



Local Government Performance Assessment

Kole District

(Vote Code: 607)

Assessment	Scores
Accountability Requirements	50%
Crosscutting Performance Measures	51%
Educational Performance Measures	64%
Health Performance Measures	62%
Water Performance Measures	69%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract			
<p>LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.</p>	<ul style="list-style-type: none"> • From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> o If LG submitted before or by due date, then state 'compliant' o If LG had not submitted or submitted later than the due date, state 'non-compliant' • From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Kole District LG had submitted the Annual Performance Contract for FY 2018/19 on 1st August 2018, the last day set by MoFPED for submission. The District was therefore compliant with the directive issued by the responsible Ministry.</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available			
<p>LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).</p>	<ul style="list-style-type: none"> • From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> o The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The District LG had submitted an approved Budget that included a Procurement Plan for the forthcoming FY 2018/19 August 1st, 2018. Being the last date set for submission, the District was compliant with the directive issued by the responsible Ministry.</p>	Yes
Reporting: submission of annual and quarterly budget performance reports			
<p>LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)</p>	<p>From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:</p> <ul style="list-style-type: none"> • If LG submitted report to MoFPED in time, then it is compliant • If LG submitted late or did not submit, then it is not compliant 	<p>The Annual Performance Report for the FY 2017/2018 was submitted to MoFPED on 1st August 2018. This submission was contrary to the PFMA Act, 2015 which requires LGs to make submissions on or before 31st July hence marked non-compliant.</p>	No

<p>LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).</p>	<p>From MoFPED's official record/ inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:</p> <ul style="list-style-type: none"> If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available). If LG submitted late or did not submit at all, then it is not compliant. 	<p>Submission of quarterly budget performance reports during FY 2017/2018 as follows:</p> <table border="1"> <thead> <tr> <th>Quarter of submission Reference</th> <th>Date</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>19th December, 2017</td> </tr> <tr> <td>None</td> <td>None</td> </tr> <tr> <td>Quarter 2</td> <td>11th May, 2018</td> </tr> <tr> <td>None</td> <td>None</td> </tr> <tr> <td>Quarter 3</td> <td>Not seen</td> </tr> <tr> <td>Quarter 4</td> <td>Not seen</td> </tr> </tbody> </table> <p>The budget performance report 1st and 2nd quarters were made late. According to the submission schedule obtained from the MoFPED by the assessment date, the LG had not submitted reports for 3rd and 4th quarters. Consequently, the District was not compliant in this aspect.</p>	Quarter of submission Reference	Date	Quarter 1	19th December, 2017	None	None	Quarter 2	11th May, 2018	None	None	Quarter 3	Not seen	Quarter 4	Not seen	<p>No</p>
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Audit

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.</p>	<p>From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",</p> <p>Check:</p> <ul style="list-style-type: none"> If LG submitted a 'Response' (and provide details), then it is compliant If LG did not submit a 'response', then it is non-compliant If there is a response for all –LG is compliant If there are partial or not all issues responded to – LG is not compliant. 	<p>By the time of this assessment, there was no evidence that Kole LG had provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General's findings for the previous financial year 2016/2017 by end of February, 2018:</p>	<p>No</p>
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The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.

Kole DLG obtained a Qualified "Except For" Audit Opinion for FY 2017/2018

Yes

Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>The LG had a Physical Planning Committee appointed by CAO on un referenced letter dated 24th February, 2014. The following were members on the Committee;</p> <ol style="list-style-type: none"> 1. Ms Caroline Akot, Physical Planner, Secretary 2. Mr OkoremTom, DEO- Member 3. Mr Ogwal Abraham- Member <p>The following were sitting on the committee but PAT was unable to see their appointment letters at time of assessment:</p> <ol style="list-style-type: none"> 4. Mr Ogwang Moses, Asst. DHO 5. Mr Atine Leo, Asst. CDO 6. Mr Okello Emmanuel, District Engineer Roads 7. Mr Ochieng Patrick, District Engineer Water 8. Ms Suzan Adong, Asst. CAO 9. Mr Oleng Geoffrey, Environ. Officer 10. Mr Odur James Peter, Asst. TC <p>Similarly, the committee was not fully constituted as it fell short of the following membership:</p> <ol style="list-style-type: none"> 1, District Architect 2. District Surveyor 3. Physical Planner in private practice and this contradicted with section 9 of the Physical Planning Act 2010. 	0

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1. 	<p>The following sets of minutes of the Physical Planning Committee had been submitted to MoLHUD:</p> <table border="1"> <thead> <tr> <th>Qtr.</th> <th>Date of meeting</th> <th>Submission date to MoLHUD</th> </tr> </thead> <tbody> <tr> <td>1st</td> <td>02/08/2017</td> <td>10/12/2017</td> </tr> <tr> <td>2nd</td> <td>(Minutes not availed)</td> <td>_</td> </tr> <tr> <td>3rd</td> <td>01/03/2018</td> <td>20/03/2018</td> </tr> <tr> <td>4th</td> <td>(Committee did not sit)</td> <td>_</td> </tr> </tbody> </table> <p>Functionality of the committee was irregular.</p>	Qtr.	Date of meeting	Submission date to MoLHUD	1st	02/08/2017	10/12/2017	2nd	(Minutes not availed)	_	3rd	01/03/2018	20/03/2018	4th	(Committee did not sit)	_	<p>0</p>
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<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>The District did not have in place a Physical Development Plan. Therefore consistency of infrastructures and the plan could not be established.</p>	<p>0</p>															

<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> Action area plan prepared for the previous FY: score 1 or else 0 	<p>Action area plans for three trading centres were prepared and reviewed by PA Team. The Centres were Alemi, Konamorlwel and Aboke Town.</p>	<p>1</p>
<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	<p>Priorities in the AWP were based on the outcomes of the budget conference which was held on 3rd November, 2017. The following are examples of the relationship:</p> <ol style="list-style-type: none"> In the AWP there was mobilisation and strengthen capacity of 10 farmer institutions and service providers, page 42 at a cost of shs.209,122,000. Derived from objective 1 in production and marketing where output is Agricultural Extension Services. Construction of medical and non-medical buildings e.g. DHO. Provided for as a resolution as renovation of buildings in Health sector at a cost of hs.586,335,000 on page 58. Maintenance of 180 km of District road through routine and periodical maintenance at a cost of shs.391,199,000 on page 71. Linked to URF and RFI and DDEG provisions. Urban and community access road in all sub-counties of the District e.g. i. In Ayer S/C at a cost of shs.30,861,000 as provided on page 128, ii. In Alito S/C at a cost of shs.33,273,000 as provided on page 143 	<p>2</p>

<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p> <p>Maximum 5 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was approved by the Council. Score 1. 	<p>The capital investments in the approved Annual Work Plan for the current FY 2018/2019 were derived from the approved Five-Year Development Plan as shown on pages below in the following examples:</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Investment In AWP</th> <th style="text-align: center;">5 Yr DDP</th> </tr> </thead> <tbody> <tr> <td>One Public latrine constructed in UGC and 5 stance at Abilonino trading centre</td> <td></td> </tr> <tr> <td style="text-align: center;">79</td> <td style="text-align: center;">58</td> </tr> <tr> <td>4 classrooms constructed at Ayamo and Okwerodot P/Ss and 16 59classrooms completed at Olip, Apii and Baraminyda P/Ss</td> <td></td> </tr> <tr> <td style="text-align: center;">59</td> <td style="text-align: center;">69</td> </tr> <tr> <td>29 km of community access roads to be graded and swamps raised</td> <td></td> </tr> <tr> <td style="text-align: center;">70</td> <td style="text-align: center;">98</td> </tr> <tr> <td>Construction and renovation medical and non-medical buildings</td> <td></td> </tr> <tr> <td style="text-align: center;">57</td> <td style="text-align: center;">128</td> </tr> </tbody> </table>	Investment In AWP	5 Yr DDP	One Public latrine constructed in UGC and 5 stance at Abilonino trading centre		79	58	4 classrooms constructed at Ayamo and Okwerodot P/Ss and 16 59classrooms completed at Olip, Apii and Baraminyda P/Ss		59	69	29 km of community access roads to be graded and swamps raised		70	98	Construction and renovation medical and non-medical buildings		57	128	1
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<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1. 	<p>A District Annual Statistical Abstract for 2017/18 in form of loose sheets was on folder (not referenced) dated 14th August, 2017. It was endorsed by Hon. Akoli Beatty Nam, the LC V Chairperson and Mr Monday Stephen, CAO. The document was presented, discussed and adopted by the TPC as a working document to aid planning, budgeting, execution, monitoring and evaluation, in their meeting held on 2nd January, 2018 under Min. 48/01/2018.</p>	1															
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	<p>Infrastructure projects implemented by Kole District in the previous FY 2017/2018 were derived from the Annual Work Plan and Budget approved by the LG Council reference pages in examples given below:</p> <ol style="list-style-type: none"> Completion of one Storey Engineering office block phase III on page 29 in budget and page 57 in the AWP Construction of Basement for container at Aboke HC IV on page 18 of the budget and page 49 of the AWP Construction of a 2 classroom block at Baramindyang P/S on page 23 of budget and page 51 of AWP Construction of 5 stance pit latrine at Wigwa P/S on page 23 of budget and page 51 of AWP Siting, drilling, installation and casting 9 deep boreholes Lot 1, on page 31 of budget and page 60 of AWP. 	2															
<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	<p>There were 29 investment projects on the approved Annual Work Plan for FY 2017/18. Of these 28 were all completed. The 29th one namely Electrical works in blood bank & TB Lab at Aboke HC IV done at shs.2,968,644 was not in the AWP but was an emergency case at the facility as per the memo written by the Coordinator of National Tuberculosis and Leprosy Programme of the Ministry of Health dated 15th September, 2017.</p>	4															
<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4</p>	<ul style="list-style-type: none"> Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	<p>Investment projects implemented in FY 2017/18:</p> <table border="1"> <thead> <tr> <th>Project</th> <th>Budget</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>Completion Engineering office block</td> <td>248,750,000</td> <td>245,449,617</td> </tr> <tr> <td>Construction of Basement for container at Aboke H/C IV</td> <td>12,000,000</td> <td>11,788,200</td> </tr> <tr> <td>Construction of latrines at Agwet and Abongodere P/Ss</td> <td>18,000,000</td> <td>17,104,808</td> </tr> <tr> <td>Completion DHO's office Annex block</td> <td>10,000,000</td> <td>10,131,500</td> </tr> </tbody> </table>	Project	Budget	Actual	Completion Engineering office block	248,750,000	245,449,617	Construction of Basement for container at Aboke H/C IV	12,000,000	11,788,200	Construction of latrines at Agwet and Abongodere P/Ss	18,000,000	17,104,808	Completion DHO's office Annex block	10,000,000	10,131,500	0
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points on this Performance Measure.

