	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

National Register of gaming equipment maintained

Train staff in relevant technical areas

#### Budget Output: 02 Strengthening Investigations and Sector Compliance

Enforcement activities carried out countrywide

Weekly surveillance reports on gaming activities prepared and submitted to management

Investigations into illegal gaming activities undertaken and reports prepared and submitted

Illegal gaming equipment confiscated and destroyed

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 2: Revised Workplan

### Department: 05 Legal and Board Affairs

#### Outputs Provided

#### Budget Output: 03 Strengthening Arbitration and Disputes Resolutions

quarterly gaming related litigation and disputes reports prepared	Item	Balance b/f	New Funds	Total
	225001 Consultancy Services- Short term	29,904	0	29,904
	<b>Total</b>	<b>29,904</b>	<b>0</b>	<b>29,904</b>
Staff attend CLE/ arbitration and relevant disciplines	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>29,904</i>	<i>0</i>	<i>29,904</i>
Legal advisory opinion prepared	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Arbitration and Dispute Resolution Mechanism supported.

#### Budget Output: 04 Support to Board Services

	Item	Balance b/f	New Funds	Total
	221006 Commissions and related charges	9,220	0	9,220
5 Board meetings held	<b>Total</b>	<b>9,220</b>	<b>0</b>	<b>9,220</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>9,220</i>	<i>0</i>	<i>9,220</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

#### Budget Output: 05 Licensing and Regulation of Lotteries and Gaming Services

	Item	Balance b/f	New Funds	Total
70 Gaming operating licences issued	221011 Printing, Stationery, Photocopying and Binding	10,000	0	10,000
	<b>Total</b>	<b>10,000</b>	<b>0</b>	<b>10,000</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>10,000</i>	<i>0</i>	<i>10,000</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

Due diligence( bank guarantee, Interpol, work permits, tax clearance, verification of payment of application and licensing fees) reports prepared and submitted

#### Development Projects

### Sub-SubProgramme: 23 Strategy and Corporate Affairs

#### Departments

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 2: Revised Workplan

### Department: 06 Research and Planning

#### Outputs Provided

#### Budget Output: 01 Coordination of strategic planning implementation

Item	Balance b/f	New Funds	Total
NLGRB strategic plan implementation monitored	3,200	0	3,200
Monitoring and evaluation of departmental workplan implementation undertaken and reports prepared	<b>Total</b> 3,200	0	<b>3,200</b>
	<i>Wage Recurrent</i> 0	0	0
Budget Framework paper for FY 22/23 prepared	<i>Non Wage Recurrent</i> 3,200	0	3,200
	<i>AIA</i> 0	0	0

Quarter one Budget performance reports prepared

### Department: 08 Responsible Gaming

#### Outputs Provided

#### Budget Output: 04 Promote responsible gaming

Item	Balance b/f	New Funds	Total
Key stakeholders engaged on responsible gaming related matters	5,000	0	5,000
AML policies & laws adhered to	10	0	10
Responsible Gaming (RG) Strategy Implemented	<b>Total</b> 5,010	0	<b>5,010</b>
	<i>Wage Recurrent</i> 0	0	0
	<i>Non Wage Recurrent</i> 5,010	0	5,010
	<i>AIA</i> 0	0	0

#### Development Projects

### Sub-SubProgramme: 49 Policy, Planning and Support Services

#### Departments

### Department: 01 Finance and Administration

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 2: Revised Workplan

### Outputs Provided

#### Budget Output: 07 Accounting and Financial Management

Financial statements prepared	Item	Balance b/f	New Funds	Total
Asset register maintained	211103 Allowances (Inc. Casuals, Temporary)	170	0	170
	221007 Books, Periodicals & Newspapers	467	0	467
Payments processed	221011 Printing, Stationery, Photocopying and Binding	10,000	0	10,000
	221016 IFMS Recurrent costs	7,000	0	7,000
Sector revenue report produced	222001 Telecommunications	2,400	0	2,400
	223004 Guard and Security services	748	0	748
Books of Accounts and Records maintained	223005 Electricity	250	0	250
	224004 Cleaning and Sanitation	1,802	0	1,802
Stores managed and assets maintained	227004 Fuel, Lubricants and Oils	2,090	0	2,090
	228002 Maintenance - Vehicles	5,000	0	5,000
	<b>Total</b>	<b>29,927</b>	<b>0</b>	<b>29,927</b>
	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>29,927</i>	<i>0</i>	<i>29,927</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>

