



Local Government Performance Assessment

Buvuma District

(Vote Code: 590)

Assessment	Scores
Accountability Requirements	67%
Crosscutting Performance Measures	63%
Educational Performance Measures	71%
Health Performance Measures	63%
Water Performance Measures	63%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Buvuma District Local Government submitted the annual performance contract on Monday 30th July 2018. This is within the adjusted deadline of 1st August 2018. Therefore the LG is Compliant.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The LG submitted the budget together with the Procurement Plan on 30th July 2018. This is within the adjusted deadline of 1st August 2018. Therefore the LG is Compliant.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The annual performance report for the previous FY was not submitted by the time the performance assessment was being carried out. Hence the LG is NOT compliant.</p>	<p>No</p>
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>The LG submitted submitted reports for only three quarters as follows: Quarter 1 on 3/01/2018, Quarter 2 on 9/03/2018 and Quarter 3 on 21/06/2018.</p> <p>The 4th quarterly report was NOT submitted by the time the assessment was being carried out, hence the LG is NOT compliant.</p>	<p>No</p>
<p>Audit</p>			

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General’s findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in line with applicable laws.</p>	<p>From MoFPED’s Inventory/record of LG submissions of statements entitled “Actions to Address Internal Auditor General’s findings”,</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a ‘Response’ (and provide details), then it is compliant • If LG did not submit a ‘response’, then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG audit responses were submitted to Permanent Secretary/ Secretary to the Treasury on 24/04/2018 letter reference BUV/CR/252/1.</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>Buvuma DLG got a clean (unqualified) audit opinion from the Auditor General for the financial year 2017/18.</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>Buvuma LG has a fully constituted Physical Planning Committee as per the provisions of the Physical Planning Act 2010. Evidence provided was the appointment letters for the members of Physical Planning Committees dated 17 March 2016 under ref. CR/106/1: Appointment as member of District physical planning committee.</p> <p>The PPC is functional as evidenced by the following minutes dated 18/07/2018:</p> <p>1) Min.2:BDLG/2018: Communication from the Chairperson, item 2.1: Welcoming members of the committee to the first ever district Physical Planning Committee meeting; and</p> <p>2) Min.3:BDLG/2018: Presentations to members; item 3.3: Composition of the committee and 3.4: Functions of the district Physical Planning Committee as per the Physical Planning Act 2010.</p>	1
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>The LG has NOT submitted 4 sets of minutes to the MoLHUD. Only one District Physical Planning Committee meeting was held on 18th July 2018 since the PPC was constituted.</p>	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The LG does NOT have a physical development plan to guide the implementation of infrastructure investments.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>The LG does NOT have an approved action area plan in place.</p>	<p>0</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence that the Priorities in AWP are based on outcomes of the budget conference dated 31/10/2017 and discussed in TPC meeting held on 11/11/2018, under min14/DTPC/FEB/2018: Presentation and consideration of FY 2018/2019 sectoral work plans.</p> <p>The priorities in the AWP for the current FY include:</p> <ul style="list-style-type: none"> - Construction of district administration block (annex in AWP); - Roads: Widening and shaping 4km of Kayole-Lwazi in Bugaya sub county (annex in AWP); - Water: drilling of 11 hand augured boreholes in Busamizi; - Mechanized maintenace of Bukwaya swamp in Bweema sub county; - Construction of secondary school in Lukaale parish, Nairambi Sub county; and - Renovation of selected classrooms in government schools and 3 classroom block with office and store at Bugabo P/S, Busamuzi sub county among others.
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>There was evidence that the capital investments in the approved annual work plan for the current FY were derived from the approved five-year development plan. AWP was approved by District Council on 15/02/2017 under min 05/BDC/FEB/2016-2017: That Council approves annual district work plan FY 2017/2018. The five-year development plan was approved by Council on 13/02/2014 under Min 05/BDC/FEB/2015: Presentation and approval of (item C) five year development plan 2015/2016.</p> <p>The capital investments in the approved AWP for the current FY derived from the Five year development plan include:</p> <ul style="list-style-type: none"> - Mechanized maintenance of 4km of Bbuye-Ndwasi road in Bugaya subcounty (pg.82 on Five year development plan); - Mechanized maintenace of Bukwaya swamp in Bweema sub county(pg.83 on Five year development plan); - Construction of secondary school in Lukaale parish, Nairambi sub county (pg.92 on Five year development plan); - Renovation of selected classrooms in government schools and 3 classroom block with office and store at Bugabo P/S, Busamuzi sub county (pg.91 on Five year development plan); - Construction and completion of piped water schemes at Bugaya, Nairambi, Buweema and Lyabaana (pg.93 on Five year development plan); and - Rehabilitation of boreholes 8 in Busamuzi, 9 in Nairambi, and 7 in Buwooya Sub County. 	
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<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>There was evidence that Project profiles were developed and discussed by TPC on 11/02/2018, under min14/DTPC/FEB/2018: Presentation and consideration of FY 2018/2019 Sectoral Work plans: Items 14.1: Finance; 14.2: Education; 14.3: Natural Resources; and 14.4: Water.</p> <p>Project profiles sampled include:</p> <ol style="list-style-type: none"> 1) Health Sector - Construction and Rehabilitation of Health Center - Construction of Maternity Ward at Buwooya HCIII, code: 3-1-2-1-0-1; 2) Education - Construction of classroom block at Bugabo primary school, code: 0-7-8-1-8-2; and 3) Works & Technical services (Rural Water): Construction of Phase 2 Mubaale piped water. Code: 0-7-8-2-8-0 among others. 	<p>2</p>
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> • Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making-maximum score 1. 	<p>The LG has an Annual statistical abstract, with gender-disaggregated data dated July 2017. This was discussed in TPC meeting of 27/11/2017 under Min.25/2017/18: Presentation and discussion of statistical abstract.</p>	<p>1</p>