Tiling production office	14,000,000	13,775,320
Renovation of 2 classrooms at Baramindyang P/S	10,700,000	10,617,900
Construction of 2 classroom and office block at Adellongo P/S	70,800,000	64,817,047
Renovation of 2 classroom block at Abari P/S	24,000,000	22,830,000
Construction of 5 stance latrine at Wigwa P/S	22,420,000	19,001,924
Construction of 5 stance latrine at Apedi P/S	22,420,000	20,464,563
Construction of 5 stance latrine at Aparango P/S	22,420,000	19,500,621
70 seater desks to Apiloguru and Acankado P/Ss	22,420,000	11,400,000
Construction of 5 stance latrine at Acankado	22,420,000	20,151,716
Costruction of 5 stance latrine at Baramindyang P/S	22,420,000	20,786,331
Construction of 5 stance latrine at Ayor P/S	22,420,000	19,817,061
Construction of 5 stance latrine at Ayer P/S	22,420,000	21,589,477
Construction of 5 stance latrine at Ayer TC	20,000,000	19,001,924
Siting, drilling, installation and casting 9 boreholes	222,300,000	158,161,300
Siting, drilling and casting 9 boreholes at Lot 1	222,300,000	144,685,110
Siting, drilling and casting 9 boreholes at Lot 2	222,300,000	144,685,110
Re-sealing of 1.2 km of low sealing from Ayer P/S to Kole TC	130,000,000	128,709,179
Construction of 3 placenta pits at Bung, Ayer and Omoladyang H/Cs III	21,000,000	20,194,461
Installation of solar systems at planning units and Aya H/C II	10,500,000	9,932,200
Electrical works in blood bank aand TB Lab at Aboke H/C IV	3,000,000	2,968,644
Completion of Nursing Tank at Leye Dam	3,000,000	3,000,000
Renovation of Planning Unit	10,000,000	10,807,620
Renovation of Council Hall at Kole HQs	48,500,000	45,458,910
Total	1,500,5100	
	1,236,830,543	

The budget for infrastructure projects for FY 2017/18 was shs.1,500,510,000 while actual implementation cost was shs.1,236,830,543. The variance was 17.5% of the original cost which was above the maximum 15% plus or minus allowable.

<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<p>• Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2</p>	<p>Budget for O&M in FY 2017/2018 Shs. 156,397,120</p> <p>Actual expenditure on O&M during the FY Shs. 145,757,320</p> <p>Percentage 93.1%. Example of the implemented projects under O&M included:</p> <table border="1" data-bbox="502 324 1428 1075"> <thead> <tr> <th>Dept.</th> <th>Activity</th> <th>Budget</th> <th>Actual</th> </tr> </thead> <tbody> <tr> <td>Fin. & Planning</td> <td>Renovation of planning unit</td> <td>10,807,620</td> <td>10,807,620</td> </tr> <tr> <td>Statutory Bodies</td> <td>Renovation of council hall</td> <td></td> <td>45,000,000</td> </tr> <tr> <td></td> <td></td> <td></td> <td>45,000,000</td> </tr> <tr> <td>Pro. & Mkt</td> <td>Renovation of production block</td> <td>18,000,000</td> <td>13,712,000</td> </tr> <tr> <td>Health</td> <td>Renovation of DHO's Office</td> <td></td> <td>10,131,500</td> </tr> <tr> <td></td> <td></td> <td></td> <td>10,131,500</td> </tr> <tr> <td>Educ</td> <td>Renovation of Abari P/S</td> <td></td> <td>22,830,000</td> </tr> <tr> <td></td> <td></td> <td>14,609,000</td> <td></td> </tr> <tr> <td>Water</td> <td>Rehabilitation of 16 borehole</td> <td></td> <td>51,497,200</td> </tr> <tr> <td></td> <td></td> <td>49,628,000</td> <td></td> </tr> <tr> <td colspan="2">Total</td> <td>156,397,120</td> <td>145,757,320</td> </tr> </tbody> </table> <p>These figures were captured from quarterly reports and final accounts under different sectors.</p>	Dept.	Activity	Budget	Actual	Fin. & Planning	Renovation of planning unit	10,807,620	10,807,620	Statutory Bodies	Renovation of council hall		45,000,000				45,000,000	Pro. & Mkt	Renovation of production block	18,000,000	13,712,000	Health	Renovation of DHO's Office		10,131,500				10,131,500	Educ	Renovation of Abari P/S		22,830,000			14,609,000		Water	Rehabilitation of 16 borehole		51,497,200			49,628,000		Total		156,397,120	145,757,320	
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Human Resource Management

<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<p>• Evidence that the LG has filled all HoDs positions substantively: score 3</p>	<p>According to the Staff Structure</p> <p>Approved by council under Min</p> <p>KDC/10/2016, Kole DLG had 11 HODs, but only 3, Education, Health, and Works were substantively filled.</p> <p>The DEO, Mr. Okare Tom, was substantively appointed on 01/08/2013; vide DSC Min No. 313/2013.</p> <p>The DHO, Mr. Eyiiga Jimmy, was substantively appointed on 01/08/2013; vide DSC Min No. 397/2013.</p> <p>The District Engineer, Mr. Atuhairwe James, was substantively appointed on 28/05/2012 under DSC Min No. 94/2012. However, he had been on interdiction since 20/01/2014 due to misappropriation of over 200m.</p> <p>The remaining departments were headed by caretakers, assigned duties by the CAO. For instance, Oluma Denis, a Senior Assistant Secretary, care takes the Statutory Bodies. The other departments included; Finance, Works, Community, Natural Resources, Production, Trade, Commerce and Industry, and the</p> <p>The reason given for failure to fill all positions was insufficient funds for the wage bill.</p>	0
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<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>All the HoDs were appraised through signing of FY 2017/2018 Performance Agreements which were on each individual files. The agreements were clearly endorsed by the CAO, Mr. Stephen Monday.</p> <p>Performance Reports</p> <p>The Assessment Team also noted that the CAO had signed the Annual Performance Reports for all HODs, and had added relevant comments. For instance, the DHO's file had a Performance Report where the CAO, as the assessor, indicated that "the officer was hard working and had managed to appraise all the officers under him" The report was signed by CAO, Mr. Eria Magumba, on 01/07/18.</p>	<p>2</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>The PS, Ministry of Public Service vide a letter referenced ARC 6/293/05 and dated 13/09/2017 cleared recruitment of 32 positions, but only 29 positions were filled. The other 4 were yet to be filled. For instance the Senior Planner, Mr. Obuku Ronald was Appointed on promotion by the DSC at their 92nd meeting that sat on 13/03/18, vide Min. No 102/2018. Others included appointment on probation, eg</p> <p>Alele Dickson Min. No 69/2018 as Engineering Assistant, and</p> <p>Oleny Geoffrey, Min. No 136/2018, as Environment Officer, to mention but a few. These we recruited at various sittings. The interviews ended on the 19/03/18, and the minute extracts were submitted to CAO on 19/04/18.</p> <p>The 3 positions still vacant included District Internal Auditor (DIA), Pool Stenographer, and an Accounts Assistant. Information provided by the PHRO, Mr. Edward Choda, was that the file for the DIA, was misplaced on the day of the interview and so it had to be postponed. The others were due to lack of funds.</p>	<p>0</p>
<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>According to the submission book dated 18/04/18, a total of 22 positions were submitted for confirmation, and were all confirmed by the DSC at its different meetings held during the FY 2017/18.</p> <p>For instance on 15/02/18 the DSC vide Min. No 39/2018 confirmed 1 CDO and 5 Parish Chiefs.</p> <p>On 19/04/18, under Min. No 147/2018 (b), 6 Parish Chiefs, 4 Health Assistants and 1 Stenographer, were confirmed.</p>	<p>1</p>

<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>10 members of staff were submitted for disciplinary action; but only 3 were handled conclusively.</p> <p>Lifting of interdiction.</p> <ol style="list-style-type: none"> Ayo Jennifer, an Accounts Assistant, was interdicted by CAO, Mr. Monday Stephen, on 12/06/17 (Ref: CR/157/4. This was due to alleged forgery and abuse of office. The DSC noted the lifting of her interdiction on 19/04/18 vide Min. No 147/2018 (a), after she had made good of the allegations. Mr. Ojan Egits Tommy, SDO. Had been interdicted due to mismanagement of Youth Livelihood funds according to CAO's letter dated 18/06/16. This was later noted by the DSC on 03/01/18 vide Min. No 07/2018. The DSC noted the lifting of the same on 16/01/18 vide Min. No 15/2018. A letter CR/167/4 lifting the interdiction was given to him by CAO on 20/02/18. Botim Watken Godffrey, an Assistant Inventory Mgt Officer, was interdicted due to alleged theft of assorted hospital drugs. The DSC noted the lifting of the interdiction on 15/02/2018 vide Min. No 39/18 (c) <p>Some of the ther cases included;</p> <ol style="list-style-type: none"> Okello Emmanuel, Senior Assistant Engineering Office, for refund of 39,635,000 as recommended by IGG Owere Bonny, Education Assistant 11, for absenteeism. The IGG recommended severe reprimand. Ecir Bonny, Vector Control Officer, for absenteeism Opio Richard, Senior Fisheries Officer, IGG recommended severe reprimand, for informal sitting of the Contracts Commit. He was the Secretary CC Achola Pauline, Senior assistant Secretary, for informal sitting of the CC, as Chairperson Contracts Committee. 	<p>0</p>
<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>All the 29 staff recruited accessed the pay roll within 2 months. For instance Obuka Ronald (Senior Planner) IPPS 1985320, Alele Dickson (Engineering Assistant) IPPS1025935 and Oleny Geoffrey (Environment Officer)</p> <p>IPPS 1025913 accessed the payroll in August 2018, after being appointed on 01/06/18.</p>	<p>3</p>

<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>32 members of staff retired last FY. Their files were submitted to the Ministry of Public Service on 11/01/18. Some Examples were;</p> <ol style="list-style-type: none"> 1. Akello Grace, Grade 111, IPPS 291251 2. Ojuka Donny Martin, Grade 111, IPPS 291931 3. Owiny Terence, D/H/Teacher Grade 11, IPPS, 291211 <p>By the time of assessment, none had accessed the pension payroll.</p>	<p>0</p>
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Revenue Mobilization