#### Budget Output: 13 Information Technology Services

Licencing process automated	Item	Balance b/f	New Funds	Total
	222001 Telecommunications	5,400	0	5,400
Reduced turnaround time from 3 months to 2 months	222003 Information and communications technology (ICT)	4,000	0	4,000
	<b>Total</b>	<b>9,400</b>	<b>0</b>	<b>9,400</b>
Technical gaming standards developed	<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>	<i>0</i>
	<i>Non Wage Recurrent</i>	<i>9,400</i>	<i>0</i>	<i>9,400</i>
	<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>
Access control system implemented				
I.T systems maintained				
Online casino and betting modules of the National Electronic Central Monitoring System implemented				

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 2: Revised Workplan

### Budget Output: 19 Human Resource Management Services

	Item	Balance b/f	New Funds	Total
Staff performance Appraisals conducted				
Staff welfare managed	211102 Contract Staff Salaries	145,607	0	145,607
	212101 Social Security Contributions	5,825	0	5,825
Staff capacity built in gaming related areas, management,Program based budgeting,Research	213004 Gratuity Expenses	81,075	0	81,075
	221004 Recruitment Expenses	5,000	0	5,000
	221009 Welfare and Entertainment	19,206	0	19,206
	<b>Total</b>	<b>256,713</b>	<b>0</b>	<b>256,713</b>
HIV/AIDS work place policy developed		<i>Wage Recurrent</i>	<i>145,607</i>	<i>0</i>
		<i>111,106</i>	<i>0</i>	<i>111,106</i>
Staff recruited and inductions undertaken		<i>Non Wage Recurrent</i>	<i>111,106</i>	<i>0</i>
		<i>AIA</i>	<i>0</i>	<i>0</i>
Staff sensitized on COVID-19, Malaria, HIV/AIDS and other non and communicable diseases				

Staff records updated

### Budget Output: 20 Records Management Services

	Item	Balance b/f	New Funds	Total
Documents scanned				
Records managed	221011 Printing, Stationery, Photocopying and Binding	5,000	0	5,000
	<b>Total</b>	<b>5,000</b>	<b>0</b>	<b>5,000</b>
Records database updated		<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>
Board records filed and managed		<i>Non Wage Recurrent</i>	<i>5,000</i>	<i>0</i>
		<i>AIA</i>	<i>0</i>	<i>0</i>

# Vote:314 National Lotteries and Gaming Regulatory Board

## QUARTER 2: Revised Workplan

### Department: 02 Internal Oversight and Advisory Services

#### Outputs Provided

#### Budget Output: 15 Internal Audit Management, Policy Coordination and Monitoring

	Item	Balance b/f	New Funds	Total
	222001 Telecommunications	1,200	0	1,200
	<b>Total</b>	<b>1,200</b>	<b>0</b>	<b>1,200</b>
Quarterly internal audit report produced		<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>
		<i>Non Wage Recurrent</i>	<i>1,200</i>	<i>1,200</i>
Audit engagement reports produced		<i>AIA</i>	<i>0</i>	<i>0</i>
Audit recommendation implementation status matrix developed				
Fraud risk management plan developed and implemented				
Internal audit staff capacity in the gaming sector built				
Risk registers updated				

### Department: 03 Office of the Chief Executive

#### Outputs Provided

#### Budget Output: 16 Coordination, Supervision and Oversight

Funds and properties of the Board managed inline with the PFMA	Item	Balance b/f	New Funds	Total
	222001 Telecommunications	1,200	0	1,200
	227004 Fuel, Lubricants and Oils	20	0	20
Implementation of departmental plans supervised and monitored	228002 Maintenance - Vehicles	5,000	0	5,000
	<b>Total</b>	<b>6,220</b>	<b>0</b>	<b>6,220</b>
Policy guidance provided to the Board of directors		<i>Wage Recurrent</i>	<i>0</i>	<i>0</i>
		<i>Non Wage Recurrent</i>	<i>6,220</i>	<i>6,220</i>
		<i>AIA</i>	<i>0</i>	<i>0</i>

#### Development Projects

<b>GRAND TOTAL</b>	<b>366,994</b>	<b>0</b>	<b>366,994</b>
<i>Wage Recurrent</i>	<i>145,607</i>	<i>0</i>	<i>145,607</i>
<i>Non Wage Recurrent</i>	<i>221,387</i>	<i>0</i>	<i>221,387</i>
<i>GoU Development</i>	<i>0</i>	<i>0</i>	<i>0</i>
<i>External Financing</i>	<i>0</i>	<i>0</i>	<i>0</i>
<i>AIA</i>	<i>0</i>	<i>0</i>	<i>0</i>