

# Vote: 153 PPDA

## QUARTER 1: Highlights of Vote Performance

### V1: Summary of Issues in Budget Execution

This section provides an overview of Vote expenditure

#### (i) Snapshot of Vote Releases and Expenditures

Table V1.1 below summarises cumulative releases and expenditures by the end of the quarter:

**Table V1.1: Overview of Vote Expenditures (UShs Billion)**

<i>(i) Excluding Arrears, Taxes</i>	Approved Budget	Cashlimits by End	Released by End	Spent by End Sep	% Budget Released	% Budget Spent	% Releases Spent
Recurrent Wage	3.677	0.919	0.919	0.808	25.0%	22.0%	87.8%
Recurrent Non Wage	4.788	1.197	1.197	0.769	25.0%	16.1%	64.2%
Development GoU	2.220	0.080	0.062	0.006	2.8%	0.3%	9.8%
Development Donor*	0.000	N/A	0.000	0.000	N/A	N/A	N/A
<b>GoU Total</b>	<b>10.685</b>	<b>2.196</b>	<b>2.178</b>	<b>1.582</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>
<b>Total GoU+Donor (MTEF)</b>	<b>10.685</b>	<b>N/A</b>	<b>2.178</b>	<b>1.582</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>
<i>(ii) Arrears and Taxes</i> Arrears	0.000	N/A	0.000	0.000	N/A	N/A	N/A
Taxes**	0.081	N/A	0.000	0.000	0.0%	0.0%	N/A
<b>Total Budget</b>	<b>10.766</b>	<b>2.196</b>	<b>2.178</b>	<b>1.582</b>	<b>20.2%</b>	<b>14.7%</b>	<b>72.6%</b>
<i>(iii) Non Tax Revenue</i>	0.000	N/A	0.000	0.000	N/A	N/A	N/A
<b>Grand Total</b>	<b>10.766</b>	<b>2.196</b>	<b>2.178</b>	<b>1.582</b>	<b>20.2%</b>	<b>14.7%</b>	<b>72.6%</b>
Excluding Taxes, Arrears	10.685	2.196	2.178	1.582	20.4%	14.8%	72.6%

\* Donor expenditure information available

\*\* Non VAT on capital expenditure

The table below shows cumulative releases and expenditures to the Vote by Vote Function :

**Table V1.2: Releases and Expenditure by Vote Function\***

Billion Uganda Shillings	Approved Budget	Released	Spent	% Budget Released	% Budget Spent	% Releases Spent
VF: 1456 Regulation of the Procurement and Disposal System	10.69	2.18	1.58	20.4%	14.8%	72.6%
<b>Total For Vote</b>	<b>10.69</b>	<b>2.18</b>	<b>1.58</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>

\* Excluding Taxes and Arrears

#### (ii) Matters to note in budget execution

a. Old Fleet of Vehicles: The Authority's fleet of vehicles is old and needs replacement. A number of activities requiring field trips, such as audit exercises, verification exercises and follow ups on audit/investigation recommendations were rescheduled a number of times due to unavailability of a sound fleet.

b. Delay in the implementation of the new Strategic Plan: Due to budgetary constraints, the tempo of implementation of the new strategic plan is slow. Some of the precursor activities like the organisational assessment of the Authority could not start due to lack of funds.

**Table V1.3: High Unspent Balances and Over-Expenditure in the Domestic Budget (Ushs Bn)**

<i>(i) Major unspent balances</i>
Programs , Projects and Items

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<b>0.54Bn Shs</b>	Programme/Project: 01	Headquarters
Reason:		
<b>(ii) Expenditures in excess of the original approved budget</b>		
* Excluding Taxes and Arrears		

## V2: Performance Highlights

This section provides highlights of output performance, focusing on key outputs and actions implemented to improve section performance.