<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>There was evidence that all investment projects implemented in FY 2017/18 were derived from the AWP and approved budget. These include:</p> <ul style="list-style-type: none"> - Construction of district administration block (annex in AWP and pg. 5 on annual budget); - Roads: Widening and shaping 4km of Kayole-Lwazi in Bugaya sub county (annex in AWP and pg.22 on annual budget); - Water: drilling of 11 hand augured boreholes in Busamizi; - Water: Construction & completion of piped water scheme at Bugaya; Mabaale piped water scheme (annex in AWP and pg.24 on annual budget); - Widening of Mubaale-Kijjaka in Bugaya (annex in AWP and pg.22 on annual budget); - Water: rehabilitation of 3 boreholes in Busamuzi, Nairambi and Buwooya (annex in AWP and pg.24 on annual budget); - Completion of 2 in 1 staff house and pit latrine in Bugaya Primary school (annex in AWP and pg.18 on annual budget); and - Classroom construction and rehabilitation of seed secondary school at Nairambi sub county (annex in AWP and pg.19 on annual budget) <p>Note: The AWP was approved by council on 15/02/2017 under min.05/BDC/FEB/2016-2017: Motion that Council approves the Annual District Work Plan for FY2017/2018 while the annual budget was approved by council on 26/05/2017 under in.07/BDC/MAY/2016-2017: Motion that Council approves the budget for FY2017/2018.</p>	
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<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. <ul style="list-style-type: none"> o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>There was evidence that one investment project implemented in the previous FY was not completed as per work plan by end of the FY. The source of evidence was the annual performance report and activity status report. The uncompleted project was Classroom construction and rehabilitation of seed secondary school at Nairambi sub county (AWP - UGX852,666,000 and Actual expenditure as per Quarter 4 - UGX681,506,000). The Contractor did not finish the project due to delays in procurement from the ministry, therefore the balance of the funds were returned to the Consolidated fund.</p> <p>Therefore the completion rate was at 87.5%</p> <p>The following is list of completed projects:</p> <ul style="list-style-type: none"> - Construction of piped water supply system at Mubaale landing site in Bugaya sub county (AWP - UGX382,487,000 and Actual expenditure as per Quarter 4 - UGX366,796,000) ; - Contruction and rehabilitation of Teachers house at Bugaya, Bbuye Parish (AWP - UGX81,618,000 and Actual expenditure as per Quarter 4 - UGX87,845,000); - Construction of mubaale-Kijjaka road at Bbuye Parish (AWP - UGX90,206,000 and Actual expenditure as per Quarter 4 - UGX90,206,000); - Phased Construction of district administration block (AWP - UGX176,000,000 and Actual expenditure as per Quarter 4 - UGX151,200,000); - Rehabilitation of Maternity Ward at Bugaya HCIII (AWP - UGX44,823,000 and Actual expenditure as per Quarter 4 was UGX37,753,000); - Water testing quality kit (AWP - UGX20,000,000 and Actual expenditure as per Quarter 4 was UGX19,942,000); and - Installation VSAT internet system at district district HQ (AWP - UGX8,000,000 and Actual expenditure as per Quarter 4 - UGX8,000,000). 	
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The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY

Maximum 4 points on this Performance Measure.

• Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2

There was evidence that all investment projects in the previous FY were completed within Max. 15% plus or minus the original budget.

Five projects sampled include:

1) Construction and rehabilitation of Teachers house at Bugaya, Bbuye Parish (AWP - UGX81,618,000 and Actual expenditure as per Quarter 4 report- UGX87,845,000) hence 93% expenditure;

2) Construction of mubaale-Kijjaka road at Bbuye Parish (AWP - UGX90,206,000 and Actual expenditure as per Quarter 4 report was UGX90,206,000) hence 100% expenditure;

3) Phased Construction of district administration block (AWP - UGX176,000,000 and Actual expenditure as per Quarter 4 was UGX151,200,000) thus 86% expenditure. The low expenditure was due to shortfall in local revenue;

4) Water testing quality kit (AWP - UGX20,000,000 and Actual expenditure as per Quarter 4 report was UGX19,942,000) hence 100% expenditure; and

5) Installation VSAT internet system at district district HQ (AWP - UGX8,000,000 and Actual expenditure as per Quarter 4 report was UGX8,000,000) thus 100% expenditure.

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>There was evidence that the LG has budgeted and spent at least 80% of the O&M budget.</p> <ul style="list-style-type: none"> There was evidence of register of assets in need of maintenance in the annual board of survey report dated 6/09/2017; The assets in need of maintenance were costed and budgeted for; The O&M budget for FY 2017/2018 and expenditure was follows: <ul style="list-style-type: none"> 1) revamping solar system at planning unit/office (budget - UGX4,354,000 and Actual expenditure as per Q4 - UGX3,573,000) that is 82% expenditure; 2) Road Plant maintenance (budget - UGX35,000,000 and Actual expenditure as per Q4 - UGX36,084,000) that is 103% expenditure; and 3) Rehabilitation of Kekejje gravity flow scheme (budget - UGX11,000,000 and Actual expenditure as per Q4 - UGX10,232,000) thus 89.7% expenditure. <p>Therefore the total averaged expenditure of O&M budget was 91.6%.</p>	<p>2</p>
<p>Human Resource Management</p>			
<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>The district had substantively filled 4 posts of Heads of Departments as per the approved structure of 8 posts. Those filled at the time of assessment included; DEO, Production & Marketing officer, DCDO and DNRO.</p>	<p>0</p>

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>The office of the CAO had fully appraised only 3 Sector heads i.e. Ag Engineer (30th/06/2018), DNRO (16th /08/2018) and District Production & Marketing (20th / 08/2018). The rest were either partly signed without stamping by the Supervisor or not appraised at the time of the assessment.</p>	<p>0</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>There was evidence that the DSC considered all the submissions for recruitment as per CAO letters dated below;</p> <p>a) 16th /11/2017;</p> <p>b) 23rd/ 11/2017 and</p> <p>c) 28th /11/2017.</p> <p>The submissions were considered under the DSC meetings held on 20th/03/2018 to 23rd /03/2018.</p>	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>There was evidence all the submissions by the office of the CAO regarding confirmation to DSC dated; 15th/08/2017, 5th/09/2017, 11th/09/2017, 2018 were considered in the DSC meeting held on 14th/12/2017 (Min 010/12/2017).</p>	<p>1</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>The office of the CAO did not submit any disciplinary case to the DSC for action in the financial year 2017/18.</p>	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>There was evidence that all the 48 staff recruited in the financial year 2017/18 accessed the payroll within two months as per the recruited staff list and payroll.</p>	<p>3</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Both the 2 officers (Ssekayiba Sylvester – Draftsman and Tenywa Eldad – Medical Records Officer) who retired in 2017/18 financial year did not access the pensioner payroll within the stipulated two months period.</p>	<p>0</p>
<p>Revenue Mobilization</p>			

<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% -10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Total LG Local revenue collected in FY 2017/2018 was UGX 83,743,644, and total LG Local revenue collected in FY 2016/2017 was UGX 71,342,384 which was an increase of UGX 12,401,260, a percentage increment of 17%.</p> <p>Reasons for Local revenue increase:</p> <p>Council passed a resolution of registration of all fishing vessels where the owners of the vessels paid Fisheries resource user fees; and they also strengthened sensitization of tax payers and enforcement of defaulters.</p>	<p>4</p>
<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>The LG approved budget for local revenue 2017/2018 was UGX 286,670,000, and the actual LG local revenue collected in FY 2017/2018 was UGX 83,743,644, which was a shortfall of UGX 202,926,356 , percentage under performance of -29%.</p> <ul style="list-style-type: none"> • Reasons for the shortfall include: <p>Introduction of fisheries protection units which led to destruction of boats; this caused closure of other businesses like bars and shops.</p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>There was no evidence that the LG remitted the mandatory share to the LLGs at the time of this assessment.</p> <p>Reason was that, the LG had financial challenges which were beyond their control.</p>	<p>0</p>