<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% -10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Total of OSR for FY 2016/2017 Shs. 139,752,489 (Page 17 of Audited Final Accounts FY2016/2017)</p> <p>Total of OSR for FY 2017/2018 Shs 175,910,028 Page 20 of the Draft Final Accounts FY 2017/2018.</p> <p>The figures of Local Revenue for FY2016/2017 and FY2017/2018 did not include sale of Assets or any other one off revenue.</p> <p>Increase of Shs. 36,157,539... a Percentage of 26%</p> <p>Reasons for Increase in Local Revenue Collections were as enumerated below</p> <p>The District Local Government mounted sensitization programs about Local Revenue Collections in all sub counties.</p> <p>The District Local Government created new Markets for Selling Cattle and other Animals</p> <p>The District Local Government recruited more staff especially Parish Chiefs who contributed Significantly to payment of Local Service Tax Revenue.</p>	<p>4</p>
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<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Total Local Revenue Planned/Budgeted (Original not Revised budget) for FY 2017/2018 Shs 256,733,000 (Page 3 of the Approved Budget) FY 2017/2018.</p> <p>Total Local Revenue collected during FY 2017/2018 Shs...175,910,028 (page 20) ...</p> <p>Performance 69%</p> <p>Formula for Budget Realisation was as follows:</p> <p>Shs.175,910,028 X 100= 69%</p> <p>Sh. 256,733,000</p> <p>Deficit= 100%-69%= 31%</p> <p>Reasons advanced for the deficit</p> <p>It was an issue of Unrealistic// ambitious budgeting on the part of the Technical Staff in FY2017/2018</p> <p>The Performance Assessment Team mentored the Technical Team about the issue of budgeting what could be collectable in terms of Local Revenue.</p>	<p>0</p>																
<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Local Revenue subjected to sharing with LLGs UGX 108,728,923 (Page 20 Draft Final Accounts FY2017/2018)</p> <p>Amount of local revenue remitted to LLGs Shs.35,500,000(Vr 16/11/17 dated 8th Nov,2017.</p> <p>Calculations:% remitted to LLGS=</p> <p>35,500,00 x 100 = 33%</p> <p>139,752,489 (OSR) FY2016/17</p> <p>Funds remitted to LLGS</p> <table border="0"> <tr> <td>Aboke</td> <td>UGX 6496500</td> </tr> <tr> <td>Akalo</td> <td>UGX3834000</td> </tr> <tr> <td>Alito</td> <td>UGX 5573500</td> </tr> <tr> <td>Ayer</td> <td>UGX 5254000</td> </tr> <tr> <td>Ayer TC</td> <td>UGX 4366500</td> </tr> <tr> <td>Okwerodot</td> <td>UGX 3905,000</td> </tr> <tr> <td>Bala</td> <td>UGX 6070500</td> </tr> <tr> <td></td> <td>35,5000,000</td> </tr> </table> <p>Status of tatus of compliance: 33% against the required 65% supposed to be remitted to LLGS.</p> <p>Actual % remitted to LLGS 33%</p> <p>Deficit= 65% - 33%) = 32%</p>	Aboke	UGX 6496500	Akalo	UGX3834000	Alito	UGX 5573500	Ayer	UGX 5254000	Ayer TC	UGX 4366500	Okwerodot	UGX 3905,000	Bala	UGX 6070500		35,5000,000	<p>0</p>
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<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2 	<p>Total expenditure on council allowances during FY 2017/2018 Shs 24,087,000 as per verified 15 Payment Vouchers on Council Allowances FY 2017/2018</p> <p>Percentage...17%.</p> <p>Council Exp = 24,087,000 X 100</p> <p>OSR 2016/17=139,752,489</p> <p>= 17%</p> <p>Total Council Expenditure on allowances was within the confines of 20% as stipulated under 1st Schedule Section 4 of the Local Governments Act CAP 243 As at 31st December 210</p>	<p>2</p>
<p>Procurement and contract management</p>			
<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<ul style="list-style-type: none"> The District had a substantively appointed Senior Procurement Officer by the name of Aluma Amos Jabo and appointed by direction of DSC Minute No.314/2013 under ref.CR/156/2 dated 1st August, 2013 <p>The LG has a substantively appointed Procurement Officer- Ms Aquir Stella appointed on 28th May, 2012 ref.CR/156/2 under direction of DSC minute no.84/2012. PAT was unable to pick the details of appointment of Procurement Officer because her file was alleged to have been taken by the IGG for investigation. No record was availed to PAT about file taken by the IGG. However, PAT was able to pick appointment details information from her personal copy of the appointment letter. Likewise, the personal file for the Senior procurement Officer was not referenced.</p>	<p>2</p>

<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<ul style="list-style-type: none"> • Evaluation Committees were established to evaluate bids received during the FY in subject. • Re- sealing of 1.2km portion of low cost sealing road from Ayer PS-Kole town round about. Acandyang-Otururao was evaluated on 12th October, 2018 by the following members; <ul style="list-style-type: none"> • Lemo Ekol John- Senior Fishers officer- user end re-chairperson • Ogwal Felix Obala—Financial knowledge Secretary • Aquir Stella-Technical Knowledge ? Odongo Fredrick Bob-Procurement knowledge. ? Evaluation used was technical compliance selection. . ? Two firms were evaluated; M/S EMPA Associates Ltd with Shs.279,303,432, while Ms Roone Engineering Co.Ltd quoted Shs.357,014,900. ? The EC report dated 12th October, 2017 recommended Ms Empa Associated Ltd the least evaluated bidder to be awarded the contract by the Contracts Committee. <p>For supply of 70 3-seater desks to Apii Ogoro and Acankado P/S. This was selective bidding with a value of shs.10,738,000. EC sat 28th September, 2017. Members present were;</p> <ol style="list-style-type: none"> 1. Okello Moses Boyi- End user and Chairperson the Committee 2. Odaga Anthony- offered financial knowledge 3. Ogwal Felix Obala- Technical knowledge 4. Aluma Amos Jabo- Procurement Knowledge. <p>The Firms that evaluated were;</p> <ol style="list-style-type: none"> 1. M/S Apap Go Nanga Mixed Farm and Construction limited quoted shs.10,440,400 2. M/S Joint Friends Investments Ltd quoted shs.11,398,800 3. M/S Braaky Investments Limited quoted shs.10,738,000 <p>EC recommended Braaky Investments Ltd to CC as the best evaluated bidder. The rest of the firms fell out along the way and only M/S Braaky Investments Ltd remained till the end</p>
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<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee in its meeting held on 19th April, 2018 upheld the recommendations of the EC and through minute KOLE607/WKS/2017-8/000</p> <p>Awarded the contract to Ms. Empa Associates Ltd at a total cost of Shs.128,709,179 .</p> <p>Similarly, in meeting held on 19th October, 2017, the Contracts Committee upheld the recommendations of the Evaluation Committee to supply of 70- 3 Seater desks by awarding the Contract to M/S Braaky Investments Ltd at shs. 10,738,000 under minute no. Min.4/10/2017</p>	<p>1</p>
<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<ul style="list-style-type: none"> The FY 2018/19 Procurement and Disposal Plan, endorsed by the CAO and dated 12th July, 2018 incorporated all the infrastructure projects as captured in the AWP for example; on page 1 of the Procurement and Disposal Plan; the construction of 5-stance drainage latrine at Angac P/S, Agwet P/S, Abongodero Girls P/S at a cost of Shs.20,060,000 each. The same project appeared on page 60 of the AWP at UGX 190,537,000 for the three Schools. Another project captured in the Procurement Plan was the Major Rehabilitation of 12 non-functional boreholes on page 6 budgeted for Shs.48m. This project also appeared in the AWP on page 79 with a consolidated budget of shs.503,134,000 The FY 2017/18 Procurement and Disposal Plan signed by CAO and dated 28th November, 2017 contained all infrastructure projects that were reflected in the AWP of the same FY. For example; completion of one storey Eng. Office block phase III numbered 84 in the Procurement Plan at shs.250m also appearing on page 57 of AWP at shs.250m, construction of placenta pits at Ayer, Bungu and Omoladyang HCIs captured under item 130 in the Plan budgeted at Shs.12,000,000 also incorporated into page 49 of AWP budgeted at Shs.53,122,000. 	<p>2</p>
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/ infrastructure by August 30: score 2 	<p>No bid documents for FY 2018/19 had been prepared by the LG at the time of assessment.</p>	<p>0</p>

<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>The contract register carried procurement subjects on pages serialized 927-931 for FY 2013/2014. All the procurements within the FY were clearly captured with details like; procurement reference .number, subject of procurement, method of procurement, provider, date of award, contract value, estimated contract value and procurement status..</p> <p>Examples procurements;</p> <ul style="list-style-type: none"> • Supply of executive furniture worth shs.14,088,750 under selective bidding on page serialized 927 in the contracts register; • Low cost maintenance under Ref.no.Kole607/wrk/2017-18/00059 with a contract value of Shs.225,709,179 • Renovation of planning unit office under selective bidding- ref Kole607/supl/2017-18/00079 with a contract value of shs.10,807,000 • Supply of borehole spare parts to water sector under selective bidding ref.Kole607/supl/2017-18/00080. 	2
<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2. 	<p>There was evidence that the LG has adhered with procurement thresholds stipulated under, Regulation 33(3) of the LGs (Public Procurement and Disposal of Public Assets) Regulations 2006 Thresholds for procurement of Works (1a and 1b), thresholds for procurement of supplies and services (2a and 2b), micro procurement (3) and community purchase (4). The LG used two procurement methods; Open Domestic and Restrictive/Selective bidding. as follows;</p> <ul style="list-style-type: none"> • Procurement for the construction of 2 class room block with offices at Adellego Primary School by M/S Whimcy (U) Ltd reference No. KOLE607/Wrks//2017-18/00045 contract value UGX 64,817,047 was procured using open domestic bidding as required by PPDA for any works above UGX 50M. • Procurement for low cost sealing Ayer P7 School-Kole town 1.2km by M/S Empa Associates Reference No. KOLE607/Wrks//2017-19/00059 contract value UGX128,709,179 was procured using open domestic bidding as required by PPDA for any works above UGX 50M. • Procurement for the construction of 5-stance drainable latrine at Apedi P/S by M/S Aklobe (U) Ltd reference No. KOLE607/Wrks//2017-18/00048 contract value UGX 20,464,563 was procured using selective bidding from the pre-qualified service providers as required by PPDA for any works below UGX 50M. • Procurement for the supply of Laptops, printers to health department for Aboke and Bala sub counties awarded to M/S Olitec International Ltd procurement reference No. KOLE607/Supls/2017-18/00018 contract value UGX 19,021,880 was procured using selective bidding with prequalified service providers as required by PPDA for any supplies below UGX 30M. • Procurement for the construction of 9 boreholes by M/S Galaxy Agro tech Ltd reference No. KOLE607/Wrks//2017-18/00056/1 contract value UGX 150,253,235 was procured using open domestic bidding as required by PPDA for any works above UGX 50M. <p>The sampled procurements showed that the LG had adhered with the procurement thresholds per statutory requirement.</p>	2