**Table V2.1: Key Vote Output Indicators and Expenditures\***

Vote, Vote Function Key Output	Approved Budget and Planned outputs	Cumulative Expenditure and Performance	Status and Reasons for any Variation from Plans
<b>Vote Function: 1456 Regulation of the Procurement and Disposal System</b>			
<b>Output: 145601 Procurement Audit and Monitoring</b>			
<i>Description of Performance:</i>	Increased numbers of audits due to more staff recruited by the Authority	46 procurement and disposal audits	The overperformance in procurement audits was as a result of the recruitment of more staff and the use of the technical staff from other technical departments of the Authority to conduct audits.
<i>Performance Indicators:</i>			
No. of procurement audits completed		80	46
No. of follow-up procurement audits and investigations recommendations		80	12
<i>Output Cost:</i>	US\$ Bn: 1.558	US\$ Bn: 0.511	% Budget Spent: 32.8%
<b>Output: 145603 Legal and Advisory services</b>			
<i>Description of Performance:</i>	Increase in the inspections due to more staff recruited by the Authority and a combined team of compliance checks and PPMS verifications	No inspections were carried out	The underperformance was because the technical staff were involved in conducting procurement and disposal audits. The planned activities for the Quarter have been rescheduled to the 2nd Quarter.
<i>Performance Indicators:</i>			
Level of adherence to service standards (Number of MDAs inspected)		150	0
<i>Output Cost:</i>	US\$ Bn: 1.160	US\$ Bn: 0.287	% Budget Spent: 24.8%
<b>Vote Function Cost</b>	<b>US\$ Bn: 10.685</b>	<b>US\$ Bn: 1.582</b>	<b>% Budget Spent: 14.8%</b>
<b>Cost of Vote Services:</b>	<b>US\$ Bn: 10.685</b>	<b>US\$ Bn: 1.582</b>	<b>% Budget Spent: 14.8%</b>

\* Excluding Taxes and Arrears

### a) Procurement Audits

In accordance with Section 7 (j) of the PPDA Act 2003, the Authority planned to conduct procurement audits in 45 entities in Quarter I (Q1) in addition to three (3) audits carried forward from the previous Financial Year. The Authority launched and completed audits in 44 Entities and also completed 2 of the 3 audits that spilled

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from the previous Financial Year.

b) Investigations

In accordance with Section 8 (c ) of the PPDA Act 2003, the Authority planned to conduct 10 investigations in Q1 in addition to 21 cases carried forward from FY 2013/14. The Authority received 21 requests for investigations. Of these, 20 were completed and reports issued, while 22 investigations were ongoing by the end of the Quarter.

c) Application for Administrative Reviews

The Authority derives its mandate to conduct Administrative Reviews from Sections 8 (e) and 91 of the PPDA Act, 2003 and the PPDA (Administrative Review) Regulations, 2014. A total of 14 applications for Administrative Review were handled by the Authority. Of these, five (5) were upheld and nine (9) rejected.

d) Application for Deviations

The Authority derives its mandate to grant deviations from Sections 7 (e) of the PPDA Act, 2003. One application for deviation from the use of Standard Bidding Documents was received and granted during the quarter.

e) Suspension of Providers

The Authority derives its mandate to suspend providers from section 94 of the PPDA Act, 2003 and regulation 12 of the PPDA Regulations, 2014. The Authority received 22 recommendations to suspend providers during Q1 in addition to 77 recommendations for suspension brought forward from the previous quarters bringing the total to 99. A total of 33 firms were suspended, the Authority did not find merit in three cases and investigations were ongoing for 63 cases by the end of the Quarter. The suspensions were majorly in respect of corruption, forgery, World Bank debarment and conflict of interest.

f) Amendments to the Local Government PPDA Regulations

The process has been initiated for the procurement of consultants to review the Local Governments Act, the Local Governments (PPDA) Regulations, 2006 and to also develop a simplified version of the law.

g) Register of Providers (RoP)

The Authority derives its mandate to maintain a Register of Providers from Section 7(1) (h) of the PPDA Act, 2003. A total of 357 new providers were registered generating UGX 68,329,400 and 370 providers renewed their registration generating UGX 25,891,000 as revenue.

