



LGPA 2017/18

Accountability Requirements

Moyo District

(Vote Code: 539)

Assessment	Compliant	%
Yes	2	33%
No	4	67%

Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Assessment area: Annual performance contract			
LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	xxx	Moyo district LG performance Contract for FY2017/18 was submitted to MoFPED on 7th August, 2017 which is beyond the standard time of 30th June as per the PFMAA and budget guidelines.	No
Assessment area: Supporting Documents for the Budget required as per the PFMA are submitted and available			
LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY (LG PPDA Regulations, 2006).	xxxxx	Moyo DLG submitted the final performance contracts for FY2017/18 without attaching a copy of the procurement plan contrary to LG PPDA Regulations, 2006. The district planner during the assessment acknowledged the gap but could not even get any draft, confirming that it was completely not prepared.	No
Assessment area: Reporting: submission of annual and quarterly budget performance reports			
LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)	xxxxx	<ul style="list-style-type: none"> Quarter 4/annual performance report for FY2016/17 was submitted to MoFPED on 7th August, 2017 contrary to LG Budget Preparation Guidelines and PFMA Act, 2015 which emphasises the annual performance reports to be have been submitted to MoFPED before 31st July, 2017 	No
LG has submitted the quarterly budget performance report for all the four quarters of the previous FY; PFMA Act, 2015)	xxxxxxx	<p>Moyo district submitted all the 4 performance quarterly reports expected in FY2016/17 on the dates shown as:</p> <p>Quarter 4 submitted on 7/8/2017</p> <p>Quarter 3 Submitted on 22/5/2017</p> <p>Quarter 2 Submitted on 15th/2/2017</p> <p>Quarter 1 Submitted on 11/11/2016</p>	Yes

Assessment area: Audit

<p>The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General or Auditor General findings for the previous financial year by April 30 (PFMA s. 11 2g). This statement includes actions against all findings where the Auditor General recommended the Accounting Officer to take action (PFMA Act 2015; Local Governments Financial and Accounting Regulations 2007; The Local Governments Act, Cap 243).</p>	<p>xxxxx</p>	<p>• Moyo DLG submitted to the PS/ST through the Internal Auditor General MoFPED a report on the status of implementation of internal audit findings for FY 2015/16. • The report included the internal audit findings of 2015/16 showing the status of actions on each. The report for FY 2015/16 has 132 recommendations and the status shows that no action has been taken on any of them. • The report was submitted by the Principal Internal Auditor by email on 24/02/2017. The email submission was seen. A copy of the report was also confirmed at the Internal Auditor General's office at MoFPED.</p>	<p>No</p>
<p>The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer</p>	<p>xxxxx</p>	<p>The district had an unqualified opinion on its report with an emphasis of matter on 7 issues: • Utilization of Medicines and Health Supplies • Stock-outs • Failure to implement Budget as approved by Parliament • Low recovery rate of Youth Livelihood Funds • Understaffing • Poor Management of motor vehicles and motorcycles • Encroachment on Chala Swamp</p>	<p>Yes</p>



LGPA 2017/18

Crosscutting Performance Measures

Moyo District

(Vote Code: 539)

Score 45/100 (45%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality; and (ii) all Town Councils in a District are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a municipality/district has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 2. 	0	<p>Moyo district does not have a functional Physical Planning Committee that considers new investments. The committee file presented only had names of the nominated members by CAO, but they had not met since 2013 when it was constituted rendering it non functional</p>
		<ul style="list-style-type: none"> • All new infrastructure investments have approved plans which are consistent with the Physical Plans: score 2. 	0	<p>There was no evidence of new infrastructure investments having approved plans since the district itself does not have a district level physical plan.</p>

2	<p>The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year development plan, are based on discussions in annual reviews and budget conferences and have project profiles</p>	<ul style="list-style-type: none"> • Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2. 	2	<p>There was evidence that the budget conference was held as show by the conference report of 4th to 5th October 2016 attended by heads of departments, political leaders and development partners working in Moyo district. The conference was attended by 65 (53m, 12F) participants. According to the report, the conference was organised to provide a platform to consult with various stakeholders in order to incorporate their views into the district local government AWP 2017/18 by sharing past performance, constraints, lessons and good practices. Among issues discussed included</p> <ul style="list-style-type: none"> • Review of sector policies, performance and priorities for FY2017/18 • Agreement of the sector priorities guided by Uganda’s vision 2040, President’s manifesto, NDP11 and DDP11 • Action plan for the preparation of BFP for FY2017/18
		<ul style="list-style-type: none"> • Evidence that the capital investments in the approved Annual work plan for the current FY are derived from the approved five-year development plan. If different, justification has to be provided and evidence that it was approved by Council. Score 2. 	2	<p>There is a clear relationship in terms of capital investment projects prioritised in the Fy17/18 AWP and the planned activities in the 5 year development plan 2. From the sampled capital investment projects such as:</p> <ul style="list-style-type: none"> • Staff house construction at Eremi Health Centre 3 is on page 17 of the AWP but also on page 352 of the DDP11 for the same period • Drilling of 4 boreholes under water is indicated in page 24 of the AWP, but also in page 366 of the DDP11 • Under roads, periodic maintenance of Laropi-Paanjala road link is mentioned on page 22 of the AWP but also seen on page 365 of the DDP11
		<ul style="list-style-type: none"> • Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 1. 	1	<p>There was evidence that project profiles were developed during the formulation of the 5 year district development plan (2015/16-2019/20) and discussed by TPC at that time. This formed a basis for the development of the AWP.</p>

3	<p>Annual statistical abstract developed and applied</p> <p>Maximum 1 point on this performance measure</p>	<ul style="list-style-type: none"> Annual statistical abstract, with gender disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making-maximum 1 point. 	1	<p>There was evidence that the annual statistical abstract 2016/17 was compiled in July 2017 with support from all heads of departments and consolidated by the planner. The consolidated statistical abstract was discussed in a TPC meeting of 12th October, 2017 under minute Min/032/Moyo/DTPC/2017/2018.</p>
4	<p>Investment activities in the previous FY were implemented as per AWP.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2 	2	<p>There was evidence that all infrastructure projects implemented by Moyo DLG were derived from the AWP and budget. The 2 documents AWP and Annual Performance Report identified the following infrastructure projects in common</p> <ul style="list-style-type: none"> Construction of road side markets at Eria and Idrimari rural growth centres were implemented in the APR under page 39 but also included in the APR Completion of 2 classroom block at Kongolo P/S is in the AWP page 49 but also in the APR Construction of 5 stance VIP latrine at Liri P/S is shown in APR page 50 but also AWP There is also construction of a drainage structure on metu-Gbari road link, which is in page 54 of the APR but also AWP

		<ul style="list-style-type: none"> • Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY. o 100%: score 4 o 80-99%: score 2 o Below 80%: 0 	2	<p>The 2 documents AWP and Annual Performance Report (APR) identified expenditure of the following infrastructure projects:</p> <ul style="list-style-type: none"> • Construction of road side markets at Eria and Idrimari rural growth centres were implemented in the APR under page 39 at 99.6% of the budget • Completion of 2 classroom block at Kongolo P/S is in the APR page 49 at 89.7% • Construction of 5 stance VIP latrine at Liri P/S is shown in APR page 50 at 100% • There is also construction of a drainage structure on metu-Gbari road link, which is in page 54 of the APR at 76.4%
5	<p>The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects and assets during the previous FY</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	0	<p>There was evidence that investment projects were not completed within approved budget- Max 15% plus or minus of the original budget. The overall sector expenditure performance in the district fluctuated from sector to sector for example in the Annual Performance Report page 2 summary, it indicated that health was 68% spent, Production and marketing was 45%, Education was 99%, water was 66% and roads & Engineering was 66%. This gives a below the recommended standard of 15% plus and minus of the original budget. And from sampled projects like</p> <p>Roads out put on community access roads maintenace, for 226 drainage structures on Gborokonyo-Waka road link on page 54 of the annual performance report. The district planned to spend 120,700,000, but spent 82,767 000 (68.6%)</p> <p>Urban road upgrading to bitumen in Moyo town council, planned 69,854,000, but spent 44,314,000 (63.4%) page 54 of the annual performance report</p>

