



Local Government Performance Assessment

Iganga District

(Vote Code: 510)

Assessment	Scores
Accountability Requirements	50%
Crosscutting Performance Measures	62%
Educational Performance Measures	51%
Health Performance Measures	84%
Water Performance Measures	55%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>The LG submitted the PC to MoFPED through PBS system on Thursday, August 2, 2018</p> <p>MoFPED (ref. budget.go.ug)</p> <p>(Iganga 1st submission was on July 6th, second July 25th, 3rd on July 30th, 4th on August 2nd 2018)</p> <p>With all these submissions and re-submissions, the LG did not even meet the extended deadline</p>	No
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The LG submitted a budget to the MoFPED on Thursday, August 2, 2018, 2018 included a procurement plan. (ref. www.budget.go.ug)</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			

<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>DLG submitted the Annual Performance Report for the previous FY on 13th/08/2018, (submission was not as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015).</p>	<p>No</p>
<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> • If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). • If LG submitted late or did not submit at all, then it is not compliant. 	<p>LG submitted the quarterly budget performance report for all the four quarters. Through the PBS system of all quarters are as below:</p> <p>Q1 submitted on 14th /03/2017</p> <p>Q2 submitted on 9th/02/2018</p> <p>Q3 submitted on 30th /06/2018</p> <p>Q4 submitted on 13th /08/2018</p> <p>The LG did not submit all quarters as per PFMA Act, 2015 especially Q4</p>	<p>No</p>
<p>Audit</p>			

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in line with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> • If LG submitted a 'Response' (and provide details), then it is compliant • If LG did not submit a 'response', then it is non-compliant • If there is a response for all –LG is compliant • If there are partial or not all issues responded to – LG is not compliant. 	<p>The LG submitted a Status of implementation of Internal Auditor General and Auditor General's findings to the PS/ST by 30 April as evidenced below;</p> <p><i>Auditor General's Report</i></p> <p>The Auditor General's Report dated 11 December 2017 Ref: DLA 10/46/01/17 raised 7 issues and all were responded to in a report addressed to the PS/ST dated 09 April 2018. Received by MoFPED (Directorate of Internal Audit) on 23 April 2018.</p> <p><i>Internal Auditor General's report</i></p> <p>In a correspondence dated 20 April 2018 addressed to the PS/ST received by the MoFPED (Directorate of Internal Audit) on 23 April 2018. The LG submitted a status of implementation of Internal Audit findings. All 2016/17 Quarterly report issues were addressed.</p>	<p>Yes</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.</p>		<p>The LG had an unqualified audit opinion as per AG's Report.</p> <p>(Source: Report of the Auditor General to Parliament for FY ended 30 June 2018; Annexure IV; 4.2)</p>	<p>Yes</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>Iganga district has a functional Physical Planning Committee (PPC) which considers new investments. The Committee consists of 13 members. Letters of appointment of members of the committee were available eg a letter dated October 24th, 2017, Ref. CR/156/1; signed by Mr. Maira Mukasa Joseph the Chief Administrative Officer (under PPA part III sections 9-10).</p> <p>PPC has a plan registration book to register new investments opened on May 24th, 2013. The PPC sit to consider/approve plans submitted.. Minutes for last FY were available ie</p> <ul style="list-style-type: none"> - 16th /01/2018 - 28th /02/2018 - 5th /04/2018 - 9th /05/2018 <p>In the previous FY, the DPPC received 19 building plans from private sector only.</p> <p>Plan considerations</p> <p>In the PPC sitting of 9th /05/2018 the following plans were considered eg</p> <p>Kyaterekera Plan</p> <p>Submission date 23rd /02/2018</p> <p>Approval date 5th/03/2018</p> <p>Eton Toweres Telcom Mast</p> <p>Submission date 14/3/2018</p> <p>Approval date 5th/04/2018</p> <p>GhanaBINT Hamed ASHADUDIA Mosque</p> <p>Submission date 21/03/2018</p> <p>Approval date 05th/ 04/2018</p> <p>The PPC considers submissions within 28 days.</p>	<p>1</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>The District did not submit any set of minutes to the MoLHUD last FY 2017/18.</p>	<p>0</p>
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The district has no Physical Plan, thus no infrastructure investments can be consistent with physical development which is not in place. Thus no plans have been approved following the Physical Plan developed by the district. The committee approves and controls physical developments in the sub counties as per Part V, section 40 of the Physical Planning Act 2010 sub section (1, 2, 3)</p>	<p>0</p>

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> Action area plan prepared for the previous FY: score 1 or else 0 	<p>The LG prepared Action Area Plan for the previous FY, in RGC like Busei in Nakigo sub county. The plan was submitted to Council for approval.</p>	<p>1</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>There was evidence that priorities in the AWP for the current FY are based on the outcomes of Budget Conferences (BCR). A budget conference for FY 2018/19 was held on November 17th, 2018 at District Council hall. Priorities were as below;</p> <p>Education and Sports; page 2-3 BCR and AWP page 66-67</p> <ul style="list-style-type: none"> Construction of two classroom blocks in various P/S BCR page 3 and AWP FY 2018/19 page Construction of pit latrines in 10 P/S (Nasuuti, Namusala, Bishop Wills Dom Sch.P among others BCR page 3 Supply of furniture (3 seater desk) to 22 PS, BCR page 3 AWP FY 2018/19 page <p>Health BCR page 3 AWP FY 2018/19 page 60</p> <ul style="list-style-type: none"> Construction of staff house at Nawandala HCIV, BCR page 3 and AWP FY 2018/19 page... Renovation of a paediatric ward at Iganga Hospital, BCR page 3 Procurement and installation of a solar panel at a maternity ward at Iganga hospital, BCR page 3 <p>Water page BCR 2 and AWP FY 2018/19 page 84,85-86</p> <ul style="list-style-type: none"> Borehole drilling 21 in 21 villages (including the new created Bugweri District) BCR page 2 AWP FY 2018/19 page 	<p>2</p>

- Construction/protected springs (2) in 2 villages BCR page 2 and AWP FY 2018/19 page...
- Conducting home improvement sanitation campaigns BCR page 2 AWP FY 2018/19 page
- Construction of lined pit latrine at in Bugono RGC, BCR page 2 AWP FY 2018/19 page

Works and Technical Services BCR page 4 AWP FY 2018/19 page 80

- Periodic maintenance of CMS-Buwasa 3.92km, Nakigo – Busowobi 6.65km, Mawagala-Bunilila 6.7km

Bugono- Nabitende Banada 8.13km

- Routine manual maintenance Of 127.17kms,
- Routine mechanized maintenance of CMS Luyila 6.21km

Production BCR page 4 , AWP FY 2018/19 page 46

- Construction of a slaughter slab at Nabitende
- Construction of market stall at CMS trading centre
- Procurement of Tse-tse fly traps
- Multi-sectoral food security and Nutrition project (World Bank)
- Sensitization meeting for trade

Administration page 3 BCR page 28

- Securing of the Administration Block (baglary) AWP FY 2018/19 page 28-29
- Community Based Services , BCR page 4, AWP FY 2018/19 page ...
- Adult literacy
- Industrial labour relations – inspections and disputes
- Integrated learning for wealth creation
- o Engaging institutions to support ICOLEW activities

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-

- Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved

There was evidence that priorities in the AWP for the current FY are based on the outcomes of Budget conferences (BCR). A budget conference for FY 2018/19 was held on November 17th, 2018 at District Council hall. Priorities were as below;

Education and Sports; DDP page and AWP page 66-67

- Construction of two classroom blocks in various P/S BCR page 3 and AWP FY 2018/19 page

<p>year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<p>five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1.</p>	<ul style="list-style-type: none"> • Construction of pit latrines in 10 P/S (Nasuuti, Namusala, Bishop Wills Dom Sch.P among others BCR page 3 • Supply of furniture (3 seater desk) to 22 PS, BCR page 3 • AWP FY 2018/19 page <p>Health, DDP page 132 and AWP FY 2018/19 page 60</p> <ul style="list-style-type: none"> • Construction of staff house at Nawandala HCIV, BCR page 3 and AWP FY 2018/19 page... • Renovation of a paediatric ward at Iganga Hospital, BCR page 3 • Procurement and installation of a solar panel at a maternity ward at Iganga hospital, BCR page 3 <p>Water DDP page 151-155 and AWP FY 2018/19 page 84,85-86</p> <ul style="list-style-type: none"> • Borehole drilling 21 in 21 villages (including the new created Bugweri District) BCR page 2 AWP FY 2018/19 page • Construction/protected springs (2) in 2 villages BCR page 2 and AWP FY 2018/19 page... • Conducting home improvement sanitation campaigns BCR page 2 AWP FY 2018/19 page • Construction of lined pit latrine at in Bugono RGC, BCR page 2 AWP FY 2018/19 page <p>Works and Technical Services, DDP page 116-117 page 4 AWP FY 2018/19 page 80</p> <ul style="list-style-type: none"> • Periodic maintenance of CMS-Buwasa 3.92km, Nakigo – Busowobi 6.65km, Mawagala-Bunilila 6.7km <p>Bugono- Nabitende Banada 8.13km</p> <ul style="list-style-type: none"> • Routine manual maintenance of 127.17kms, • Routine mechanized maintenance of CMS Luyila 6.21km <p>Production DDP page 103-112, AWP FY 2018/19 page 46</p> <ul style="list-style-type: none"> • Construction of a slaughter slab at Nabitende • Construction of market stall at CMS trading centre • Procurement of Tse-tse fly traps • Multi-sectoral food security and Nutrition project (World Bank) • Sensitization meeting for trade <p>Community Based Services , DDP page 120- 124, and AWP FY 2018/19 page 96-99</p> <ul style="list-style-type: none"> • Adult literacy
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		<ul style="list-style-type: none"> • Industrial labour relations – inspections and disputes • Integrated learning for wealth creation <p>o Engaging institutions to support ICOLEW activities</p>	
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2. 	<p>Iganga District developed project profiles for FY 2017/18 dated February, 2018; profiles were presented to TPC and discussed in a meeting held on 8th/02/2018.</p> <p>Min.03/02/DTPC/2018: Presentation and discussion of project profiles and Annual work plans for FY 2018/19. The profiles seen are elaborate, for all projects and follow the format; they include work plan, M&E strategy, M&E plan, and EIA and mitigation plan.</p>	2
<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> • Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>Iganga DLG with support from Uganda Bureau of Statistics compiled a Statistical Abstract FY 2018/19 dated November 2017. It included gender disaggregated data: male and female, rural and urban population, disaggregated gender data by Council, district departments and other population segments. The Abstract was presented to TPC meeting on 12th /10/2017 under Min: 05/10/DTPC/2017.</p>	1
<p>Investment</p>	<ul style="list-style-type: none"> • Evidence that all 	<p>From the Annual Budget Performance Report for FY2017/18,</p>	2

activities in the previous FY were implemented as per AWP.

Maximum 6 points on this performance measure.

infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2

all infrastructure projects implemented by Iganga LG were derived from the AWP and budget approved as captured below:

Health (APR page 59-60)

Constructed lined pit latrines at Ituba HCII and Kawete HCII (at shs 19,000,000- actual) AWB page 16-17, (shs 20,000,000)

Education (APR page 65-66)

- Constructed 2 classroom blocks at Bubenge and renovation of Kiringa P/S at shs (86,548,000) AWB page 27-28, (Council sitting on 16th/11/2017 approved reallocation under Min.43/11/16/17/IDLC)

- Construction of 46, 5 stances in 6 schools and 4 stance lined latrine in 4 schools at 182,006,000 APR) AWB page 27,28 (182,006,000) 100% completion

- Purchase of 1380, 3 seater desks at (shs 248,400,000) APR, 248,400,000 AWB page 27. 100% completed

Water and Sanitation (APR pages 79-80)

- Renovation of Water borne toilet at the district GHQs (budget 19,227.000) actual 19 227,000) AWB page 35 completed at 100%

- Deep well construction 18 (shs 387,000,000) .AWB page 35-37 actual shs 387,000,000)

Constructed/ protected spring wells at Nakabazi and Nabitoro (7,400,000) actual (7, 400,000) budget page.. AWB page 35-37. 100% completion

- Deep borehole drilling 21 sites at shs 440,194,000 AWB page 35-3. (actual 434,926,000) 99% completion

Works and Technical Services (APR page 72 -73 74)

- Renovation of administration block and fencing of works, water, and finance building at 40,011,000 AWB page 33 , actual 40,011,000 APR,

- Periodical and routine maintenance at 347,119,000 AWB page 33t, actual 347,119,000 =100% completion

- Bottle necks removed from sections of roads at shs. 233,360,000 AWB page 33, actual 198,341,000 ==85%

Production APR page 49

- Procurement and deployment of Tse-tse fly traps at shs 7,600,000 AWB page 12, actual 7.900,000. APR (103%)

- Constructed a slaughter slab at Nabitende and supply of surgical kits to the Vet, at shs 31,000,000 AWB, page 12 ,

	actual 31,558,000) completed 100%	
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Investment activities in the previous FY were implemented as per AWP.

Maximum 6 points on this performance measure.

• Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY.

o 100%: score 4

o 80-99%: score

2

o Below 80%: 0

From the Annual Budget Performance Report, the investment projects implemented by Iganga LG were completed as per work plan by end of FY 2017/18.

Health (APR page 59-60)

Constructed lined pit latrines at Ituba HCII and Kawete HCII

AWB page 16-17, completed as per work plan

Education (APR page 65-66)

• Constructed 2 classroom blocks at Bubenge and renovation of Kiringa P/S AWB page 27-28. completed as per work plan

• Construction of 46, 5 stances in 6 schools and 4 stance lined latrine in 4 schools, AWB page 27, 28. Completed as per work plan

• Purchase of 1380, 3 seater desks AWB page 27. Completed as per work plan

Water and Sanitation (APR pages 79-80)

• Renovation of Water borne toilet at the district GHQs page 35. Completed as per work plan

• Deep well construction 18 (shs 387,000,000). AWB page 35-37

Constructed/protected spring wells at Nakabazi and Naboro, AWB page 35-37. Completed as per work plan

• Deep borehole drilling 21 sites, AWB page 35-37. Completed as per work plan

Works and Technical Services (APR page 72 -73 74),

• Renovation of administration block and fencing of works, water, and finance building AWB page 33 ,

• Periodical and routine maintenance AWB page 33,

• Bottle necks removed from sections of roads AWB page 33.

All the 3 projects completed as per work plan

Production APR page 49

• Procurement and deployment of Tse-tse fly traps at AWB page 12,

• Constructed a slaughter slab at Nabitende and supply of surgical kits to the Vet, AWB,

All the above 2 projects completed as per work plan

The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY

Maximum 4 points on this Performance Measure.

• Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2

From the Annual Budget Performance Report 2017/2018 investment projects were implemented and completed within approved budget; examples below

Education (APR page 65-66)

- Constructed 2 classroom blocks at Bubenge and renovation of Kiringa P/S at shs (86,548,000) AWB page 27-28, (Council sitting on 16th/11/2017 approved reallocation under Min.43/11/16/17/IDLC)

- Construction of 46, 5 stances in 6 schools and 4 stance lined latrine in 4 schools at 182,006,000 APR) AWB page 27,28 (182,006,000) 100% completion

- Purchase of 1380, 3 seater desks at (shs 248,400,000) APR, 248,400,000 AWB page 27. 100% completed

Total education Budget was shs. 516,954,000

Actual educ. Expenditure was shs 516,954,000

Production APR page 49

- Procurement and deployment of Tse-tse fly traps at shs 7,600,000 AWB page 12, actual 7.900,000. APR (103%)

- Constructed a slaughter slab at Nabitende and supply of surgical kits to the Vet, at shs 31,000,000 AWB, page 12 , actual 31,558,000) completed 100%

The total production Budget was shs 38,600,000

The Actual expenditure budget was shs. 39,488,000

All the investment projects were completed within the approved budget

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2 	<p>LG budgeted for expenditure on O&M for infrastructure</p> <p>Budget 3,550,000 page 5 of the budget</p> <p>Actual 2,992,000 schedule 2 Trial balance – Final. Accounts FY2017/18 84.28 %</p>	<p>2</p>
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Human Resource Management

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	<p>The LG has 9 HoDs in its approved structure</p> <p>Of these 7 positions are substantively filled</p> <p>While 2 are not substantively filled, they are in acting positions. These are;</p> <ol style="list-style-type: none"> Mr. Mbatya Wilberforce is in acting position of District Engineer. He was appointed as senior civil engineer under min. No. 129/2014 dated 4th July 2014 Mr. Kayemba Jonah Fred is acting District Planner appointed as planner under Min. No 26/2011 dated 3rd March 2011 and given assignment of District Planner on letter with ref. CR/156/1 on 14th Feb 2014 <p>The details of the HoDs positions substantively filled are indicated below:</p> <ol style="list-style-type: none"> Dr. Muwanguzi David Gangu, DHO appointed on promotion under Min. No. 204/2004 dated 27th July 2004 Mr. Rwabuhindiya Ezra, Chief Finance Officer was redesignated and appointed under Min. No. 67/2007 dated 24th May 2007 Mr. Batuuka Samuel, District Community Development Officer was appointed on promotion under min. No. 323/2015 dated 5th May 2015 Mr. Dhikusoka Joseph, District Production and Marketing Officer was appointed on promotion under min. No. 206.2/2018 dated 17th May 2018. Mr. Samanya Abdul, District Natural Resources Officer was appointed on accelerated promotion under min. No. 206.1/2018 dated 17th May 2018 Mr. Kasadha Baker, DEO was appointed on promotion under min. No. 235/2011(a) dated 11th July 2011 Ms. Mugoya Sonny Mulembe, head administration posted on instruction LG/P.10674 <p>HoDs positions are 78% substantively filled.</p>	<p>0</p>
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LG has substantively recruited and appraised all Heads of Departments

Maximum 5 points on this Performance Measure.

• Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2

Evidence from personnel files and performance agreements and reports reveal the following;

1. Mr. Kasadha Baker, DEO was appraised which is shown by the signing of performance agreement on 17th July 2017 and performance report signed on 25th July 2018.
2. Dr. Muwanguzi David Gangu DHO was appraised. This is shown by only signing performance agreement on 14th July 2017 and performance report signed on 25th July 2018.
3. Mr. Kayemba Jonah Fred, acting District Planner was appraised on 16th July 2018
4. Mr. Samanya Abdul, District Natural Resources Officer was appraised shown by the signing of performance agreement on 1st August 2017 and performance report on 30th August 2018.
5. Mr. Batuuka Samuel District Community Development Officer was appraised shown by signing the performance agreement on 17th July 2017 and performance report on 07th July 2018.
6. Mr. Rwabuhindiya Ezra CFO was appraised and the performance agreement was signed on 12th July 2017 and performance report was signed 25th July 2018.
7. Mr. Dhikusoka Joseph was appraised shown by signing performance agreement on 17th July 2017 and performance report on 25th July 2018.
8. Mr. Mbatya Wilberforce acting District Engineer was appraised shown by signing the performance agreement on 31st July 2017 and report on 25th July 2018
9. Mr. Mugoya Sonny Mulembe DCAO is not appraised here.

Appraisals for HoDs was 100%

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>Evidence from submissions for recruitment, the following was revealed;</p> <ol style="list-style-type: none"> 1. Submission with ref. CR/156/1 dated 5th Jan 2018 for District Engineer, District Natural Resource Officer, District Planner, District Internal Auditor and others was considered under an open advert no. 01 of 2018 in daily monitor of 9th Feb 2018 2. Submission with ref. CR/160/1 dated 3rd May 2018 for Agriculture Officer, Senior Assistant Accountant was considered through an open advert in Red Pepper of 6th May 2018 3. Submission with ref. CR/156/1 dated 28th March 2018 for Senior internal Auditor, Medical Officer and Forest Ranger was considered in the open advert of Red Pepper of 6th May 2018 <p>This shows 100% consideration</p>	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>Evidence from submissions for confirmation reveals the following ;</p> <ol style="list-style-type: none"> 1. Submission with ref. CR/159/1 dated 13th June 2018 for regularisation and confirmation of Nanyondo Mastula considered in FY 2018/19 on 26th July 2018 2. Submission with ref. CR/156/1 dated 14th Dec 2017 for 15 members of staff. Not considered. 3. Submission with ref. CR/156/1 dated 18th July 2017 for 47 staff but only 31 were considered under various DSC minutes. 4. Submission with ref. CR/159/1 dated 23rd Nov 2017 for Dr. Mulidho John was considered under DSC meeting held on 4th Dec 2017 5. Submission with ref. CR/156/1 dated 9th Nov 2017 for 25 staff were considered on ref. DSC/159/1 dated 4th Dec 2017 6. Submission with ref. CR/159/1 dated 21st August 2017 for 28 staff were considered under ref. DSC/159/1 dated 4th Sept 2017 <p>Consideration was only 73%</p>	<p>0</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>There were no submissions for disciplinary actions that were available. The secretary service commission gave information that they never received any submission for disciplinary action. Therefore its regarded a consideration.</p>	<p>1</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>Evidence from recruited staff lists, minutes of DSC and salary payroll of staff it was established that 55 new staffs were recruited.</p> <p>All recruited staff accessed the salary payroll in two months.</p> <p>Examples of these are,</p> <ol style="list-style-type: none"> 1. Mutumba Rolland medical officer was appointed on 1st Nov 2017 and accessed pay roll on 28th Dec 2017 2. Musubika Ruth a parish chief was appointed on 8th May 2018 and accessed payroll on 28th June 2018 3. Munaba Daniel a parish chief was appointed on 8th May and accessed payroll on 28th June 2018 4. Nantume Josephine an enrolled nurse was appointed on 13th April 2018 and accessed payroll on 28th June 2018 5. Ssekatawa Wycliffe a medical officer was appointed on 1st June 2018 and accessed payroll on 28th June 2018 	<p>3</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>There were 9 staff that were retired in the FY 2017/18 and 00% accessed pensioners payroll in two months. These are;</p> <ol style="list-style-type: none"> Wakabi Oy Tappy was retired on 27th Sept 2017 accessed pension payroll of April 2018 after 7 months. Opeero David was retired on 13th August 2017 and accessed pensioner's payroll of Dec 2017 after 4 months. Emoit Ham was retired on 5th Nov 2017 and accessed pensioner's payroll of June 2018 after 7 months. Tikubuza Wakiku Grace was retired on 28th April 2018 and accessed pensioner's payroll of Jul 2018 after 3 months Kiirya Stephen Kalange was retired on 1st Jan 2018 and has not yet accessed payroll. Kuteesa Alex was retired on 8th March 2018 and has not yet accessed payroll. Musagala Edith was retired on 11th Sept 2017 and accessed payroll of Dec 2017 after 3 months. Namutamba Zaffa Idah was retired on 12th Jan 2018 and accessed payroll on 18th August 2018. after 8 months. Wamulongo Fredrick was retired on 25th Dec 2017 and accessed payroll on 18th July 2018 	<p>0</p>
<p>Revenue Mobilization</p>			
<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. If the increase is from 5% -10 %: score 2. If the increase is less than 5 %: score 0. 	<p>The LG registered local revenue (OSR) shs 230,471,141 in FY 2017/18 compared to Shs 214,199,940 in FY 2016/17. This indicated an increase in revenue of only 7.6% (Shs 16,271,201) which is between 5%-10%</p> <p><i>Source (Audited Final Accounts 2016/17 Ref: DLA10/46/01/17 & draft Final Accounts 2017/18 submitted to OAG on 10 Aug 2018)</i></p>	<p>2</p>

<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within <p>+/- 10 %: then score 2. If more than +/- 10 %: Score 0.</p>	<p>Budgeted local revenue for FY 2017/18 was Shs 422,800,000 and the actual revenue collected was Shs 230,471,141. There was a divergence of Shs 192,328,859. Budget realisation ratio was -45.5% which did not fall within the range of +/- 10%.</p> <p><i>Source(Original Budget 2017/18 & Draft Final Accounts 2017/18)</i></p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Out of Shs 143,383,400 revenue of (LST) that was collected by the LG, only 50% of the collection was remitted to the LLGs (i.e. 71,421,923). This was below the threshold of 65%.</p> <p><i>Source: (Draft Final Accounts 2017/18; Statement of Revenues collected & Payment vouchers)</i></p>	<p>0</p>
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>Revenue collected in the FY 2016/17 was Shs 214,199,940 and Council expenses for FY 2017/18 amounted to Shs 131,356,000.</p> <p>Council expenditure compared to Revenue collection was 61% which is higher than the required percentage 20%.</p> <p><i>(Source Trial Balance & Audited Final Accounts 2016/17)</i></p>	<p>0</p>
<p>Procurement and contract management</p>			

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>Senior Procurement Officer (SPO) position was substantially filled as indicated in the letter (Ref: CR.156/1) dated 23rd January 2008 under the District Service Commission (DCC) Minute 4/2008(a) signed and stamped by CAO.</p> <p>Procurement Officer (PO) position was not substantially filled. Specifically, she (Margaret Kwebwawera) was appointed as the PO of Iganga district LG in a letter dated 2nd April 2015 but within FY 2017/18, she was appointed as SPO of the new district in a letter dated 24th May 2018 signed by CAO of Bugweri district LG. She was officially released to become the new SPO of the new Bugweri district as shown in a Release Letter (Ref: CR/156/1) dated 18th June 2018 signed and stamped by CAO of Iganga district LG with a copy to Bugweri district LG. Therefore, she was released before the end of the FY 2017/18 under assessment.</p>	<p>0</p>
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<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>Technical Evaluation Committee (TEC) produced and submitted reports to the Contracts Committee (CC). For example, from a sample of 5 projects with serial numbers listed below;</p> <ul style="list-style-type: none"> TEC report dated 4th October 2017 recommended M/S LHM Ground Water & Geo Mapping Services Ltd for the Siting and Drilling supervision of 10 deep boreholes under Lot 1 (Prof Ref: IGAN 510/Srvcs/2017-17/00013) at a of cost of UGX 23,337,797. Also in Proc Plan page 8. Open National Bidding. <p>TEC report dated 4th October 2017 recommended M/S FELS Consultants Ltd for the Siting and Drilling supervision of 7 deep boreholes under Lot 2 (Prof Ref: IGAN 510/WRKS/2017-17/00014 at a cost of UGX 16,429,977 VAT inclusive. Open National Bidding covered all Lots.</p> <p>TEC report dated 4th October 2017 recommended M/S SAGS Geo Consults Ltd for the Design of Piped Water Supply System in Nawandala S/C (Prof Ref: IGAN 510/WRKS/2017-17/00016 at a cost of UGX 58,900,500 VAT inclusive. Open National Bidding.</p> <p>TEC report dated 4th October 2017 recommended M/S Icon Projects Ltd for the Drilling, Pump testing, casting & Installation of 9 deep Boreholes under Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00031) at a cost of UGX 219,528,675. VAT inclusive. Open National Bidding.</p> <p>TEC report dated 4th October 2017 recommended M/S Siri Balaj Industries Ltd for the Drilling, Pump testing, casting & Installation of 7 deep Boreholes under Lot 2 (Proc Ref: IGAN 510/WRKS/2017-18/00032) at a cost of UGX 153,439,383. VAT inclusive. Open National Bidding.</p> <p>TEC report dated 4th October 2017 recommended M/S Iseluganda Company Ltd for the Completion of a Perimeter Wall along Finance & Wroks Department (Proc Ref: IGAN 510/WRKS/2017-18/00010) at a cost of UGX 33,612,890 VAT inclusive under DDEG. It was on page 2 of Procurement Plan 2017/18. Selective National Bidding.</p> <p>TEC report dated 4th Oct 2017 recommended M/S Wazibas General Contractors & Designers Ltd for the Supply of 702 Three Seater desks (Proc Ref: IGAN 510/SUPLs/2017-18/00027) under Lots 1 & Lot 2 at UGX 199,500 each desk and a total cost of UGX 140,049,000 VAT inclusive. Open National Bidding.</p>	<p>1</p>
<p>The LG has in place the capacity to manage the</p>	<ul style="list-style-type: none"> Evidence that the Contracts Committee 	<p>Contracts Committee (CC) considered recommendations of the TEC. For example,</p> <ul style="list-style-type: none"> DSC siting on 12th Oct 2017 under Min/DCC/8/12th/10/2017 	<p>1</p>

procurement function

Maximum 4 points on this performance measure.

considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1

awarded the contract to M/S LHM Ground Water & Geo Mapping Services Ltd for the Siting and Drilling supervision of 10 deep boreholes under Lot 1 (Prof Ref: IGAN 510/Srvcs/2017-17/00013) at UGX 23,337,797 VAT inclusive with funding from DWSCG.

- DSC siting on 12th Oct 2017 under Min/DCC/7/12th/10/2017 awarded the contract to M/S FELS Consultants Ltd for the Siting and Drilling supervision of 7 deep boreholes under Lot 2 (Prof Ref: IGAN 510/WRKS/2017-17/00014) at Total Contract amount UGX 16,429,977 VAT inclusive with funding from DWSCG.