<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>From the LG final accounts for 2017/18, UGX 18,545,000 was spent on Council allowances and emoluments. This compared to Own Source Revenue of UGX 71,342,384, made 26%, which was higher than the recommended 20%, hence the LG was not compliant.</p>	<p>0</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>Buvuma District Staffing List for 2017/18 indicated that both position of Senior Procurement Officer and Procurement Officer are filled. This was confirmed by Appointment Letters seen in HR files. The Senior Procurement officer was appointed under Min.No. BUV.DSC.007/2014 of 21st/05/2014 whereas the Procurement Officer was promoted to the position from Assistant Procurement Officer under Min.No. 02/5/2016(3) dated 25th/05/2016. Both Appointment Letters were signed by Ndifuna Mathias, then Chief Administrative Officer of Buvuma District.</p>	<p>2</p>
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>There was evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY. Sampled files were seen with TEC reports as follows:</p> <ol style="list-style-type: none"> On 9th November 2017 there was a Technical evaluation report for the construction of Administration Block at the District Headquarters Phase Two; A Technical evaluation report was seen dated 4th of October 2017 for supply and installation of a Clocking machine and Laptops (Technical Compliance selection); A Technical Evaluation report dated 11 December 2017 was seen on rehabilitation of Kekeje Gravity Flow Scheme; Evaluation report dated 11th December 2017 seen for supply of computers and Evaluation report dated 12th December 2017 seen for supply and installation of solar batteries to the District Planning Unit. 	<p>1</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>There was evidence that the Contracts Committee considered recommendations of the TEC and provided justifications for any deviations from those recommendations. Such considerations were seen in the following CC meeting reports:</p> <ol style="list-style-type: none"> 1) On 15th November, there was a Contracts Committee meeting minutes where a decision on Submission for the construction of Administration Block at the District Headquarters phase two was taken; 2) There was a report seen dated 18th October 2017 where supply and installation of a Clocking machine and Laptops were considered; 3) A report dated 3rd January 2018 was seen where the Contracts Committee sat and considered rehabilitation of Kekeje Gravity Flow Scheme under agenda Minute BD/CC/JANUARY/2017/01; 4) CC meeting minute BD/CC/JANUARY/2017/007 of 3rd January 2018 seen for supply of computers and 5) CC meeting minutes BD/CC/JANUARY/2017/015 dated 3rd January 2018 seen for supply and installation of solar batteries to the District Planning Unit. 	<p>1</p>
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>There was evidence that the procurement and Disposal Plan for the current year covered all infrastructure projects in the approved annual work plan and budget. Monetary amounts varied due to monitoring costs that were reflected differently and some projects in the Annual Workplan were fragmented in the Procurement Plan, but all those in the AWP were included in the procurement Plan.</p> <p>b) There was evidence that the LG adhered to the procurement plan for the previous FY. Four Quarterly procurement submissions to the Office of the Auditor General were seen and they indicated that projects submitted therein were all drawn from the Annual Procurement Plan</p>	<p>2</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>For current FY, the LG has not prepared bid documents for all investment/infrastructure by August 30. User departments have not yet submitted procurement requisitions and Bill of Quantities to start the process. A copy of a letter dated 3rd Sept 2018 was seen written by the Senior Procurement officer to the CAO seeking his intervention to have sedentary Heads of Departments to hasten this exercise. Nothing has resulted to date.</p>	<p>0</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>A 36-item updated Contracts Register seen maintained at the Procurement Office for the previous FY.</p>	<p>2</p>

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

• For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects):
score 2.

There was evidence that the LG has adhered with procurement thresholds. Projects sampled were as follows:

Open Bidding

1) Contract: Construction of piped Water Supply System at Bugaya Sub-County Phase II. Contractor: M/S Prof Technical Services Ltd. *Amount: UGX329,768,936*. Procurement Ref. No: BUUVU590/WRKS/17 – 18/00002. Contract signed on 25th January 2018.

2) Contract: Construction of Nairambi Seed secondary school. Contractor: M/S Nali Contractors Ltd. *Amount: UGX852,368,500*. Procurement Ref. No: BUUVU590/WRKS/17 – 18/00008. Contract signed on 23rd April 2018.

Selective Bidding:

3) Contract: Completion of Production Mini-Laboratory at the District Headquarters. Contractor: M/S Multipurpose Ltd. *Amount: UGX41,139,520*. Procurement Ref. No: BUUVU590/WRKS/17 – 18/00010. Contract signed on 31st of May 2018.

4) Contract: Construction of Lunyanja Health Centre II Phase II in Busamuzi Sub-County. Contractor: M/S Dominov Technical Services & General Supplies Ltd. *Amount: UGX41,996,400*. Procurement Ref. No: BUUVU590/WRKS/16 – 17/00007. Contract signed on 17th January 2018.

Framework Bidding:

5) Contract: Supply of Fuel and Lubricants. Contractor: M/S Lean Providers Ltd. *Amount: UGX3,700/Litre for Diesel, UGX4,100/Litre for Petro, UGX4,550/Litre for Petro mixed, UGX12,000/Litre for 2T Oil, UGX20,000/Litre for Diesel Engine Oil, UGX20,000/Litre for Petro Engine Oil, UGX3,000/Litre for Paraffin, UGX20,000/Litre for Coolant, UGX20,000/Litre for Hydraulic Oil, UGX20,000 /Litre for Grease*. Procurement Ref. No: BUUVU590/SPLS/17 – 18/00001. Contract signed on 3rd July 2017.

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates <p>for all projects based on technical supervision: score 2</p>	<p>There was evidence that all works projects implemented in the previous FY were appropriately certified with interim and completion certificates for all projects based on technical supervision. Sample copies of five Certificate seen issued to:</p> <p>1) M/S Nali Construction Ltd upon completion of Phased construction work of a three classroom, office and store block at Lukoma Primary School. This was also upon expiry of the defects period on June 7, 2017.</p> <p>2) Three Interim Certificates Nos 1, 2 and 3 issued to Nali Construction Ltd on 7th, 22nd and 29th June respectively upon completion of phased construction of Nairambi Seed Secondary School. Procurement Ref. No: BUVU590/WRKS/17-18/00008.</p> <p>3) Interim Certificate No. 03 issued to M/S Finance Insight Uganda Ltd upon completion of phased construction of 2 in 1 staff house and a lined pit-latrine at Bugaya Prim. School. Procurement Ref. No: BUVU590/WRKS/15-16/00006.</p>	<p>2</p>
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There was no evidence that the site boards had all the information as required. The Contract Value is never indicated on all boards seen (see pictures in Dropbox).</p>	<p>0</p>
<p>Financial management</p>			

<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none">• Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4	<p>There was evidence that the LG made monthly and up to-date bank reconciliations. Five bank statements were sampled:</p> <ul style="list-style-type: none">-Natural resources;- CBS;-Health Care;-Administration; and- General fund; <p>All bank reconciliations were done by the close of the following month as required.</p> <p>Source: cash books, Bank Reconciliations and final Accounts 2017/18 .</p>	<p>4</p>
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The LG made timely payment of suppliers during the previous FY

Maximum 2 points on this performance measure

- If the LG makes timely payment of suppliers during the previous FY
- no overdue bills (e.g. procurement bills) of over 2 months: score 2.