		<ul style="list-style-type: none"> Evidence that the LG has budgeted and spent at least 80% of O&M budget for infrastructure in the previous FY: score 2 	0	<p>There was evidence that Moyo LG did not budget for O&M for infrastructure in FY2016/17.</p> <p>Under water workplan in the annual performance report page 56, Output on supporting for O&M of district water and sanitation had line items listed but with no cost attached.</p>
--	--	---	---	---

Assessment area: Human Resource Management

6	LG has substantively recruited and appraised all Heads of Departments	<ul style="list-style-type: none"> Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	2	<ul style="list-style-type: none"> All heads of Departments and keys section heads, namely Internal audit, HRM, Planning unit, and Procurement have filled performance contracts and have accordingly been endorsed by CAO
	Maximum 5 points on this Performance Measure.	<ul style="list-style-type: none"> Evidence that the LG has filled all HoDs positions substantively: score 3 	0	<ul style="list-style-type: none"> 3 departments don't have substantive heads, - The post of Deputy C A O is yet filled by Mo-PS. For the post of works & Engineering and community Development are not filled substantively. • However there is evidence that they have advertised but failed to attract suitable candidates to fill the positions substantively
7	The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY. Maximum 4 points on this Performance Measure	<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for recruitment have been considered: score 2 	2	<ul style="list-style-type: none"> Reference made to CAO's submission CR/175, submissions and DSC min.DSC/45/2017 all positions submitted for recruitment had been handled
		<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for confirmation have been considered: score 1 	1	<ul style="list-style-type: none"> Reference made to DSC Minute no.DSC/78/(1)2017,in comparison with CAO'S submission CR/174 all staff submitted for confirmation had been handled
		<ul style="list-style-type: none"> Evidence that 100 percent of staff submitted for disciplinary actions have been considered: score 1 	1	<ul style="list-style-type: none"> Reference made to DSC minute .DSC/66/(1)2017and DSC/46/2017 and CAO'S submission CR/ 177 , all disciplinary cases submitted to the DSC had been handled

8	Staff recruited and retiring access the salary and pension payroll respectively within two months	<ul style="list-style-type: none"> Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	3	<ul style="list-style-type: none"> All staff recruited in previous financial year had accessed the pay roll with in two month as evidenced in the IPPS and recruited staff list from DSC/45/2017
	Maximum 5 points on this Performance Measure.	<ul style="list-style-type: none"> Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	0	No pensioner had accessed pensioner's pay roll with in two month, according to the the pensioner,s soft ware payroll

Assessment area: Revenue Mobilization

9	The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)	<ul style="list-style-type: none"> If increase in OSR from previous FY but one to previous FY is more than 10% : score 4 points If the increase is from 5 - 10% : score 2 point If the increase is less than 5% : score 0 points. 	4	Moyo DLG local revenue for 2015/16 was Shs 178,499,087. Local revenue for 2016/17 was Shs 627,554,169. The increase from 2015/16 to 2016/17 was 252%.
	Maximum 4 points on this Performance Measure.			
10	LG has collected local revenues as per budget (collection ratio)	<ul style="list-style-type: none"> If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10% : then 2 points. If more than +/- 10% : zero points. 	0	Moyo DLG Local revenue budget for FY 2016/17 was Shs 887,436,322. The actual amount realised in the year was Shs 627,554,169. What was realised was 29.2% below what was planned.
	Maximum 2 points on this performance measure			

11	Local revenue administration, allocation and transparency Maximum 4 points on this performance measure	<ul style="list-style-type: none"> Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	2	<p>Transactions sampled show that remittances of local revenue are happening both ways; the district remits 65% to sub counties when it collects and sub counties remit 35% in cases where they collect. Examples of remittances by the district: Shs 3,334,000 remitted to Metu S/C on 22/12/17, Shs 1,095,900 to Laporu S/C on 20/01/17 and Shs 1,500,000 to Dufile S/C on 20/01/17. Examples of remittances to the district: Shs 1,001,000 remitted by Aliba S/C on 21/12/17, Shs 1,601,075 by Moyo S/C on 13/10/17 and Shs 969,325 by Lefori S/C on 04/10/17.</p>
		<ul style="list-style-type: none"> Evidence that the LG is not using more than 20% of OSR on council activities: score 2 	0	<p>FY 2016/17 costs of the council which draw from local revenue include Council allowances Shs 87,447,896, Travel inland Shs 47,825,000, Standing committee allowances Shs 8,305,600 and Standing committee travel inland Shs 8,986,900, all totalling to Shs 152,514,796. From these we net Council funds which come from central government DRC monthly payment Shs 52,800,000 and Local I & III annual payments Shs 34,680,000. Net local revenue expense on Council Shs 65,085,396. This makes 36.4% of FY 2015/16 local revenue (Shs 178,499,087). This is >20%.</p>

Assessment area: Procurement and contract management

12	The LG has in place the capacity to manage the procurement function Maximum 4 points on this performance measure.	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	0	<p>There were NO District Service Commission (DSC) Minutes and Signed Performance Contracts for a Senior Procurement Officer and Procurement Officer that were seen at Moyo HRM Department to confirm that those two positions were substantively filled.</p>
				<p>There was Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY. Examples of Projects with corresponding TEC Reports for the previous FY that were seen at the PDU in Referenced Procurement Files are listed below:</p> <ul style="list-style-type: none"> - Construction of 4 Classroom Block with Office Space at Gwere P/S under DDEG (Moyo 539/WRKS/16-17/00022). - Construction of 5 Stance VIP Latrine at Liri P/S under DDEG (Moyo 539/WRKS/16-17/00023).

• Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1

1

- Rehabilitation of 5 Stance VIP Latrine at Legu P/S under DDEG (Moyo 539/WRKS/16-17/00024).
- Renovation of District Education Office and Resource Center under DDEG (Moyo 539/WRKS/16-17/00025).
- Construction of Incinerator at Obongi Health Center IV under PHC (Moyo 539/WRKS/16-17/00032).
- Continuation of Moyo Sub county Piped Water Scheme to supply an installation of 144 – 150 Cubic Meter Reservoir Tank in Water Department under DWSCG (Moyo 539/WRKS/16-17/00069).
- Construction of 4 Classroom Block at Liwa P/S under DDEG (Moyo 539/WRKS/17-18/00026).
- Rehabilitation of 4 Classroom Block with Office Space at Lefori P/S under DDEG (Moyo 539/WRKS/17-18/00027).
- Rehabilitation of 4 Classroom Block at Erepi Demonstration P/S under DDEG (Moyo 539/WRKS/17-18/00028).
- Construction of Staff House Type 1 B and 2 Stance VIP Latrine with Bathing Shelter at Erepi HC III in Metu Sub county under DDEG (Moyo 539/WRKS/17-18/00030).
- Construction of Six Kiosks for Moyo Piped Water Supply Scheme in Opiro Village under DWSCG (Moyo 539/WRKS/17-18/00045).
- Drilling and Installation of 4 Deep Hand Pump Boreholes and Siting, Drilling and Installation of One Borehole at Ramogi HC II under DDEG in Moyo Sub county (Moyo 539/WRKS/17-18/00046).
- Reconstruction of Ojho Gravity Flow Scheme under DWSCG (Moyo 539/WRKS/17-18/00047).
- Hydro-Geophysical (Siting, Design and Supervision) of 4 Boreholes under DWSCG (Moyo 539/WRKS/17-18/00048).
- Rehabilitation of 10 Boreholes in Moyo District under UNICEF (Moyo 539/WRKS/17-18/00058).