- DSC siting on 12th Oct 2017 under Min/DCC/9/12th/10/2017 awarded the contract to M/S SAGS Geo Consults Ltd for the Design of Piped Water Supply System in Nawandala S/C (Prof Ref: IGAN 510/WRKS/2017-17/00016) at a cost of UGX 58,900,500 VAT inclusive.

- DCC siting on 12th Oct 2017 under Min/DCC/6/12th/10/2017 awarded the contract to M/S Icon Projects Ltd for the Drilling, Pump testing, casting & Installation of 9 deep Boreholes under Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00031) at a cost of UGX 219,528,675. VAT inclusive

- DCC siting on 12th October 2017 under Min/DCC/5/12th/10/2017 awarded the contract to M/S Siri Balaj Industries Ltd for the Drilling, Pump testing, casting & Installation of 7 deep Boreholes under Lot 2 (Proc Ref: IGAN 510/WRKS/2017-18/00032) at a cost of UGX 153,439,383. VAT inclusive.

- DCC siting on 7th Feb 2017 under Min/DCC/42/7th/2/2017 awarded the contract to M/S Iseluganda Company Ltd for the Completion of a Perimeter Wall along Finance & Works Department in Central Division (Proc Ref: IGAN 510/WRKS/2017-18/00010) at a cost of UGX 33,612,890 VAT inclusive.

- DCC sitting on 7th Feb 2018 under Min/DCC/59/7th/2/2018 awarded contract to M/S Iseluganda Investments Ltd for the Repairs of Flush Toilet & Drainage channels at Iganga Hospital in Central Division at UGX 9,499,000.

- DCC sitting on 12th October 2017 under MIN/DCC/3(viii)/12th/10/2017 considered the request by Natural Resource department to use Force on Account mechanism to complete renovation of the Natural Resource offices at a total of UGX 9,500,000. Mr Med Dhabasadha was appointed in a letter dated 16th Jan 2018 to do Masonry works at UGX 571,000 using Force Account.

- DCC siting on 12th Oct 2017 under Min/DCC/60/12th/10/2017 awarded the contract to M/S Wazibas General Contractors & Designers Ltd for the Supply of 702 Three Seater desks (Proc Ref: IGAN 510/SUPLs/2017-18/00027) under Lots 1 & Lot 2 at UGX 199,500 each desk and a total cost of UGX 140,049,000 VAT inclusive. Open

		<p>National Bidding. However, subsequent 2 meetings (dated 19th Jan 2018 and 6th FEB 2018) between RDC, CAO and C/person LC5, DCC members, DEO, PDU, Wazibas General Contractors & Designers Ltd unanimously agreed that all desks be put at uniform price of UGX 179,500 per desk. The DCC sitting on 7th Feb 2018 considered the resolution from the above meeting and cancelled the previous award and agreements and awarded a new contract at UGX 179,500 per desk as agreed above.</p>	
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> • a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The current consolidated approved Procurement plan for FY 2018/19 covered cover all major investments/ infrastructure projects in the current approved and AWP/B for FY 2018/19.</p> <p>For example, Projects in the AWP 2018/19 are:</p> <p><u>Production department:</u></p> <p>i. Output 018282: Construction of a slaughter slab at UGX 15,000,000 was on page 46 of AWP 2018/19 and in the Procurement Plan 2018/19.</p> <p><u>Health Sector:</u></p> <p>ii. Output 088181: Staff House constructed & rehabilitated at UGX 54,116,000 was on page 58 of AWP 2018/19 and in the Procurement Plan 2018/19.</p> <p>iii. Output 088283: OPD & other Ward constructed & rehabilitated at UGX 15,000,000 was on page 60 of AWP 2018/19 and in Procurement Plan 2018/19.</p> <p><u>Education Sector projects:</u></p> <p>i. Output 078180: Classroom construction & Rehabilitation and civil works supervision at UGX 893,960,000 as shown on Page 66 of AWP 2018/19 and in the Procurement Plan 2018/19.</p> <p>ii. Output 078181: Latrine Construction & Rehabilitation and Supervision of implementation of civil works for compliance with the guidelines at UGX 182,006,000 was on page 67 of AWP 2018/19 and in the procurement plan 2018/19.</p> <p>iii. Output 078182: Teacher's House Construction & Rehabilitation at UGX 12,657,000 was on page 67 of AWP 2018/19 and in the procurement plan 2018/19.</p> <p><u>Road & Works sector:</u></p> <p>iv. Output 048151: Community Access Roads (CARs) maintained at UGX 233,360,000 was shown on Page 79 of</p>	<p>2</p>

AWP 2018/19 and in the Procurement Plan 2018/19.

v. Output 048158: District Roads maintenance under URF at UGX 347,119,000. A total of 255km (Nakigo-Busowobi road, Mawagala-Bunirira-Buwasa road, Bugono-Nabitende-Banada gravelled) was on page 80 of AWP 2018/19 and in the Procurement Plan 2018/19.

Water sector/department:

vi. Output 098180: Construction of Public Latrine at RGCs e.g 1 lined pit latrine at Bugono P/S in Nabitende S/C at UGX 23,442,000 was on page 86 of AWP 2018/19 and in the Procurement Plan 2018/19.

vii. Output 098183: Borehole Drilling & Rehabilitation, EIA and supervision monitoring at UGX 459,094,000 was on page 86 of AWP 2018/19. It was also in the Procurement Plan 2018/19.

viii. Output 098184: Construction of Piped water supply system in Nawandala RGCs at UGX 60,000, 000 on page 86 of AWP 2018/19 and in the Procurement Plan 2018/19.

In addition, The following 5 sampled completed projects were included in the procurement plan for FY 2017/18 and the AWP 2017/18.

Siting and Drilling supervision of 10 deep boreholes under Lot 1 (Prof Ref: IGAN 510/Srvcs/2017-17/00013) at a cost of UGX 23,337,797. It was on page 8 of the Procurement Plan 2017/18. Open National Bidding. It was also in the AWP 2017/18.

Siting and Drilling supervision of 7 deep boreholes under Lot 2 (Prof Ref: IGAN 510/WRKS/2017-17/00014 at a cost of UGX 16,429,977 VAT inclusive. Open National Bidding covered all Lots. It was also in the AWP 2017/18.

Design of Piped Water Supply System in Nawandala S/C (Prof Ref: IGAN 510/WRKS/2017-17/00016 at a cost of UGX 58,900,500 VAT inclusive. Open National Bidding. It was also in the AWP 2017/18.

Drilling, Pump testing, casting & Installation of 9 deep Boreholes under Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00031) at a cost of UGX 219,528,675. VAT inclusive. Open National Bidding. It was also in the AWP 2017/18.

Drilling, Pump testing, casting & Installation of 7 deep Boreholes under Lot 2 (Proc Ref: IGAN 510/WRKS/2017-18/00032) at a cost of UGX 153,439,383. VAT inclusive. Open National Bidding.

Completion of a Perimeter Wall along Finance & Works Department (Proc Ref: IGAN 510/WRKS/2017-18/00010) at a cost of UGX 33,612,890 VAT inclusive under DDEG. It was on

page 2 of Procurement Plan 2017/18. Selective National Bidding. It was also in the AWP 2017/18.

Supply of 702 Three Seater desks (Proc Ref: IGAN 510/SUPLs/2017-18/00027) under Lots 1 & Lot 2 at UGX 199,500 each desk and a total cost of UGX 140,049,000 VAT inclusive. Open National Bidding. It was also in the AWP 2017/18.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

• For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2

In the current Procurement & Disposal Plan FY 2018/19 signed by CAO and submitted to PPDA in a letter dated 28th September 2018 (Ref: CR/214/1/2) and bears a receipt stamp of MFPED dated 1st October 2018, a total of 58 infrastructure projects were included. However 3 project under Health sector will be done from the centre by MoH to upgrade HCIIIs to HCIIIIs and establishment of new HFs. A total of 7 bid documents were approved by DCC by 30th August 2018. Therefore the percentage was calculated to be 12.07% which was less than 80% required by the LGPA manual of June 2018.

DCC sitting on 11th June 2018 under Min/DCC/3/11th/6/2018 approved consolidated workplan, advert, prequalification documents, bidding documents, contract documents, evaluation criteria for FY 2018/19 are indicated below

Water Sector projects:

- Drilling, casting and installation of 10 deep Boreholes drilling Lot 1 (IGAN 510/WRKS/2017-18/00064) under DWSG.
- Drilling, casting and installation of 6 deep Boreholes drilling Lot 2 (IGAN 510/WRKS/2017-18/00065) under DWSG.

Education Sector projects:

- Renovation of 4 classroom Blocks in 4 Schools at Nakalama P/S in Nakalama S/C (IGAN 510/WRKS/2017-18/00002) under SFG.
- Renovation of 2 & 2 classroom Blocks in 4 Schools at Nambale P/S in Nambale S/C (IGAN 510/WRKS/2017-18/00009) under SFG.
- Renovation of 4 classroom Blocks in 4 Schools at Buvule P/S in Nabitende S/C (IGAN 510/WRKS/2017-18/00012) under SFG.
- Renovation of 4 classroom Blocks in 4 Schools at Kiringa P/S in Nawandala S/C (IGAN 510/WRKS/2017-18/00013) under SFG.

Health Sector projects:

- Completion of staff House at Nawandala HCII (IGAN 510/WRKS/2017-18/00026) under PHC.

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

• For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements:
score 2

There was an Contract Register updated with complete procurement activity files for all procurements made in FY 2017/18. It had columns indicating the Serial number, Project, Contract sum, Implementation Status/level, person responsible officer/Contractor awarded.

For example, from a sample of 5 projects with serial numbers listed below;

- S/N. 19-28: Construction of Drainable Toilets at Health Facilities under Health Sector and funded by UNICEF.
- S/N. 4 5 : Drilling, Casting, and installation of 7 deep boreholes under Lot 2.
- S/N. 46: Drilling, Casting, and installation of 7 deep boreholes under Lot 1.
- S/N.47: Siting and Drilling supervision 7 deep boreholes under Lot 2.
- S/N.48: Siting and Drilling supervision 10 deep boreholes under Lot 1.
- S/N.49: Design of piped water system in Nawandala S/C.
- S/N.55: Periodic Maintenance of Nabitende-Bugono Road (5km) Phase 2 at UGX 40,595,250 on page 8 of the contracts register.
- S/N.53: Improvement of Buliigo- Walugogo Swamp- Busoga Road at UGX 25,909,200. Under URF.
- S/N.57: Supply of 702 seater desks at UGX 126, 044,100.
- S/N.88: Partial completion of the perimeter wall along the finance and works department offices at UGX 31,462,914.

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>Iganda District LG adhered to the procurement thresholds. For example, from the sampled projects below, indicated compliance with the procurement thresholds & method of procurement.</p> <p><u>Open National Bidding (ODB) was applied for the following projects:</u></p> <ul style="list-style-type: none"> i. Siting and Drilling supervision of 10 deep boreholes under Lot 1 (Prof Ref: IGAN 510/Srvcs/2017-17/00013) at a contract price UGX 23,337,797. ii. Siting and Drilling supervision of 7 deep boreholes under Lot 2 (Prof Ref: IGAN 510/WRKS/2017-17/00014) at a contract price of UGX 16,429,977 VAT inclusive. Open National Bidding covered all Lots under water. iii. Design of Piped Water Supply System in Nawandala S/C (Prof Ref: IGAN 510/WRKS/2017-17/00016) at a cost of UGX 58,900,500 VAT inclusive. iv. Drilling, Pump testing, casting & Installation of 9 deep Boreholes under Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00031) at a cost of UGX 219,528,675 with VAT inclusive. v. Drilling, Pump testing, casting & Installation of 7 deep Boreholes under Lot 2 (Proc Ref: IGAN 510/WRKS/2017-18/00032) at a cost of UGX 153,439,383. VAT inclusive. vi. Supply of 702 Three Seater desks (Proc Ref: IGAN 510/SUPLs/2017-18/00027) under Lots 1 & Lot 2 at UGX 199,500 each desk and a total contract price of UGX 140,049,000 VAT inclusive. <p><u>Selective Bidding (SB) applied for the following projects:</u></p> <ul style="list-style-type: none"> i. Completion of a Perimeter Wall along Finance & Wroks Department (Proc Ref: IGAN 510/WRKS/2017-18/00010) at a cost of UGX 33,612,890 VAT inclusive under DDEG. <p>Force on Account (FoA) applied for the following projects;</p> <ul style="list-style-type: none"> i. Renovation of the Natural Resource Officer Block at the District Headquarters. ii. Periodic Maintenance of Numungalwe-Nabitende Road (5km) Phase 1. iii. Periodic Maintenance of Nabitende-Bugono Road (5km) Phase 2. iv. Improvement of Buliigo- Walugogo Swamp- Busoga Road. 	2
The LG has	• Evidence that all	All works projects implemented in the previous FY 2017/18	2

<p>certified and provided detailed project information on all investments</p>	<p>works projects implemented in the previous FY were appropriately certified – interim and completion certificates</p>	<p>were appropriately certified. Interim and completion certificates based on technical supervision were on file and attached to the payment vouchers. For example,</p>
<p>Maximum 4 points on this performance measure</p>	<p>for all projects based on technical supervision: score 2</p>	<ul style="list-style-type: none"> • Siting and Drilling supervision of 10 deep boreholes under Lot 1 (Prof Ref: IGAN 510/Srvcs/2017-17/00013) at UGX 23,337,797. Report on this projects was 20th March 2018 signed by District Engineer. Measurement of completed works certificate No.1 dated 20th March 2018 signed and stamped by District Engineer (Wilberforce Mbatya). Audit inspection/verification certificate dated 12th June 2018 by the District Internal Auditor. Also Report on this projects was 11th June 2018 signed by District Engineer for final payment to LHM. • Audit Inspection/Verification Report dated by 12th June 2018 signed and stamped by Internal Auditor for Drilling, Pump testing, casting & Installation of 9 deep Boreholes under Lot 1. EMCF dated 13th June 2018 signed by Environment Officer only. Report on drilling of 10 boreholes by Icon Projects Ltd (Proc Ref: IGAN 510/WRKS/2017-18/00031) signed and stamped on 11th June 2018. Measurement of completed Word Certificate No.1 dated 11th June 2018 was on file. Payment certificate issued signed and stamped 11th June 2018 was on file attached to payment vouchers in Finance Office. • Design of Piped Water Supply System in Nawandala S/C (Prof Ref: IGAN 510/WRKS/2017-17/00016 at a cost of UGX 58,900,500 VAT inclusive. Measurement of completed Word Certificate No.2 dated 11th June 2018 was on file. Payment certificate No.2 dated 11th June 2018. There was a technical supervision report dated 11th June 2018 signed and stamped by the district Engineer. Environment and Social certification form not on file. • Drilling, Pump testing, casting & Installation of 9 deep Boreholes under Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00031) at a cost of UGX 219,528,675. VAT inclusive. Certificate No. 1 dated 11th June 2018 for Measurement of Completed Works. Technical supervision report dated 11th June 2018 signed and stamped by the district Engineer was on file. ESCF form dated 13th June 2018 signed and stamped by the SEO was on file. • Completion of a Perimeter Wall along Finance & Works Department in Central Division at District H/Qs (Proc Ref: IGAN 510/WRKS/2017-18/00010) at a cost of UGX 33,612,890 VAT inclusive. EMCF dated 14th May 2018 signed by EO. Audit Inspection/Verification certification cert dated 31st May 2018 signed and stamped by district Head Internal Auditor. EMCF dated 4th April 2018 was on file. Status Report dated 29th May 2018 signed by the District Engineer and Senior Assistant Engineering Officer (SAEO) in charge Civil was on file. Payment certificate No. 4 dated 14th May 2018 signed and stamped by District Engineer on 14th May 2018 and CAO on 15th May 2018. Audit