<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates <p>for all projects based on technical supervision: score 2</p>	<p>There was evidence that the LG appropriately certified all works projects implemented in the FY:2017/18– interim and completion certificates on technical supervision:</p> <p>Example; works by Sir Baraji Industries EA the construction of 9 boreholes amounting to UGX 144,685,110 a technical report was made on 22nd June, 2018 and a final certificate issued on 25th June, 2018 by the District Engineer and the CAO.</p> <p>Works by Shylock group Co. Ltd the construction of 5-stance drainable latrine at Ayer town council amounting to UGX 19,013,340 a technical report was made on 7th March, 2018 the certificate of practical completion was issued on 4th March, 2018.</p> <p>Procurement for completion of one story engineering block by M/S Ultra engineering Ltd agreement was signed on 28th June,2018 contract sum UGX 245,449,617 procurement reference KOLE607/wrks/2017-18/00038/3 at Ayer sub county reports for the works executed was made on 28th June, 2018 and the interim certificate issued on 28th June,2018.</p>	2
<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There was no evidence that all works projects for the current FY are clearly labelled . The PAT visited projects which were not labelled included;</p> <ul style="list-style-type: none"> Construction of 5-stance drainable latrine at Wigua p/s was not labelled, Construction of the Engineering block was not labelled, Construction of low cost sealing Ayer p7 –Ayer town was labelled, Construction of a 5-stance drainable latrine at Ayer town council was not labelled. 	0
Financial management			
<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The LG made monthly bank reconciliations to 30th June, 2018 as evidenced by the Sampled following cash Books</p> <p>General Fund, UNICEF, Natural Resource, Administration, Works and Technical Services. Community Services. However, monthly reconciliations for all the 24 Cash Books had not been reconciled to date in particular for the months of July and August 2018 Similarly, Cashbooks for FY2018/2019 had not been opened at the time of this Performance Assessment (13th Sept, 2018)</p>	0

<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The LG made timely payment of suppliers during the FY 2017/2018. This was evidenced from sampled Vouchers as enumerated below:</p> <p>Voucher No 6/5/2018 dated 20th April 2018. Amount Shs 150,253,235 purpose Sitting, Drilling Installation and casting of 9 Deep Boreholes in Sub counties of Ayer, Ayer, Ayer, Akalo Akalo, Bala, Bala, Bala, and Aboke Payee Galaxy Agro Tech (U) Ltd,</p> <p>claim for payment made on 27th March.</p> <p>District Water endorsed the Claim on 27th March 2018.</p> <p>Payment effected on 20th April, 2018</p> <p>Payment effected promptly within a period of one month.</p> <p>Voucher N031/6/2018 dated 20th June 2018, Payee Agalu company Ltd Box 1164 Kampala 0784299783 for shs. 40,000,000 purpose Supply of Spare parts to 5 sub-Counties. Payment claim made on 28th June 2019 and endorsed by the water officer on 28th June, 2018. Payment effected on 28th June, 2018 within a day.</p>	2															
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The District Local Government had an Internal Auditor but did not have a Principal Internal Auditor The Personnel Structure of Kole District Local Government provided for Principal Internal Auditor and Internal Auditor. There was no provision for the Post of Senior Internal Auditor.</p>	0															
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>Quarterly Internal Audit Reports for FY 2017/2018:</p> <table border="1"> <thead> <tr> <th>Quarter</th> <th>Date of report</th> <th>Reference</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>20th Dec 2017</td> <td>AUD/251/2</td> </tr> <tr> <td>Quarter 2</td> <td>27th Jan,2018</td> <td>AUD/251/2</td> </tr> <tr> <td>Quarter 3</td> <td>17th May,2018</td> <td>AUD/251/2</td> </tr> <tr> <td>Quarter 4</td> <td>4th Sept 2018</td> <td>AUD/251/2</td> </tr> </tbody> </table>	Quarter	Date of report	Reference	Quarter 1	20th Dec 2017	AUD/251/2	Quarter 2	27th Jan,2018	AUD/251/2	Quarter 3	17th May,2018	AUD/251/2	Quarter 4	4th Sept 2018	AUD/251/2	2
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<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>Information to Council & LG PAC on Internal Audit Queries raised during FY 2017/2018:</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%; text-align: center;">Number of queries raised</td> <td style="width: 50%; text-align: center;">Number of queries cleared Number of queries pending</td> </tr> <tr> <td style="text-align: center;">35</td> <td style="text-align: center;">None 35</td> </tr> </table> <p>There was no documentary evidence that the LG provided information to the Council and LGPAC on the status of implementation of internal audit findings for the previous Fy2017/2018. LGPAC Discussed only 1st Internal Audit Report but did not review 2nd,3rd and 4th Quarterly Internal Audit Report FY 2017/2018</p>	Number of queries raised	Number of queries cleared Number of queries pending	35	None 35	0											
Number of queries raised	Number of queries cleared Number of queries pending																	
35	None 35																	
<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>• Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1.</p>	<p>Action by the Accounting Officer, LG PAC on Internal Audit Queries raised during FY 2017/2018:</p> <table border="0" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Quarter</th> <th style="text-align: left;">Date of submission</th> <th style="text-align: left;">Reference for report review</th> </tr> </thead> <tbody> <tr> <td>Quarter 1</td> <td>20th Dec,2017</td> <td>AUD/251/2</td> </tr> <tr> <td>Quarter 2</td> <td>13th Apr,2017</td> <td>AUD/251/2</td> </tr> <tr> <td>Quarter 3</td> <td>4th Sept 2018</td> <td>AUD/251/2</td> </tr> <tr> <td>Quarter 4</td> <td>4th Sept 2018</td> <td>AUD/251/2</td> </tr> </tbody> </table> <p>There was no documentary evidence that the Accounting Officer LGPAC had taken action, on Internal Audit Queries raised in FY 2017/2018</p> <p>The LGPAC reviewed only 1st Internal Audit Reports where some of the Internal Audit Queries were not cleared at the time of this Performance Assessment.</p> <p>NB The 3rd Quarterly Internal Audit was submitted on 4th September, 2018. This was a result of Constituting another report because the earlier soft copy of internal Audit Report which was on a Laptop got crashed in a fatal Bus accident when one of the Head of internal Audit unit died while carrying the said report to Internal Auditor General's Office, Kampala.</p>	Quarter	Date of submission	Reference for report review	Quarter 1	20th Dec,2017	AUD/251/2	Quarter 2	13th Apr,2017	AUD/251/2	Quarter 3	4th Sept 2018	AUD/251/2	Quarter 4	4th Sept 2018	AUD/251/2	0
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Quarter 4	4th Sept 2018	AUD/251/2																
<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<p>• Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4</p>	<p>There was an attempt to compile an Assets Register but not conclusively. The structure of the aforesaid Assets Register was not in Conformity with the formats outlined on pages 167-168 of local Governments Financial and Accounting Manual 2007. Records of Land and Buildings were conspicuously missing in the District Assets register.</p> <p>Implication District Assets could go missing without easy trace.</p> <p>Action taken: Performance Assessment Team mentored the Store Keeper and showed him how to compile an Assets Register in line with formats in Local Governments Financial and Accounting Manual 2007</p> <p>Kole District Local Government was a Manual System and had not been connected to IFMS</p>	0															

<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>Apac Municipal Council obtained a Qualified "Except For" Audit Opinion for For 2017/2018</p>	<p>2</p>															
<p>Governance, oversight, transparency and accountability</p>																		
<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2 	<p>The District Council met and discussed service delivery related issues as provided in the minutes below:</p> <table border="1" data-bbox="512 779 1417 1167"> <thead> <tr> <th>Date</th> <th>Min. No</th> <th>Issues</th> </tr> </thead> <tbody> <tr> <td>16/8/17</td> <td>KDC 27/8/2017</td> <td>Laying of standing committee Reports</td> </tr> <tr> <td>7/10/17</td> <td>KDC38/10/2017</td> <td>Executive business</td> </tr> <tr> <td>19/12/17</td> <td>KDC 70/12/2017</td> <td>Laying of committee reports</td> </tr> <tr> <td>7/2/18</td> <td>KDC 141/01/2017</td> <td>Presentation of issues related to DSC</td> </tr> </tbody> </table>	Date	Min. No	Issues	16/8/17	KDC 27/8/2017	Laying of standing committee Reports	7/10/17	KDC38/10/2017	Executive business	19/12/17	KDC 70/12/2017	Laying of committee reports	7/2/18	KDC 141/01/2017	Presentation of issues related to DSC	<p>0</p>
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<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that LG has designated a person to coordinate response to feedback (grievance /complaints) and responded to feedback and complaints: score 1. 	<p>Through letter ref. CR/156/4 dated 1st December, 2012, Mr Opollo Stephen was appointed as a District ICT focal person designating him, among others the role of handling other duties including coordination of responses and feedback to complaints.</p>	<p>1</p>															

<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The District did not have in place a specified system for recording, investigating and responding to grievances to be displayed at its offices for public consumption.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>A review of the three District Notice Boards provided no evidence that the District payroll and pensioner schedule were publicized for public consumption.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>A review of the three District Notice Boards provided no evidence that the District publicised the procurement plan awarded contracts for public consumption.</p>	<p>0</p>
<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>There was no evidence that the District publicised the performance assessment results and implications either on the District notice boards or website.</p>	<p>0</p>

<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>A review of the District Notice Boards revealed that guidelines circulars and policies issued by the centre were communicated to the LLGs and units. The following are examples of evidences found on the Boards:</p> <ul style="list-style-type: none"> i) From MoES: School feeding program ref. MES/MIN/25 dated 15th May 2018. ii) From UNEB: Guidelines for registration for 2018 PLE candidates dated 22nd March 2018. iii) From MoPS: Official announcement of a public holiday (Idi day) ref. Adm.285/01 dated 15th August, 2018. 	<p>1</p>
<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>With exception the attendance list which showed that a baraza was held on 22nd January, 2018 with 114 attendants, the report on the proceeds of the gathering was not availed to the team. For that reason a feed-back on the status of activity implementation would not be established.</p>	<p>0</p>
<p>Social and environmental safeguards</p>			
<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>No guidance by CDO seen in Technical Planning Committee minutes of 9th.March. 2017, 4th.October.2017, 23rd. May.2017, 18th. July.2017, 17th. August.2017 and 15th. Nov.2017. Only one report on Gender mainstreaming training for Community Development Officers by CDO to CAO dated 9th, Dec. 2017 seen its authenticity doubted (not stamped nor acknowledgement of receipt by CAOs office seen)</p>	<p>0</p>