There was Evidence that District Contracts Committee (DCC) considered recommendations of the TEC and provided justifications for any deviations from those recommendations. Examples of Projects with corresponding DCC Reports for the previous FY that were seen at the PDU in Referenced Procurement Files are listed below:

- Construction of 4 Classroom Block with Office Space at Gwere P/S under DDEG (Moyo 539/WRKS/16-17/00022). Date of Contract Award: 27/02/2017 at 15th District Contracts Committee Meeting.

- Construction of 5 Stance VIP Latrine at Liri P/S under DDEG (Moyo 539/WRKS/16-17/00023). Date of Contract Award: 27/02/2017 at 15th District Contracts Committee Meeting.

- Rehabilitation of 5 Stance VIP Latrine at Legu P/S under DDEG (Moyo 539/WRKS/16-17/00024). Date of Contract Award: 27/02/2017 at 15th District Contracts Committee Meeting.

- Renovation of District Education Office and Resource Center under DDEG (Moyo 539/WRKS/16-17/00025). Date of Contract Award: 27/02/2017 at 15th District Contracts Committee Meeting.

- Construction of Incinerator at Obongi Health Center IV under PHC (Moyo 539/WRKS/16-17/00032). Date of Contract Award: 13/04/2017 at 17th District Contracts Committee Meeting.

- Continuation of Moyo Sub county Piped Water Scheme to supply an installation of 144 – 150 Cubic Meter Reservoir Tank in Water Department under DWSCG (Moyo 539/WRKS/16-17/00069). Date of Contract Award: 27/02/2017 at 15th District Contracts Committee Meeting.

- Construction of 4 Classroom Block at Liwa P/S under DDEG (Moyo 539/WRKS/17-18/00026). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting.

- Rehabilitation of 4 Classroom Block with Office Space at Lefori P/S under DDEG (Moyo 539/WRKS/17-18/00027). Date of Contract Award: 11/December/2017 at 25th

- Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1

			<p>District Contracts Committee Meeting.</p> <ul style="list-style-type: none"> - Rehabilitation of 4 Classroom Block at Erepi Demonstration P/S under DDEG (Moyo 539/WRKS/17-18/00028). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting. - Construction of Staff House Type 1 B and 2 Stance VIP Latrine with Bathing Shelter at Erepi HC III in Metu Sub county under DDEG (Moyo 539/WRKS/17-18/00030). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting. - Construction of Six Kiosks for Moyo Piped Water Supply Scheme in Opiro Village under DWSCG (Moyo 539/WRKS/17-18/00045). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting. - Drilling and Installation of 4 Deep Hand Pump Boreholes and Siting, Drilling and Installation of One Borehole at Ramogi HC II under DDEG in Moyo Sub county (Moyo 539/WRKS/17-18/00046). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting. - Reconstruction of Ojho Gravity Flow Scheme under DWSCG (Moyo 539/WRKS/17-18/00047). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting. - Hydro-Geophysical (Siting, Design and Supervision) of 4 Boreholes under DWSCG (Moyo 539/WRKS/17-18/00048). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting. - Rehabilitation of 10 Boreholes in Moyo District under UNICEF (Moyo 539/WRKS/17-18/00058). Date of Contract Award: 11/December/2017 at 25th District Contracts Committee Meeting.
13	The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the		a) There WAS Evidence that the procurement and Disposal Plan for the current year (2017/2018 FY) covered all infrastructure projects in the approved annual work plan and budget as exemplified by the following procurements that were indicated both in the Procurement Plan and

approved AWP and is followed.

Maximum 2 points on this performance measure.

- a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2

2

in the approved annual work plan and budget for the current FY (2017/2018 FY):

- Construction of 4 Classroom Block at Liwa P/S under DDEG (Moyo 539/WRKS/17-18/00026).
 - Rehabilitation of 4 Classroom Block with Office Space at Lefori P/S under DDEG (Moyo 539/WRKS/17-18/00027).
 - Rehabilitation of 4 Classroom Block at Erepi Demonstration P/S under DDEG (Moyo 539/WRKS/17-18/00028).
 - Construction of Staff House Type 1 B and 2 Stance VIP Latrine with Bathing Shelter at Erepi HC III in Metu Sub county under DDEG (Moyo 539/WRKS/17-18/00030).
 - Construction of Six Kiosks for Moyo Piped Water Supply Scheme in Opiro Village under DWSCG (Moyo 539/WRKS/17-18/00045).
 - Drilling and Installation of 4 Deep Hand Pump Boreholes and Siting, Drilling and Installation of One Borehole at Ramogi HC II under DDEG in Moyo Sub county (Moyo 539/WRKS/17-18/00046).
 - Reconstruction of Ojho Gravity Flow Scheme under DWSCG (Moyo 539/WRKS/17-18/00047).
 - Hydro-Geophysical (Siting, Design and Supervision) of 4 Boreholes under DWSCG (Moyo 539/WRKS/17-18/00048).
 - Rehabilitation of 10 Boreholes in Moyo District under UNICEF (Moyo 539/WRKS/17-18/00058).
- b) There WAS evidence that the LG made procurements in previous FY (2016/2017 FY) as per plan (adherence to the procurement plan) for the previous FY (2016/2017 FY) as exemplified by the following procurements that occurred both in the Procurement Plan and in Referenced Procurement Files for the previous FY (2016/2017 FY):
- Construction of 4 Classroom Block with Office Space at Gwere P/S under DDEG (Moyo 539/WRKS/16-17/00022).
 - Construction of 5 Stance VIP Latrine at Liri P/S under DDEG (Moyo 539/WRKS/16-17/00023).

			<ul style="list-style-type: none"> - Rehabilitation of 5 Stance VIP Latrine at Legu P/S under DDEG (Moyo 539/WRKS/16-17/00024). - Renovation of District Education Office and Resource Center under DDEG (Moyo 539/WRKS/16-17/00025). - Construction of Incinerator at Obongi Health Center IV under PHC (Moyo 539/WRKS/16-17/00032). - Continuation of Moyo Sub county Piped Water Scheme to supply an installation of 144 – 150 Cubic Meter Reservoir Tank in Water Department under DWSCG (Moyo 539/WRKS/16-17/00069).
14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • For current FY, evidence that the LG has prepared 80% of the bid 	<p>For current FY, there was NO evidence that the LG prepared 80% of the bid documents for all investment/infrastructure by August 30. The Assessor made a calculation based on the respective preparation dates of individual Bid Documents and found that 44.4% of Bid Documents for 2017/2018 FY were prepared BEFORE August 30, 2017. The Calculation made by the Assessor was based on the following Projects and the respective Dates on which the Projects Bid Documents were prepared:</p> <ul style="list-style-type: none"> - Construction of 4 Classroom Block at Liwa P/S under DDEG (Moyo 539/WRKS/17-18/00026). Bid Document Preparation Date: 29/08/2017. - Rehabilitation of 4 Classroom Block with Office Space at Lefori P/S under DDEG (Moyo 539/WRKS/17-18/00027). Bid Document Preparation Date: 29/08/2017. - Rehabilitation of 4 Classroom Block at Erepi Demonstration P/S under DDEG (Moyo 539/WRKS/17-18/00028). Bid Document Preparation Date: 29/08/2017. - Construction of Staff House Type 1 B and 2 Stance VIP Latrine with Bathing Shelter at Eremi HC III in Metu Sub county under