The LG made timely payment of suppliers during the year 2017/18, for example:

- Request for payment from Aptech Africa Ltd for supply and installation of solar batteries, dated 25/06/2018 LPO No. 0143 was verified on 25/06/2018 and paid on 26/06/2018, payment voucher No. 4/6/2018;
- Request from Iway Africa Uganda Ltd dated 28/3/2018, for payment of VSAT Internet System at Buvuma District head quarters was forwarded for payment on 6/04/2018, it was approved on 6/04/2018 and paid on 6/04/2018, as per voucher No. 2/04/2018;
- Request from Kisbon Victoria Investments Ltd dated 24/10/2017 for payment for fuel supplied to finance department, on LPO No. 0085 was forwarded for payment on 25/10/2017, it was approved on 27/10/2017 and paid on 27/10/2017, voucher No. 17/10/17;
- Request for payment for work done at Buvuma District Administration Block Phase II by Maple Technical Services Ltd dated 12/12/2017 was forwarded on 14/12/2017, and paid on 14/12/2017, voucher No. 26-12-17;
- Request from Kisbon Victoria Investments Ltd dated 9/08/2017 for payment for fuel supplied to Administration LPO No. 009, was forwarded for payment on 22/08/2017 and paid on 22/08/2017, voucher No. 27/08/2017;
- Request for payment for construction work at Buvuma District Administration Block Phase I by Maple Technical Services Ltd dated 26/01/2018 was forwarded on 20/03/2018, and paid on 22/03/2018, voucher No. 6-03-2018;
- Request for payment from Lean Providers dated 24/05/2018 for fuel for works department was forwarded on 24/05/2018, and paid on 26/06/2018; and
- Request from Muweewe Technical Services Ltd for supply of fuel dated 29/03/2018 was forwarded for payment on 29/03/2018, and paid on 7/04/2018 Voucher No. 06/4/18.

From the above sampled payment requests and vouchers with contracts attached, it is evident that the LG made timely payments to suppliers.

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The LG had a Senior internal Auditor Ms Nalwanga Sydney at the time of the assessment; she was appointed on letter dated 18/04/2011, ref: CR/156/2 and Min. No. 83/2011(1) of Buvuma District Service Commission.</p>	<p>1</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The LG produced only three quarterly internal Audit reports for FY 2017/2018:</p> <ul style="list-style-type: none"> -Quarter 1 dated 10/12/2017; -Quarter 2 dated 15/01/2018; and Quarter 3 dated 15/05/2018. <p>The Quarter 4 report was still work in progress at the time of this assessment.</p>	<p>0</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>The LG had no evidence that the information on status of implementation of audit issues were provided to the Lg PAC and Council,</p> <p>and the fourth quarter was still work in progress at the time of this assessment.</p>	<p>0</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>The LG had no evidence that reports were provided to the LG PAC and Council, nor were the reports being reviewed.</p>	<p>0</p>
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The LG asset register was not updated.</p>	<p>0</p>
<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>Buvuma DLG got a clean (unqualified) audit opinion from the Auditor General for the financial year 2017/18.</p>	<p>4</p>
<p>Governance, oversight, transparency and accountability</p>			

<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>The LG Council meets and discussed service delivery issues. This is evidenced by Council minute dated 4/04/2018, min.06/04/04/2018: Reports on progress of the implementation of government projects.</p>	<p>2</p>
<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>The LG has designated a person (Mr. Wasswa Zebio) to coordinate response to feed-back (grievance /complaints). The evidence provided was appointment letter dated 13/04/2018, under Ref.BUV/CR/156/02 designating the client focal person.</p>	<p>1</p>
<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>There was no evidence of a system for recording, investigating and responding to grievances published on the LG notice board and/or website..</p>	<p>0</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>Pay roll and pensioner schedule dated August 2018 was displayed on the LG notice board.</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>The procurement plan titled: goods, works and non consultancy was displayed on notice board together with contracts awarded, dated 3/09/2018.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The LG performance assessment results dated 31/07/2018 were published on the notice board. The public was also provided with website http://budget.go.ug/budget/LGPAs (pinned on notice board) to view details of assessment.</p>	<p>1</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The LG communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY. This is evidenced by minutes of meetings with LLGs and with the public as follows:</p> <p>Meeting held on 27/11/2017 at district HQ, Min24/2017/18: Brief on budget framework compilation (item 24.1) information from statistician that the Ministry was yet to send the encrypted file for compilation of the budget framework paper for FY 2017/2018. and min31/DTPC/JUNE/2018: FY2018/2019 budget preparation - Emerging issues (31.3) deductions of 30% income tax on LC1 chairpersons.</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was No evidence provided to show that the LG holds discussions with the public to provide feed-back on status of activity implementation.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>There was evidence that the LG CDO (who is also the gender focal person) has provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities. This is as follows:</p> <ol style="list-style-type: none"> 1) He distributed a document on Gender Budgeting – Guidelines and Analytical tools for lower Local governments – to all Heads of departments in the district 2) He distributed a customised copy of the Gender Guidelines to Heads of Departments. He sent further copies to Buvuma District Local government, Senior Assistant Secretaries, Community Development Officers at Sub-Counties, School Headteachers and all Health Units in-Charges. 	<p>2</p>
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2. 	<p>Evidence that gender focal point and CDO have planned activities for minimum 2 activities for the current FY to strengthen women's roles and address vulnerability and social inclusions were seen in the Buvuma District Vote for 2018/19 where the CDO planned 7 sections for activities under the Vote. The thematic areas included activities under:</p> <ol style="list-style-type: none"> 1) Probation and Welfare support 2) Rehabilitation Services 3) Community Development Services in HLG 4) Adult Learning 5) Children and Youth Services <p>Out of a total budget of UGX17,876,000 allocated, the department used UGX17,676,000 (98.88%).</p>	<p>2</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>There was evidence that environmental screening is carried out for activities, projects and plans and mitigation measures are planned and budgeted for. This was seen from the following Screening Reports:</p> <ol style="list-style-type: none"> Environment and Social Impact report for construction of Sub-County administration Block, Buwooya sub-county, dated 22nd June 2017 Environment and Social Impact report for construction of Nairambi Seed Secondary School (BUVU590/WRKS/17-18/00016) PIT Latrine, Kitiko village, Lukale Parish, Nairambi sub-county, dated 21st Sept 2017 Environment and Social Impact report for Mubaale piped water scheme – (Water Office Block, Guard House and Tanks), at Mubaale landing site – Buye Parish, Bugaya sub-county, dated 30th November 2017. Environment and Social Impact report for Namatale – Bukwaya Road for FY 2018/2019, dated 29 may 2018. 	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>Section 3.2 and 3.3 of the Contract bid documents have paragraphs on “Trees and bushes to be Preserved” and “Felling Trees” respectively. But the Environment Officers are not involved in the formation of these clauses, and are indeed unaware of who drafts them, hence, do not own, not even participate in their implementation.</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>There is no evidence that all projects are implemented on land where the LG has proof of ownership. There was no establishment with a Land title. There is an agreement for Kitiko Seed School between Buvuma District LG and a one Mr. Nkoyooyo. But even where the District LG Offices are located, the Title is said to be only under processing.</p> <p>The original four sub-county Headquarters are located on Kabaka's Land (Saza Headquarters). Even Health Centres have no Titles, with one of the Health Centres – Kifulu – constructed on Lamusa Central Forest Reserve Land, in Nairambi Sub-County.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>Environmental and Social Mitigation Certification Form were seen completed and signed by Environmental Officer. Before Certification is done, a Monitoring report is prepared and then Screening for the next level is also concurrently done. Among such reports seen included:</p> <ol style="list-style-type: none"> 1) Environment and Social Certification for phased construction of Busamuzi Health centre II Block dated 16th August 2017 2) Environment and Social Certification for phased construction of Mubaale water scheme 2017/2018 – phase I level dated 18th May 2018 3) Environment and Social Certification for Kifulu Health Centre II roofing, Nairambi Sub-County, dated 30th November 2017. <p>But the CDO had no role in all the above. The District views this as a solely Environmental Officer role.</p>	<p>1</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>The Environmental Officer accompanies the technical crew to sites but no official, documented clearance is done.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>Reports seen from Environment Officer dated 21st Sept 2017 with concerns, pictures, and mitigation measures for the construction of Nairambi Seed secondary School.</p> <p>The CDO mentioned that he was aware of this arrangement as they (CDOs) had been instructed about it at a Workshop at Ridar Hotel, Seeta, but that they were still to put it into practice.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>Buvuma District Local Government has budgeted for a Head Teacher and 7 teachers per school. as evidenced by the 2018/19 District Budget and the 2018/19 departmental staff list.</p>	4
<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>Buvuma District Local Government has deployed least one Head Teacher and a minimum of seven teachers per school for the current FY. as evidenced by 2018/19 staff lists and staff lists of the the following sampled schools:</p> <ol style="list-style-type: none"> 1. Mawanga Primary School, 1 Head Teacher, 7 Teachers; 2. Kirongo Primary School, 1 Head Teacher, 7 Teachers; 3. Walwande Primary School, 1 Head Teacher, 7 Teachers; 4. St. Francis Bulamba Primary School, 1 Head Teacher, 7 Head Teachers and 5. Bulondo Primary School, 1 Head Teacher, 7 Teachers. 	4
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>There was no evidence availed that Buvuma DLG has filled the structure for Primary school Teachers with a wage bill provision.</p>	0