<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2. 	<p>Planned activities for financial year 2018/19:-</p> <ul style="list-style-type: none"> i) Training of CDWs on mainstreaming gender in holistic planning at the district headquarters ii) Training of women councils on gender concepts, practical gender needs and mainstreaming gender at the district headquarters. iv) Training of women councils on gender concepts, practical gender needs and mainstreaming gender at Alito and Aboke sub Counties. i) Communities training on strategy and practical gender needs and gender and agriculture. <p>Evidence that vulnerability and social inclusions addressed in Financial year 2017/18 activities:-</p> <ol style="list-style-type: none"> 1. Facilitation to district youth council meetings, Voucher No.680,000/- 2. Support to older persons facilitation to older persons council executive, 180,000/- 3. Probation and Social Welfare meeting OVCMI data collection, follow up of court cases and supervision of child care institutions, 1,300,000/- 	2
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1 	<p>The following Bid documents were sampled from the PDU Section of Kole District LG, some money allocated for environmental mitigation in Bid documents, but no evidence of implementation nor expenditure seen on environmental mitigation aspects.</p> <ul style="list-style-type: none"> - Siting, drilling & installation of 9 boreholes. Shs.900,000 allocated for environmental mitigation, no proof of expenditure seen. - Five stance drainable latrine at Ayer Prim Sch Bid doc. Seen, 100,000/, no expenditure on this item seen - 5 stance drainable latrine at Apedi P/S no expenditure on environmental Mitigation aspects seen. <p>Construction of 2 classroom blocks with office at Adellago Primary school at shs.180,000. Mitigation measures budgeted for and no record on follow through, implementation and expenditure.</p> <p>Only one Environmental Certification on one project done in 2012 of some fish pond.</p>	0

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>There was some evidence that LG had integrated environmental and social management and health safety plans into bid documents</p> <ul style="list-style-type: none"> i) Construction of 5 stance drainable latrine at Ayer P/S, environmental mitigation budget seen in Bid document. ii) Bid document for construction of 5 stance drainable latrine at Ayer P/S seen with 100,000 allocated to mitigation measures. iii) 5 stance drainable latrine Apedi P/S had environmental mitigation measures budgeted for in the bid document iv) Construction of a storied engineering department block bid document seen, 6,720,000/-, budgeted for. v) Construction of latrine at Aburukuli (No health and safety Plans seen) vi) Construction of Agurudia VIP latrine (No health and safety Plans seen) 	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>4 land titles for 4 local governments including excluding Town Council seen</p> <ul style="list-style-type: none"> 1) Alito Sub County, Block 3 Plot 35, 14.087 Ha 2) Ayer Sub. Count, Block 4, Plot 6 1Ha 3) Aboke Sub.Count,Block 1, Plot 96, 12.768Ha. 4) Bala Sub.County, <p>5 copies of Health Centres land titles seen as follows:-</p> <ul style="list-style-type: none"> 1) Bala HC2, Block 5,Plot 12 7.700 Ha 2) Ayer HC2, Block 4, Plot 73,3.89 Ha 3) Bum HC2,Block 4, Plot 66, 2.3820 Ha 4) Aboke HC 4, Block 1, Plot 88, 10.799Ha. 5) Apala Bar Owoo HC 3,Block 3 Plot 34, 3.591 Ha 	<p>1</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>For all projects sampled, no Environmental and social certification forms were seen, only one for a fish pond issued in 2012.</p>	<p>0</p>

<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>According to records Register in PDU, all completed projects were in use, but no environmental and social clearance for the projects was seen, though the status was that they were complete and in use. Contract payment certificated included prior environmental and social clearance as was the case for the following projects:</p> <ul style="list-style-type: none"> i) Construction of VIP latrine at Amai Primary School ii) Construction of toilet at Aburukili iii) Construction of Agurudia VIP Latrine 	<p>0</p>
<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1 	<p>Only one report, dated 18th March, 2018 and it focused on proposed deep boreholes construction in LG. No completed check lists for all completed projects.</p>	<p>0</p>

Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>The District had budgeted for a Head Teacher and minimum of 7 teachers per school FY20 18/2019 of Shs.10,299,854,000 and it covered 61 Head Teacher as well as 1218 teachers.</p>	4
<p>The LG education de- partment has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>The LG had deployed a Head Teacher and minimum of 7 teachers per school as evidenced from the sampled schools, 4 out of the 5 schools had a head teacher deployed while 1 school of Ayer P/S had an acting head teacher deployed as indicated below;</p> <ol style="list-style-type: none"> 1. Aculbany P/S had a head teacher- file CR/D/1368 and 21 teachers deployed 2. Okole P/S had a head teacher and 17 teachers deployed 3. Apii Primary school had a head teacher and 25 teachers 4. Omuge P/S had a head teacher and 24 teacher deployed 5. Ayer P/S had an acting head teacher. There were 27 teacher deployed. <p>The Deputy Head Teacher of Ayer Primary School was an acting as the head teacher at the time of assessment. No deployment letter was seen though</p>	4
<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>The assessment team could not establish whether the LG had filled the structure for primary school teachers because there was no record of staff ceiling issued by Ministry of Public Service for the LG. PAT was only able to see number of teachers who were accessing the payroll at that time of assessment. The LG wage bill for months of March, July and August was Shs.657,355,065, Shs 646,705,273 and Shs. 6639,701,134 respectively.</p> <p>The percentage filling of the structure for primary teachers could not be established for the reasons given above.</p>	0

<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>Kole LG had 3 Inspector of Schools on their structure and were substantively appointed as follows;</p> <ol style="list-style-type: none"> Okello Moses Boyi was substantively appointed as Senior Inspector of Schools as directed by DSC minute no.78/2018 on letter referenced CR/160/1 dated 15th May, 2018 Owiddi Boniface was substantively appointed as Inspector of Schools as directed by DSC minute no.78/2018 on letter referenced CR/156/2 dated 1st October, 2013 Auma Norar was substantively appointed Inspector of Schools as directed by DSC minute no.33/2013 on letter referenced CR/156/2 dated 1st August, 2013 	6
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> Primary Teachers: score 2 	<p>The department had a recruitment plan dated 18th April, 2018. However, there was no evidence that it was submitted to HR Office as per statutory requirement. In any case, this plan was for recruitment of teachers on replacement basis. On further scrutiny, the HR office indicated that he had not received a recruitment plan from the education department since 2016/17. Asked if he (the HR) had taken any steps to remind the department about their obligations, he could not give evidence for this either. There was no evidence of submission of recruitment plan covering primary teachers and Inspector of Schools covering FY 2018/19.</p>	0
<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> School Inspectors: score 2 	<p>All positions had been filled at the time of assessment, hence no need for recruitment plan</p>	2
Monitoring and Inspection			

<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>There was evidence that the Inspector of Schools were appraised FY 2017/18. The available appraisals for Inspectors were as follows;</p> <ol style="list-style-type: none"> 1. Awiddi Boniface was last appraised 24th July, 2017, instead of July 2018; 2. Auma Norah- Appraisal for FY 2017/18 was on file but it was neither signed nor dated by her supervisor, 3. Boyi was last appraised as Education officer on 20th June, 2017. He was only appointed as Inspector of Schools on 15th May, 2018. 	3
<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • Primary school head teachers o 90 - 100%: score 3 o 70% and 89%: score 2 o Below 70%: score 0 	<p>Some head teachers were appraised while others were not appraised in calendar year 2017 as indicated in sampled files;</p> <ol style="list-style-type: none"> 1. Adongo Jimmy- head teacher of Alito P/S. No evidence of appraisal was provided to PAT despite promises to do so. 2. Anabo Adeyu Patricia of Okole P/S was appraised 13th March, 2018 3. Okello Alex of Omuge Primary School was appraised on 12th march, 2018 4. Okite Francis of Ayer P/S was appraised 8th March, 2018 <p>Other appraisal forms for head teachers of Apii and Aculbany primary schools were not provided by the time PAT left the LG. The calibrated percentage of inspection thus was;</p> <p>$41/61 \times 100 = 67\%$. This is below 70%, which does not attract a score.</p>	0

<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>The LG had communicated all circulars, guidelines and policies issued by the national level. The following circulars were seen at time of assessment;</p> <ol style="list-style-type: none"> Non-UPE candidates' registration 2018- circular was received by LG on a non-referenced letter dated 2nd May, 2018. It was pinned on the education department notice board as the preferred means of communication to all government aided primary schools. Circular number 04/2018 about MDD and dated 17th April, 2018. Was pinned on notice board; School feeding programme in education institutions dated 15th May, 2017 and was received by LG on 22nd June, 2017. Circular about Focus on school inspection dated 19th July, 2017. <p>From the sampled schools, the following circulars were seen;</p> <ol style="list-style-type: none"> At Abilonino P/S, circular on teacher support supervision issued by Ministry of Education and Sports was on file; At Ayer P/S, circular on content guidelines on school charges dated 24th October, 2017. No date of receipt by the school nor was a copy of same circular seen at District at time of assessment. At Ayer P/S, circular on school feeding program in education institutions dated 15th May, 2017 At Ayer P/S, circular on adherence to school calendar issued by MoES on 9th May, 2017 At Ayer P/S, circular on support supervision in schools dated 30th June, 2017. At Okole P/S, circular on mass registration of learners in all schools issued by MoES on 24th April, 2017. No date when the circular was received by the school. <p>There were no circular seen in other schools (Aculbany and Wigua) and there was no record at DEO's office of how the circulars are communicated to schools.</p>	1
<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>There was evidence that the education department held meetings with primary head teacher to explain and sensitize them on guidelines, policies and circulars. From the minute book, the following meeting had taken place;</p> <ol style="list-style-type: none"> Meeting held on 22nd September, 2017 between the DEO and head teachers reviewed performance action plans for term III of 2017. They also discussed among others; poor time management as well as teaching using learning aides. Meeting held on 8th June, 2018 under Min.6b/2018 directed head teachers to sensitize parents to get involved in the school feeding program <p>Other meetings between the DEO or his staff and head teacher were about education issues not necessarily connected with dissemination of guidelines or policies.</p>	2

The LG Education De- partment has effectively inspected all registered primary schools²

Maximum 12 for this performance measure

• Evidence that all licenced or registered schools have been inspected at least once per term and reports produced:

- o 100% - score 12
- o 90 to 99% - score 10
- o 80 to 89% - score 8
- o 70 to 79% - score 6
- o 60 to 69% - score 3
- o 50 to 59 % score 1
- o Below 50% score 0.

There was evidence that the schools were regularly inspected as seen in the schools the PAT visited.

From the DEO's office evidence included;

Consolidated school inspection report dated 7th June, 2018 for schools inspected during Term 1, 2018 school calendar. In the report, a total of 31 government schools were inspected as well as 44 private schools. The report indicated that most private schools were not licensed while over 90% lacked friendly facilities for learners with special needs. For both government and private schools, waste management was a challenge while in some schools; boys were sharing latrine facilities with girls. The report did not mention which schools had latrine facilities shared between girls and boys.