<p>documents for all investment/infrastructure by August 30: score 2</p>	<p>0</p>	<p>DDEG (Moyo 539/WRKS/17-18/00030). Bid Document Preparation Date: 28/08/2017.</p> <p>- Construction of Six Kiosks for Moyo Piped Water Supply Scheme in Opiro Village under DWSCG (Moyo 539/WRKS/17-18/00045). Bid Document Preparation Date: 04/September/2017.</p> <p>- Drilling and Installation of 4 Deep Hand Pump Boreholes and Siting, Drilling and Installation of One Borehole at Ramogi HC II under DDEG in Moyo Sub county (Moyo 539/WRKS/17-18/00046). Bid Document Preparation Date: 04/September/2017.</p> <p>- Reconstruction of Ojho Gravity Flow Scheme under DWSCG (Moyo 539/WRKS/17-18/00047). Bid Document Preparation Date: 04/September/2017.</p> <p>- Hydro-Geophysical (Siting, Design and Supervision) of 4 Boreholes under DWSCG (Moyo 539/WRKS/17-18/00048). Bid Document Preparation Date: 04/September/2017.</p> <p>- Rehabilitation of 10 Boreholes in Moyo District under UNICEF (Moyo 539/WRKS/17-18/00058). Bid Document Preparation Date: 05/September/2017.</p>
<ul style="list-style-type: none"> For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>2</p>	<p>The Assessor saw an Updated Contracts Register for 2016/2017 FY at the PDU. The Assessor also saw Completed and Referenced Procurement Activity Files for all procurements for 2016/2017 FY at the PDU.</p>

• For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2.

2

There WAS Evidence that the LG adhered with Procurement Thresholds for 2016/2017 FY as exemplified by the following procurements:

- Construction of 4 Classroom Block with Office Space at Gwere P/S under DDEG (Moyo 539/WRKS/16-17/00022). Contract Amount: 93,389,100 UGX. Verified Procurement Method: Open Domestic Bidding.

- Construction of 5 Stance VIP Latrine at Liri P/S under DDEG (Moyo 539/WRKS/16-17/00023).

Contract Amount: 19,511,000 UGX. Verified Procurement Method: Selective Bidding.

- Rehabilitation of 5 Stance VIP Latrine at Legu P/S under DDEG (Moyo 539/WRKS/16-17/00024). Contract Amount: 5,525,000 UGX. Verified Procurement Method: Selective Bidding.

- Renovation of District Education Office and Resource Center under DDEG (Moyo 539/WRKS/16-17/00025). Contract Amount: 27,676,000 UGX. Verified Procurement Method: Selective Bidding.

- Construction of Incinerator at Obongi Health Center IV under PHC (Moyo 539/WRKS/16-17/00032). Contract Amount: 16,451,500 UGX. Verified Procurement Method: Selective Bidding.

- Continuation of Moyo Sub county Piped Water Scheme to supply an installation of 144 – 150 Cubic Meter Reservoir Tank in Water Department under DWSCG (Moyo 539/WRKS/16-17/00069). Contract Amount: 163,000,000 UGX. Verified Procurement Method: Open Domestic Bidding.

15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	0	<p>There was NO Evidence that all works projects implemented in the previous FY (2016/2017 FY) were issued with interim and completion certificates based on technical supervision.</p>
		<ul style="list-style-type: none"> Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	0	<p>There was NO Evidence that all works projects for the current FY (2017/2018 FY) were clearly labeled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration as confirmed during a field visit conducted by the Assessor on 18/01/2018.</p>
Assessment area: Financial management				
16	<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	0	<p>The district operates 12 bank accounts including the Treasury Single Account (TSA). Of the 12, only the General Fund account and the TSA were reconciled to 31 December 2017. The rest were not up to date, with some as far back as June 2017.</p>
17	<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	0	<p>The payments reviewed show that even though on average the district makes timely payment of its suppliers, there are still some instances of delayed payments. Five suppliers in 2016/17 were sampled and this is how long it took for them to be paid: Ayiasi, Vuga & Brothers - 21 days. Highway Business Enterprises - 8 Days. Quks Enterprises Ltd - 2 weeks. New Shell Soroti - 10 days and Icon Projects Ltd - 5 months. Average: 40 Days.</p>

18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG has a substantive Senior Internal Auditor and produced all quarterly internal audit reports for the previous FY: score 3. 	3	<p>The LG has a substantive Principal Internal Auditor in the names of Amori George appointed by the CAO on 01/01/06, Minute 115/2005. Internal Audits for FY 2016/17 were performed and reports for the four quarters were seen and reviewed.</p>
		<ul style="list-style-type: none"> Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries: score 2. 	0	<p>There wasn't any documentary evidence to verify this fact. The Principal Internal Auditor says he provided the report to the PAC Chairperson, unofficially.</p>
		<ul style="list-style-type: none"> Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1 	1	<p>FY 2016/17 internal audit reports were submitted to the accounting officer and PAC. The first quarter report submitted on 16/01/17 with copies to CAO, RDC and LC5 Chairperson. Second quarter report was submitted on 23/03/17, the third quarter on 31/05/17 and fourth quarter on 22/09/17. PAC minutes in a report dated 28/11/17 show evidence of discussion of internal audit reports for period up to 30 June 2017. The queries raised, actions and responsibilities are clearly outlined. The PAC meeting was held on 12th and 13th December 2017.</p>
19	<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the LG maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	0	<p>An assets register is maintained in IFMIS. Most assets are within the system indicating their labels, asset numbers etc. But the information is not updated as assets such as buildings need valuation before their costs are included. Equipment such as the recently acquired graders is still lacking details.</p>

20	<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY: • unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0</p>	4	<p>The district had an unqualified report with an emphasis of matter on 7 issues: • Utilization of Medicines and Health Supplies • Stock-outs • Failure to implement Budget as approved by Parliament • Low recovery rate of Youth Livelihood Funds • Understaffing • Poor Management of motor vehicles and motorcycles • Encroachment on Chala Swamp</p>
Assessment area: Governance, oversight, transparency and accountability				
21	<p>The LG Council meets and discusses service delivery related issues</p> <p>Maximum 2 points on this performance measure</p>	<p>Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2</p>	2	<p>In the council meeting of 6th/9/2017 under min.04/COU/09/2017/18, the council approved revised department budget estimates for FY2017/18.</p> <p>The same minute discussed Opiro Piped water system that had stalled because of contract execution challenges as presented by Hon. Asusi JB secretary for works</p> <p>Under council Min. 07/COU/9/2017/18, The district chairperson presented district state of affairs to council for discussion. The report highlighted key sectoral issues/challenges in the areas of Education, health, staffing, financial management, roads and security situation among others.</p>
22	<p>The LG has responded to the feedback/complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<p>• Evidence that LG has designated a person to coordinate response to feed-back (grievance /complaints) and responded to feedback and complaints: score 2.</p>	0	<p>There was no evidence to show that a person/staff was designated to coordinate and provide feedback on grievance/complains from citizens.</p>

23	The LG shares information with citizens (Transparency)	Evidence that the LG has published: • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2	0	For all notice boards at the district, there was no evidence of LG payroll and pensioners schedule published. Most of the notice boards were full of job adverts from NGOs.
	Total maximum 4 points on this Performance Measure	• Evidence that the procurement plan and awarded contracts and amounts are published: score 1	0	There was no procurement plan and awarded contracts and amounts published for public consumption on notice boards
		• Evidence that the LG performance assessment results and implications, are published e.g. on the budget website for the previous year (from budget requirements): score 1.	0	Not applicable since there was no LG performance assessment done in FY2016/17.
24	The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens	• Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1	0	There was no evidence to show that HLG had communicated and explained guidelines, circulars and policies issued by the national level to LLGs FY2016/17.
	Maximum 2 points on this performance measure	• Evidence that LG during previous FY has conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc..) with the public to provide feedback on status of activity implementation: score 1.	0	There was no evidence to show that Moyo LG in FY2016/17 conducted discussions with the public to provide feedback on status of activity implementation.
Assessment area: Social and environmental safeguards				