		<p>Inspection/Verification Report (Ref: AUD/251/2) dated by 15th May 2018 signed and stamped by Internal Auditor was on file. EMCF dated 14th May 2018 signed by Environment Officer only.</p> <ul style="list-style-type: none"> Repairs of Flush Toilet & Drainage channels at Iganga Hospital in Central Division. Audit Inspection/Verification Report dated by 31st May 2018 signed and stamped by Internal Auditor was on file. Payment Certificate No. 1 dated 29th May 2018 to M/S Iseluganda Investments Ltd. 	
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>Implementation of all infrastructure projects for FY 2018/19 has not started. All water projects have been advertised but the rest have not started.</p> <p>Therefore, there were not Site Boards specifications for all infrastructure projects in FY 2018/19 indicating contract values.</p> <p>In addition, the actual contract value was not specified within the BoQs as a requirement to be displayed on the site boards by the contractor.</p>	0
Financial management			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The LG maintains a total of 8 Accounts. BRS were not done on time as stipulated in the LG Financial and Accounting Regulations ,2007 Sec 73 as evidenced by the samples taken;</p> <p>1. SINGLE TREASURY A/C – BOU <i>A/c No. 01983501001495</i></p> <p>June 2018 was reconciled on 18 July 2018</p> <p>April 201 reconciliation was done on 20 June 2018</p> <p>Dec 2017 reconciliation was done on 01 Feb 2018</p> <p>Nov 2017 reconciliation was done on 02 Feb 2018</p> <p>2. IGANGA DLG GENERAL FUND COLLECTION A/C- DFCU <i>A/c No- 01983501001495</i></p> <p>May 2018 was reconciled on 04 July 2018</p> <p>June 2018 reconciliation was done on 18 July 2018</p> <p>Feb 2018 reconciliation was done on 03 April 2018</p> <p>March 2018 reconciliation was done on 23 April</p> <p>3. IGANGA DLG HEALTH SERVICES A/C- DFCU</p>	0

A/c No.- 01983501004117

June 2018 reconciliation was done on 18 July 2018

Sept 2017 reconciliation was done on 14 Feb 2018

Nov 2017 reconciliation was done on 14 Feb 2018

Oct 2017 reconciliation was done on 03 April 2018

4. IGANGA DLG YOUTH LIVELIHOOD RECOVERY A/C-
DFCU Bank

A/c No.- 01983501004117

June 2018 reconciliation was done on 18 July 2018

Dec 2017 reconciliation was done on 14 Feb 2018

Nov 2017 reconciliation was done on 14 Feb 2018

Aug 2017 reconciliation was done on 14 Feb 2018

5. IGANGA DLG UG MSFSN PROJECT A/C- DFCU Bank

A/c No.- 01533655676312

July 2017 reconciliation was done on 05 Dec 2017

Feb 2018 reconciliation was done on 03 April 2018

Sept 2017 reconciliation was done on 05 Dec 2017

June 2018 reconciliation was done on 18 July 2018

The Bank reconciliation statements were signed by the Head of Finance but not in the stipulated time of 15 days after the end of month(1 month as per manual) as required by the Local Government Financial and Accounting Regulations 2007. Part VII Sec 73.

<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The LG made timely payments to suppliers during FY 2017/2018 as evidenced by the sample below;</p> <ol style="list-style-type: none"> 1. Muwanguzi David –Roofing, internal and external finishing health centre. <p><i>Payment process,</i> Paid amount- Shs 27,077,000 Requisition date- 18 Oct 2017 Certified by DHO- 18 Oct 2017 Paid -20 Nov 2017 (Vr No. 6345)</p> <ol style="list-style-type: none"> 2. Kibanda Swaibu – Renovation of District Administration block and council hall (no contract) <p><i>Payment process;</i> Paid Amount- 11,000,000 Requisition date- 27 March 2018 Certified by DE- 05 April 2018 Paid- 24 April 2018 (Vr No. 6445) On time</p> <ol style="list-style-type: none"> 3. Icon projects Limited- drilling, casting and installation of 9 boreholes. <p><i>Payment process;</i> Paid amount- Shs 197,574,539 Requisition date – 08 June 2018 Certified by DWO – 11 June 2018 Paid- 20 June 2018 (Vr No. 1462)</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>With reference to a correspondence dated 27 Jan 2011 Ref: CR: 156/1, Mr Mweruka Jotham was appointed on Promotion and transfer service from Kaliro Town Council to Iganga DLG as a Senior Internal Auditor under DSC Min.611/2011(i) (U3 upper scale).</p> <p><i>(Source: Personnel File)</i></p>	<p>1</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The LG produced all quarterly reports as required by the LG Act Sec 90.</p> <p>Quarter 1 report dated 31 Oct 2017 received by Directorate of Internal Audit on 03 Oct 2018 and submitted to PAC on 30 Oct 2018 and to Council on 30 Oct 2017.</p> <p>Quarter 2 report dated 29 Jan 2018 received by Directorate of Internal Audit on 03 Oct 2018 and submitted to PAC on 29 Jan 2018 and council on 29 Jan 2018.</p> <p>Quarter 3 report dated 30 April 2018 received by Directorate of Internal Audit on 03 Oct 2018 and submitted to PAC & Council on 30 April 2018.</p> <p>Quarter 4 report dated 30 August 2018 submitted to PAC & Council on 04 Oct 2018. This report was not submitted to the Internal Auditor General.</p> <p><i>References to Internal Audit meeting minutes.</i></p> <p>There were no Internal Audit meeting minutes availed for review. The District Internal Auditor attributes this to creation of New districts from Iganga. This forced them to share Human Resource with the new districts hence creating a shortage of Human resource. No Internal Audit meetings were held since the Senior Internal Auditor was alone in the department hence no minutes.</p>	<p>2</p>
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>The LG did not provide a status of implementation to the Council and LG PAC of all internal audit findings for FY 2017/18 because PAC did not review all the quarterly reports to provide recommendations for implementation.</p> <p>PAC was still handling 2016/17 audit findings.</p> <p>The Secretary PAC attributes this to the expiry of PAC. At the end of FY 2016/17 PAC expired but the council only approved new members on 16 Nov 2017 under Council Min. 41/11/16/17/IDL.</p> <p><i>Reference to PAC minutes & Reports;</i></p> <p>PAC minutes that were provided were discussing 2016/17 queries.</p>	<p>0</p>

<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1. 	<p>All 4 quarterly reports were submitted to LG PAC and LG Accounting Officer as elaborated below;</p> <p><i>SUBMISSION TO LGPAC</i></p> <p>Quarter 1- 30 Oct 2017. Quarter 2- 29 Jan 2018 Quarter 3- 30 April 2018 Quarter 4- 04 Oct 2018</p> <p><i>SUBMISSION TO CAO/ACCOUNTING OFFICER</i></p> <p>Quarter 1- 30 Oct 2017. Quarter 2- 29 Jan 2018 Quarter 3- 30 April 2018 Quarter 3- 04 Oct 2018</p> <p><i>Reference to PAC minutes;</i></p> <p>PAC had a backlog. 2017/18 quarterly reports were not reviewed in order to provide recommendations and follow up.</p> <p>The Secretary PAC attributes this to the expiry of PAC. At the end of FY 2016/17 PAC expired but the council only approved new members on 16 Nov 2017 under Council Min. 41/11/16/17/IDL.</p>	<p>0</p>
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>The assets register format used by the LG was not the one required by the Local Government Accounting Manual. Land & buildings, Vehicles & Heavy machinery and General assets were all embedded in the same register. Forms AC 33 (a), (b) and (c) were not put to use.</p>	<p>0</p>

<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The LG had an unqualified audit opinion as per AG's Report.</p> <p>(Source: Report of the Auditor General to Parliament for FY ended 30 June 2018; Annexure IV; 4.2)</p>	<p>4</p>
<p>Governance, oversight, transparency and accountability</p>			

<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>Council sitting on 16th/11/2017; Presentation of committee reports (Social Services, Community Development, Production and Marketing, Works and Technical Services) under</p> <p>MIN. 43/11/16/17/IDLC</p> <ul style="list-style-type: none"> - Revision of the budget estimates for 2016/2017. - Discussion of local Revenue enhancement plan. - Uganda Women enterprise programmes. - Promotion of enterprise selection for Iganga Farmers. - Safe water provisions to community. <p>Council sitting on 14th/12/2017. Under MIN. 48/12/14/17/IDLC</p> <ul style="list-style-type: none"> - Discussion of Jahieppo project and smart city project - Approval of supplementary - Budget of 320,461,272= <p>Council sitting on May 21st, 2018 ; Under Min 65/5/21/18/IDLC:</p> <ul style="list-style-type: none"> - State of district address by chairperson - Discussion of PAC, DSC, DLB reports - Challenges & benefits under education - Benefits/achievements in Health - Achievements and plans in water/Roads <p>Council sitting on May 30th, 2018. MIN. 59/5/30/18/IDLG; Presentation of Department Budgets- and Annual work plans for FY 2018/19 (Iganga and the new Bugweri districts)</p> <p>Under Min. 62/5/30/18/IDLG; Approval of Budget and Annual work plans for FY 2018/19 (Iganga and the new Bugweri districts)</p>	
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<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that LG has designated a person to coordinate response to feedback (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>Iganga District CAO has appointed a staff Mr Mr. Kayemba Jonah, the Planner as Complaints Desk Officer. He coordinates responses to feedback. A letter dated July 2nd 2018, ref. CR/163/1; Signed by the Mr. Kawooya David, the Chief Administrative Officer.</p>	<p>1</p>
<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The LG has a clear system for recording, investigating and responding to grievances. In place is a complaints registration book and a suggestion box. The complaints desk officer issued a memo Ref. 163/1 dated July 3rd 2018 signed by Mr. Kayemba Jonah Fred. The system was publically displayed on various noticeboards at the district HQs. The system allows complaints to be sorted and forwarded to CAO who later present to TPC, Executive or up to Council.</p>	<p>1</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>Iganga District LG has published information on noticeboards ie, LG staff salary payroll (September 2018a), August and July. Pensioner invoice (September 2018).</p>	<p>2</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>The PDU has displayed procurement plan, best evaluated bidders, prequalification list 2018/2019.</p>	<p>1</p>

<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>DLG performance assessment results and implications for FY 2016/17 are published to the citizens; (on the LG budget website by MoFPED). Published on notice board on July 25th, 2018. The district disseminated its report through TPC on September 4th, 2018. The district planner presented the LGPA 2016/17 results. TPC discussed under DTPC Min 04/09/DTPC/2018. Iganga DLG got 54% overall score and ranked 103 out of 138 LG assessed. The TPC meeting resolved to prepare for mock assessment as a way of getting ready for the next assessment. Formed the Internal assessment team to conduct the mock assessment by</p>	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>There was evidence that the district has communicated and explained guidelines, circulars and policies issued by the national level to LLGs eg new DDEG Guidelines 2018 and IPFS were posted on notice board and later</p> <p>Administration (Planning Unit held a meeting to disseminate on March 14th, 2018 at the headquarters to 8 Senior Assistant Secretaries (SAS) from the 8 sub counties.</p> <p>Under TPC Min 03/DTPC/8/9/2017; Dissemination of Guidelines and policies, these included:</p> <ul style="list-style-type: none"> - DDEG Guidelines - Key policy issues regarding budgeting FY 2018/19 - Indicative planning figures (Depts. and LLGs) 2018/19 <p>Guidelines were explained and technical staffs were urged to follow disseminated guidelines.</p>	<p>1</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>LG held a baraza on January 31st,2018 and the Inspectorate of government (IGG) at Nabitende Sub County . it was attended by Sub county chiefs, HODs, CAO and RDC. Issues handled</p> <p>Sensitize the community about the role of the IGG in fighting corruption.</p> <p>Enable the community seek for and receive information about government programs and any other related matters from relevant district officials</p> <p>To promote transparency and accountability by government officers</p> <p>To enable communities interact with their elected leaders</p>	<p>1</p>
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>Gender focal person (GFP) & CDO provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities. For example,</p> <p>Report dated 21st Feb 2018on Mainstreaming gender issues in Development and programme activities which took place on 15th Feb 2018. The objective was to (i) acquaint participants with key gender concepts to understand what gender is all; (ii) equip technical officers with skills and knowledge in gender mainstreaming and budgeting for gender in the various sector plans and (iii) recommend and integrate gender in development. It was attended by HoD and sector heads/technical staff. The report was signed and stamped by DCDO (Samuel Batuuka).</p> <p>Under PDU, equal opportunity is given to male and female in procurement of projects. Under Human resources, equal opportunity s given to male and female for employment/recruitment. Affirmative action on YLP projects that at least 30% of members must be female. Only a females group will be accepted but an all-boys group will be rejected. For example, in FY 2017/18, out of a total of 2223 members of YLP, 919 were female beneficiaries as indicated in the YLP & UWEP status report dated 11th June 2018 by the DCDO.</p> <p>In addition, the annual report of CBS dated 6th July 2018 also indicated that under Protection and social welfare, the CBS Supported Sub County CDOs to conduct 40 community dialogues with adolescents in school and parents/caregivers on positive parenting, alternative corporal</p>	<p>2</p>

punishments/disciplining. Activities took place in Ibulanku S/C, Matuuku S/C, Nambale S/C, Bulamagi S/C and Northern division. A total of 1211 participants (856 females & 344 males) attended. It also disseminated the National Strategy to End Child Marriage and Teenage Pregnancies, 2015 at sub county level (i.e. Bulamagi S/C, Igombe S/C, Ibulanku S/C, Namalemba S/C, & Nawanyingi S/C). A total of 243 participants acquired knowledge & skills to prevent child marriage & teenage pregnancies. A total of 5 commitment statements documents were signed by the stakeholders to end child marriage and teenage pregnancies in their communities.