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>Buvuma District has substantively filled all the 2 positions of inspectors provided in the wage bill as evidenced by the 2018/19 education department staff list.</p>	<p>6</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>Buvuma District Education department submitted a recruitment plan to the Chief Administrative Officer (CAO) for the current FY to fill positions of Primary Teachers as evidenced by the letter of 04/7/18 from the District Education Officer (DEO) to the Chief Administrative Officer (CAO) requesting for the replacement of seven teachers.</p>	<p>2</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>There is no recruitment plan needed since all positions of school inspectors are substantively filled as evidenced by the staff list 2018/19.</p>	<p>2</p>
<p>Monitoring and Inspection</p>			

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>The School Inspectors; Mugenyi Mark (Senior School Inspector) and Namalwa Florence (Inspector of Schools) had not been appraised at the time of the assessment.</p>	<p>0</p>
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>The Consultant reviewed personal files of all the 17 head teachers in the district and the finding were that only 4 (23.5%) had been appraised (head teachers of; Bukoma Parents P/S, Bulondo P/S, Namunyoro P/S, Buwanzi P/S).</p>	<p>0</p>
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The Buvuma District Local Government Education department received and disseminated the following circulars to the schools and they were available in all the schools visited:</p> <ul style="list-style-type: none"> • Unnecessary bush fires by the DEO to the head teachers on 10/04/17; • Deadly Malaria Disease by the DEO to Head teachers on 13/12/17; and • Distribution of Mosquito nets by the DEO to the Head teachers on 02/02/18. 	<p>1</p>

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>Buvuma LG education department held the guidelines sensitization meetings as evidenced by minutes of the following meetings:</p> <p>i. End of year head teachers meeting with District Education Officer on 5/12/17; and</p> <p>iii. Head Teachers meeting with of the District Education Officer on 17/0717.</p>	<p>2</p>
<p>The LG Education Department has effectively inspected all registered primary schools2</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>Buvuma DLG made the requisite inspection for all (100%) private and public schools during the 2017/18 Financial Year .as evidenced from the following inspection reports:</p> <p>i. School inspection report of 14/10/17 (Term 3 of 2017);</p> <p>ii. School inspection report of 13/02/18 (Term 1 of 2018); and</p> <p>iii. School inspection report of 10/08/18 (Term 2 of 2018).</p>	<p>12</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>Buvuma District Education department discussed school inspection reports and used reports to make recommendations for corrective actions during the previous as evidenced by the minutes of the meeting for the dissemination of school inspection findings and the way forward on 16/10/17.</p>	<p>4</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Buvuma District Education department duly submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES) as evidenced by the DES' acknowledgement notes of :14/08/18; and 13/03/18.</p>	<p>2</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>The Buvuma District Education Department followed up inspection recommendations as evidenced by the minutes of the Head teachers' meeting with District Education Officer on 16/10/17.</p>	<p>4</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>No data submitted by the DEO's office was available to compare with the EMIS data form the Ministry of Education (MOES).</p>	<p>0</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>No data submitted by the DEO's office was available to compare with the EMIS data obtained from the Ministry of Education and Sports (MOES).</p>	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The LG committee responsible for education met and discussed service delivery issues. This was evidenced by minutes of sector committee meetings dated 13/02/2018 under Min05/SC/FEB/2017: presentation of AWP (Education); and meeting dated 17/05/2017 under Min05/SC/MAY/2017: Presentation and discussion of sector budgets for FY 2017/2018 (d) education.</p>	<p>2</p>
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>The LG Committee on Education presented issues that require approval to Council. This was evidenced by Council minute dated 30/05/2018 under min06/MAY/BDC/2017-2018: Approval of Consolidated budget for FY 2018/2019.</p>	<p>2</p>

<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>All primary schools have functional SMCs. This is evidenced by minutes of meeting held on 17/11/2017, under min21/17: Communication from the chair on SMC and Meeting held on 8/02/2018, Min2/Term1 - 2018: proposed persons to serve on SMCs.</p> <p>The sampled schools with approved SMCs include:</p> <ul style="list-style-type: none"> - Kirongo P/S at Kirongo Busamuzi - SMC approved 13/05/2017; - Bulondo P/S at Walwanda Ward - SMC approved 4/05/2018; - Bukaali County P/S at Buwanzi Parish - SMC approved 20/08/2018; - Buwanzi P/S at Buwoya sub county - SMC approved 8/11/2017; and - Kirewe P/S at Lubyia sub county - SMC approved 19/07/2017. 	<p>5</p>
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all schools receiving non-wage recurrent grants <p>e.g. through posting on public notice boards: score 3</p>	<p>List of schools receiving Universal Primary Education (UPE) funds for 2017/18, signed by the District Education Officer (DEO); were available on the departmental notice board.</p>	<p>3</p>
<p>Procurement and contract management</p>			
<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements, to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>The procurement requests available were received by the District Planning Unit on 15/05/17.</p>	<p>0</p>