25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person has provided guidance and support to sector departments to mainstream gender into their activities score 2. 	2	<p>There was Evidence that the LG gender focal person provided guidance and support to sector departments to mainstream gender into their activities during 2016/2017 FY by way of support supervision that was done as an integrated activity under the Department of Community Development/Community Based Services.</p>
	<p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that gender focal point has planned activities for current FY to strengthen women's roles and that more than 90% of previous year's budget for gender activities has been implemented: score 2. 	0	<p>- There was Evidence that gender focal point had planned activities for current FY (2017/2018 FY) to strengthen women's roles since there was an Allocation made for Gender Activities from Local Revenue for FY 2017/2018 amounting to 2,500,000 UGX (Output 108107 – Gender Mainstreaming) of the Work Plan and Budget for the Department of Community Development/Community Based Services for 2017/2018 FY.</p> <p>- There was NO Evidence that more than 90% of previous year's budget for gender activities (based on Local Revenue) was implemented since there was NO Allocation made for Gender Activities from Local Revenue for FY 2016/2017.</p>
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p>	<ul style="list-style-type: none"> • Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 2 	0	<p>There was NO Evidence that environmental screening or EIA where appropriate, was carried out for activities, projects and plans. There was also NO Evidence that mitigation measures were planned and budgeted for.</p>
	<p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management plans in the contract bid documents: score 1 	0	<p>There was NO Evidence that the LG integrated environmental and social management plans in the contract bid documents.</p>

		<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	0	<p>There was NO Evidence that all projects were implemented on land where the LG had proof of ownership by way of a land title or agreement with land owners. None of the projects sampled had a copy of a land title or a land agreement on their Procurement Files that were appropriately and adequately referenced.</p>
		<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer: score 2 	0	<p>There was NO Evidence that all completed projects had Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer. None of the projects sampled had a copy of an Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer on their Procurement Files that were appropriately and adequately referenced.</p>



LGPA 2017/18

Educational Performance Measures

Moyo District

(Vote Code: 539)

Score 79/100 (79%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human Resource Management				
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	4	<p>Moyo district OBT FY 17/18 has a wage bill of UGX 5,386,630,000 for 672 qualified Head teachers and teachers. The total budget covers Head teachers and regular teachers.</p>
		<ul style="list-style-type: none"> Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school for the current FY: score 4 	4	<p>The DEO has a school staff list and budget for 80 schools (i.e. 66 government aided and 14 integrated schools) for a Head teacher and Teachers on the payroll for FY17/18.</p> <p>The DEO has a staff list and budget for 47 Head teachers against the expected 80 posts; and 590 teachers against the minimum of 560 Teachers</p> <p>The Urban and rural sampled schools had the same numbers of staff as reflected in the Education Department list in the DEO office. The 2 Urban schools – Illi Valley P/S there are 10 teachers on the staff list as reflected in the Education Department file; and Besia P/S had 11 staff posted on the Head teachers’ office wall.</p> <p>The 2 rural schools – Erepi Demonstration School had 10 teachers on the payroll and Orukumba P/S had 10 Teachers on the payroll posted on the Head teachers’ office wall.</p>

2	<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has filled the structure for primary teachers with a wage bill provision o If 100% score 6 o If 80 - 99% score 3 o If below 80% score 0 	6	<p>There were no vacancies declared in 2016/17 as per letter CR/1/0823 as all positions were filled against the wage bill provision.</p>
3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	6	<p>In FY17-18, the district structure has 3 positions and all the three positions have been filled as per personal files CR/10823 Dated 5th August 2014; See Ref: CR/D/MOY/156/1 dated 18th June 2015; and File CR/MOY/19/103/9 dated 29th Jan 2015; file CR/10823 dated 5th August 2014; file CR/D/MOY/156/1 dated 18th June 2015; and File CR/MOY/19/103/9 dated 29th Jan 2015.</p>
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of Primary Teachers: score 2</p>	2	<p>There is a department recruitment plan that covers all the Primary Teachers on file and sent to HRM department as per recruitment plan file ARC 6/293/05</p>
		<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of School Inspectors: score 2</p>	2	<p>All positions of school inspectors have been filled.</p>

5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department appraised school inspectors during the previous FY • 100% school inspectors: score 3</p>	3	<p>The 3 Inspectors were appraised as per files a) CR/D/MOY/156/1 on 18th June 2015; CR/MOY/19/103/9 on 29th January 2015; CR/MOY/103/2 on 17th February 2016</p>
		<p>Evidence that the LG Education department appraised head teachers during the previous FY. • 90% - 100%: score 3 • 70% - 89%: score 2 • Below 70%: score 0</p>	3	<p>There were 66 performance agreements and files for each of the 66 Head teachers. Sampled files were; Idriko Primo; Koma Evarest; Izakare Simon; Oruni Severino; Madrama Geoffrey; Ondoga Rashid; Akuku Robert; Ondoa Adi Mary; Drametu Abraham and were consistent as per their records.</p>

Assessment area: Monitoring and Inspection

6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<p>• Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1</p>	1	<p>Circular from the Permanent Secretary Ministry of Education and Sports was received and forwarded by the DEO to schools on “Closure of all unregistered schools” as per Ref: DES/50/14 Dated January 16th 2017.</p>
		<p>• Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level, including on school feeding: score 2</p>	2	<p>Supervision Tool for Primary and Secondary Schools was shared with Head teachers through a meeting as per minute of Head teachers management meeting with the Education Department held on 3/3/2016 at Moyo Multi-purpose Training Centre – under communication from the Chair Min 2.</p>

7	<p>The LG Education Department has effectively inspected all private and public primary schools</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all private and public primary schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> 100% - score 12 90 to 99% - score 10 80 to 89% - score 8 70 to 79% - score 6 60 to 69% - score 3 50 to 59% - score 1 Below 50% - score 0. 	12	<p>All (100%) primary schools have been inspected and all the 4 quarterly reports are documented and are on file:</p> <p>1st Qtr – inspection report produced in October 2016</p> <p>2nd Qtr – inspection produced in February 2017</p> <p>3rd Qtr – inspection produced in May 2017</p> <p>4th Qtr – inspection produced in July 2017</p>
8	<p>LG Education department has discussed the results/reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	4	<p>The Education Department held a meeting with Head teachers on 3/3/2016 at Moyo Multi-Purpose Training Centre under “Briefing on internal supervision tools for schools”</p>
		<ul style="list-style-type: none"> Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	2	<p>Inspection Reports for 1st Qtr; (DES acknowledged receipt dated 6th March 2017) and 2nd Qtr; 3rd Qtr and 4th Qtr (DES acknowledged receipt on 26 July 2017).</p>
		<ul style="list-style-type: none"> Evidence that the inspection recommendations are followed-up: score 4 	4	<p>There are letters sent to individual Head teachers and Teachers as follow up to these inspection findings as per References: CR/MOY/151/1 on absenteeism; and CR/MOY/1/55/1 on Irresponsible and unprofessional behaviour.</p>

9	The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES Maximum 10 for this performance measure	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and OBT: score 5 	5	<ul style="list-style-type: none"> • EMIS (2016) list of schools data stood at 80 schools • OBT list of schools data stood at 80 schools in FY2017/18
		<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and OBT: score 5 	0	<ul style="list-style-type: none"> • EMIS enrolment data stood at 32,941 FY2017/18 • OBT enrolment data stood at 63,833 pupils in FY2017/18