Minutes of the Gender Based Violence Prevention Coordination Committee meeting held on 26th March 2018. A total of 183 cases of GBV were recorded (3 defilement, 76 physical assault, 55 denial of resources, 49 psychological abuse). In Iganga, 33.6% females and 66.5% males were perpetrators during the reporting period as compared to National values of 80% males and 20% female perpetrators. Under Min 5/2018, the committee resolved to mainstream GBV in all department and CSO daily activities. Scale-up sensitization of communities on GBV using the media. Under Min 3/2018, the CDO/GFPP presented the report to the committee.

The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles

Maximum 4 points on this performance measure.

- Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implemented: score 2.

The gender focal point and CDO planned 2 activities for current FY 2018/19 to strengthen women's roles and address vulnerability and social inclusion.

Examples of planned activities per output included are;

- Output 108107: Gender mainstreaming: CBS planned for 10 sensitization events of communities on GBV. On a quarterly basis, 24 community activities and 5 CDOs supported to sensitize communities on GBV. Hold 4 DTPC & DEC approval meetings. Monitoring 34 women groups by DTPC, DEC, RDC, DPC, DISO, focal and sector experts and women council chairpersons. Activities were estimated at UGX 288,452,000 was on page 174-175 of AWP 2018/19 generated from PBS on 2nd August 2018 at 04:36pm
- Output 108109: Support to Youths councils: A total of 40 youths groups supported to implement YLP estimated at UGX 1,250,000 was on page 175. Of AWP 2018/19.
- Output 108110: Support to disabled & elderly. Sensitization of older persons on their roles in National development meetings to share experience in economic development advocacy for the rights of older persons estimated at UGX 2,430,000 was on page 176 of AWP 2018/19 generated from PBS on 2nd August 2018 at 04:36pm.
- Output 108112: CBS planned to conduct 6 inspection of workplaces in factories and other places to find out if they conform to standards. Sensitization of workers on their rights and privileges estimated at UGX 750,000 was on page 178 of AWP 2018/19 generated from PBS on 2nd August 2018 at 04:36pm.

In addition, out of the total expenditure of UGX 714,782,090 less the wage bill of UGX 75,797,876 in FY 2017/18 for CBS, only UGX 638,984,214, was spent on gender activities/vulnerability/social inclusion (89.4%).

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1

Records on files from a sample of 5 projects below showed that the all projects were screened by the Senior Environment Officer (SEO) only. ESMPs were on file but ESSF were not on file.

However, ESMPs were on file for the following infrastructure project screened;

i. ESMP signed & stamped by SEO dated 24th August 2018 for complete renovation of Natural Resources Office in Central Division.

ii. ESMP signed & stamped by SEO dated 24th August 2018 for Complete Fencing of Works/Finance Perimeter Wall in Central Division.

iii. ESMP signed & stamped by SEO dated 24th August 2018 for Flash Toilet project in Health in Central Division.

Roads & Works sector:

iv. ESMP signed & stamped by SEO dated 24th August 2018 for the Periodic Maintenance of Numungalwe-Nabitende Road (5km) Phase 1.

v. ESMP signed & stamped by SEO dated 24th August 2018 for the Periodic Maintenance of Nabitende-Bugono Road (5km) Phase 2.

vi. ESMP signed & stamped by SEO dated 24th August 2018 for the Improvement of Buliigo- Walugogo Swamp- Busoga Road.

vii. ESMP signed & stamped by SEO dated 24th August 2018 for the Graveling of Iganga Compound.

For the roads sector, the Environmental concerns included, procurement of Murrum, spread of HIV/AIDS and sanitation facilities, Blocking access to passengers, compaction of ground, dust, flooding & stagnation of water, potential accidents by motorists & cyclists & pedestrians, Borrow pits, Destruction of vegetation.

Water sector:

ESMP signed & stamped by SEO only dated 27th October 2018 for the Deep Boreholes (7) in the following areas; Kikunyu village in Buyanga S/C; Bulamagi village in Nawanjingi S/C; Buyanga C village in Buyanga S/C.; Nsale village in Ibulanku S/C; Namiyangu village in Ibulanku S/C; Bukose village in Nawanjingi S/C; Bulogodha village in Namalembe S/C.

In addition, there was an activity report on screening of water projects (7 boreholes) dated 27th October 2018 signed by the District Environment officer (Najjuma Sarah N) only.

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1

A sample of 5 infrastructure projects below indicated that Iganga District LG integrated environmental and social management and health and safety plans in the contract bid documents in FY 2017/18. For example;

Roads & Works sector:

In the contract Bid documents/BoQs, Item PM2.3: Fuel for water browser to carry out hauling and spreading 50ltrs/day for 4 days estimated at 620,000 and Item PM2.7 Fuel for the SEO to visit for a day 15 ltrs/day estimated at UGX 46,500 for the Periodic Maintenance of Numungalwe-Nabitende Road (5km) Phase 1. It also had Environment & Social certification form dated 27th March 2018 stamped & signed by the SEO (Samanya Abdul) only without the CDO. Social issues not certified by CDO. Item PM10.1 Bill boards at UGX 500,000 and Item PM10.2 Sign posts at UGX 1,600,000. The Actual total cost of mitigation was UGX 6,300,000 as indicated in certification form, by SEO.

In the BoQs, Item PM2.3: Fuel for water browser to carry out hauling and spreading 40ltrs/day for 4 days estimated at 660,000 and Item PM2.7 Fuel for the SEO to visit for a day 15 liters/day estimated at UGX 49,500. Item PM5.3 fuel for bowser for hauling & spraying water for 40liters/day for 4 days at UGX 990,000 for the Periodic Maintenance of Nabitende-Bugono Road (5km) Phase 2. It also had Environment & Social certification form dated 29th June 2018 signed by the SEO (Samanya Abdul) only without the CDO. Social issues not certified by CDO. Item PM10.1 Bill boards at UGX 500,000 and Item PM10.2 Sign posts at UGX 1,600,000. The actual Total cost of mitigation was UGX 5,900,000 as indicated in Environment & Social certification form dated 29th June 2018 signed by the SEO.

Item 1(a) Fuel to transport officials to site at UGX 64,600. In the BoQs, Item 4(e): Fuel for browser for 5 days estimated at 775,200 and Item 6(h) Porters/Labourers/unsilled to do offshoots estimated at UGX 500,000. Item 7(a): Bill boards at UGX 500,000 but did not specify the variables to be included on site boards for the Improvement of Buligo-Walugogo swamp-Busoga Road. It also had Environment & Social certification form dated 29th June 2018 signed by the SEO (Samanya Abdul) only without the CDO. Social issues not certified by CDO. The actual Total cost of mitigation was UGX 1,839,800 as indicated in Environment & Social certification form dated 7th May 2018 signed by the SEO.

Borehole siting & Drilling supervision of 10 Handpump boreholes Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00014) by LHM Ltd. Its BoQs lacked Environment, social health & safety mitigation measures because it was supervision.

Drilling, Casting and Installation of 10 deep Boreholes (10 Hand pumps) Lot 1 (Proc Ref: IGAN 510/WRKS/2017-18/00031) by Icon Projects Ltd. Its BoQs included Environment protection at the site specifically, it stated that utmost care will be taken whilst handling and storage of all

		<p>drilling fluids oils, greases and fuel on each site to avoid any environmental degradation. Each site will be left completely clean and free of debris and litter. Care will be taken to avoid destroying crops, vegetation and trees. Where necessary written permission will be sought from the concerned.</p> <p>Drilling, Pump testing, casting & Installation of 7 deep Boreholes under Lot 2 (Proc Ref: IGAN 510/WRKS/2017-18/00032) at a cost of UGX 153,439,383. VAT inclusive by Balaj Industries East Africa Ltd. Its BoQs included Environment protection of the sites specifically, it stated that care must be taken in the handling and storage of all drilling fluids oils, greases and fuel on each site to avoid any environmental degradation. The contractor shall dispose off any toxic materials, drilling fluids and other additives, cutting and discharged water in a manner approved by the supervisor so as not to create damage to public and private property and shall adhere to the set of "Environment Guidelines for Drilling & Testing pumping Operations" issued to him by the employer.</p> <p>Partial completion of Perimeter Wall along Finance and Works Departments (Proc Ref: IGAN 510/WRKS/2017-18/00010). Its BoQs did not have environment and social mitigation measures. Though the EMCF dated 4th April 2018 was on file.</p>	
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc..): score 1 	<p>A sampled of five (5) infrastructure projects implemented in FY 2017/18 below indicated the all projects were implemented on land where the LG has proof of ownership (e.g. a land title, agreement, MoU etc..). For example,</p> <p>The 3 infrastructure project that were implemented at Iganga District H/Q were on land where district had proof of ownership, i.e. Certificate of Title (IL/K/1966) dated 15th October 2009 as Freehold Registry Vol 678 Follo 24. The 3 projects are; (</p> <ol style="list-style-type: none"> i. Complete renovation of Natural Resources Office in Central Division at district H/Qs. ii. Complete Fencing of Works/Finance Perimeter Wall in Central Division.at district H/Qs in Central Division. iii. Flash Toilet project in Iganga Hospital Health in Central Division. <p>Roads & Works sector projects under the Force on Account:</p> <p>There were Gravel Purchase Agreements dated 22nd Feb 2018 for 1100m3 at a total of UGX 440,000 and also agreement dated 4th June 2018 for 2700M3 at total of UGX 11,880,000.</p>	<p>1</p>

iv. Periodic Maintenance of Numungalwe-Nabitende Road (5km) Phase 1.

v. Periodic Maintenance of Nabitende-Bugono Road (5km) Phase 2.

vi. Improvement of Bulligo-Walugogo Swamp- Busoga Road.

vii. Graveling of the Compound in front of PDU and CBS departments at the district H/Qs.

Water sector projects: Water project (all the deep Boreholes) had land agreement signed between the land owners and community for the Siting, Drilling, Casting & Installation Boreholes For example.

viii. Land agreement dated 13th Feb 2018 between the land owner and community for construction of water points/sanitation facility at Naibiri village, Kazigo community in Nambale parish. Land size 10x10ft.

ix. Land agreement dated 19th Feb 2018 between the land owner and community for construction of water points/sanitation facility at Ibinda village, Bulugodha community in Namalemba S/C. Land size 10x15ft.

x. Land agreement dated 28th March 2018 between the land owner and community for construction of water points/sanitation facility at Bubenge village, Bubenge community in Igombe S/C. Land size 25x25ft.

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

• Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1

The list of at least five (5) sampled projects below indicated that all completed projects have Environmental and Social Mitigation Certification Forms completed and signed by Environmental Officer only without the CDO.

i. Periodic Maintenance of Numungalwe-Nabitende Road (5km) Phase 1. It also had Environment & Social certification form dated 29th March 2018 signed by the SEO (Samanya Abdul) only without the CDO. Social issues not certified by CDO. Item PM2.7 of BoQs indicated that the SEO was facilitated with fuel 15 litres a day to visit the site at UGX 46,500.

ii. Periodic Maintenance of Nabitende-Bugono Road (5km) Phase 2. It also had Environment & Social certification form dated 29th June 2018 signed by the SEO (Samanya Abdul) only without the CDO. Social issues not certified by CDO. Item PM2.7 of BoQs indicated that the SEO was facilitated with fuel 15ltrs a day to visit the site for a day at UGX 49,500.

iii. Periodic Maintenance of Buligo-Walugogo swamp-Busoga Road. It also had Environment & Social certification form dated 7th May 2018 stamped & signed by the SEO (Samanya Abdul) only without the CDO. Social issues not certified by CDO.

iv. Completion of a Block Perimeter Wall along Finance and Works Department offices. Environment Management Certification Form (EMCF) stamped and signed by the Environment Officer (Najjuma Sarah N) dated 14th May 2018.

v. Construction of a Staff House at 2-unit Mbigiti memorial Technical Institute. EMCF stamped and signed by the Environment Officer (Najjuma Sarah N) dated 14th Feb 2018.

vi. Drilling of 9 Boreholes at Nzinze, Buwoneka, Nabukalu, Bulugodha, Buganza, Bulamagi, Bukyega, Kawete & Butabala. EMCF stamped and signed by the Environment Officer (Najjuma Sarah N) dated 13th June 2018.

vii. Partial completion of Perimeter Wall along Finance and Works Departments (Proc Ref: IGAN 510/WRKS/2017-18/00010).EMCF dated 4th April 2018 was on file signed and stamped by the Environment Officer (EO).