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>Evidence from the sampled requests and payment vouchers showed that the Education Department timely certified and recommended suppliers for payments, for example:</p> <ul style="list-style-type: none"> • Request for payment by Nali Contractors Ltd for work done at Nairambi seed school project, dated 30/04/2018, was recommended for payment on 7/05/2018, and was paid on 6/06/2018, voucher No. 01/6/2018; • Request for payment for works executed on Bugaya staff house by Finance Insight Uganda dated 3/10/2017, was recommended for payment on 18/08/2017 and was paid on 18/08/2017, voucher No. 03/10/2017; • Request for payment by Nali Contractors Ltd for work done at Nairambi seed school project dated 22/06/2018, was recommended for payment on 22/06/2018, and was paid on 29/06/2018, voucher No. 08/6/2018; • Request for payment for works executed on Bugaya staff house by Finance Insight Uganda dated 17/12/2017, was recommended by the DEO on 20/12/2017 and was paid on 20/12/2017, voucher No. 02/12/2017; • Request for payment by Nali Contractors Ltd for work done at Nairambi seed school project dated 29/05/2018 was recommended for payment on 22/06/2018, and was paid on 25/06/2018, voucher No. 04/6/2018; and • Request for payment for works executed on Bugaya staff house by Finance Insight Uganda dated 21/08/2017, was recommended for payment on 23/08/2017 and was paid on 23/8/2017, voucher No. 06/08/2017. 	<p>3</p>
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<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>There was evidence that the department submitted the quarterly reports to the planner by 15th of July. The submissions were as follows: Quarter 1 on 10/10/2017; Quarter 2 on 12/01/2018; Quarter 3 on 12/04/2018; and Quarter 4 on 14/07/2018.</p>	<p>4</p>
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>The LG education department had audit queries but all the queries were responded to, on the letter dated 6/3/2018 by the District Education Officer.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>The Buvuma DEO disseminated guidelines on how to provide guidance to girls and boys on how to handle hygiene as evidenced in the report of the two day training for senior women and senior male teachers on menstrual Hygiene management in Schools that took place on 09 to 11 November 2016 at the Scouts Hall.</p>	<p>2</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>Evidenced by minutes of the Head teachers meeting of 17/07/17 with the DEO; and stakeholders meeting for the discussion of school inspection findings d of 16/10/18.</p>	<p>2</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>The Buvuma school management committees (SMCs) meet the guidelines on gender composition as evidenced by the information from the sample SMC files of the following schools; obtained from the DEOs office:</p> <ul style="list-style-type: none"> i. Mawanga Primary School {Male = 8 F= 4 (33% F)}; ii. Kirongo Primary School { M=7 F=7 (41% F)}; iii. Walwande Primary School { M=7 F=7 (41% F)}; iv. St. Francis Bulamba Primary School {M= 8 F= 4 (33% F)}; and v. Bulondo Primary School { M=6, F=6 (50% F). 	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>No guidelines were available.</p> <ul style="list-style-type: none"> 	<p>0</p>

<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>Buvuma District Local Government duly screens the school infrastructure projects before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified. This is evidenced by the Environmental monitoring report for the construction of Nairambi Seed Secondary school by the District environmental officer on 19/05/1&</p>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>The environmental officer a officer visited the sites and checked whether the mitigation plans were complied with. This was evidenced by the environmental monitoring report of 19/05/17 for the construction of Nairambi Seed Secondary school by the District environmental officer.</p>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>There was evidence that Buvuma LG filled the structure for primary health care with a wage bill provision from PHC wage for the current FY, as it was noted that 100% (139 out of 139 positions) were filled (From list of staff, structure and IPF).</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>There was no evidence that Buvuma LG Health department submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of primary health care workers, as there were no recruitment plan for Financial Year 2018/2019 seen.</p>	0

<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>The district had 9 In-charges (Health Centre IV-1, HCIII 3 and HC II 5). The district had appraised only 3 In-Charges (30%) i.e. Tendero Elizabeth- Luby HC II (30th /06/208), Katende Jimmy- Namatale HC II (8th /07/ 2018) and Muboki Ronald-Buyaga HCII (10th /08/2018).08/2018).</p>	0
<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>There was evidence that Buvuma LG deployed health workers in line with the lists submitted with the budget for the current FY, as it was noted that the deployment list that had 139 Primary Health Care workers was available and was similar to what was reflected in the PBS. The cadres on the lists were also similar on both lists.</p>	4
Monitoring and Supervision			

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>There was evidence that the DHO communicated guidelines ,policies, circulars issued by the national level in the previous FY, as the delivery notes and acknowledgement lists for 3 policies, guidelines and circulars were available. These were:-</p> <ul style="list-style-type: none"> Acknowledgement list by 8 in charges (Nkata H./C II, Lingira H/C II, Namiti H/C II, Bweema H/C II, Lubyra H.C II, Buvuma H.C IV, Bugaya H/C III and Namatale H/C III dated 18th/04/2018 on waste management guidelines: And an acknowledgement list by 8 in-charges dated 16/09/2018 on PHC guidelines and health sector grant and budget guidelines to local governments, one of the priority guidelines for the FY2017/18. 	<p>3</p>
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>There was evidence that the DHO held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level as noted,under bullet 09 of minute 03/08/09 of DHT meeting held on 10th/07/2018 where the DHO explained;</p> <ul style="list-style-type: none"> Differentiated service delivery model for HIV/AIDS prevention, care and treatment: Waste management guidelines distributed on 18/04/2018 to health Units: And PHC guidelines distributed on 16/05/2018 to health units as well as guidelines on heath sector grant and budgeting for local governments among others. 	<p>3</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>There was evidence that the DHT supervised 100% (Buvuma Health Centre IV, the only one in the district) w as reflected in all the 4 quarterly supervision reports dated 17th/09/2017, for quarter 2017/2018,17th/10/2017 for quarter 2 2017 /2018, 30th/01/2018 for quarter 3 and 28th/06/2018 for quarter 4.</p>	<p>3</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>There was no evidence that the DHO ensured that HSD supervised lower level health facilities as it was noted that through integrated support supervision, though lower level health facilities were supervised it was inadequately done as reflected below;</p> <ul style="list-style-type: none"> • Quarter 1 , 2 out of the 10 Health units were supervised as per the report dated 17/09/2017 and the 2 health units supervised were Buwooya H/C II and Busamuzi H/C III hence 20%: • Quarter 2, 2 out of the 10 units (Nkata H/C II and Bugaya HC III) were supervised as per the support supervision report dated 17th/10/2017, hence 20%: • Quarter 3, 3 out of the 10 health units (Busamuzi H.C III, Buwooya H/C II, Lwajje H/C II) were supervised as per the supervision report dated 30th/01/2018 hence 30%: • And quarter 4, 2 out of 10 health units were support supervised (Buwooya H/C II and Bugaya HC III) as per the support supervision report dated 28/06/2018 hence 20%: • Over all support supervision performance (i.e. 2017/2018, 20% +20% + 30%+20% = (90/800) x 100. Thus 22.5% . 	<p>0</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>There was no evidence that all the 4 quarterly reports were discussed and used to make recommendations as only one support supervision monitoring report of action points was available and this was dated 30/11/2017 and titled integrated support supervision, monitoring, and community dialogue in Lwajje sub-county.</p> <ul style="list-style-type: none"> • No any other evidence for follow-up/monitoring of support supervision action points in form of monthly/ quarterly DHT meeting and monitoring reports. 	<p>0</p>

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>There was no evidence that recommendations are followed, as it was noted that from all the sampled health facilities (i.e. Buvuma H/C IV, Busamizi H/C III, Buwooya H/C II and Bugaya H/C III) there was no evidence that the recommendations following support supervision visits were followed as the column of actions taken in the support supervision log book was empty for all the 4 units visited and there were no support supervision action points and monitoring reports at the office of the DHO.</p>	<p>0</p>
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>There was evidence that Buvuma LG submitted accurate/consistent data, as it was noted that all the 10 health units (i.e. Busamizi H/C III, Buvuma H/C IV, Buwooya H/C II, Bugaya H/C III, Namatale H/C III, Lwajje H/C II, Nkata H/C II, Lubya H/C II, Bweema H/C II, and Lingira H/C II) receiving PHC funding as reflected in PBS submitted accurate and consistent data.</p>	<p>10</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>The LG committee responsible for health met and discussed service delivery issues. This was evidenced by minutes from sector committee meetings dated 13/02/2018 under min05/SC/FEB/2017: Presentation of AWP (health) and meeting dated 29/05/2018 under in05/SC/MAY/2017: Presentation and discussion of sector budgets for FY 2018/2019 (c) health.</p>	<p>2</p>