Assessment area: Governance, oversight, transparency and accountability

10	The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council Maximum 4 for this performance measure	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc...during the previous FY: score 2 	2	<ul style="list-style-type: none"> • Social Service Committee meeting held on 17th February, 2017. Had presentations from implementing partners under Health, and Education, <p>Also included was the review of second quarter performance reports and presentation of 3rd quarter departmental work plan FY2016/17 Min/SSC/16/2016/17 and Min/SSC/19/2016/17 respectively.</p> <ul style="list-style-type: none"> • Meeting of 26th May, 2016 held for the approval of AWP's and Budgets for 2016/17, Capacity building plan, Revenue enhancement plan, procurement and disposal and review of the budget for 2016/17
----	---	--	---	--

		<ul style="list-style-type: none"> Evidence that the education sector committee has presented issues that requires approval to Council: score 2 	2	<ul style="list-style-type: none"> Meeting dated 28th Feb, 2018 report by SSC chairperson presented to council on the impact of refugees on social services including education sector. Under education low enrolment of nationals in schools but high enrolment of refugees, excess capacity of Children teacher ratio, etc Min 045/COU/2016/17 second reading of the Education Bill as presented by the SSC chairperson to council Min 033/COU/2016/17- Petition by Dufile sub county about Education to Council which was referred to social services committee for discussion and recommendation to council
11	<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO) • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80% schools: score 0</p>	5	<p>The SMCs exists although the files for 2017 were not submitted by all schools due to the education department renovation.</p> <p>At the four primary schools' sampled, the SMCs were functional, notably:</p> <p>Erepi P/S- there were 3 Females out of the 11 SMCs</p> <p>Orukumba P/S-there were 4 Females out of 12 SMCs</p> <p>Illi Valley P/S-there were 3 Females out of 9 SMCs</p> <p>Besia P/S-there were 5 Females out of 12 SMCs</p>

12	<p>The LG has publicised all schools receiving non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3 	3	<p>The District display of non-wage for all schools was on file while at the sampled schools however, there were displays of non-wage recurrent grants posted on the Head teachers' offices for cumulative years 2015 to 2017 at:</p> <p>Erepi P/S</p> <p>Orukumba P/S</p> <p>Illi Valley P/S</p> <p>Besia P/S</p>
----	--	---	---	--

Assessment area: Procurement and contract management

13	<p>The LG Education department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30: score 4 	4	<p>The Education department prepared and submitted all investment items in the approved sector annual workplans and all were submitted before 30th April 2017; See EDU/103/2 of September 21st 2016</p>
----	---	--	---	---

14	<p>The LG Education department has certified and initiated payment for supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3 points 	3	<p>Payments sampled show that on average there is timely certification and payment of contractors. Examples include: Ayiasi, Vuga & Brothers who contracted construction of a classroom block for Shs 43,273,840. They invoiced on 08/05/17, the work was certified on 08/05/17 and payment was effected on 29/05/17, within 21 days. The same company was contracted to renovate the DEO's office at Shs 27,676,000. They invoiced on 30/05/17, the work was certified on 02/06/17 and payment was effected on 13/06/17. This was 2 weeks. Highway Business Enterprises was paid retention of Shs 1,044,660 for construction of a 5 stance latrine at Rado P/S. It invoiced on 12/06/17, certification was done on 19/06/17 and payment effected on 20/06/17. 8 Days.</p>
----	---	---	---	--

Assessment area: Financial management and reporting

15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	0	<p>Records from the planner's office show that Education department submitted annual performance report on 4th /8/2017 for consolidation contrary to mid July 2017.</p>
16	<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points o If all queries are not responded to score 0 	0	<p>Education Department had internal audit queries in FY 2016. But effort was made to respond to some of the queries and some were cleared. However, the first (16/01/17), second (23/03/27), third (31/05/17) and fourth (22/09/17) quarter internal audit reports for FY 2016/17 submitted to CAO, PAC, RDC, the Internal Auditor, and Auditor General have several unresolved education department accountability issues for which sufficient response was not provided.</p>

Assessment area: Social and environmental safeguards

17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teacher should provide guidance to girls and boys to handle hygiene, reproductive health, life skills etc...: Score 2 	0	<p>There was no evidence of the gender guidelines on file at the education department.</p>
		<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	0	<p>There was no evidence of the sanitation guidelines on file at the education department.</p>
		<ul style="list-style-type: none"> • Evidence that the School Management Committee meet the guideline on gender composition: score 1 	0	<p>There was no evidence of the School Management Committee guidelines on file at the education department as there were no submissions from SMCs minutes and other reports showing attendance list.</p>
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 3: 	0	<p>There was no evidence of the environment guidelines on file at the education department showing such having been disseminated.</p>



LGPA 2017/18

Health Performance Measures

Moyo District

(Vote Code: 539)

Score 71/100 (71%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Human resource planning and management				
1	<p>LG has substantively recruited primary health workers with a wage bill provision from PHC wage</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 6 • 60 – 80% - score 3 • Less than 60% filled: score 0 	6	<p>Approved structure FY2017/18 had a total of 711 health staffs while the current staffs on payroll are 581 giving 81.7%.</p> <ul style="list-style-type: none"> • An advert had been placed by the District Service Commission. The process of recruitment was at level of receiving applications.
2	<p>The LG Health department has submitted a comprehensive recruitment plan to the HRM department</p> <p>Maximum 4 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/request to HRM for the current FY, covering the vacant positions of health workers: score 4</p>	4	<p>A copy of Recruitment plan was submitted to HR in FY 2017/2018 to HR office/CAO with health workers positions attached as seen at DHOs office (Ref.ARC 6/293/05) DSC 152/1.</p>
3	<p>The LG Health department has ensured that performance appraisal for health facility in charge is conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the health facility in-charge have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	8	<ul style="list-style-type: none"> • 100% of selected Appraisal personal files of in-charges of health facilities from the HR office were filled and signed by the Head of Department/ supervisors.

4	<p>The Local Government Health department has equitably deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers equitably, in line with the lists submitted with the budget for the current FY: score 4 	4	<p>Records of staff lists for the budget framework FY 17/18 (OBT) were similar to the copy of the current staff listing per facility as seen in the DHOs office.</p>
---	--	--	---	--

Assessment area: Monitoring and Supervision

5	<p>The DHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the DHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	3	<ul style="list-style-type: none"> • A copy of a letter from DHOs to health facility in charges following a circular from NMS to recall AGOZOLE dated 20th Dec 2016 was seen at DHOs office. • A copy of performance review meeting minutes for DHT and facility in charges on orientation on new financial guidelines was seen at DHOs office dated 10/6/2016 • Copies of UCG, HIV Consolidated guidelines were seen at selected facilities(Eremi HC III, Ori HC II, Metu HC III, Gopi HCII, Besia HC III)
		<ul style="list-style-type: none"> • Evidence that the DHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	0	<ul style="list-style-type: none"> • There was no specific meeting called for In-charges to discuss or orient them on new guidelines/circulars despite the many Performance review meetings conducted.