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>Records on file indicated that the contract payment certificate included prior environmental clearance signed and stamped by the Environment Officer (EO) only without social clearance by CDO. The DCDO/CDOs were not involved in clearance of social issues on all infrastructure projects in FY 2017/19.</p>	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>There was no monthly or quarterly activity/monitoring reports on the implementation of mitigation measures on Capital Development Projects signed and stamped by Environment Officer (EO) & CDO. The SEO & CDO did not write any monthly report(s) indicating; a) completed checklists, b) deviations observed with pictures, c) corrective actions taken for all infrastructure projects.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>LG budgeted for a Head Teacher and minimum of 7 teachers per school for the current FY 2018/2019. As presented on file total number of teachers was 1525 for the 99 public and 78 private schools with a wage bill provision of UGX 13,305,652.</p>	4
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>LG deployed a Head Teacher and minimum of 7 teachers per school for the current FY 2018/2019 as observed in the following schools.</p> <p>Bugabwe P/S had 23 teachers, Nakalama P/S 32 teachers observed, Busei C.O.U P/S had 21 teachers, Iganga SDA P/S teachers were 27 teachers and Nakigo P/S were 20 teachers.</p>	4

<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<ul style="list-style-type: none"> • Head teachers approved were 99 and filled 87 gap 12. • Deputy Head teachers approved were 99 filled 85 gap 14. • Senior Education Assistants approved were 99 filled 99, gap 0. • Education Assistants approved were 1267 filled 1247 gap 20. • Wage bill provision was UGX 5,973,838,601. <p>Out of 1564 teacher's that were to be filled, LG filled 1518 teachers with a gap of 46 teachers representing 97% filled teachers.</p>	<p>3</p>
<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>LG staff structure required 2 inspectors of schools i.e. 1 senior inspector of schools and 1 Inspector of schools. LG had filled 1 substantive senior inspector of schools Kwagala Sarah appointed on transfer within service on 18th/07/2018 under minute number 316/2018 that was appointed on transfer basis.</p>	<p>0</p>
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>As presented on file LG Education Department submitted a recruitment plan of 13 Head teachers, 35 Deputy head teacher, 14 and 20 Education Assistants to HRM on 15th/08/2018.</p>	<p>2</p>

<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>As indicated on file LG Education Department submitted a recruitment plan to HRM to fill the positions of 2 inspectors of school on 15th/08/2018.</p>	<p>2</p>
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Monitoring and Inspection

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>There are two inspectors namely;</p> <ol style="list-style-type: none"> 1. Mr. Semuliki Swaibu Magumba. He was appraised by the DEO Mr. Kasadha Baker on 8th July 2018 2. Ms. Itaaga Lydia was appraised by Mr. Kasadha Baker DEO on 8th July 2018. <p>This is 100% appraisal</p>	<p>3</p>
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<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>Evidence from schools list shows that there are 157 primary schools and 127 personnel files and performance reports were availed. This revealed that performance reports were signed showing appraisal, examples are;</p> <ol style="list-style-type: none"> 1. Mpandu David Head Teacher Malobi P/S has performance report signed by Walukosa Herbert Sub County chief on 9th April 2018. 2. Bateera Abendo Head Teacher St. Mulumba P/S has performance report signed by Nakabugo Annet Sub County Chief on 15th July 2018. 3. Wanume Ali Head Teacher Busambira P/S has performance report signed by Kwagala Sarah Sub County chief on 5th Jan 2018. 4. Kitinyo Moses Head Teacher Nawangingi P/S has performance report signed Koli Mikaya Sub County chief on 9th April 2018. 5. Mwesigwa Beatrice Head Teacher Buwerempe P/S has performance report signed by Nabuko Jonathan Sub County chief on 9th April 2018. <p>The appraised 127 Head Teachers out of 157 gives 81% appraisal.</p>
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The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools

Maximum 3 for this performance measure

• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1

As presented on file the following circulars, guidelines and policies were communicated:

Circular dated 26th/03/2018 on enforcing closure of illegal schools, circular No. 8/2017 dated 9th/5/2017 adherence to school calendar. Policy on National integrated early childhood development policy.

Guidelines dated 24th/10/2017 on school charges, the role of schools in promoting routine immunization, National malaria control program, school feeding and nutrition intervention, violence against children in schools, conflict and risk disaster conflict in education institutions in Uganda, teachers guide, training manual on water and sanitation hygiene for schools.

Circulars guidelines and policies were communicated during the following meetings.

Meeting held on 25th/05/2018 under minute 6 communicated school feeding circular, water hygiene and sanitation and PWDs policy.

Meeting that was held on 22nd/09/2017 under minute number 5/HTR/IGGA communicated PLE, Nutrition, teacher presence and time on task.

Meeting held on 1st/02/2018 under minute number 4 communicated school fees structure. Under minute no 6 communicated policy on national strategy to end early marriage and teenage pregnancy.

As observed in the sampled schools the following circulars, guidelines and policies were observed that included: Bugabwe P/S presented all guidelines and policies. Nakigo P/S observed on file circular on enforcement closure of illegal schools, circular no 8 adherence to school calendar and guidelines on school charges. Busei P/S presented on file circular on adherence to school calendar and guidelines to school charges and enforcing closure of schools. Nakalama P/S presented on file guidelines for registration of 2018 PLE candidates, improving head teachers and teachers attendance. Iganga SDA observed no circulars on file only presented guidelines and policies on file.

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>Meeting held on 25th/05/2018 DEO communicated to the head teachers under minute number 06/HDTRS/IGGA about roles of senior men teacher and women teachers, wash water and sanitation guidelines in schools, school feeding guidelines and guidelines on PWDS.</p> <p>Meeting that was held on 22nd/09/2018 under minute number 05/HTR/IGGA DEO communicated to the teachers about nutrition project, teacher presence on duty and PLE program.</p> <p>Meeting held on 1st/02/2018 under minute 6/HTR/IGGA DEO communicated about ending early child marriage and early pregnancy.</p>	<p>0</p>
<p>The LG Education Department has effectively inspected all registered primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>Quarter 1 inspected 100 public schools. Quarter 2 inspected 138 public schools. Quarter 4 inspected 153. Out of 474 schools that were to be inspected for term 1,2 and 3, DIS inspected 391 schools representing (82%).</p> <p>As the 5 sampled schools it was observed on file that schools were inspected once a term and reports produced as observed in the visitors book. For example schools were inspected on the following days:</p> <p>Bugabwe P/S 13th/10/2017, 19th/10/2017, 20th/11/2017, 15th/02/2018, 6th/03/2018, 3rd/04/2018, 17th/04/2018, 25th/04/2018, 4th/06/2018 and 19th/06/2018.</p> <p>Nakalama P/S 24th/04/2018, 4th/06/2018, 5th/06/2018, and 7th/06/2018.</p> <p>Busei P/S 24th/10/2017, 29th/01/2018, 10th /11/2017, 19th/02/2018, 27th/02/2018, 1st /03/2018, 4th/04/2018, 15th/05/2018, and 7th/06/2018.</p> <p>Iganga SDA P/S 20th/07/2017, 14th/07/2017, 24th /08/2017, 5th/10/2017, 22nd/11/2017, 23rd/11/2017, 2nd/01/2018, 2nd/02/2018, 22nd/03/2018, 6th/04/2018, 23rd/04/2018,31st/05/2018, 5th/06/2018.</p> <p>Nakigo P/S 13th/03/2017, 21st/03/2017, 22nd/03/2017, 26th/04/2017, 23rd/06/2017, 21st/03/2018, 21st/03/2018, 5th/06/2018, 6th/07/2018.</p>	<p>8</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>Education departmental meeting held on 27th/06/2018 in the education board room under minute number 3/IDLG/SMDE/June 27,2018, DIS communicated about inspection recommendations (i) Head teachers must be in school for better management (ii)Kuppa tablets to be used in schools, record keeping and even distribution of teachers according to the number of pupils in schools.</p> <p>Meeting that was held on 8th/12/2018 under minute number 02/12/2017 DIS recommended teachers to use curriculum books and guide books, suggested head teachers use team working groups in their schools and head teachers and deputies to support teachers in integrating assessment in teaching.</p> <p>Education Departmental meeting held on 2nd/05/2018 under minute number 02/ILDLG/SMDE/may2, 2018 DIS recommended that head teachers to do peer support supervision, emphasized continuous assessment and District to construct latrines and provide desks.</p>	<p>4</p>
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>LG Education department submitted school inspection reports for quarter1 on 17th/10/2018, quarter2 on 4th/05/2018 and quarter 4 on 3rd /07/2018.</p>	<p>2</p>

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>In Bugabwe P/S inspection report dated 27th/04/2017 head teacher was asked to lobby for more latrine stances and classrooms and also to approve all schemes and lesson plans regularly. Nakigo P/S inspection feedback report dated 25th /07/2017 recommended head teacher to display time table in classrooms and latrine stances to be increased.</p> <p>Busei P/S inspection report dated 19th/02/2018 inspector encouraged P7 teachers to write lesson plans, 10th/11/2017 inspection report DIS recommended head teachers to have a system of counting lesson plans every Friday.</p> <p>Iganga SDA P/S report that was dated 1st/03/2018 DIS emphasized that parents should solicit some funds for feeding children and construct more latrine stances.</p> <p>Nakalama P/S inspection feedback report dated 1st /03/2018 DIS recommended that head teacher should buy portable hand washing facility and report dated 10th/11/2017 recommended that parents to provide requirements for the children.</p>	<p>4</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>As presented on file LG Education Department submitted 99 lists of schools on 7th/08/2018. According to PBS submissions, it was observed that LG submitted 154 lists of schools on 31st/08/2018 for the current FY 2018/2019.</p> <p>However inconsistent submission of data on lists of schools resulted from new Bugwere District that was cut off from Iganga District.</p>	<p>0</p>

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>As presented on file LG Education Department submitted 101362 pupil enrollment on 7th/08/2018. According to PBS submissions, it was observed that LG submitted 104538 on 31st/08/2018 for the current FY 2018/2019</p>	<p>0</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Sectoral Committee meeting held on 21/3/2018: .</p> <ul style="list-style-type: none"> - MIN.3/55/3118 Discussion of department work plans <p>MIN.4/55/3118; Referred the report to Council</p> <p>Sectoral Committee held on 13/12/2017</p> <p>Presentation of Departmental performance reports for 2nd quarter.</p> <p>MIN. 3/55/12/17 EDUCATION</p> <ul style="list-style-type: none"> - P.L.E exams - UACE & UCE- Inspection reports - Teachers performance - Feeding of pupils at school - Development projects in schools - SMC meetings 	<p>2</p>

<p>The LG committee re- sponsible for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>There was evidence that committed presented issues to Council for approval. Council sitting of 21/3/2018. Min. 3/55/3118; Discussion of departmental work plans and budgets FY 2018/19</p> <ul style="list-style-type: none"> - Council to allocate funds for training of teachers and school management committees (SMCs) - Building of teachers houses - Leaners assessment and examinations management 	<p>2</p>
<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (estab- lished, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>Out of 99 primary schools in Iganga District only 97 primary schools submitted SMC reports to the DEO with (98%). As presented in the 5 random sampled SMC school reports submitted to the DEOs office, SMCs held meetings on the following dates. For example:</p> <p>Busei P/S SMC meeting held on 31st/08/2017 under minute number 5/08/2017head teacher presented accountability for term II, meeting held on 27th/03/2018 under minute number 7 Deputy head teacher requested parents to take care of their children and provide them with scholastic materials.</p> <p>Bugabwe P/S meeting that was held on 20th/12/2017, under minute number 5/12/2017 head teacher presented the budget for approval, meeting held on 16th/03/2018 under minute number 5/SMC/2018 head teacher presented the budget estimates for term I, under minute number 03/SMC/2018 head teacher informed members that candidates for 2017 performed fairly well.</p> <p>As for Nakigo P/S, Nakalama P/S, Iganga SDA P/S there was no SMC meetings for the FY 2017/2018 on file.</p> <p>However dates when these reports were submitted to the DEOs office not indicated.</p>	<p>3</p>

<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all schools receiving non-wage recurrent grants <p>e.g. through posting on public notice boards: score 3</p>	<p>LG Education department publicized all the 99 primary schools receiving non-wage recurrent grants through posting on public notice board for quarter 1, 3 and4.</p> <p>However as observed in the 5 sampled schools, only 4 primary schools publicized non recurrent wage grant for public viewing in the head teacher’s office. For example:</p> <p>Bugabwe P/S term I (UGX 2,936,694), term II (2,709,004) and term III (UGX2, 189,221).</p> <p>Nakigo P/S term I (UGX2, 261, 481), term II (UGX 2,065503 and term III (UGX1, 872,256).</p> <p>Busei P/S term I (UGX 3,014,205), term II (UGX 2,727,068) and term III (UGX 3,051,920).</p> <p>Nakalama P/S term I (3,509,114), term II (3,228,999) and term III (3,608,455).</p> <p>However Iganga SDA didn’t publicize inspection reports for public viewing.</p>	<p>0</p>
<p>Procurement and contract management</p>			
<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>Education sector submitted to procurement unit, procurement requisition to procure 3 seator school desks (Muvule or Mahogany) quantity 1242 at a cost of UGX 248,400,000.Reference number igan/510/17-18/00025.</p> <p>However procurement submission dates not indicated</p>	<p>0</p>
<p>Financial management and reporting</p>			

<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>Based on sample of 3, payment requisitions were certified/recommended by DEO on time and as per requisitions (in less than 30 days).</p> <p>Iseluganda Investments Limited –Construction of staff house at Mbigiti</p> <p>Paid Amount- Shs 3,961,413 (Vch 1682) Requisition date- 09 March 2018 DEO Certification date- 10 March 2018</p> <p>Batuli Investments Limited –supply of 90 desks to schools.</p> <p>Requisition date-16 March 2018 Paid Amount- Shs 14,430,433 (Vch 1699) DEO Certification date- 19 March 2018</p> <p>Ngawip Investments Limited – Supply of 306 desks to primary schools.</p> <p>Paid Amount- Shs 46,568,720 (Vch 1684) Requisition date- 21 Feb 2018 DEO Certification date- 20 March 2018</p>	<p>3</p>
<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>The department submitted the annual performance report for the previous FY of all quarter.</p> <p>Q1 submitted on 14th /03/2018</p> <p>Q2 submitted on 16th /03/2018</p> <p>Q3 submitted on 17th /08/2018</p> <p>Q4 submitted on 03rd /09/2018</p>	<p>0</p>

<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>The Education department had audit queries in the 2017/18 Internal Audit Reports. There was no sector letter to the CFO/IA addressing the queries.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>There was no evidence on file to show that LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills.</p>	<p>0</p>

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>LG Education department in collaboration with gender department didn't issue and explained guidelines on how to manage sanitation for girls and PWDs in primary schools.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>As observed in the 5 sampled schools only 4 schools that met the guidelines on gender composition i.e. a third of SMC members must be female.</p> <p>For example:</p> <p>Bugabwe P/S SMC had 12, 5 females and 7 males.</p> <p>Nakigo P/S SMC members were 12, ladies 5, men 7.</p> <p>Busei P/S SMC members were 12, 2 female and 10 males.</p> <p>Iganga SDA P/S SMC members were 10, 7 men and 3 females.</p> <p>Nakalama P/S SMC members were 12, 3 females and 9 males.</p>	<p>0</p>
<p>LG Education department has ensured that guide- lines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was no evidence on file to show that the Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education</p>	<p>0</p>

<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>The Environmental Officer didn't screen all school infrastructure projects before approval for construction because there were no projects that required screening since the Education Department only procured desks</p>	<p>1</p>
<p>LG Education department has ensured that guide- lines on environmental management are dissemi- nated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 1 	<p>The environmental officer and community development officer didn't visit the sites to check whether the mitigation plans are complied with since the Education department didn't have any project that required site visits.</p>	<p>1</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>The wage IPFs for FY 2018/19 for Iganga DLG (510) was available and the PHC wage recurrent was UGX 4,899,128,000 while non-wage was UGX, 514, 441, 000. Total recurrent was UGX 5,413,570,000.</p> <p>Iganga District approved staff list with PHC wage plan for 2018 were available and submitted on 25/9/2018.</p> <p>The health department health work plan and wage IPFs were available. Over all the percentage of the position filled was 82%.</p> <p>The performance contract generated from the PBS for Iganga DLG showed the health department and was generated on 02/08/2018.</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>A submission letter for the recruitment plan for 2018/19 dated 25th/9/2018 with attachment of staff structure was available.</p>	6

The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted

Maximum 8 points for this performance measure

Evidence that the all health facilities in-charges have been appraised during the previous FY:

- o 100%: score 8
- o 70 – 99%: score 4
- o Below 70%: score 0

There are 42 government health facilities where 26 are Health Centre IIs, 13 Health centre IIIs, 2 Health Centre IVs and 1 general Hospital.