h) Survey to update common user items

In accordance with Section 7 I (n) of the PPDA Act, 2003, the Authority is supposed to issue an updated common user items from time to time. In collaboration with the Makerere University School of Statistics and Planning, the Survey to update the list and prices of Common User Items was successfully carried out in September 2014.

i) Monitoring Compliance

The Authority derives its mandate to monitor compliance of the entities from Section 7 (1) (r ) of the PPDA Act, 2003. A total of 94 procurement plans were received by the Authority during this period from 39 Central Government Entities and 55 Local Government Entities. The Authority also received 144 monthly reports from the Central Government Entities and 1 quarterly report from a Local Government Entity.

j) Procurement Performance Measurement System (PPMS)

The Authority derives its mandate to manage the PPMS from section 7 (1) (b) of the PPDA Act, 2003. During

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the period under review, the Authority prepared the Annual PPMS report which is due to be issued. A total of 20,080 contracts worth UGX 3.7 billion were entered into the Procurement Performance Measurement System in the FY 2013/14 which was a decline from the FY 2012/13 figure of 21,930 contracts entered into the system.

### k) Capacity Building

The Authority derives its mandate to build the procurement and disposal capacity in Uganda from Section 6(e) of the PPDA Act, 2003. The Authority conducted two (2) Procurement Barazas in Tororo and in Gulu which were attended by 392 participants. The Barazas were aimed at sharing with the different stakeholders on the level of implementation of the work plans for the FY 2013/14 and get feedback on the level of service delivery in the district. Demand driven trainings on various aspects of procurement were also conducted and attended by 298 participants.

### l) Court Cases

During the quarter, the Authority handled nine (9) court cases. Four (4) cases were ongoing matters which were filed against the Authority in previous quarters. Of the four ongoing cases, three were instituted by way of Judicial Review to the High Court while one is an ordinary suit brought by a former member of staff challenging termination of employment. Five (5) new cases were filed against the Authority during the quarter. All the 5 new cases were applications for Judicial Review to the High Court challenging decisions of the Authority.

### m) PPDA Appeals Tribunal

The PPDA Appeals Tribunal was established under section 91B of the PPDA act of 2003. During this period, four cases were filed against the Authority at the PPDA Appeals Tribunal. One Appeal was upheld thereby quashing the recommendation of the Authority to retender procurement and one of the three appeals was dismissed with costs in favour of the Authority. One is pending hearing before the Appeals Tribunal while the Authority is yet to file its defence in one application.

**Table V2.2: Implementing Actions to Improve Vote Performance**

Planned Actions:	Actual Actions:	Reasons for Variation
Vote: 153 PPDA		
Vote Function: 14 56 Regulation of the Procurement and Disposal System		
Continued engagement of accounting officers on emphasis to equip PDUs	<b>The Authority continues to engage the Accounting Officers at various forums to equip PDUs to acceptable levels</b>	The Accounting officers point out resource constraints as the major barrier to equipping PDUs

## V3: Details of Releases and Expenditure

This section provides a comprehensive summary of the outputs delivered by the Vote and further details of Vote expenditures by Vote Function and Expenditure Item.

**Table V3.1: GoU Releases and Expenditure by Output\***

Billion Uganda Shillings	Approved Budget	Released	Spent	% GoU Budget Released	% GoU Budget Spent	% GoU Releases Spent
<b>VF:1456 Regulation of the Procurement and Disposal System</b>	<b>10.69</b>	<b>2.18</b>	<b>1.58</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>
<i>Class: Outputs Provided</i>	8.47	2.17	1.58	25.7%	18.6%	72.5%
145601 Procurement Audits and Investigations	1.56	0.52	<b>0.51</b>	33.3%	32.8%	98.3%
145602 Stakeholder sensitisation in Proc. & Disp systems	0.86	0.08	<b>0.03</b>	9.1%	3.7%	40.3%
145603 Monitoring Compliance with the PPDA Law	1.16	0.33	<b>0.29</b>	28.6%	24.8%	86.5%
145604 PPDA Support services	3.27	0.95	<b>0.60</b>	29.0%	18.3%	62.9%
145605 PPDA strategic partnerships and Corporate relations	1.61	0.29	<b>0.15</b>	18.2%	9.2%	50.7%
<i>Class: Capital Purchases</i>	2.22	0.01	0.01	0.2%	0.3%	121.3%
145672 Government Buildings and Administrative Infrastructure	1.90	0.00	<b>0.00</b>	0.0%	0.0%	N/A