Some of the recommendations made by the inspectors included; planting of more trees, need to buy 3 seater desks instead of benches. Schools were inspected on the following dates;

1. Okole P/S was inspected on 29th March, 2018
2. Ayer P/S was inspected on 6th April, 2018
3. Aculbanya was inspected on 13th March, 2018
4. Apii P/S was inspected on 14th March, 2018

Some of the private schools inspected during term I of 2018 include;

1. Blessed Kids P/S inspected on 5th March, 2018
2. Union Vision P/S inspected 15th March, 2018
3. Green Valley P/S was inspected 13th March, 2018
4. Phrobel P/S was inspected 23rd March, 2018

PAT did sample schools and visited them. The following schools were visited and inspection reports were examined;

1. At Abilonino Dem. P/S, inspections in term II& III were done on the following dates; 4th July. 2017, 1st August, 2017. In term I, 2018- inspection was done on 13th April, 2018.
2. Ayer P/S, inspections were done on the following days; 20th December, 2017 for term III
3. Aculbanya P/S- was inspected for term II of 2018 on 23rd August, 2018.
4. Wigua P/S- was inspected as follows; 25th September, 2018 and 10th June, 2018
5. Okole P/S- was inspected on 18th June, 2018. No other inspection reports seen.

Percentage inspection by the LG therefore can be calculated as follows;

All 5 sampled government schools were inspected. 4 Private schools out of 5 were inspected. Therefore, $\frac{9}{10} \times 100 = 90\%$

<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The DEO discussed school inspection reports and used reports to make recommendations as evidenced below;</p> <p>From DEO's office Minute 5 of meetings held on 3rd July, 2017 in the DEO's office to discuss inspection and assessment reports by DIS. Issues raised were; teachers were not using continuous assessment, schemes were not approved by the head teachers</p> <p>29th September, 2017 under min.2/2017 discussed inspection report of term II, 2017. It was pointed that inspectors seem not to be doing their work properly. That some inspectors instead of carrying out inspection engage in small talk with teachers, sign in visitors book then disappear.</p> <p>No meeting minutes seen for 2018.</p>	4
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>School inspection reports were submitted as follows;</p> <ol style="list-style-type: none"> 1. Term II, 2017 inspection report was submitted 18th August, 2017 2. Term I, 2017 inspection report was submitted 15th May, 2018 3. Term II, 2018 inspection report was submitted 24th August, 2018 	2
<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was evidence that the inspection recommendations were followed up. For example; there was communication to the DES by DIS on 24th April, 2018 forwarding a list of private schools to be closed due to lack of minimum requirements as set by DES.</p>	4

<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>EMIS (2017) list of schools data stood at 107 schools.</p> <p>PBS list of school data stood at 107</p>	<p>5</p>
<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>EMIS enrolment data stood at 75,628</p> <p>PBS enrolment data stood at 75,628</p>	<p>5</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Committee on Education met and discussed service delivery issues as follows;</p> <ol style="list-style-type: none"> 1. On 20th April, 2018 under Minute KDC157/4/2018- there was scrutinizing education budget for FY 2018/19. Committee discussed installation of light arrestors for 10 schools as well as construction of 2-stance pit latrine at ANgic P/S. It was also brought to the attention of the Committee that sector wage bill increased due to recruitment of Senior Inspector of schools. 2. On 22nd November, 2017 under min KDC53/11/2017 included discussion of the inspection report and it was recommended that names of schools inspected should be include in the inspection reports. There was also a recommendation that sub-accountants should ensure that schools are audited every term to minimize misuse of school funds. 	<p>2</p>

<p>The LG committee re- sponse for education met, discussed service delivery issues and pre- sented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>There was no evidence that the committee responsible for education was presenting issues to Council that required Council approval. Council minutes reviewed were discussing education in general terms, but seemed not to discuss issues presented by the education committee. For example; council meeting held on 4th July, 2018 under Min. no.KDC/177/2018 discussed sensitization of parents about girls' education as well as improving facilities of special needs learners. These were not specifically referred by the sector committee.</p>	0
<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (estab- lished, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> 100% schools: score 5 80 to 99% schools: score 3 Below 80 % schools: score 0 	<p>There was evidence that primary schools had functional SMCs and had submitted reports (in form of copies of minutes) to DEO's office as per statutory requirement. The following schools were sampled;</p> <ol style="list-style-type: none"> Abilonino Dem.School held SMC meetings on the following dates; term 3, 2017 meeting held 20th September, 2017 and it passed budget for term 3 under min.7/2017, for term 1 of 2018, meeting was held 5th February, 2018 under min.c-where it was resolved that all PTA and SMC members work with village local leaders to go to each household and ask parents to pay PTA fees for their children. Term 2 of 2018, budget was discussed and subsequently passed under minute no.6/2/18 Ayer P/S had their SMC report at the district, much as we could not get them at school. Cross-checking at DEO's office confirmed presence of such minutes and, indeed SMC meetings had taken place once each term to mainly pass budgets At Aculbanya P/S, SMC minutes were not accessed because head teacher was out of station. Being a holiday, this was not a surprise to the PAT At Wigua P/S, the following SMC minutes were seen; 10th June, 2018, 25th September, 2017, 20th February, 2018. Issues discussed included; budget approval under min.50/2/18, e.t.c Okole P/S meetings held as follows; 20th May, 2018, 6th March, 2018, another set of minutes was not dated but resolved to send back home pupils without school uniform under min.4 <p>Percentage calculated at $4/5 \times 100 = 90\%$. 4 was the number of schools with SMC minutes out of a sample of 5</p>	3
<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all schools receiving non-wage recurrent grants <p>e.g. through posting on public notice boards: score 3</p>	<p>The LG had publicized schools receiving non-wage recurrent grants on the notice board of the education office. For instance Ayer P/S was published, much as there was no date of publicization.</p>	3
Procurement and contract management			

<p>The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,</p> <p>to the Procurement Unit that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	<p>Sector had made procurement inputs to the procurement Unit on 5th September, 2018, well beyond the statutory date of 30th April.</p> <p>The following inputs, amongst others were however included;</p> <ol style="list-style-type: none"> Construction of twin staff house at Abilonino P/S at shs.106,200,000 on page 1 of 12 of the procurement requisitions Construction 2 drainable latrines at Angic P/S and Agwet P/S at shs.40,000,000 Supply of 73 3-seater desks to Ayomo P/S at shs.6,254,000 Completion of 4 classrooms without an office at Depa P/S at shs.49,560,000 <p>Submission was made after 30th April.</p>	<p>0</p>
<p>Financial management and reporting</p>			
<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3. 	<p>There was Documentary evidence that the LG Education department timely certified and recommended suppliers for payment. This was evidenced from the from the Sampled Vouchers as enumerated below</p> <p>Voucher No 26/6/2018 dated 5th June,2018, Amount 19,574,174, Payee Omito Holdings</p> <p>Box 432 Lira</p> <p>Purpose Construction of 5stance Pit latrine at Ayer Primary School</p> <p>Claim for Payment made on 17th May, 2018</p> <p>DEO endorsed the Claim on 6th June 2018</p> <p>Payment effected on 8th June, 2018</p> <p>Payment effected promptly within a period of 3 weeks.</p> <p>Voucher No 10/03/2018 dated 12th March, 2018, Amount 26,815,805 Payee Whimsy (U) Ltd Box 54 Lira 0773345379 Purpose</p> <p>Construction of Two Classroom Block at Adellog Primary School.</p> <p>Claim for payment made on 24th February, 2018</p> <p>Claim for payment endorsed by DEO on 9th March,2018</p> <p>Payment effected on 12th March, 2018.</p> <p>Payment effected promptly within 2weeks</p>	<p>3</p>

<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>Records from the planner's office showed that Education department submitted past the due date by 13th August 2018 for consolidation contrary to the regulatory timeline of 15th July.</p>	0								
<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> If sector has no audit query score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 If all queries are not responded to score 0 	<p>Action on queries raised by the Internal Auditor during FY 2016/2017:</p> <table border="0" data-bbox="667 633 1358 786"> <tr> <td data-bbox="667 633 927 696">Number of queries raised</td> <td data-bbox="927 633 1134 696"></td> <td data-bbox="1134 633 1358 696">Number of queries cleared</td> <td data-bbox="1134 696 1358 759">Number of queries pending</td> </tr> <tr> <td data-bbox="667 696 927 759">4</td> <td data-bbox="927 696 1134 759"></td> <td data-bbox="1134 696 1358 759">4</td> <td data-bbox="1134 759 1358 786">None</td> </tr> </table> <p>There was no documentary evidence that Education Sector provided information to the Internal Audit on the implementation of all audit findings for the previous Financial Year 2016/2017.</p>	Number of queries raised		Number of queries cleared	Number of queries pending	4		4	None	0
Number of queries raised		Number of queries cleared	Number of queries pending								
4		4	None								
Social and environmental safeguards											
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>No supporting evidence on disseminated guidelines were seen at time of assessment</p>	0								

<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>No supporting evidence on disseminated guidelines seen at time of assessment.</p>	<p>0</p>
<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>All SMCs committees had been appointed by DEO and met guidelines on gender as follows;</p> <ol style="list-style-type: none"> 1. Wangua P/S SMC had 13 members with 2 female committee members 2. Ayer P/S had 13 members with 2 female committee members 3. Okole P/S had 13 members with 3 females on committee 4. Aculbanya P/S had 12 members with 2 females as committee members 5. Abilonino Dem.School had 13 members with 3 females as committee members <p>All Committees for each of the schools were appointed 19th January, 2016. They will be for e-appointment.</p>	<p>1</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>No supportive evidence that guidelines on environmental management were disseminated and complied with.</p>	<p>0</p>
<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>No supporting evidence that school infrastructure projects were screened before approval for construction</p>	<p>0</p>

<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1 	<p>No evidence was seen at time of assessment</p>	<p>0</p>
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Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management			
<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>PHC wage allocation for Kole district FY 2018/2019 1,808,600,207/</p> <p>PHC wage for health workers in post for FY 2018/2019 1,749,996,672/</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision) = 96.8%</p>	8
<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>Recruitment plan for health workers was submitted by DHO on 5th April 2018 and was received by CAO on 6th April 2018</p> <p>The submission was however not referenced.</p>	6
<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In- charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>All health facilities in-charges were appraised in the financial year 2017/2018. Examples on file were:</p> <ul style="list-style-type: none"> • In-charge Aboke HC IV Dr. Agole Peter appraised on 12th July 2018. Appraiser's comment "Commendable performance" • In-charge Bala HC III, Ayo Andrew appraised on 10th July 2018. Appraiser's comment "commendable performance" • In-charge Ayer HC II, Auma Shila appraised on 1st July 2018. Appraiser's comment "Good performance" • In-charge Bung HCII, Kale Sam appraised on 10th July 2018. Appraiser's comment "Hard working officer" • In-charge Okole HC II Odur Francis appraised on 29th June 2018. Appraiser's comment "recommended for further studies" 	8