Evidence from the personnel files of the Health Facility In-charges show all of them were appraised and the following examples have been chosen among others;

1. Dr. Muhdho John

Bugono HC IV

Appraised by Dr. Muwanguzi David on 20th June 2018

2. Bukenya Misaki

Nawandala HC III

Appraised by Walukoba SAS on 15th July 2018

3. Mr. Kiige Apolinali

Kisambika HC III

Appraised by Nabulo Eva SAS on 2nd July 2018

Kigenyi Kalimu

Buzaya HC II

Appraised by Walukoba Herbert on 21st June 2018

Namasule Loy

Buyanga HC II

Appraised by Isabirye Richard SAS on 30th June 2018

100% appraisal was performed.

<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>Health workers' list on deployment was available for 2018/19. This was confirmed in all the 5 health facilities sampled.</p> <p>Budget for FY2018/19 was seen both at the DHO's office and the sampled health facilities and staff were deployed according to the budget.</p>	<p>4</p>
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Monitoring and Supervision

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>Evidence of communication of circulars, guidelines and policies from the Ministry of Health by the DHOs office to different in-charges were seen and they include:</p> <p>I. Circular standing instruction no. 05 of 2018 salary structure for FY 2018/19 dated 29/6/2018</p> <p>II. The surge initiative to rapidly increase no. of individuals enrolled on ART dated 24/05/2018.</p> <p>III. Base line assessment for HIV rapid testing sites and testers dated 15/2/2018</p> <p>IV. Data quality assessment at district and health facility level for selected HMIS indicators dated 27/3/2018</p> <p>V. Regional data cleaning/review for data captured in the HMIS/ DHIS2 dated 9/04/2018</p> <p>VI. GAVI full country evaluation dated 13/11/2017</p> <p>VII. Assessment of magnitude and trends of HIV AIDS, TB and Malaria dated 25/10/2017</p> <p>VIII. Destruction of obsolete /expired medicines and health supplies dated 17/8/2017</p> <p>IX. General supply chain guidance on roll out of consolidated guidelines 2016 dated 14/8/2017</p> <p>Guidelines found at DHOs office included:</p> <ul style="list-style-type: none"> Service standards and service delivery 	<p>3</p>
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standards for health

- Breast, childhood, prostate, general , cervical cancer guidelines
- Introduction of Rota virus vaccine into routine immunization

From Iganga general hospital, the guidelines and correspondences seen included:

? Press statement disposal of unwanted medicines from health facilities around the country 12/2/2018.

? Baseline assessment of HIV rapid testing sites and testers dated 15/2/2018

? Procurement of small medical equipment and essential drugs dated 8/2/2018

? Implementation of FY2018/19 procurement plans and cycle orders dated 11/6/2018

? Revised guidelines for PHC allocation of PHC grants FY 2017/18 dated 28/6/2018

? Integrated child days dated 3/10/2017

? Report on pharmaceuticals and health supplies 2016/17 dated 20/7/2017

For Namungalwe HCIII the circulars found the facility included:

Circular dated 24/5/2018 – The surge Initiative communication.

Circular dated 16/5/2018- Explanatory information on potential risk in injectable ARSOBAL.

Circular dated 3/10/2017- mentorship and supervision for increased uptake of viral load testing services

The guidelines that were available at the same health facility included; consolidated HIV guidelines; Health sector quality improvement framework and strategic plan; performance management guidelines; introduction of Rota virus into routine immunization; Uganda clinical guidelines; Guidelines for breast, cervical, prostate cancers; service standards and service delivery standards.

At Nambale HCIII , the guidelines and

circulars found at the facilities included:

- Consolidated guidelines on use of ART drugs
- Service standards and service delivery standards for health sector
- Uganda clinical guidelines, 2016
- Cervical, breast, prostate, childhood cancer guidelines.
- Circular on general supply chain guidance on roll out of consolidated guidelines dated 14/8/2017

At Bugono Health HCIV , the correspondences that were found at the facility included:

? Communication on vehicles dated 25/09/2017

? ICD communication dated 6/9/2017

? General supply guidance on role out of consolidated guidelines 2016 dated 14/8/2017

? Circular on Evaluation of impact of TB and HIV collaborative activities dated 24/10/2017

The guidelines that were available at Bugono included:

- Cancer guidelines
- Health sector quality improvement framework and strategic plan
- Integrated management of Malaria
- Nutrition service delivery guidelines
- Immunization practice in Uganda
- Uganda Malaria reduction strategic plan 2014-20

At Nawandala HCIII the guidelines and circulars that were found at the facility included:

Essential maternal; and new born clinical care guidelines

Service standards and service delivery standards for the health sector

		<p>Uganda clinical guidelines</p> <p>Quality improvement methods- manual for health worker in Uganda</p> <p>Consolidated guidelines on use of ART drugs</p> <p>Cancer information chart for community health workers</p> <p>Prostate, childhood, breast and cervical cancer guidelines</p> <p>Maternal and prenatal death surveillance and response guidelines.</p> <p>Circular on annual service delivery point survey dated 22/6/2018.</p>	
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/MHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<ul style="list-style-type: none"> A signed list of distribution to 6 facilities of distribution of Uganda Reduction strategic plan 2014/2020 monitoring and evaluation plan and addendum to the Uganda National Malaria in pregnancy policy guidelines was available 21/3/2018. Distribution list for cancer guidelines for different in-charges dated 27/6/2018 was available at the DHOs office. A distribution list of the quality improvement framework and strategic plan 205/16- 2019/20 dated 24/6/ 2018. Distribution list to different in-charges of service standards and service delivery standards for the health sector dated 27/6/2018 	<p>3</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Supervision reports for Iganga Hospital and Bugono HCIV available for the 4 quarters included:</p> <p>I. Quarter 01 report dated 10-17 August 2017</p> <p>II. Quarter 02 report dated 19- 23 December 2017</p> <p>III. Quarter 03 report dated 8-10 January 2018</p> <p>IV. Quarter 04 report for April 2018</p> <p>Evidence of support visits from DHOs office for Iganga general hospital included; 7/11/17; 15/12/ 2017; 5/3/2018; 13-15/3/2018; 26/4/2018; 20/6/2018.</p>	<p>3</p>
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<p>The LG Health Department has effectively provided support supervision to district health services</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p>	<p>No evidence available at the DHO's office from the DHT minutes and HSD supervision was seen at the DHO's office.</p>
<p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>However:</p> <p>Iganga general hospital is the Kigulu south health sub district and the support supervision report to the lower health facilities seen were dated; 3/10/2017 for the 1st quarter; 29/12/2017 for the 3rd quarter; 3rd and 4th quarter reports were available but the dates of development were not there.</p> <p>At Namungalwe HCIII evidence of support supervision included visits from HSD included 3/7/2017; 13/12/2017; 22/2/2018;15/3/2018;</p> <p>At Nambale HCIII the HSD and DHO support supervision visits were carried out on 15/3/2018; 6/11/2017;5/12/2017; 13/12/2017;9/01/2018;8/2/2018; 21/2/2018; 20/3/2018; 12/6/2018;</p> <p>HSD supervision reports for lower health facilities by kigulu north health sub-district included: 4th quarter dated 8/6/2018; 16/3/2018.</p> <p>The support supervision from the DHOs office to Bugono HCIV included 7/11/ 2017; 4/12/2017; 13/12/2017; 6/4/2018; 13/6/2018.</p> <p>HSD and DHO support supervision evidence at Nawandala HCIII were confirmed to have been carried out on: 19/7/2017; 30/08/2017; 9/11/2017;1/12/2017; 13/12/2017; 22/2/2018; 13/3/2018; 12/4/2018;14/6/2018.</p>

The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up

Maximum 10 points for this performance measure

- Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4

The support supervision reports and DHT meetings as well as performance review meetings that were duly conducted were seen and read.

The evidence of the discussion of reports for corrective action included:

- DHT meeting minutes dated 28/6/2018 included discussion on reports from 4th quarter support supervision (minute 04)
- DHT meeting minutes dated 23/2/2018 included discussion on reports from 3rd quarter support supervision (minute 04)
- DHT meeting minutes dated 13/11/2017 included presentation on report from support supervision regarding helping babies breath (minute 07)
- DHT meeting minutes dated 18/8/2017 included discussion on report from support supervision (minute 07)

<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>Evidence of recommendations followed up activities for corrective action included:</p> <ul style="list-style-type: none"> • In the DHT meeting of 28/6/2018, waste segregation in Bugono HCIV, Namungalo HCII, and Busesa HCIV. It was decided that quality improvement focal person mentors health workers in the facilities on waste segregation and 5As. • In the same meeting there no program for Health education in antenatal clinic and it was decided that senior health educator follows up the issue. • For Busesa HCIV, the meeting recommended follow up of HB estimation for mothers at the health center by lab focal person. • At DHT meeting for 23/2/2018, action point was that DHT members were assigned to supervise a sub-county to supervise nutrition and other areas. • In the DHT meeting 18/8/2017 it was resolved that supervisors (DHT) to remind in-charges to update EPI monitoring charts. The district health inspector was asked to discuss with HUMC at Namungalo HCIII about animals grazing in the health facility compound. 	6
<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>From the performance contract generated from the PBS, a list of facilities receiving PHC grants was availed.</p>	10
<p>Governance, oversight, transparency and accountability</p>			

<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Sectoral Committee on health met and discussed issues of service delivery; in the committee meeting held on 21/3/2018</p> <p>MIN.3/55/3118</p> <p>Discussion of health work plans</p> <p>Sectoral Committee held on 13/12/2017</p> <p>Presentation of Departmental performance reports for 2nd quarter.</p> <p>MIN. 3/55/12/17 HEALTH</p>	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>Sectoral Committee on health presented issues to council for approval;</p> <p>Committee sitting on 21/03/201</p> <p>MIN.4/55/3118: Referred the report to council: issue contained:</p> <ul style="list-style-type: none"> HUMCS Allocate funds to build HWs houses Allocation of funds to office recurrent requirements in addition to high indebtedness for UMEME bills to tune of shs. 250m and water bills standing at shs. 50m. Need to recruit more health workers for the sector <p>Committee recommended work plans and budgets for FY 2018/19 to Council for approval. Which Council considered on 30th/05/2018</p>	<p>2</p>
<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> If 100% of randomly sampled facilities: score 6 If 80-99 %: score 4 	<p>HUMC minutes for the 5 sampled health facilities included:</p> <p>Iganga hospital: HUMC meetings with signed minutes were available and dated:</p> <p>HUMC 4th quarter minutes dated 12/6/2018 and discussed hospital land issues.</p> <p>HUMC 4th quarter minutes dated 31/5/2018 and discussed hospital land issue</p>	<p>6</p>

<ul style="list-style-type: none"> • If 70-79: %: score 2 • If less than 70%: score 0 	<p>HUMC 3rd quarter minutes dated 22/3/2018 and discussed was the budget estimates</p> <p>HUMC 2nd quarter minutes dated 27/10/2017 and discussed situation analysis of hospital</p> <p>1st quarter meeting was not held because budget releases are always late.</p> <p>Namungalwe HCIII the HUMC minutes included;</p> <p>1st quarter HUMC meeting minutes dated 14/07/2017 which discussed security.</p> <p>2nd quarter HUMC meeting minutes dated 13/10/2017 which discussed equipment that were out function.</p> <p>3rd quarter HUMC meeting minutes dated 27/01/2018 which discussed electricity for the facility.</p> <p>4th quarter HUMC meeting minutes dated 19/4/2018 which discussed service delivery issue- facility vehicle.</p> <p>At Nambale HCIII, the HUMC minutes found at the facility that were accessed Included:</p> <p>1st quarter HUMC meeting minutes dated 10/09/2017 which discussed lighting of the facility</p> <p>2nd quarter HUMC meeting minutes dated 20/12/2017 which discussed immunization</p> <p>3rd quarter HUMC meeting minutes dated 19/03/2018 which discussed Hepatitis B vaccination</p> <p>4th quarter HUMC meeting minutes dated 1/6/2018 which discussed renovation of the building and separation of wards for male and female, construction of staff house and immunization.</p> <p>At Bugono HCIV, the HUMC minutes were available and they included:</p> <p>1st quarter HUMC meeting minutes dated 16/10/2017 which discussed election of chairperson, water issues, fencing the facility</p> <p>2nd quarter HUMC meeting minutes dated 01/01/2018 which discussed staff inadequacy, work-plans, blood transportation and staff late coming.</p>
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		<p>3rd quarter HUMC meeting minutes dated 4th /03/2018 which discussed facility fencing, supply of drugs to facility, sanitation and latrine coverage</p> <p>4th quarter HUMC meeting minutes dated 30/5/2018 which discussed the budget for drugs, inadequate drugs, trespassing, and accommodation for health workers.</p> <p>Evidence of HUMC meeting minutes at Nawandala HCIII included:</p> <p>1st quarter HUMC meeting minutes dated 14/8/2017 which discussed drug stock out, testing kits, weekly data summaries and staff absenteeism.</p> <p>2nd quarter HUMC meeting minutes dated 04/12/2017 which discussed outreaches and their effectiveness, filling of registers and immunization</p> <p>3rd quarter HUMC meeting minutes dated 9th /03/2018 which discussed lab incompetence, solar power at the facility among others.</p> <p>4th quarter HUMC meeting minutes dated 5/7/2018 which discussed immunization, waiting time for mothers, screening of children below 1 year among others.</p>	
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>The noticeboard of health department, CAOs noticeboard and Finance noticeboards had publicized all facilities receiving PHC grants together with the grant accountabilities.</p>	<p>4</p>
<p>Procurement and contract management</p>			

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The submission was generated in September which was beyond the stipulated submission date.</p>	<p>0</p>
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>Procurement requests for the 1st quarter were available</p>	<p>2</p>

The LG Health department has certified and initiated payment for supplies on time

Maximum 4 for this performance measure

- Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4.