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145675 Purchase of Motor Vehicles and Other Transport Equipment	0.15	0.01	<b>0.00</b>	3.3%	0.0%	0.0%
145676 Purchase of Office and ICT Equipment, including Software	0.14	0.00	<b>0.01</b>	0.0%	3.6%	N/A
145678 Purchase of Office and Residential Furniture and Fittings	0.03	0.00	<b>0.00</b>	0.0%	3.3%	N/A
<b>Total For Vote</b>	<b>10.69</b>	<b>2.18</b>	<b>1.58</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>

\* Excluding Taxes and Arrears

**Table V3.2: 2014/15 GoU Expenditure by Item**

<i>Billion Uganda Shillings</i>	Approved Budget	Releases	Expenditure	% Budget Released	% Budget Spent	% Releases Spent
<b>Output Class: Outputs Provided</b>	<b>8.47</b>	<b>2.12</b>	<b>1.58</b>	<b>25.0%</b>	<b>18.6%</b>	<b>74.5%</b>
211102 Contract Staff Salaries (Incl. Casuals, Temporary)	3.68	0.92	<b>0.81</b>	25.0%	22.0%	87.8%
211103 Allowances	0.25	0.07	<b>0.05</b>	28.2%	20.9%	74.1%
212101 Social Security Contributions	0.41	0.11	<b>0.10</b>	25.7%	23.2%	90.4%
213001 Medical expenses (To employees)	0.12	0.00	<b>0.00</b>	0.0%	0.0%	N/A
213002 Incapacity, death benefits and funeral expenses	0.01	0.00	<b>0.00</b>	0.0%	0.0%	N/A
213004 Gratuity Expenses	0.74	0.15	<b>0.14</b>	20.3%	18.5%	91.1%
221001 Advertising and Public Relations	0.05	0.02	<b>0.01</b>	33.3%	17.8%	53.4%
221002 Workshops and Seminars	0.30	0.08	<b>0.04</b>	28.2%	12.0%	42.7%
221003 Staff Training	0.08	0.03	<b>0.02</b>	33.3%	32.7%	98.0%
221004 Recruitment Expenses	0.07	0.02	<b>0.00</b>	33.3%	3.6%	10.9%
221006 Commissions and related charges	0.05	0.00	<b>0.00</b>	8.0%	1.2%	15.0%
221007 Books, Periodicals & Newspapers	0.02	0.01	<b>0.00</b>	29.1%	0.0%	0.0%
221009 Welfare and Entertainment	0.20	0.05	<b>0.02</b>	23.3%	9.8%	42.0%
221011 Printing, Stationery, Photocopying and Binding	0.14	0.02	<b>0.02</b>	16.2%	15.4%	95.2%
221012 Small Office Equipment	0.00	0.00	<b>0.00</b>	33.3%	0.0%	0.0%
221016 IFMS Recurrent costs	0.01	0.00	<b>0.00</b>	33.3%	0.0%	0.0%
221017 Subscriptions	0.03	0.01	<b>0.00</b>	33.3%	13.4%	40.3%
222001 Telecommunications	0.18	0.05	<b>0.02</b>	26.6%	13.8%	51.9%
222002 Postage and Courier	0.04	0.01	<b>0.00</b>	32.5%	2.3%	6.9%
223002 Rates	0.00	0.00	<b>0.00</b>	33.3%	0.0%	0.0%
223003 Rent – (Produced Assets) to private entities	0.49	0.10	<b>0.10</b>	20.2%	19.6%	97.0%
223004 Guard and Security services	0.04	0.01	<b>0.00</b>	21.4%	1.1%	5.3%
223005 Electricity	0.07	0.02	<b>0.01</b>	33.3%	21.4%	64.1%
223006 Water	0.01	0.00	<b>0.00</b>	33.3%	3.9%	11.8%
224004 Cleaning and Sanitation	0.04	0.01	<b>0.00</b>	33.3%	8.5%	25.5%
224005 Uniforms, Beddings and Protective Gear	0.00	0.00	<b>0.00</b>	32.5%	0.0%	0.0%
225001 Consultancy Services- Short term	0.30	0.09	<b>0.02</b>	29.3%	7.7%	26.2%
226001 Insurances	0.07	0.01	<b>0.00</b>	16.2%	6.6%	40.8%
226002 Licenses	0.04	0.00	<b>0.00</b>	0.0%	0.0%	N/A
227001 Travel inland	0.53	0.18	<b>0.14</b>	33.3%	26.3%	78.9%
227002 Travel abroad	0.27	0.09	<b>0.04</b>	33.3%	15.1%	45.4%
227004 Fuel, Lubricants and Oils	0.09	0.01	<b>0.00</b>	8.9%	1.7%	18.8%
228001 Maintenance - Civil	0.01	0.00	<b>0.00</b>	20.0%	2.3%	11.3%
228002 Maintenance - Vehicles	0.11	0.04	<b>0.02</b>	33.3%	15.3%	46.0%
228003 Maintenance – Machinery, Equipment & Furniture	0.04	0.01	<b>0.00</b>	33.3%	1.0%	2.9%
<b>Output Class: Capital Purchases</b>	<b>2.30</b>	<b>0.06</b>	<b>0.01</b>	<b>2.7%</b>	<b>0.3%</b>	<b>9.8%</b>
231001 Non Residential buildings (Depreciation)	1.90	0.00	<b>0.00</b>	0.0%	0.0%	N/A
231004 Transport equipment	0.15	0.05	<b>0.00</b>	33.3%	0.0%	0.0%
231005 Machinery and equipment	0.14	0.01	<b>0.01</b>	5.0%	3.6%	72.5%
231006 Furniture and fittings (Depreciation)	0.03	0.01	<b>0.00</b>	16.7%	3.3%	19.9%
312204 Taxes on Machinery, Furniture & Vehicles	0.08	0.00	<b>0.00</b>	0.0%	0.0%	N/A