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>The health sector committee presented issues that required approval to Council. The evidence available was minutes of Council meeting dated 30/05/2018, min06/MAY/BDC/2017-2018: Approval of Consolidated budget for FY 2018/2019.</p>	<p>2</p>
<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> If 100% of randomly sampled facilities: score 6 If 80-99 %: score 4 If 70-79: %: score 2 If less than 70%: score 0 	<p>There was evidence that health facilities had functional HUMCs (established, meetings held and budget and resource issues discussed, as 4 out of 4 sampled HUMC's were active but only one health unit had all the 4 mandatory HUMC quarterly meetings held as reflected below;</p> <ul style="list-style-type: none"> Busamizi H/C III held 4 out of 4 quarterly HUMC meetings dated 07/09/2017, 17/11/2017, 1st/03/2018 and 20th/06/2018 (100% of the HUMC mandatory meetings held): Buwooya H/C II held 3 out of 4 HUMC meetings on 21/09/2017, 09/03/2018 and 31/05/2018, no evidence of HUMC meeting in second quarter of the previous FY, (75% of the HUMC meetings held): Buvuma H/C IV held only 3 out of the 4 mandatory HUMC quarterly meeting on 20th/09/2017 and 12th/03/2018 and the 3rd meeting was held on 19th/06/2018, no evidence of HUMC meeting in the second quarter of the previous FY, (75% of the HUMC meetings held): And Bugaya H/C III held 3 out of 4 HUMC meeting on 5/11/2017, 9/02/2018, 21/04/2018, There was no evidence of HUMC meeting in the first quarter of the previous FY,(75% of the HUMC meetings held). <p>Hence on average the 4 sampled health facilities had $100 + 75 + 75 + 75 = 325/400 = 81.25\%$ of the mandatory quarterly meetings held.</p>	<p>4</p>

<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non- wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>There was evidence that Buvuma LG published all facilities receiving PHC non- wage recurrent grants as lists of releases of health facilities with PHC non-wage recurrent figures were pinned on the DHO's notice board covering quarter 1,2,3, and 4 (the lists were undated).</p>	<p>4</p>
<p>Procurement and contract management</p>			
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>There was no evidence that the health sector submitted input to procurement plan to PDU covering all the investment items in the approved sector annual work plan and budget on time by April 30 of the current FY, as it was noted that Inputs to procurement plan to PDU was submitted on 20/06/2018 which was after the dead line of 30/04/2018 hence score 0.</p>	<p>0</p>
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>There was evidence that Buvuma LG Health department submitted procurement request form (Form PP1) to the PDU as one form PPI was submitted and received by PDU on 26/09/2017, that covered covered 3 items;</p> <ul style="list-style-type: none"> Diesel for routine out reaches of Expanded program on immunization support (65 litres): Petrol (mixed) for cold chain maintenance (2398 litres):: And Petrol for distribution of expanded program on immunization materials and logistics (63 litres). .. 	<p>2</p>

<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>The LG Health department certified and initiated payment for supplies on time for example:</p> <ul style="list-style-type: none"> Request from Kisbon Victoria Investments Ltd dated 12/10/2017 for payment for fuel supplied to Health department LPO No. 111, was forwarded for payment on 18/10/2017 and paid on 18/10/2017 voucher No. 02/10/17; Request from Lean Providers (U) Ltd dated 11/12/2017 for payment for fuel supplied to Health department was forwarded for payment on 31/01/2018, approved on 1/02/2018 and paid on 1/02/2018 voucher No. 01/02/18; Request from Kuva construction Company Ltd for work done on maternity ward at Bugaya H/C III dated 22/02/2018 was forwarded by DHO on 1/03/2018 and paid on 2/03/2018 Voucher No. 01/03/2018; and Request from Kuva construction Company Ltd for renovation of maternity ward at Bugaya H/C III dated 18/06/2018 was forwarded by DHO on 19/06/2018 and paid on 26/06/2018 Voucher No. 30/06/2018. 	<p>4</p>
<p>Financial management and reporting</p>			
<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>Annual and quarterly reports were submitted to the Planner as follows; Quarter 1 on 03/01/2017, Quarter 2 on 07/01/2018, Quarter 3 on 12/04/2018 and Quarter 4 on 14/07/2018.</p>	<p>4</p>

<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> • If sector has no audit query: Score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points • If all queries are not responded to Score 0 	<p>The Health department had audit queries but there was evidence that all were responded to by the District health Officer, on letters dated 28/02/2018, and 23/05/2018. However, since the Fourth quarter was not available, it was not possible to ascertain whether the department had queries to respond to in that quarter.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>There was evidence that Health Unit Management Committee (HUMC) met the gender composition requirement, as four out of the four sampled health facilities met the gender requirements of the one third as follows;</p> <ul style="list-style-type: none"> • Buikwe H/C IV 42% (3 females out of 7 members) for the previous committee members resolved in 3rd quarter of 2017/2018. And 33% (2 females out of 6 members) for the current committee members: • Busamizi H/C III, 37% (3 females out of 8 members): • Buwooya H/C II, 37% (3 females out of 8 members): • And Bugaya H/C III, 42% (3 females out of 7members). 	<p>2</p>

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>There was no evidence that Buvuma LG issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women, as no sanitation guidelines were available in all the 4 sampled health units i.e. Buvuma H/C IV, Busamizi H/C III, Buwooya H/C II and Bugaya H/C III and all the 4 sampled health facilities had their toilets (sanitary facilities) not segregated to screen off males from females in terms of labelling for men only and for women only.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>Evidence that health infrastructure projects were screened prior to construction was seen in a document titled the environment and social impacts screen form that was used for screening renovation of Bugaya Maternity ward (this document was not dated).</p>	<p>2</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 2 	<p>There was no evidence that environmental officer and community development officers visited health infrastructure project sites to check on mitigation measures as there were no site visit reports.</p>	<p>0</p>

<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> • Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<ul style="list-style-type: none"> • Evidence for issuance of guidelines on medical waste management was seen in an acknowledgement list signed by in-charges of Nkata H/C II, Lingira H/C II, Namiti H/C II, Bweema H/C II, Lubyia H/C II, Bugaya H/C III, Namatale H/C III Busamizi HC III, Buvuma HC IV and Buwooya HC II, dated 18th /04/2018, titled distribution of waste management guidelines. And these guidelines were available in all the 4 sampled health facilities (Buvuma HC IV, Bugaya HC III, Busamizi HC III and Buwooya HCII). 	<p>4</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>The Safe Water Coverage data for Buvuma District LG show that the district has safe water access of 35.0%. Six sub counties were below district safe water access coverage:</p> <ul style="list-style-type: none"> • Nairambi S/C-33.1% ; • Bwema S/C-20.7%; • Bugaya S/C-33.53%; • Lubyana S/C-0.19%; • Lyabaana S/C-0.26% and • Lwajje S/C-13.8%. <p>In the AWP for FY 2018/19 received by the MoWE on 20th August 2018, Bugaya S/C was allocated piped water system phase 3 and borehole rehabilitations in Nairambi S.C.</p> <p>In conclusion, about 30 % of S/Cs with safe water coverage below district coverage of 35.0% have been targeted in the current FY 2018/19 hence the score 0.</p>	0