Based on sample of 4, payment requisitions were certified/recommended by DHO on time as evidenced below;

Iseluganda Investment Company Limited (IGAN/510/WRKS/17-18/00010)- facilitation for repair of flush toilet in Iganga Hospital.

Paid Amount- Shs 8,565,200
Requisition date- 20 May 2018
Certificate date-20 May 2018
DHO Certification date- 20 May 2018

Muwanguzi David (DHO)-construction of drainable latrines in 5 Health facilities

Paid Amount- Shs 66,110,000
Requisition date- 17 Oct 2017
DHO Certification date- 17 Oct 2017

Muwanguzi David (DHO)-construction of drainable latrines in 5 schools

Paid Amount- Shs 126,712,000
Requisition date- 17 Oct 2017
DHO Certification date- 17 Oct 2017

Eastwood Techno Enterprises Uganda Limited(IGAN/510/WRKS/17-18/00022 – Renovation of Maternity ward at Lubira Health Centre

Paid Amount- Shs 17,030,311
Requisition date- 12 July 2017
DHO Certification date- 04 Sept 2017

(Contract stated that payment was to be effected immediately the certificate was issued for works done and approved by engineer).

NB: Most of the works done in Health department were done by the DHO. Payments were made to the DHO who in return disbursed to several workers. No official suppliers with agreements were offered contracts.

The difference between requisition amount and paid amount is the deduction of WHT & retention for some suppliers.

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>Q1 submitted on 14th /03/2018</p> <p>Q2 submitted on 16th /03/2018</p> <p>Q3 submitted on 17th /08/2018</p> <p>Q4 submitted on 03rd /09/2018</p>	<p>0</p>
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>The Health department had only 1 issue in the 3rd quarter report (4.15.1). PAC had not reviewed the reports and there were no documents put to file by the PAC Secretary/Accounting Officer. There was no sector letter to the CFO/IA addressing the query.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 <p>% women: score 2</p>	<p>Guidelines for HUMC guidelines were available at the DHOs office and the sampled health facilities as well as the HUMC lists for all the Health facilities.</p> <p>Examples of the HUMC gender composition is as follows:</p> <p>Iganga hospital had HUMC in place and 3/9 members were women (33%).</p> <p>HUMC was in place at Namungalwe HCIII and 3/7 members are women 3/7 (43%)</p> <p>At Nambale HCIII HUMC was in place and there and 3/8 were women (38%)</p> <p>At Bugono HCIII, HUMC was in place and 2/9 members were women (22%)</p> <p>At Nawandala HCIII HUMC was in existence and 1/6 members were women (17%)</p> <p>Over all the gender percentage in HUMC for Iganga DLG sampled facilities was 31%</p> <p>HUMC guidelines as well as a list of HUMC members were available for all the 5 facilities visited.</p>	<p>2</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>Sanitation guidelines for operation and management of lined pit latrines at facilities were NOT available in all facilities that were visited.</p>	<p>0</p>

<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>No screening documents were seen.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2 	<p>No site visit reports were seen</p>	<p>0</p>

<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4. 	<p>A copy of infection control policies and procedures was seen as well as waste segregation charts.</p> <p>Iganga Hospital had the waste segregation charts; The Uganda National infection prevention and control guidelines; and Making medical injections safer (MMIS) projects- approaches to health care waste management.</p> <p>At Namungalwe HCIII, waste segregation charts were in labs, OPD and injection rooms.</p> <p>At Nambale HCIII, medical waste segregation charts were available at different service centers.</p> <p>At Bugodo HCIV, there were no waste segregation guidelines seen.</p> <p>At Nawandala HCIII, waste segregation charts were available in different service centers.</p>	<p>4</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>According to the updated District safe water coverage as of September 2018, Iganga District had a safe water coverage of 70%. Sub-counties that were reported to be below the district average included Nabitende sub-county with 67%, Nawanyingi with 58%, Nakalama sub-county at 56% and Nambale sub-county at 51%. According to the Annual Work plan and Budget 2018/19 page 11 the department planned for four (4) major projects that included; Drilling of sixteen (16) deep boreholes at a cost of UGX 377,007,409/=, Protection of one spring at Busei village in Nakalama sub-county at a cost of UGX 3,885,000/=, Construction of a piped water scheme at Nawandala RGC at accost of UGX60,000,000/= and construction of 4-stance lined pit latrine at Bugono RGC in Nabitende sub-county at a cost of 22,375,600/=. All the 51 sub-counties below the district average were targeted. From the LG water department budget, out of 463,268,009/=, 214,764,304/= targeted low coverage sub-counties representing 46%.</p>	0

<p>The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY. <ul style="list-style-type: none"> o If 100 % of the water projects are implemented in the targeted S/Cs: Score 15 o If 80-99%: Score 10 o If 60-79: Score 5 o If below 60 %: Score 0 	<p>From the Annual Progress Report 2017/18 page 10, the projects implemented by the LG Water department included; drilling of 17 deep bore holes of which only 15 were successful at a cost of UGX 391,000,000/=, feasibility study and detailed design for piped water scheme at Nawandala RGC at a cost of 60,000,000/= and protection of one (01) spring well at Nabitovu village in Nambaale sub-county. It should be noted that in FY 2017/18 Nakalama sub-county with safe water coverage of 58% did not get any water project in that financial year yet it was below district average. Out of the 4 sub-counties below average, 3 were targeted representing 75%</p>	
Monitoring and Supervision			

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>There was evidence that the LG Water department monitored each of the WSS in financial year 2017/18. Supervision and inspection reports filed included;</p> <p>An inspection report on the construction of a spring well at Nabitovu village in Nambale sub-county. The report was compiled by Assistant Engineering Officer-Water addressed to the DWO. The report pointed out satisfactory works and water was reported to be flowing at a discharge of 0.72m³/s. Attached was a standard assessment form from the ministry of Water and Environment.</p> <p>Inspection report on the drilling of deep boreholes in Iganga district and supervision activities. The report covered the progress of 17 drilled boreholes and other WATSAN activities. It was dated 13th/05/2018</p> <p>Dated 10th/06/2018, report on consultancy services for sitting, design and drilling supervision of Lot 2 boreholes by Fels Consultants. The report was compiled by the DWO addressed to CAO</p> <p>Supervision report on drilling of 10 deep boreholes by ICON PROJECTS Ltd under procurement Ref.No: Igan 510/wrks/17-18/00031 compiled by DWO. All the monitoring and inspection reports reviewed matched the timelines indicated in the monitoring work plan for water and sanitation activities 2017/18 prepared by the District Water Officer and approved by the Head of Department on 21st/07/2017</p>	<p>15</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>There was noticed inconsistency between data submitted in the MIS reports at the Ministry of Water and information reviewed in the PBS and Performance Agreement signed between Head of Department and CAO. Well as in the MIS report 17 deep boreholes were reported to have been drilled, data in the Performance Agreement indicated 16 boreholes drilled. The justification given was that under Lot 1 ICON Projects Ltd the contractor was put on notice to drill the remaining well and communication to that effect was made by the Accounting Officer.</p>	<p>0</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>List of water facilities included;</p> <p>Buyaga (1) Ibukula (2) Igombe (1) Namungaalo (2), Nawandala (2) Nawanyingi (2) Namalemba (1) Makuutu (1) Nakigo (2) Nambaale (2)</p>	<p>5</p>
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Procurement and contract management

<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>The LG Water department had submitted inputs for the District Procurement plan to PDU on 21st/03/2017. Request was made using the User department procurement plan for supplies, works, non-consultancy and consultancy services form approved by Head of Department and received by Head of Procurement.</p>	<p>4</p>
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<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>From project files, there was evidence of appointment of contract managers. For example, there was an appointment letter of M/S Wilberforce Mbatya (Ag. District Engineer) as contract Manager for drilling of 10 boreholes under Lot 1 and feasibility study and design of piped water system. Letter signed by CAO on 3rd/01/2018. Appointment letter of M/S Nkoobe Ndikudemu (Assistant Engineering Officer-water) as contract manager for sitting, drilling supervision of 7 boreholes under Lot 2. Reviewed contract implementation plans however, there was inconsistency between the numbers of bore holes indicated in contract letter for Lot 2 and the plan. Observed was the failure to comprehensively fill the implementation plan (indicating timelines for deliverables) and failure to sign and date the presented plans by the time of the assessment</p>	<p>0</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<p>From the sampled water facilities, there was adherence to the designs in the BOQs These facilities included Nakigo village water source, Bulamagi water source, Buwoireko village water source, Nsinze village water source and Kawete village water source. These facilities had platform diameter of 1850mm, with a length of drainage channel totalling to 10m with thickness of 100mm. The standing slub measuring 600mm x 600mm. The pump head was made of steal. This was in conformity to the specifications of the BOQs</p>	<p>2</p>
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>By the time of the assessment, contractors had not handed over water projects to the district. There were no handover reports reviewed. It was reported that some of these contractors had pending works.</p>	<p>0</p>

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>The DWO appropriately certified all WSS projects for example certificate No:2 for contract No: Igan 510/srvcs/17-18/00013 dated 11/06/2018 was issued to LHM Ground Water Exploration and Geo Mapping Services Ltd for sitting and drilling supervision of 10 deep boreholes.</p> <p>Certificate No1 Ref: igan510/wrks/17-18/00031 issued on 11/06/218 to ICON PROJECTS Ltd for drilling, casting and installation of 10 deep boreholes. However, there were no completion reports prepared and filled by the DWO by the time of assessment.</p>	<p>0</p>
<p>The district Water depart- ment has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>The LG Water department certified and initiated payments for works on time as evidenced below from a sample of 4 supplier payments.</p> <p>Icon projects Limited –Drilling, casting and installation of 9 boreholes.</p> <p>Paid Amount- Shs 197,574,539 Requisition date- 08 June 2018 DWO Certification date- 11 June 2018</p> <p>Sags Geo Consults (Igan510/Srvc/17-18/00016)- Feasibility study for detailed engineering design on Nawandala piped water and sanitation project.</p> <p>Paid Amount-Shs 35,840,000 Requisition date- 20 March 2018 DWO Certification date- 20 March 2018</p> <p>LHM ground water exploration and Geo mapping services (Igan510/Srvcs/17-18/00013)- Siting, drilling and supervision of 10 deep boreholes</p> <p>Paid Amount-Shs 11,576,313 Requisition date- 28 Feb 2018 DWO Certification date- 20 March 2018</p> <p>Iseluganda General Consultants- works on septic tank and repair of water office.</p> <p>Paid Amount-Shs 5,103,260 Requisition date- 11 June 2018 DWO Certification date- 11 June 2018</p> <p>The District Engineer was the Acting Water Officer who certified and Recommended payments in less than a month after requisition. The contracts availed did not stipulate the payment terms but rather payments were to be made after certification of works followed by a requisition.</p>	<p>3</p>

Financial management and reporting			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Plan- ning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The LG Water department submitted Fourth quarter report beyond the stipulated time frame. The Annual Progress Report was submitted on 25th/July/2018</p> <p>Quarter 4 was submitted on 25th/July/2018</p> <p>Quarter 3 was submitted on 10th/April/2018</p> <p>Quarter 2 was submitted on 12th/January/2018</p> <p>Quarter 1 was submitted on 13th/ October/2017</p>	<p>0</p>
<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>The water department had no audit queries in the quarterly reports of 2017/18.</p>	<p>5</p>
<p>Governance, oversight, transparency and accountability</p>			

<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>Sectoral Committee sitting on 10th /10/2017: Presentation of 1st Quarter performance reports. MIN. 31/WKS/10117</p> <ul style="list-style-type: none"> Funds received Works undertaken <p>Presentation of 2nd Quarter performance reports</p> <p>MIN.3/WKS/12/17</p> <ul style="list-style-type: none"> Funds received <p>Physical performance</p> <p>Committee sitting of 15th/03/2018: Presentation and discussion of work plans and budgets FY 2018/19 MIN. 3/WKS/12/2018</p>	<p>3</p>
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>Sectoral Committee sitting of 15th /03/2018; recommended work plans and budgets FY 2018/19 for approval. Which Council considered 30th/05/2018</p>	<p>3</p>

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>The Annual Work plan, budget and grant releases and expenditures had been displayed on the department notice board. Reviewed was also minutes dated 02/07/2018 of advocacy meetings with sub-county leaders. Among the issues discussed were technological options, critical requirements, issues of Operations and Maintenance and allocations per sub-county and justifications for the allocations.</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>From the sampled water projects constructed in FY 2017/18 including Nakigo village source- DWD 67684, Bulamagi water source- DWD 69321, Bwoireko village water source-DWD 69310, Nsinze village water source - DWD 69317 and Katwete village water source- 69323. These facilities had dates of construction, names of contractors, source of funding and source name correctly indicated.</p>	<p>2</p>
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>Information on tenders and contract awards indicating contractor name, contract and contract sum was displayed.</p>	<p>2</p>

<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was evidence that communities apply for water/public sanitation facilities as per the sector critical requirements. For example, application letter of residents of Bugumula village, Nambaale sub-county dated 13th/01/2017 was among the reviewed letters from the community application file. The letter had an attachment of evidence of payment of 200,000/= as community contribution. Receipt No: RECT-7565, Serial No:00048222 dated 10th /02/2017</p>	<p>1</p>
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii) carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>From the software reports, functionality of the committees was high. During field visits, all sampled water sources had functional committees and all water sources were properly protected with evidence of collection of user fees for minor repairs.</p>	<p>2</p>
<p>Social and environmental safeguards</p>			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was no proof of environmental screening of all water projects implemented in FY 2017/18. Screening templates were not filled.</p>	<p>0</p>

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>Environment follow-ups were not conducted. There was no baseline since all water projects were not screened as per templates.</p>	<p>0</p>
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>Construction and supervision contracts had clauses on environment protection for instance the signed between Iganga District Local Government and ICON PROJECTS Ltd (Lot 1) has a section on environmental guidelines to be followed. It also stipulated site clearing and removal of debris, wastes and other hydrocarbons at the end of the contract.</p>	<p>1</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>Review of the LG Water department software component report, it was noted that most committees in the district lacked the required 50% composition of women. It was noticed that emphasis was only put on ensuring that a woman occupies a key position on the committee.</p>	<p>0</p>

<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>The three sampled public sanitation facilities included the two stance pit latrine at the LG Water department, the Public latrine at the works yard and 4-stance VIP latrine at Iganga Municipal Council. All the three facilities did not have earmarked stances for both women and men and only the Public latrine at Works Yard had provision for People with Disabilities.</p>	<p>0</p>
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