6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT has supervised 100% of HC IVs and district hospitals: score 3</p>	0	<p>Copies of supervision reports indicated that DHT only supervises HCIV and the 15 HC IIIs. None of the reports indicated Moyo Hospital being supervised by the DHT.</p>
		<p>Evidence that DHT has supervised lower level health facilities within the previous FY: • If 100% supervised: score 3 points • 80 - 99% of the health facilities: score 2 • 60 - 79% of the health facilities: score 1 • Less than 60% of the health facilities: score 0</p>	0	<p>Copies of Supervision reports seen from the DHOs office indicated that the DHT only supervises the HSDs (HC IVs) and HC IIIs.(32.5%)</p>
7	<p>The Health Sub-district(s) have effectively provided support supervision to lower level health units</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that health facilities have been supervised by HSD and reports produced: • If 100% supervised score 6 points • 80 - 99% of the health facilities: score 4 • 60 - 79% of the health facilities: score 2 • Less than 60% of the health facilities: score 0</p>	0	<p>Copies of Supervision reports at HSD In-charge office (Moyo Hospital) indicated only 16 out of 27 of the facilities in that catchment area were supervised.(59%)</p>
8	<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<p>• Evidence that the reports have been discussed and used to make recommendations for corrective actions during the previous FY: score 4</p>	4	<p>Copies of the DHT/HSD supervisions reports indicated recommendations and actions to be taken generated by the supervising teams</p> <p>Copies of supervision reports in the Supervision log books at selected facilities also identified recommendations and action points to be handled.</p>
		<p>• Evidence that the recommendations are followed – up and specific activities undertaken for correction: score 6</p>	0	<p>DHT supervision reports and DHT monthly meeting reports seen at DHOs office didn't clearly bring out actions points generated from previous visits having been implemented.</p>

9	<p>The LG Health department has submitted accurate/consistent reports/date for health facility lists as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities which are consistent with both HMIS reports and OBT: score 10 	10	<ul style="list-style-type: none"> • A copy of the list of Health facilities at the DHOs office that were consistently submitting the HMIS to the DHO/MOH were the same as the list of health facilities in the OBT
---	--	---	----	--

Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	2	<p>Meeting of 9th August 2016 under Min/SSC/05/2016/17 which was presentation of 4th departmental reports and work plans for first quarter of 2017/18. Among health issues discussed included recruitment of medical officer, operationalisation of ultra sound medical machines and equipment, renovation of the hospital, Management Board to take up a comprehensive critical study on Moyo Hospital and come up with a comprehensive report to council, council to take up the process of upgrading Lomunga Health Centre 11 to Health centre 111 and council should plan to renovate the senior medical staff quarters</p>
----	---	---	---	---

		<ul style="list-style-type: none"> • Evidence that the health sector committee has presented issues that require approval to Council: score 2 	2	<ul style="list-style-type: none"> • Min/044/COU/2016/17 the health sector committee discussed Metu sub county bye law on total ban of selling and importation of alcohol in Sackets, and plastic bottles • Under Meeting held on 18th August, 2017, SSC discussed issues related to poor working relations in Moyo hospital, frequent stock out of drugs especially in health centres in Itula Sub County and increasing cases of TB in the refugees community threatening the health situation in the district. • Min 30/COU/2016/17 The Social Services Committee presented Moyo district Nutrition Action Plan FY 2015/16 to 2019/20 to council by Hon. Opoli Joseph
11	<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 5 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> • If 100% of randomly sampled facilities: score 5 • If 80-99% : score 3 • If 70-79%: : score 1 • If less than 70%: score 0 	5	<p>Copies of HUMC meeting minutes were availed in both Hospital and the selected health facilities (100%).(Moyo Hospital (dated 20/12/17), Besia HC III, Metu HCIII, and Gopi HC II(2/10/2017).</p> <p>Copies of HUMC members lists were well displayed in the selected facilities.</p>
12	<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 3 	3	<p>Copies of PHC fund distribution list of health facilities were properly displayed on noticeboard of DHO and Metu HCIII, Gopi HC II, BESIA HC III, and ORI HC II</p>
Assessment area: Procurement and contract management				
13	<p>The LG Health department has submitted procurement requests, complete with all technical requirements, to PDU</p>	<ul style="list-style-type: none"> • Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	2	<p>A Copy of procurement work Plan request to DPU from DHOs office dated 27/3/2017 was availed and seen at DHOs office.</p>

	<p>that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2</p>	<p>2</p>	<p>A Copy of procurement request to DPU from DHOs office was availed and seen which was received on 28/8/2017 by the DPU.</p>
<p>14</p>	<p>The LG Health department has supported all health facilities to submit health supplies procurement plan to NMS</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has supported all health facilities to submit health supplies procurement plan to NMS on time: • 100% - score 8 • 70-99% – score 4 • Below 70% - score 0 	<p>8</p>	<ul style="list-style-type: none"> • A copy of a letter to the DHOs office from NMS showing budget allocations for all the facilities FY 2016/17 dated 1/7/2016 was seen(100%). • District also used web system for NMS requests which can't allow any submission beyond the time line.

15	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 2 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO (as per contract) certified and recommended suppliers timely for payment: score 2 points 	2	<p>Payments sampled show that on average there is timely certification and payment of contractors. Examples include: Payment of Shs 8,749,022 to Davicon Multiple Services Ltd for construction of staff houses at Logoba HC III. The company invoiced on 20/06/16, certification was done on 29/07/16 and payment was effected on 27/02/17. This particular contract had challenges with delays in works completion and thus the delay in payment.</p> <p>Payment of retention Shs 5,700,000 to Quks Enterprises Ltd for construction of staff house and VIP latrine at Malanga HCII. The company invoiced on 28/02/17, certification was done on 28/04/17 and payment effected on 13/06/17 which was within two weeks.</p> <p>New Shell Soroti was paid Shs 5,715,200 for fuel supplied to the department. It invoiced on 28/03/17 and was paid on 07/04/17. Ten (10) days.</p>
Assessment area: Financial management and reporting				
16	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	0	<ul style="list-style-type: none"> Evidence from planner's office showed that Health department submitted the annual performance report to Planning unit on 4th /8/2017 contrary to mid-July deadline.

17	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year • If sector has no audit query score 4 • If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 points</p> <p>• If all queries are not responded to score 0</p>	0	<p>Health Department had internal audit queries in FY 2016. But effort was made to respond to some of the queries and some were cleared. However, the first (16/01/17), second (23/03/17), third (31/05/17) and fourth (22/09/17) quarter internal audit reports for FY 2016/17 submitted to CAO, PAC, RDC and the Internal Auditor General have several unresolved health department accountability issues for which sufficient response was not provided</p>
Assessment area: Social and environmental safeguards				
18	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<p>• Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines: score 2</p>	2	<p>Copies of HUMC members and Hospital board members lists displayed in selected health facilities met the gender composition of both female and males.</p> <p>Copies of Guidelines on HUMC selection were also available in the selected health units</p>
		<p>• Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2</p>	2	<p>Copies of the guidelines on sanitation management were found in some of the selected health facilities.</p> <p>• VIP Latrines in the selected health facilities had separated rooms for both males and females</p>
19	<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 2 points</p>	<p>• Evidence that the LGs has issued guidelines on medical waste management, including guidelines for construction of facilities for medical waste disposal : score 2 points.</p>	2	<p>• Copies of guidelines on how to manage sanitation were seen in some of the selected facilities(Eruti, Besia, and Metu) and at DHOs office</p>



LGPA 2017/18

Water & Environment Performance Measures

Moyo District

(Vote Code: 539)

Score 32/100 (32%)

No.	Performance Measure	Scoring Guide	Score	Justification
Assessment area: Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: score 10 	0	<p>The local government water department annual work plan for the financial year 2017/2018 planned to implement 4 deep boreholes water system at Aliba sub county with safe water source coverage of 61%, Moyo sub county 59%, Dufile 60% and Gimara 26%.</p> <p>According to Table 2.6 of district water source functionality and coverage status, Page 4 in the annual work plan FY 2017/2018 shows that safe water source coverage status of Moyo district is 58%, the first 3 sub counties have higher safe water coverage above the district compared to Lafori sub county at 47% below the district safe water source coverage. There was no evidence of any other development partner planning to implement water intervention in Lafori sub county. This shows that water department did not target at least all sub counties with safe water coverage below the district.</p>
2	<p>The LG Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)</p> <p>Maximum 15 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY: score 15 	0	<p>According to the annual work plan financial year 2016/2017 , water department budgeted 187,530,734 million shillings to implement continuation of Moyo sub county (Opiro) piped water scheme covering supply and installation of 144-150 M3 reservoir tank, 6 public water stands, installation of security alarms and fencing in Moyo sub county. Table 2.6 of district safe water source functionality and coverage on page 4, shows that the targeted Moyo sub county safe water coverage is 59% higher than some of the sub counties with safe water coverage below the district status of 58%. This project failed at the foundation level of constructing a basement stand for the water tank despite that it was being implemented in a sub county with safe water coverage above the district coverage. This is an evidence that the district did not successfully implement budgeted water project and target sub county with safe water coverage below the district average.</p>
Assessment area: Monitoring and Supervision				