<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>The LG allocated health workers in line with lists submitted with the budget for FY 2018/2019 in PBS system.</p> <p>We sampled the following facilities:</p> <ul style="list-style-type: none"> Aboke HC IV Bala HC III Bung HC II Ayer HC II Okole HC II <p>At each facility, the staff list matched with the deployment list at DHO's office.</p>	<p>4</p>
<p>Monitoring and Supervision</p>			
<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The following examples of guidelines were received at DHO's office in financial year 2017/2018 and had been communicated to health facilities as evidenced by a distribution book. In charges signed for these guidelines at DHO's office. We could not verify the dates during the financial year on which these guidelines were sent to the districts.</p> <ul style="list-style-type: none"> Service standards and service delivery standards for the health sector July 2016 Immunization in Practice for Uganda, June 2017 Job aid for health workers on vaccine preventable diseases surveillance, 2018 Cervical cancer information, education and communication booklet for health workers, December 2017. <p>The following facilities were visited:</p> <ul style="list-style-type: none"> Aboke HC IV Bala HC III Bung HC II Ayer HC II Okole HC II <p>The above mentioned guidelines were present in each of the visited health facilities hence there was evidence to justify the score</p>	<p>3</p>

<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO has held meetings with health facility in- charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<ul style="list-style-type: none"> DHMT quarterly review meeting report was held on 13th April 2018. During the review meeting, Immunization in Practice for Uganda, June 2017 and Job aid for health workers on vaccine preventable diseases surveillance, 2018 guidelines were explained to health facility in charges <p>Therefore there was evidence that the DHT explained the issued guidelines</p>	<p>3</p>
<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Kole District had 1 HC IV and no hospital.</p> <p>We looked at evidence that the DHT conducted 4 quarterly integrated technical support supervision visits to the health HC IV.</p> <p>Only 3 out of the required 4 integrated technical support supervision reports to the HC IV for the four quarters are present with clear action points on gaps identified during the support supervision.</p> <p>Reports were submitted on the following dates.</p> <p>Quarter 1: No evidence it was conducted</p> <p>Quarter2: 12th October 2017</p> <p>Quarter3: 5th February 2018</p> <p>Quarter4: 15th June 2018</p> <p>Therefore, the DHT did not conduct all the 4 mandatory technical support supervision visits to the HC IV at least once each quarter during financial 2017/2018</p>	<p>0</p>

<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:</p> <ul style="list-style-type: none"> • If 100% supervised: score 3 • 80 - 99% of the health facilities: score 2 • 60% - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0 	<p>At DHO's office, there was no report submitted from HSD indicating that lower health facilities were visited.</p> <p>HSD quarterly technical support supervision reports were not available at DHO's office.</p> <p>We could neither find supervision reports at the HSD headquarters (Aboke HC IV).</p> <p>Through health facility visits, we were able to establish that a few technical support supervision visits had been conducted by the HSD as indicated below:</p> <p>Bala HC III supervised once (quarter 4)</p> <p>Bung HC III Supervised once (quarter 3)</p> <p>Ayer HC II supervised in quarters 1-3 but not in quarter 4</p> <p>Okola HC II was supervised in all quarters</p> <p>The evidence was derived from the MOH facility supervision book at each health unit.</p> <p>So out of the 16 technical support supervision visits that were expected in financial 2017/2018, the HSD conducted only 5 translating into 31%</p>	<p>0</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4 	<p>The DHT conducted only 3 support supervisions to HSD and HSD did not provide any supervision report as indicated in 6 above, there was no evidence to prove that on quarterly basis, there was discussion of support supervision findings.</p>	<p>0</p>
<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6 	<p>Since DHT conducted only 3 support supervisions to HSD and HSD did not provide any supervision report as indicated in 6 above, there was no evidence to prove that on quarterly basis, there was discussion of support supervision findings and that action points raised during supervision were acted on.</p>	<p>0</p>

<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>Kole DLG had 11 health facilities that benefited from PHC</p> <p>The August HMIS reports were submitted between 6th - 8th September 2018. Reports were entered into DHIS2 system for health reporting. All facilities benefitting from PHC (17) reported in DHIS2.</p>	<p>10</p>
<p>Governance, oversight, transparency and accountability</p>			
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>Three Social services committee reports were presented and all had health related issues discussed. Social services committee sat quarterly as indicated below:</p> <ul style="list-style-type: none"> • Quarter 1, 7th August 2017, Minute KDC 27/8/17a, Operational health issues were discussed. • Quarter 2, 22nd November 2017, Minute KDC 22/11/17a, Operational health issues were discussed • Quarter 3, 20th April 2018, Minute KDC 157/14/18d Health work plan and budget approved by Social services committee. • Quarter 4, 14th June 2018, KDC 165/6/18a. Operational health issues passed. 	<p>2</p>
<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>On 20th April 2018, Health budget was passed by the social services committee and on 17th May 2018, Secretary for health presented health budget to council and the budget was passed on the same day 17th May 2018, Minute KDC 159/5/18</p>	<p>2</p>

<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 6 • If 80-99 %: score 4 • If 70-79 %: score 2 • If less than 70%: score 0 	<p>We visited 5 sampled health facilities and we established that HUMC's sat as indicated below</p> <ul style="list-style-type: none"> • Aboke HC IV: No evidence of HUMC minutes in all quarters. • Bala HC III: HUMC Sat in quarters 1, 2 and 3 but not in quarter 4 • Bung HC II: HUMC sat in quarters 1, 2 and 3 but not in quarter 4 • Ayer HC II: HUMC sat in all quarters • Okole HC II: HUMC sat once and that was in quarter 3 <p>In the 5 health facilities, 20 (4x5) HUMC meetings were expected. However, only 11 were conducted translating into 68.6% hence justifying a score of 2.</p>	<p>2</p>
<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of PHC recurrent non-wage beneficiaries was displayed at the district health notice-board, signed and stamped by DHO on 11th September 2018.</p>	<p>4</p>
<p>Procurement and contract management</p>			
<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The procurement plan for health department for this financial year was submitted on 20th May, 2018, later than the recommended deadline of 30th April</p>	<p>0</p>

<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The procurement request form for FY 2017/18 was submitted LATE 19th October 2017) after the deadline of end of first quarter. Submission letter by head of department to CAO was referenced.</p> <p>However, the procurement request form for health department for the FY 2018/19 was submitted on 13th August 2018 ahead of the deadline of September 2018</p>	<p>0</p>
<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>There was documented evidence that the District Health Officer certified and recommended suppliers timely for payment as evidenced from the following Sampled Payment Vouchers . Voucher No 21/06/2018, dated 29th June,2018, Amount 9,187,040 Payee Ramon Enterprises Ltd Box 129 Kumi</p> <p>Purpose; Completion of DHO's Office (Fixing of Floor Tiles and Electrical Works)</p> <p>Claim for payment by contractor made on 25th June 2018.</p> <p>Claim for Payment endorsed by DHO on 28th June, 2018</p> <p>Payment effected on 29th June,2018</p> <p>Payment effected promptly with a period of one day.</p> <p>Voucher No 19/16/18 dated 29th June,2018, Payee AL Com Investment Ltd Box Loro Amount 18311,927 Purpose Construction of 3 Placenta Pits at Bung HC111,Ayer HC11,and Moladyang HC111</p> <p>Claim for payment made by the Contractor on 25th June,2018</p> <p>Claim for Payment endorsed by DHO on 28th June, 2018.</p> <p>Payment effected on 29th June, 2018.</p> <p>Payment made promptly within a period of 4 days.</p>	<p>4</p>
<p>Financial management and reporting</p>			

<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>The department did not submit annual performance report for the previous FY 2017/2018. (As reported by the DHO and health Biostatistician and absence of evidence in PBS to show date of submission).</p> <p>The deadlines for submitting quarterly reports are by 7th of the month following the quarter under review.</p> <p>Submission of quarterly reports to Planner during FY 2017/2018 was as follows (Information source PBS system):</p> <ul style="list-style-type: none"> 1st Qtr on 19th December, 2017. deadline was 7th October 2017 hence late submission 2nd Qtr on 11th May, 2018, deadline was 7th January 2018 hence late submission 3rd Qtr Not yet submitted 4th Qtr Not yet submitted <p>There was evidence of submission of quarter 3 and quarter 4 performance reports for financial year 2017/2018.</p> <p>All information was picked from the PBS system.</p>	<p>0</p>
<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>There were 21 internal audit queries for the health sector in financial year 2017.2018.</p> <p>There was no documentary evidence that the Health Sector provided information to the internal audit on the status of implementation of all audit findings for the previous FY 2016/2017</p>	<p>0</p>
<p>Social and environmental safeguards</p>			

<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 % women: score 2 	<p>Not all the following sampled health facilities had the required 30% and above of female composition on HUMC:</p> <p>Aboke HC IV 3/9=33%</p> <p>Bala HC III 2/7 =29%</p> <p>Bung HC II 1/5 =20%</p> <p>Ayer HC II 1/5 =20%</p> <p>Okole HC II 2/5 =40%</p>	<p>0</p>
<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>Although there was no formal communication from DHO to health facilities regarding sanitation guideline, the DHT reported that they had circulated sanitation guidelines in the health facilities as evidenced from the sampled Health Facilities as follows:</p> <ul style="list-style-type: none"> Aboke HC IV Bala HC III Bung HC II Ayer HC II Okole HC II <p>At each of the above facilities, there was a copy of national sanitation guidelines “Public health Act, 2000</p>	<p>2</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<p>The environmental officer did not submit any screening report for health projects during financial 2017/2018. He reported that he was not facilitated by the district to do so.</p>	<p>0</p>
<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: Score 2 	<p>The environmental and community development officers did not supervise the sites that were being constructed citing lack of funds to facilitate them to undertake the supervision exercise.</p>	<p>0</p>

<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none">• Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal: score 4.	<p>Much as the DHO's office had not documented issuing guidelines on medical waste, the assessment team visited the following health facilities and copies of the health care waste management guidelines 2010 were in place. We could not verify when they were received by the health facilities:</p> <ul style="list-style-type: none">• Aboke HC IV• Bala HC III• Bung HC II• Ayer HC II• Okole HC II <p>Therefore, there was evidence that all the 5 sampled health facilities in Kole district had medical waste management guidelines version 2010</p>	
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Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution			
<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub- counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>The DWO targeted allocations to sub-counties with safe water coverage below the district average of 74%. There were 2 sub counties that were below average and they included Ayer sub County and Okwerodot sub County. Six sub Counties were targeted in FY2018/19 giving more than 100% allocation.</p> <p>The targeted sub counties were;</p> <ul style="list-style-type: none"> • Aboke sub County with a coverage of 82% • Ayer with a coverage of 71% • Alito sub county with a coverage of 93% • Akalo sub county with a coverage of 88%. • Okwerodot sub county with a coverage of 46%. • Bala sub county with a coverage of 74%. <p>The planned development budget for the water sector for FY: 2018/19 was Ugx503,134,000 from the approved work plan and budget 2018/19, page 79.</p>	10