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<b>Grand Total:</b>	<b>10.77</b>	<b>2.18</b>	<b>1.58</b>	<b>20.2%</b>	<b>14.7%</b>	<b>72.6%</b>
<b>Total Excluding Taxes and Arrears:</b>	<b>10.69</b>	<b>2.18</b>	<b>1.58</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>

**Table V3.3: GoU Releases and Expenditure by Project and Programme\***

<i>Billion Uganda Shillings</i>	<b>Approved Budget</b>	<b>Released</b>	<b>Spent</b>	<i>% GoU Budget Released</i>	<i>% GoU Budget Spent</i>	<i>% GoU Releases Spent</i>
<b>VF:1456 Regulation of the Procurement and Disposal System</b>	<b>10.69</b>	<b>2.18</b>	<b>1.58</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>
<i>Recurrent Programmes</i>						
01 Headquarters	8.47	2.12	1.58	25.0%	18.6%	74.5%
<i>Development Projects</i>						
0049 Procurement Reform Implementation	0.00	0.00	0.00	N/A	N/A	N/A
1225 Support to PPDA	2.22	0.06	0.01	2.8%	0.3%	9.8%
<b>Total For Vote</b>	<b>10.69</b>	<b>2.18</b>	<b>1.58</b>	<b>20.4%</b>	<b>14.8%</b>	<b>72.6%</b>

\* Excluding Taxes and Arrears

**Table V3.4: Donor Releases and Expenditure by Project and Programme\***