<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. o If 100 % of the water projects are implemented in the targeted S/Cs: <p>Score 15</p> <ul style="list-style-type: none"> o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>In the annual progress report for quarter four of FY2017/18 submitted on 20th July 2018 and received by MoWE on 09th August 2018, Buvuma DLG implemented water projects in the targeted S/Cs with safe water coverage below district coverage of 35.0%:</p> <ul style="list-style-type: none"> • 1 borehole rehabilitation in Bumazime LC.1 located in Nairambi S/C and • Mubaale solar piped water scheme Phase II in Bugaya S/C (Mubaale L.C.1). <p>In conclusion, about 30% of S/Cs with safe water coverage below district coverage of 35.0% was implemented in the FY 2017/18 hence the score 0.</p>	<p>0</p>
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Monitoring and Supervision

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>The Assessor saw monitoring report by DWO for Mubaale piped water system dated 26th June 2018. A monitoring report for 5 rehabilitation of boreholes dated 30th June 2018 was seen on file.</p> <p>From the assessor's analysis, 96% of the WSS facilities were monitored annually by the DWO hence score 15.</p>	<p>15</p>
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<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>The data submitted in the Annual Work Plan on functionality of water facilities and sub counties with low access to safe water received by MoWE on 20th August 2018 were found consistent with the copy received by MoWE.</p>	<p>5</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>List of water facilities reported by DWO of Buvuma DLG for FY 2017/18 to MIS at MoWE were found consistent.</p>	<p>5</p>
<p>Procurement and contract management</p>			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>Input for the district procurement plan (Procurement requests) to PDU from DWO for FY 2018/19 was submitted late on 16th May 2018 after 30th April 2018 and received on 10th May 2018 by the CAO for the following projects:</p> <ul style="list-style-type: none"> • Mubaale piped water system phase III and • Rehabilitation of boreholes. 	<p>0</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>DWO prepared contract management plan and also conducted site meetings for example minutes of site meetings on the construction of Mubaale water supply system dated 19th April 2018 and 06th March 2018 were found on file.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>The designs for WSS visited during field work were found similar with what is mentioned in their Bills of Quantities e.g the design of Mubaale piped water system was found similar to the design during the field visit on 12th September 2018.</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>No hand over reports of completed projects were found on file in the DWO.</p>	<p>0</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>WSS projects were appropriately certified by DWO Buvuma DLG and awarded payment certificate forexample the cconstruction of Mubaale piped water system Phase III by Prof Technical Services Ltd. Contract No .Buvu/5590/Wrks/17-18/00002. Awarded on 18th June 2018.</p>	<p>2</p>

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>The LG Water department certified and initiated payment for supplies on time for example:</p> <ul style="list-style-type: none"> Request from Jabba Engineering Ltd dated 5/04/2018 for supply of water quality testing kit was recommended for payment on 6/04/2018 and was paid on 6/04/2018, voucher No. 07/4/2018; Request from Lean Providers (U) Ltd dated 16/10/2017 for supply of fuel to water department was recommended for payment on 13/12/2017 and was paid on 18/12/2017, voucher No. 17/12/2017; Request from Prof Technical services Ltd dated 18/06/2018 for construction of Mubaale piped water system was recommended for payment on 18/06/2018 and was paid on 27/06/2018; and Request from Prof Technical services Ltd dated 9/05/2018 for construction of Mubaale piped water system was recommended for payment on 10/05/2018 and was paid on 10/05/2018. 	<p>3</p>
<p>Financial management and reporting</p>			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department responsible for water submitted annual and quarterly performance reports as follows; Quarter 1 on 10/10/2017, Quarter 2 on 12/01/2018, Quarter 3 on 13/04/2018 and Quarter 4 on 12/07/2018.</p>	<p>5</p>

<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>The Water department had audit queries but there was evidence that all were responded to by the District Water Officer on letter dated 11/12/2017.</p> <p>However, the Fourth quarter was not available, therefore it was not possible to ascertain whether the department had queries to respond to in that quarter.</p>	<p>3</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The council committee responsible for water met and discussed service delivery issues. This is evidenced by minutes of Technical works and services committee meeting dated 29/05/2018 under min5/05/2018: Discussion of draft budget for FY2018/2019.</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>The water sector committee presented issues that require approval to Council. The evidence availed is min06/MAY/BDC/2017-2018: Approval of Consolidated budget for FY 2018/2019, dated 30/05/2018.</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>There was information on Water Development Grant releases for FY 2017/18 on Buvuma DLG noticeboard for example:</p> <ul style="list-style-type: none"> Quarter one-Ugshs 143,455,772; Quarter two-Ugshs 107,591,829 and Quarter three-Ugshs 179,319,715. 	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>Four water facilities were not well labelled forexample St Mary's P/S Borehole in Buvuma TC, Buyego borehole in Buvuma TC, Bukagali borehole in Busamizi S/C and Mubaale piped water supply system phase II in Bugaya S/C.</p> <p>All these facilities either omitted the name of contractor, date of construction or source of funding.</p>	<p>0</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>Information on WSS tenders and contract awards were found on Buvuma district noticeboard forexample:</p> <ul style="list-style-type: none"> Borehole drilling, rehabilitation and construction. Date of display:20th June 2018. Date of removal:03rd July 2018 and Supervising the construction of Mubaale piped water system. Date of display:20th June 2018. Date of removal:03rd July 2018. 	<p>2</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>Community application forms for water sources was seen but there was no payment evidence of community contribution fees.</p>	<p>0</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>During field visit on 11th September 2018, Water User Committees were found with books containing a list of monthly contributions for O & M forexample Buyengo borehole in Buvuma TC and Bukagali borehole of Busamizi S/C. Also, the water facilities were fenced to prevent destruction of water facilities such as Bukagali borehole of Busamizi S/C.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was evidence of environmental and Social impact screening of WSS facilities by the ENR officer for example the construction of Mubaale piped water system in Bugaya S/C. Screening conducted by Nalunkuma Gladys on 18th May 2018.</p>	<p>2</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>The DWO indicated that there has never been cases of unacceptable environmental concerns in the FY 2017/18.</p>	<p>1</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>There was no clause on environmental protection in the construction and supervision contracts issued by Buvuma DLG.</p>	<p>0</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>Software report for Buvuma DLG showed Water User Committees and composition as below:</p> <ul style="list-style-type: none"> Ziiba borehole, Busamuzi S/C (F=2, M=4), F-Vice-Chairperson; Bukayo borehole, Busamuzi S/C (F=2, M=4), F-Treasurer; Bubasi borehole, Nairambi S/C (F=4, M=2), F-Vice-Chairperson; Bumazime borehole, Nairambi S/C (F=3, M=3), F-Secretary; Buwanzi-Kapali borehole, Buwooya S/C (F=3, M=3), F-Treasurer and Buwanzi-Kapali borehole, Buwooya S/C (F=3, M=3), F- Vice-Chairperson. <p>Hence, from above statistics, more than 50% WSCs were women (4 out of 6 WSCs) and occupied key positions hence score 3.</p>	<p>3</p>

<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none">• If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3	<p>No public sanitation facility was constructed in the FY 2017/18. •</p>	<p>3</p>
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