The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)

- Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of the water projects are implemented in the targeted S/Cs:

Score 15

Maximum 15 points for this performance measure

- o If 80-99%: Score 10

- o If 60-79: Score 5

- o If below 60 %: Score 0

There was evidence that the district Water department implemented all the budgeted water projects in all the targeted 6 sub-counties with safe water coverage below the District average giving 100% implementation rate. For instance;

In Aboke sub county Agwet and Akutigudi boreholes were constructed each at a cost of Ugx. 16,076,123

In Okwerodot sub county Odong peyamo, Anenober, Lelakot trading center, Lwala and Obutu boreholes were constructed each at a cost Ugx. 16,076,123 and

In Alito sub county Tekidi and Jinja boreholes were constructed each at a cost of Ugx. 16,076,123

In Ayer sub county kuluyago,Alege an Alip boreholes were constructed each at and Jinja boreholes were constructed each at a cost of Ugx. 17,573,477

In Bala sub county Abedi, and Onyana boreholes were constructed each at Ugx. 17,573,477

In Akalo sub county Tecambia,Olil and Onyana boreholes were constructed each at Ugx. 17,573,477

In Aboke sub county Amwanya boreholes were constructed each at Ugx. 17,573,477

<p>The district Water department carries out monthly monitoring of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the district Water department has monitored each of WSS facilities at least annually.</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored: score 15 • 80% - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60% - 69% monitored: score 5 • 50% - 59%: score 3 • Less than 50% of WSS facilities monitored: score 0 	<p>There was evidence that the district Water department implemented all the budgeted water projects in all the targeted 6 sub-counties with safe water coverage below the District average giving 100% implementation rate giving the score 0f 15 points;</p> <p>In Aboke sub county Agwet and Akutigudi boreholes were constructed each at a cost of Ugx. 16,076,123</p> <p>In Okwerodot sub county Odong peyamo, Anenober, Lelakot trading center, Lwala and Obutu boreholes were constructed each at a cost Ugx. 16,076,123 and</p> <p>In Alito sub county Tekidi and Jinja boreholes were constructed each at a cost of Ugx. 16,076,123</p> <p>In Ayer sub county kuluyago,Alege an Alip boreholes were constructed each at and Jinja boreholes were constructed each at a cost of Ugx. 17,573,477</p> <p>In Bala sub county Abedi, and Onyana boreholes were constructed each at Ugx. 17,573,477</p> <p>In Akalo sub county Tecambia,Olil and Onyana boreholes were constructed each at Ugx. 17,573,477</p> <p>In Aboke sub county Amwanya boreholes were constructed each at Ugx. 17,573,477</p>	<p>10</p>
<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district has submitted accurate/consistent data for the current FY: Score 5 • List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<p>There was evidence that the district submitted consistent data reports on the following dates;</p> <ul style="list-style-type: none"> o Quarter 1 data report submitted on 27th November,2017, o Quarter 3 data report on submitted on 30th April,2018and o Quarter 4 data report submitted on 17th August,2018 to the Permanent Secretary Ministry of Water and Environment however the consistency fails because the data report for quarter 2 (two), there was no evidence availed to the PAT that it was submitted. 	<p>0</p>

<p>The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> List of water facility which are consistent in both sector MIS reports and PBS: score 5 	<ul style="list-style-type: none"> Water facilities that were found to be consistent in both sector MIS reports and PBS were; Kaluyago in Telele parish, Allege in Alemi parish a Alip in Okwor parish nd Ayer sub county. ABaropok in Adyang parish and Akek in Abedi parish, Akalo sub county, Te cambia in Omuge parish,Olil in Aumi parish and Onyany in Omwara parish in Bala sub county. Amwonya in Apuru parish and Apedi p/s in Apach parish in Aboke sub county. Alito p/s in Alito parish in Alito sub county. 	5
Procurement and contract management			
<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>There was evidence that the sector has submitted in put for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on 17th August,2018. However, this was outside the timeline of 30th April,2018.</p>	0
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was no evidence that the Contract Manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan.</p> <p>The visited sites did not have evidence of the existence of the contract management plan.</p>	0

<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If water and sanitation facilities constructed as per design(s): score 2 	<ul style="list-style-type: none"> From the sampled constructed Water and sanitation facilities e.g Deep borehole in Amodel village, Deep borehole in Bung A village, Deep borehole in Akore T/C Aloc A borehole 5-Stance drainable latrine at Canon Lawrence p/s revealed adherence to the stipulated designs. <p>The facilities were functional and met the required objective of supplying water to the community and hygiene improvement</p>	2
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>There was no evidence availed to the APA that the contractor handed over all completed WSS facilities.</p>	0
<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence that the DWO appropriately certified all WSS projects and issuance of the interim certificates thereof for example;</p> <ol style="list-style-type: none"> A technical completion report for the construction of 9 boreholes by Galaxy Agro Tech Ltd was prepared on 20th March,2018 and a final certificate prepared on 20th February,2018—there was a problem with the sequence of the activities. Reports on technical completion of construction of 9 Deep Boreholes by Sir Baraji Industries EA Ltd dated 22nd June,2018 and a completion certificate prepared on 25th June,2018 hence the 2 points. Technical report for construction of a 5-Stance drainable latrine at Weigweng in Ayer T/C was prepared on 7th March,2018 and a certificate of practical completion issued on 4th March,2018. 	2

<p>The district Water department has certified and initiated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was evidence that the DWOs timely certified and recommended suppliers for payment.</p> <ul style="list-style-type: none"> Claim request by Galaxy Agro tech Ltd the construction of 9 boreholes amounting to Ugx. 150,253,235 was made on 18th December,2017 and certified by the DWO on 22nd June,2018. Claim request by Sir Baraji EA Ltd the construction of 9 boreholes amounting to Ugx. 144,685,110 was made on 9th April,2018 and certified by the DWO on 22nd June,2018. Claim request by Sir Baraji EA Ltd the construction of 5-stance drainable latrine amounting to Ugx. 19,013,340 was made on 6th March,2017 and certified by the DWO on 9th June,2018. All payments were made within 30days which was timely payment hence the score of 3 points. 	<p>3</p>
<p>Financial management and reporting</p>			
<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>The department submitted the annual performance report for the previous FY 2017/2018 the following dates;</p> <p>Quarter 1 was submitted on 27th November,2017</p> <p>Quarter 2 was not seen</p> <p>Quarter 3 was submitted on 30th April,2018</p> <p>Quarter 4 was submitted on 17th August,2018</p> <p>The water department did not submit all the annual quarterly performance report for the previous FY 2017/2018 to the Planner at the required date of mid-July and there was no record of the second quarter submission availed to the PAT.</p>	<p>0</p>
<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>Number of queries raised were 19 ,there was no query that was cleared and the number of queries pending 19.</p> <p>There was no documentary evidence that the water sector had provided information to the internal Audit on the status of implementation of all the audit findings for the previous financial year FY:2016/17</p>	<p>0</p>

Governance, oversight, transparency and accountability			
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>The DWSCC responsible for water held meetings, LG PAC reports on; 24th April,2018 and the issues discussed was;</p> <ul style="list-style-type: none"> Scrutinizing of the draft budget under minute KDC161/4 / 2018. <p>The Council committee meeting held on 24thJanuary,2018 discussed issues on;</p> <ul style="list-style-type: none"> Delayed project implementation of water sector projects under minute KDC114/1/2017 	3
<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that the Committee responsible for water sought approval from Council on;</p> <ul style="list-style-type: none"> 7th February,2018 and 17th May,2018. 	3
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>TTThere was evidence of display of AWP, budget and the Water Development grant releases and expenditures on the district notice boards as per the PPDA Act</p>	2
<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>There was evidence that the visited water sources (Boreholes) clearly labelled example;</p> <ul style="list-style-type: none"> At the village source of Agwel p/s constructed in FY:2017/18 funded by DWSCG and completed on 24th March,2018 and Akotigudu water source was constructed in YF:2017/18 funded by DWSCG FY:2017/18 completed on 21st March,2018 Akaidebe borehole water source was constructed funded by TGS waters FY:2017/18 completed on 11th January,2018 	2

<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was evidence to the effect that the LG publicized information on tender awards on 26th April,2018 on the subject of the best evaluated bidder and the following information was found;</p> <p>Bid awarded to Galaxy Agrotech ltd under open domestic bidding for construction of 9 boreholes at a contract price of Ugx.150,253,235 with reference No. Kole607wrks/17-18/00029.</p>	2
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>There was evidence that communities apply for water/public sanitation facilities as per the sector critical requirements but their contributions for the current FY: was evidenced by the payment of the general receipt No.0508 amounting to Ugx.200,000 dated on 27th August,2018 by the community of cambia village in Omuge parish Bala sub county.</p>	1
<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>There was no evidence that the Water and Sanitation Committees are functional as evidenced by the sampled sites visited i.e.Akotigudu Akaidebe and Agwel p/s, only one source was fenced and there was no evidence availed to PAT that the communities pay for O& M funds,</p>	0
Social and environmental safeguards			
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>There was evidence that environmental screening for all projects and EIAs was conducted for WSS projects to assess the impact for the construction of 18 boreholes in different sub counties this was contained in a report dated 15th March,2018 under reference No. CR/03/2018 under the water sector FY:2017/18.</p>	2
<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>There was no evidence that follow up support was provided in case of unacceptable environmental concerns for example all visited projects there was no any evidence of follow up to address the environmental issues like tree planting and flooding the borehole area with spilled water.</p>	0

<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>There was evidence that construction and supervision contracts had clause on environmental protection example;</p> <p>The construction and installation of 9 boreholes lot 2 by Galaxy Agro tech Ltd bills of quantities had a clause on environmental protection under item No.3.7</p>	<p>1</p>
<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>There was no evidence to gender equity in the membership of the WSC;</p> <p>The sampled files of;</p> <ul style="list-style-type: none"> Ololango A source Baloko village Alit village Apur village <p>Only shown village members who attended the training but not necessarily WSCs</p>	<p>3</p>
<p>Gender and special needs-sensitive sanitation facilities in public places/ RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>There was evidence that Public sanitation facilities had adequate access but separate stances for men, women or PWDs were not labeled. The facilities visited included;</p> <ul style="list-style-type: none"> 5-stance drainable latrine at Ayer town 5-stance drainable latrine at Wigua P/S 	<p>3</p>