3	<p>The LG Water department carries out monthly monitoring and supervision of project investments in the sector</p> <p>Maximum 15 points for this performance measure</p>	<p>Evidence that the LG Water department has monitored each of WSS facilities at least annually. • If more than 95% of the WSS facilities monitored: score 15 • 80 - 95% of the WSS facilities - monitored: score 10 • 70 - 79%: score 7 • 60 - 69% monitored: score 5 • 50 - 59%: score 3 • Less than 50% of WSS facilities monitored -score 0</p>	10	<p>During the review of monitoring and supervision records, it was established that the department monitored 80-95% of water and sanitation project investments in financial year 2016/2017. This is evidenced by functionality assessment of 80% water source facilities to ascertain the functionality and community engagement activities reports, the monitoring and supervision was conducted in a period of 7 months out of 12 months.</p>
4	<p>The LG Water department has submitted accurate/consistent reports/data lists of water facilities as per formats provided by MoWE</p> <p>Maximum 10 for this performance measure</p>	<p>• Evidence that the LG has submitted accurate/consistent data for the current FY: o List of water facility which are consistent in both sector MIS reports and OBT: score 10</p>	10	<p>There is evidence that the LG water department submitted consistence list of water facilities as per the formats but the information of safe water coverage in the MIS Atlas, 2017 is different from the annual work plan financial year 2017/2018. The AWP for fy 2017/2018, table 2.6, page 4, highlights district safe water coverage of 58% compared to MIS Atlas of 94% and the district population of 139,012 with 82,653 population served compared to 145,125 with only 136,720 population served. This data discrepancy needs reconciliation</p>
Assessment area: Procurement and contract management				
5	<p>The LG Water department has submitted procurement requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	0	<p>There was no evidence that the water department submitted procurement requests to PDU that cover all investment items in the approved Sector annual work plan and budget</p>

6

<p>The DWO has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none">• If the DWO prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2	0	<p>There was no evidence on record for appointing a contract manager, prepared management plan and conducting monthly site visits for the different WSS infrastructure.</p>
	<ul style="list-style-type: none">• If water and sanitation facilities constructed as per design(s): score 2	0	<p>The assessment established that during the previous financial year, the water department planned to construct Moyo sub county (Opiro) piped water scheme covering supply and installation of 144-150 M3 reservoir tank, 6 public water stands, installation of security alarms, fencing in Moyo sub county. The project failed at the foundation level, hence no evidence of constructed facilities for comparison</p>
	<ul style="list-style-type: none">• If contractor handed over all completed WSS facilities: score 2	0	<p>During the period under assessment, the contractor abandoned the project in its foundation stage.</p>
	<ul style="list-style-type: none">• If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2	0	<p>There was no evidence of appropriately certifying all the projects and preparing of completion reports since the only project failed at the foundation stage.</p>

7	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	0	<p>Payments sampled show that there is no timely certification and payment of contractors. Examples include:</p> <p>Payment of Shs 9,488,097 to Agola General Enterprises Ltd for supply and installation of a 150 cubic metre reservoir tank for Opiro village in Moyo S/C. The company invoiced on 05/06/17, certification was done on 07/06/17 and payment was effected on 19/06/17. Payment of Shs 10,051,023 to Sumadhura Technologies Ltd with respect to drilling and installation of 10 boreholes. The company invoiced on 21/01/17, certification was done on 10/02/17 and payment was effected on 12/05/17. The payment delayed by about 3 and ½ months. Payment of Shs 9,969,844 to Icon Projects Ltd for construction of retention for 10 boreholes. The company invoiced on 15/12/16, certification was done on 10/02/17 and payment was effected on 09/05/17. The payment delayed by about 5 months.</p>
---	---	---	---	--

Assessment area: Financial management and reporting

8	<p>The LG Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	0	<p>Evidence from the planner's office, indicate that water department submitted annual performance report for consolidation in the planning unit on 4th /8/2017 contrary to mid July 2017 standard.</p>
---	--	---	---	---

9	<p>LG Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year o If sector has no audit query score 5 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	0	<p>Water Department had internal audit queries in FY 2016. But effort was made to respond to some of the queries and some were cleared. However, the first (16/01/17), second (23/03/17), third (31/05/17) and fourth (22/09/17) quarter internal audit reports for FY 2016/17 submitted to CAO, PAC, RDC and the Internal Auditor General have several unresolved water department accountability issues for which sufficient response was not provided.</p>
---	--	--	---	---

Assessment area: Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	3	<p>In a meeting by Works, Engineering, Natural Resources and Production Committee on 14th/10/2016 under Min./WEPC/10/2016/17, review of FY 2016/17 Q1 performance reports and second quarter work plan discussed the challenges faced by Opiro piped water system that had stalled for a long time due to some design issues that made contractors to abandon the work. Over 150millions allocated to that project was returned to the centre.</p>
		<ul style="list-style-type: none"> • Evidence that the water sector committee has presented issues that require approval to Council: score 3 	0	<p>No evidence of specific water related issue was presented to council by the water sector committee.</p>

11	<p>The LG Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2 	0	<p>During the assessment period, the notice board did not have information displayed concerning the AWP, budget and the Water Development grant releases and expenditures as per the PPDA Act.</p>
		<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	0	<p>Of the 2 sampled Sanitation projects, Goopi health centre 11, 2 stance VIP latrine and Illi Valley primary school, 5 stance VIP drainable latrine; funding source, date of construction and gender was not highlighted as per recommendable standards.</p>
		<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	0	<p>There was no information displayed on the notice board with detailed information on tenders and contract awards</p>
12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	1	<p>During the assessment period, There was evidence of communities applying for water</p>
		<ul style="list-style-type: none"> Number of water supply facilities with WSCs that are functioning evidenced by collection of O&M funds and carrying out preventive maintenance and minor repairs, for the current FY: score 2 	2	<p>The water department planned to drill 4 deep boreholes, the procurement process is at awarding stage. There is evidence of electing of 4 water source committees with an average gender representation of 9(5 females, 4 males) to enable them participate in the construction processes with receipted fund collection of 200,000/= for operation and maintenance.</p>
Assessment area: Social and environmental safeguards				

13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 • Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 • Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>0</p> <p>0</p> <p>0</p>	<p>Guidelines for environmental impact assessment in Uganda (July 1997), provides a checklist for environment and social screening of projects in the districts. The environment and water department team confessed that they have not been conducting environment and social screening for all WSS projects neither did the assessment see any documentation on record.</p> <p>There was no evidence from water department for environment, social screening and follow up support provided.</p> <p>There was no evidence of clause on environmental protection on construction and supervision contracts</p>
14	<p>The LG Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If at least 50% WSCs are women as per the sector critical requirements: score 3 	<p>3</p>	<p>During the assessment, 4 planned water sources in the current financial year have formed water source committee by gender with a representation of 9(5 females, 4 males) as per the requirements.</p>
15	<p>Gender- and special-needs sensitive sanitation facilities in public places/RGCs.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>3</p>	<p>Of the sampled toilet facilities, sanitation facilities were compliant with adequate access for people with disabilities and separate stances for men, women.</p>